

Annual Operating Budget

2013-2014



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.



History of Round Rock

...Our Story

Settlers began arriving in the area in the late 1830s and built Kenney Fort on the south side of Brushy Creek. In 1850, the original town, called Brushy, was established on the north side of the creek near the “round rock.” This historic table-shaped rock still marks a Brushy Creek crossing of the famed Chisholm Trail. In 1854, Brushy was renamed Round Rock. The town served as a stop on the Chisholm Trail throughout the 1860s and the early 1870s. In 1876, the residents moved the town about one mile due east to take advantage of the newly constructed International and Great Northern Railroad line (later known as the Missouri Pacific) which bypassed the old town. Round Rock was officially incorporated in 1913. Today, the Old Town section still contains many historic structures and is the centerpiece of an evolving, historical, recreational and commercial area.

Shop The Rock

Today, Round Rock is a vibrant City, with a strong community and thriving local business environment. We have an initiative to encourage residents to shop locally with our new “Shop The Rock” program developed by the City of Round Rock and the Round Rock Chamber of Commerce. Round Rock is a great place to shop year ‘round with a plethora of dining venues, amazing shopping, retail centers and local businesses large and small. We’ve got it all...right here in Round Rock, Texas.



City of Round Rock Annual Budget

For the Fiscal Year
OCTOBER 1, 2013 • SEPTEMBER 30, 2014

CITY LEADERSHIP:

ALAN McGRAW Mayor
KRIS WHITFIELD Mayor Pro-Tem - Place 6

CRAIG MORGAN Council Member - Place 1
GEORGE WHITE Council Member - Place 2
JOE CLIFFORD Council Member - Place 3
CARLOS T. SALINAS Council Member - Place 4
JOHN MOMAN Council Member - Place 5

LAURIE HADLEY Assistant City Manager **STEVE NORWOOD** City Manager
BRYAN WILLIAMS Assistant City Manager

Published in accordance with the City of Round Rock Home Rule Charter





GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished
Budget Presentation
Award*

PRESENTED TO

City of Round Rock

Texas

For the Fiscal Year Beginning

October 1, 2012

Christopher P. Morrell

President

Jeffrey R. Egan

Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented a Distinguished Budget Presentation Award to City of Round Rock, Texas for its annual budget for the fiscal year beginning October 1, 2012. In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan, and as a communications device. This award is valid for a period of one year only. We believe that our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

How To Use This Document

This budget document is intended to give the reader a comprehensive view of funding for the City's day-to-day operations, scheduled capital improvement expenditures, and principal and interest payments for outstanding long-term debt and capital leases. The operations are organized into programs of service or operating departments to give the public a clear idea of how resources are allocated.

Budget Message

This tab outlines the City's strategic and funding priorities for the proposed budget. This section includes an executive summary and detailed message regarding the City's priorities from the City Manager

City Profile Tab

This tab presents an informative overview of Round Rock. A list of Council members, an organizational chart and a history of the City is provided along with charts and graphs illustrating historical trends for important operating influences such as building activity, sales tax collections, and taxable property values.

Budget Summaries Tab

This tab includes a summary of the revenue and expenditures for each operating fund mentioned above. Also illustrated is the effect this budget has on the fund balance or working capital for each fund.

General Fund Summaries Tab

This tab contains line item detail for all operating revenue, including previous year comparisons. Reasons for changes in major revenue sources and major revenue trends are discussed in the budget message. This section provides a program of service overview for each operating department outlining major business functions, key customers, and customer expectations and requirements. Additionally, staffing levels, strategic budgets, and expenditure summaries for each general fund department with prior year actual and future year comparisons are included.

Debt Service Tab

This section provides a summary of the annual principal and interest payments for all outstanding bonded debt and capital leases. This section also contains line item detail for the Interest & Sinking General Obligation Bonds fund and the Interest & Sinking Revenue Bonds fund revenues.

Water / Wastewater Fund Summaries Tab

This tab contains line item detail for all operating revenue, including previous year comparisons. Reasons for changes in major revenue sources and major revenue trends are discussed in the budget message. This section provides a program of service overview for each operating department outlining major business functions, key customers, and customer expectations and requirements. Additionally, staffing levels, strategic budgets, and expenditure summaries for each general fund department with prior year actual and future year comparisons are included.

Capital Projects Fund Tab

Under this section current capital improvements are summarized. Capital improvements are major construction projects or improvements to the City's infrastructure and have a long useful life. Typically, the improvements are funded with borrowed funds (bonds, certificates of obligation, and capital leases), transfers from the operating fund, and, in the case of the utility system, capital recovery fees (impact fees).

Special Revenue Funds Tabs

This section contains line item detail for all operating revenue, including previous year comparisons for funds which account for specific revenue sources that are legally restricted for certain purposes. This section also includes expenditure summaries for each special revenue fund. The strategic budgets for the Drainage Fund, Hotel Occupancy Tax and Sports Venue funds are also included.

Debt Schedules Tab

This tab provides details on outstanding debt, including its purpose, amount outstanding, and a payment schedule.

Tax Information & Levy Tab

This section provides a calculation of the City property tax rate; along with a historical presentation of the property tax rate, and a property tax and debt summary schedule.

Personnel Summary Tab

This tab contains summary information on classification and compensation of City personnel. The personnel schedules contain all budgeted positions and correspond to the authorized personnel listings in each operating department.

Strategic Plan Tab

This section presents an overview of the City Council's adopted Strategic Plan for the City. The plan includes goals defined by objectives and short term actions required to achieve the City's long-range vision. City-wide measures are also included in this section.

Financial Statistics Tab

This section presents statistical data about the city in a multi-year format.

Appendix Tab

This section has copies of City ordinances including a copy of the Home Rule Charter. Additionally, it contains explanatory and supporting data, which serves to enhance the reader's understanding of the budget process and information provided in the budget document.

Budget Development Process

The City's budget is a complex document and represents the culmination of months of preparation and discussion among the operating departments regarding the best ways to provide services to the community at the greatest value. This budget was developed consistent with the City's high performing philosophy which strives to simultaneously deliver high product and service quality, outstanding customer value and sound financial performance. City departments developed their draft strategic budget documents, initial operating requirements and program proposals and then worked together as a team to develop a budget proposal that fit within revenue expectations and key departmental goals.

As required by the City's Charter, the proposed budget is to be provided to the City Council by August 1. Concurrently, copies of the proposed budget are made available to the public on the City's website, and on file at the local library. The City Council considers the proposed budget and provides public hearings where public input regarding the budget programs and financial impact is heard. Further communication with the public is provided via the community cable television system, the City's website, social media devices, and the local newspapers. Presentations of the budget are provided in these media, along with summaries of fees and tax rate implications.

The budget is formally adopted by the City Council during two readings of enabling legislation at regularly scheduled Council meetings in September. The budget goes into effect on October 1.

Budget Key Dates

| | |
|-----------|---|
| February | City Council Work Session Budget Kickoff |
| March-May | Departmental submission of budget documents |
| June | Executive review of Proposed Budget |
| July | Tax roll certification by Chief Appraiser City Manager submits Proposed Budget to City Council |
| August | Publication of proposed tax rates |
| September | Proposed Budget submitted and approved by City Council (2 readings) |
| October | Budget becomes effective |

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Debt Schedules

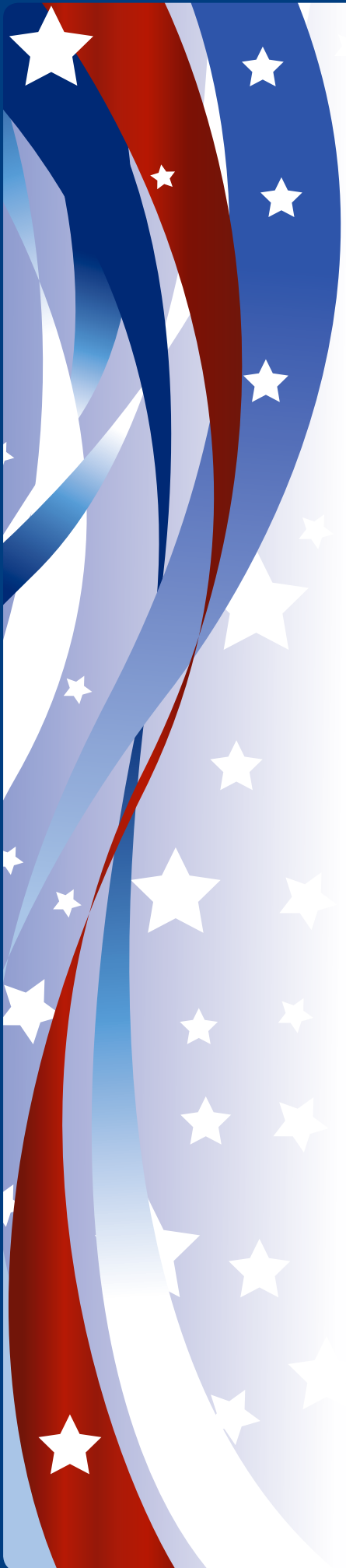
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Budget Message



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.



Budget Message Executive Summary

September 26, 2013

The Honorable Mayor, Mayor Pro-Tem and City Council
City of Round Rock, Texas

Presented herewith is the City Manager's adopted operating budget for fiscal year October 1, 2013 through September 30, 2014. This document contains spending plans and revenue estimates for a total adopted operating budget of \$144,270,954. This amount includes \$90,575,502 for the General Fund, \$12,781,469 for the Debt Service Fund and \$40,913,983 for the Water/Wastewater Utility Fund.

Spending plans and revenue estimates are also provided for the Special Revenue Funds with expenditures as follows: \$2,180,344 for the Drainage Fund, \$2,914,062 for the Hotel Occupancy Tax Fund, \$2,155,945 for the Hotel Occupancy Tax – Sports Venue Fund, \$690,000 for the Law Enforcement Fund, \$5,550 for the Library Fund, \$107,005 for the Municipal Court Fund, \$450,000 for the Public Educational Access Fund, \$248,100 for the Tree Replacement Fund and \$2,900,000 for the Utility Impact Fund.

Round Rock continues to be one of the fastest growing cities in the U.S. Indicators show that both the regional and Round Rock economies remain healthy in economic activity and continue to experience population growth. This growth has placed pressures on City resources, consequently, the City must respond to this increased demand for services. Two primary priorities addressed in this budget are; sufficient funding to adequately provide core operating services in the midst of population growth, and tailor City services to better meet the needs and expectations of a larger community.

General Fund

Round Rock is more attentive to the health of its economy due to the reliance on commerce to produce sales tax revenue. Our primary revenue source, sales tax, has continued to increase despite a decline in Dell, Inc. related revenues. Property values have also seen a significant increase. Building activity for both commercial and residential is expected to remain strong. These are all important factors that demonstrate the local economic environment is favorable.

Property Tax

The certified value is 4% above the prior year value which again reflects a strong regional economy. The 2013 certified taxable property value in Round Rock is \$8,624,749,167. The average residential taxable value is \$181,526, a 4% increase over last year. This budget plan proposes a tax rate of 41.949 cents per \$100 of property value, slightly lower than last year's rate of 42.035 cents per \$100. Under this budget, the adopted rate is above the effective rate of 40.749 cents per \$100 which results in a tax increase. The City of Round Rock continues to have a property tax rate that is among the lowest of any medium-to-large city in the state, including those cities with an additional ½ cent sales tax for property tax reduction.

Sales Tax Revenue

Sales tax revenue is extremely important to the City in that it reduces property taxes and makes up approximately 51% of the General Fund revenue. While Dell, Inc. sales tax figures continue to show declines from previous years, sales tax from other sources within the City negate the impact. However, due to the volatile nature of sales tax revenues, a conservative approach was utilized in estimating this budgeted amount. Reflecting current and anticipated economic conditions, this budget includes a FY 2014 sales tax estimate for the General Fund of \$46.15 million a 2% increase over last year's amount.

Funding Priorities

Priorities addressed in this budget are to provide adequate funding to maintain service levels in the midst of population growth and to meet the expectations of a larger community. In order to continue meeting the growing

demands this budget includes twelve new full time equivalents (FTE) for the general fund:

- Fire services includes the addition of six firefighters to staff an additional engine located at Gattis School Road which will provide better emergency response coverage
- General services includes the addition of four staff positions to better maintain over \$700 million in City assets
- Library includes an additional youth librarian to service the growing demand of children's programming
- Transportation includes additional funding for traffic signal replacements
- Administration includes additional funding to support programming to attract tourists and citizens to the historic downtown
- Assistant Emergency Manager converted from a grant paid position to a City funded position in the Fire Department.

Debt Service Fund

Over the past few years, the City has taken advantage of refunding opportunities. In May of 2013, \$8.6M in GO bonds was refinanced resulting in a slightly reduced debt service requirement.

Water and Wastewater Utility

The City's growing customer base continues to place the City's water utility system under a strain to deliver potable water and to treat wastewater. A water conservation and drought contingency program was established to conserve existing water sources and reduce the impact of water shortages. A four-tier conservation rate structure is implemented in the summer months to address conservation concerns.

Drainage Fund

In FY 2011, the Council established the Drainage Utility Fund which provides stable funding for operations and maintenance of existing drainage infrastructure. This program will help the City meet the required state and federal regulations.

Hotel Occupancy Tax & Sports Venue Funds

In November of 2011, voters approved an additional 2% hotel occupancy tax to be collected for the construction and operations of a Sports Complex. This venue will be utilized to expand the City's "Sports Capital of Texas" tourism program. The Complex will open in January, 2014 and will be managed by City staff. The 80,000 square foot sports center will feature 6 basketball/12 volleyball courts and 9 multi-purpose rooms with seating for up to 1,700 fans. This indoor facility will be able to host a wide range of youth and amateur sports.

Included in this adopted budget are the spending plans and revenue estimates for the Hotel Occupancy Tax Special Revenue Fund and Sports Venue Fund with expenditures adopted at \$5,070,007. Five additional personnel will be added to support the sports complex staffing requirements.

Conclusion

We believe the adopted budget reflects a fiscally responsible approach that allows the City to improve the current infrastructure and meets the growth demands while maintaining the City's strong financial position.



Steve Norwood, City Manager

Budget Message Operational Guide

September 30, 2013

The Honorable Mayor, Mayor Pro-Tem and City Council City of Round Rock, Texas

Dear Honorable Mayor McGraw, Mayor Pro-Tem Whitfield and City Council:

Presented herewith is the City Manager's adopted operating budget for fiscal year October 1, 2013 through September 30, 2014. This document contains spending plans and revenue estimates for the General Fund, the Debt Service Fund, the Water/Wastewater Utility Fund, the Drainage Fund, and the Hotel Occupancy Tax and Sports Venue Fund.

The total adopted operating budget is \$144,270,954. This figure includes \$90,575,502 for the General Fund, \$12,781,469 for the Debt Service Fund and \$40,913,983 for the Water/Wastewater Utility Fund.

Spending plans and revenue estimates are also provided for the Special Revenue Funds with expenditures as follows: \$2,180,344 for the Drainage Fund, \$2,914,062 for the Hotel Occupancy Tax Fund, \$2,155,945 for the Hotel Occupancy Tax – Sports Venue Fund, \$690,000 for the Law Enforcement Fund, \$5,550 for the Library Fund, \$107,005 for the Municipal Court Fund, \$450,000 for the Public Educational Access Fund, \$248,100 for the Tree Replacement Fund and \$2,900,000 for the Utility Impact Fund.

This spending plan provides funding for basic services, critical needs, equipment replacement, and facility upgrades as discussed on the following pages. The City's operating departments have continued the development of two-year budgets this year in an effort to identify the impacts of current year programs and commitments on the future. The second year budget is not formally proposed in the budget process but is presented as an aid to decision making. The second year presentation (2014-2015 proposed budget) reflects only the extended cost of the programs and operating commitments, which are adopted for 2013-2014.

As illustrated in the Budget Summary section (please see the **Budget Summaries Tab**), this budget plan adheres to the City's financial policies and preserves the City's strong financial position while providing excellent levels of service.

Budget Priorities and Changes in Funding

The development of the budget is guided by the City's strategic goals, prevailing economic conditions, and the continuing need to provide basic services for a growing population. Round Rock continues to be one of the fastest growing cities in the nation. Indicators show that both the regional and Round Rock economies remain healthy in economic activity and continue to experience population growth. This growth has placed pressures on City resources. Consequently, the City must respond to this increased demand for services. Two primary priorities addressed in this budget are: sufficient funding to adequately provide core operating services in the midst of population growth; and tailor City services to better meet the needs and expectations of a larger community.

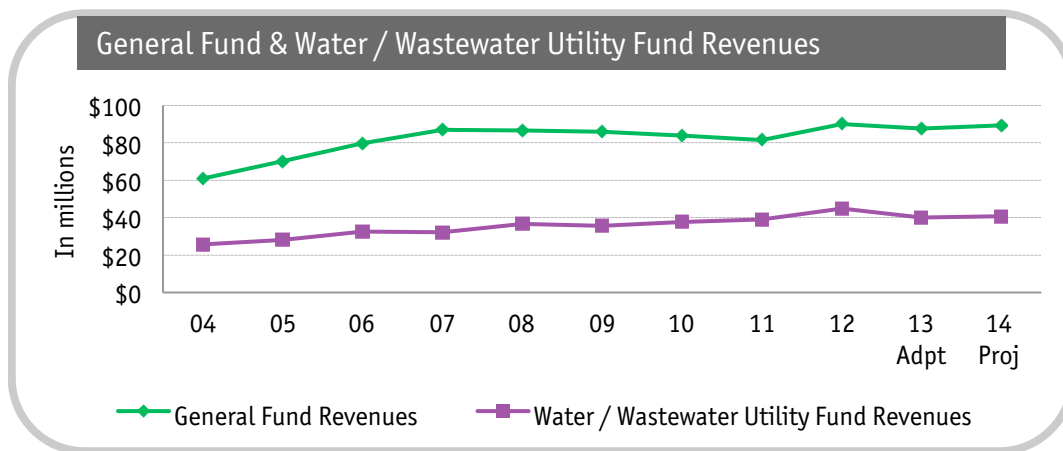
An underlying budgetary influence is that the City's business model has approximately 51% of General Fund revenue provided by sales tax. A half-cent component of the two-cent local sales tax rate exists for the sole purpose of reducing the property tax rate. The result is a heavy reliance upon the sales tax as a revenue source and reduced reliance upon the property tax. The sales tax is a less stable revenue source than the property tax but provides more discretion to the taxpayer. Because of this revenue makeup, the City tends to carry higher fund balances, estimate sales tax revenue conservatively, and introduce new programs somewhat more cautiously until projected revenue levels are attained. The City is also more attentive to the health of its economy because of the reliance upon commerce to produce the sales tax. These issues are discussed more fully in the following pages.

Trend Analysis

The City of Round Rock utilizes a conservative strategy when projecting revenues and expenditures. Revenue and expenditure patterns are closely monitored so that adjustments to spending levels can be implemented, if needed. The City considers many factors as presented throughout this document along with trend analysis to develop and manage the budget as the year progresses.

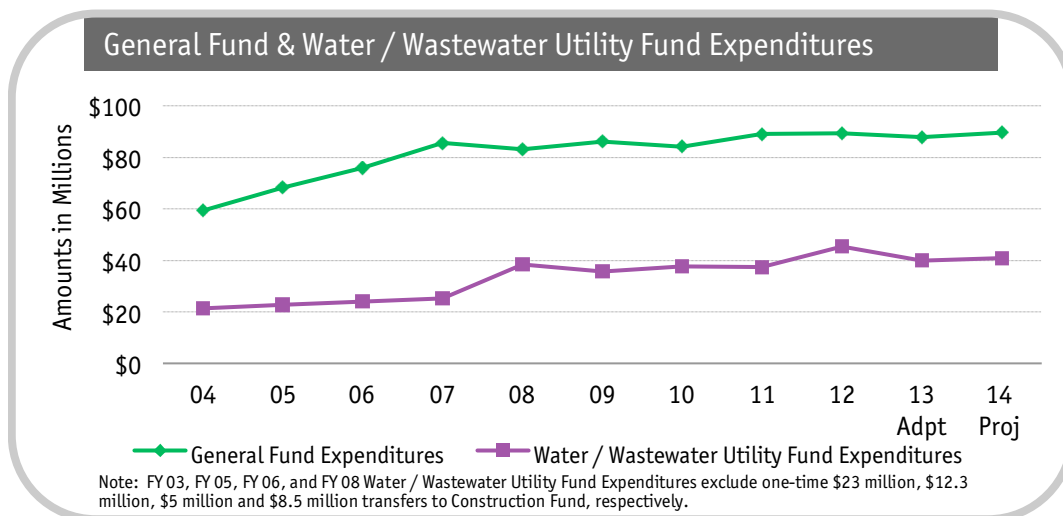
Revenue Trends

The City utilizes a fiscally conservative strategy when projecting revenues. Residential and commercial growths, along with economic expectations, are primary drivers in estimating revenues. In the past, Round Rock had enjoyed a relatively stable upward revenue trend. This trend was caused primarily by growth in sales tax revenues for the General Fund and increases in the customer base for the Water/Wastewater Utility Fund. The economic slowdown had caused a slight decrease from past General Fund revenue levels, but as can be seen in the graph, revenue growth is projected to continue into the near future.



Expenditure Trends

Expenditure trends are projected using prior years' spending patterns along with assessing the impacts of current year influences such as departmental objectives as they relate to the City's strategic goals. Once again, in this year's approved budget, we have applied the same fiscally conservative strategy that allows us to modify spending, if needed.

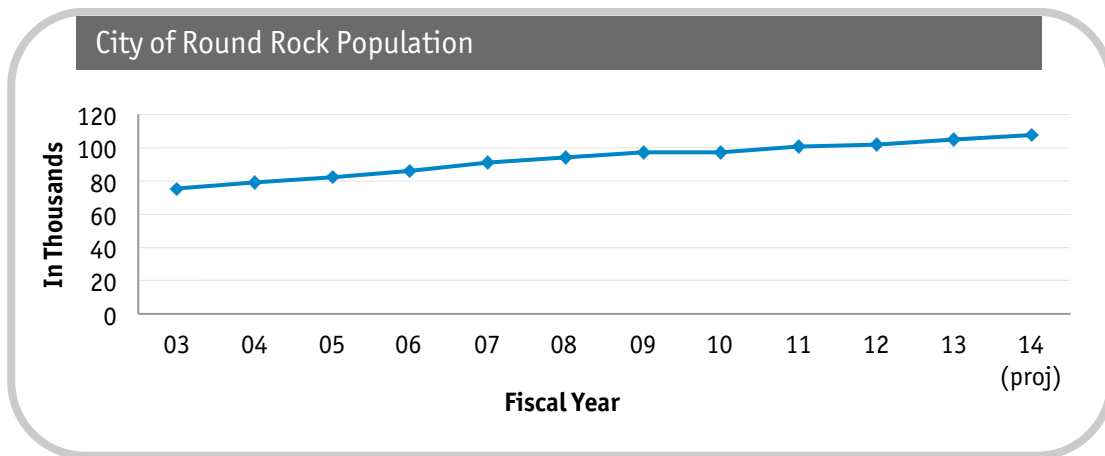


Economic Outlook and Revenue Projections for Fiscal Year 2013-2014

In considering this spending plan, it is important to keep in mind the fact that Round Rock is located within Williamson County, which is one of the fastest growing counties in the State. The Austin-Round Rock-San Marcos Metropolitan Statistical Area (MSA) has more than doubled in population to 1.8 million since 1990. Many of the issues Round Rock faces are regional issues such as population growth, traffic concerns, road construction, and water/wastewater availability. The chart below illustrates historical and projected population growth for the area including comparison figures for Texas and the United States.

| | 2000 | 2010 | 2014 Estimated | % change 2000-2010 | % change 2000-2014 |
|-----------------------|-------------|-------------|-------------------|-----------------------|-----------------------|
| Round Rock | 61,136 | 99,887 | 107,635 | 63% | 76% |
| Williamson County | 249,967 | 422,679 | 533,547 | 69% | 113% |
| Austin-Round Rock MSA | 1,249,763 | 1,716,289 | 1,929,420 | 37% | 54% |
| Texas | 20,851,820 | 25,145,561 | 27,470,110 | 21% | 32% |
| United States | 281,421,906 | 308,745,538 | 315,183,801 | 10% | 11% |

Source: U.S. Census Bureau & entity estimates



A growing population directly influences the housing industry and, ultimately, the property tax base. The adopted budget reflects continued growth in and around the City. Continued growth in building activity is expected, fueled by continued in-migration to the community. It is important to note that Round Rock’s extra-territorial jurisdiction (ETJ) contains over 9,000 acres of undeveloped property which will influence the demand for City services into the future.

Job creation in terms of basic jobs, those that import capital while exporting products or services, has been resilient over the past several years. The unemployment rate for the City, 4.9%, remains lower than the Austin metro area, which is 5.2%, and the statewide rate of 6.4%. These facts are important in understanding the resulting influences on the City’s revenue projections, levels of service required and resulting spending plans.

Economic Influences

As stated in the Budget Priorities and Changes in Funding section above, Round Rock is more attentive to the health of its economy because of the reliance upon commerce to produce sales tax revenue. Updates to economic influences that help us diversify our economic base are detailed below.

The City understands that diversification of Round Rock's economy is a key to financial stability. The retail market place continues to improve, and the Central Texas area has been noted for its strength. The major destination retail hubs provide continued expansion and broaden Round Rock's economy. These include Round Rock Premium Outlets, which provides over 430,000 square feet of retail space containing 125 stores in an outdoor village setting and a movie theater. Adjacent to the outlets is a shopping center featuring IKEA, other national and regional retailers.

Round Rock also continues to see significant growth in the medical industry. Round Rock now is home to several hospitals offering comprehensive healthcare services. In order to keep up with population growth, these providers have expanded their facilities and services making Round Rock a medical destination. To complement the healthcare activities, Round Rock is now home to several education facilities, many of which focus on healthcare disciplines.

The City recognizes that a highly educated workforce is an important component of a well-rounded economic foundation. In 2005, the City was instrumental in obtaining state funding to bring its first higher education facility. Since this facility was built in 2005, several other higher education facilities have begun offering classes in Round Rock. The City continues to be instrumental in expanding the educational opportunities for its citizens.

Additionally, the City Council has approved several Chapter 380 Economic Development agreements during this fiscal year. The resulting economic expansions have created new jobs, modernized work equipment and/or brought new services to Round Rock.

Other economic highlights include:

| | |
|---------------------------------------|---|
| Emerson Process Management | <ul style="list-style-type: none"> • Relocated to Round Rock in April 2012 • 278,860 sq. ft. of office space • Moved 750 professional employees, expects to create another 125 positions over 3 years • Occupy 10,000 room nights annually at area hotels |
| St. David's Round Rock Medical Center | <ul style="list-style-type: none"> • Named to the Nation's Top 100 Hospitals • Designated a Level II Trauma Center |
| ClearCorrect | <ul style="list-style-type: none"> • Relocated to Round Rock in 2013 • 100 full-time jobs |
| Scott & White Healthcare | <ul style="list-style-type: none"> • Completed a 58,000 sq. ft. expansion |
| Cornerstone Healthcare Group | <ul style="list-style-type: none"> • Broke ground on a 60,000 sq. ft. long term acute care facility |
| H-E-B stores | <ul style="list-style-type: none"> • Opened October 2013 a 40,000 sq. ft. grocery store |
| New restaurants | <ul style="list-style-type: none"> • 6 major eateries |

Revenue Assumptions

Revenue assumptions in general for the ensuing fiscal year are expected to follow the growth curve of job creation, population growth, retail sales activity, and housing starts. Other revenues, such as property and sales tax revenue, are forecast using specific calculations as discussed below. Detailed figures for the following revenue sources are found at the **Budget Summary Tab** section of this document.

Property Tax Rate and Property Tax Revenue

The total certified value of all taxable property, as rendered by the Williamson and Travis Central Appraisal Districts, is shown in the chart below. The certified values are about five percent above the prior year adopted value and continue to reflect the growth of our regional community. The certified tax roll as provided by the Appraisal Districts indicates the following:

| | Adopted For FY 2013 | Certified FY 2014 |
|----------------------------|---------------------------|---------------------------|
| Total Taxable Value | \$8,238,143,748 | \$8,624,749,167 |
| Tax Rate | 42.035 Cents/\$100 | 41.949 Cents/\$100 |

A calculation of the tax rate levy is provided in the **Tax Information & Levy Tab** section of this document.

To fund operations, such as police services, streets maintenance, fire protection, library services, parks and recreation, and debt service on outstanding debt, the City levies a tax on all taxable property. This budget plan proposes a tax rate of 41.949 cents per \$100 of property value, slightly lower than last year's rate of 42.035 cents per \$100. Under this budget, the adopted rate is above the effective rate of 40.749 cents per \$100 which results in a tax increase. The City of Round Rock continues to have a property tax rate that is among the lowest of any medium-to-large city in the state, including those cities with an additional ½ cent sales tax for property tax reduction.

In summary, the tax levy funds general operations and debt service, of which examples include;

- a) consistent level of service in light of a growing population;
- b) debt service (principal and interest payments on debt);
- c) pavement management program;
- d) fleet and equipment replacement;
- e) computer infrastructure and support.

While attention regarding the property tax rate is usually centered on the cost to the taxpayer, it is also important to note the technical aspects of setting the tax rate. Under state law, six separate tax rates are calculated by the City's tax assessor/collector. These are described in the **Tax Information and Levy Tab** section.

Sales Tax Collections

The City's economy generated approximately \$65.5 million in sales tax revenue for fiscal year 2013. This amount includes the ½% or \$16.5 million in additional sales and use tax for transportation improvements as described below. The remaining 1½% generated \$49.0 million for general operations, property tax reduction and capital projects. This figure is 9% above the original budget and about 3% above the prior year actual collections. For 2014, the General Fund budget is \$46,150,000 in sales tax revenue for operations, property tax reduction and capital projects.

The sales tax revenue source is extremely important to the City in that it reduces property taxes and makes up approximately 51% of the general revenue. While Dell, Inc. sales tax figures continue to show declines from previous years, sales tax from other sources within the City lessen the impact. The sales tax as a revenue figure is subject to economic cycles and discretionary buying characteristics of the consumer and, accordingly, must be monitored and projected carefully.

In addition to the influence of Dell, Inc. on the Round Rock economy, economic activity of the Round Rock Premium Outlets, Swedish furniture maker IKEA, and the expansion of the medical industry in the community continue to strengthen our economic base.

Financial Management Policy

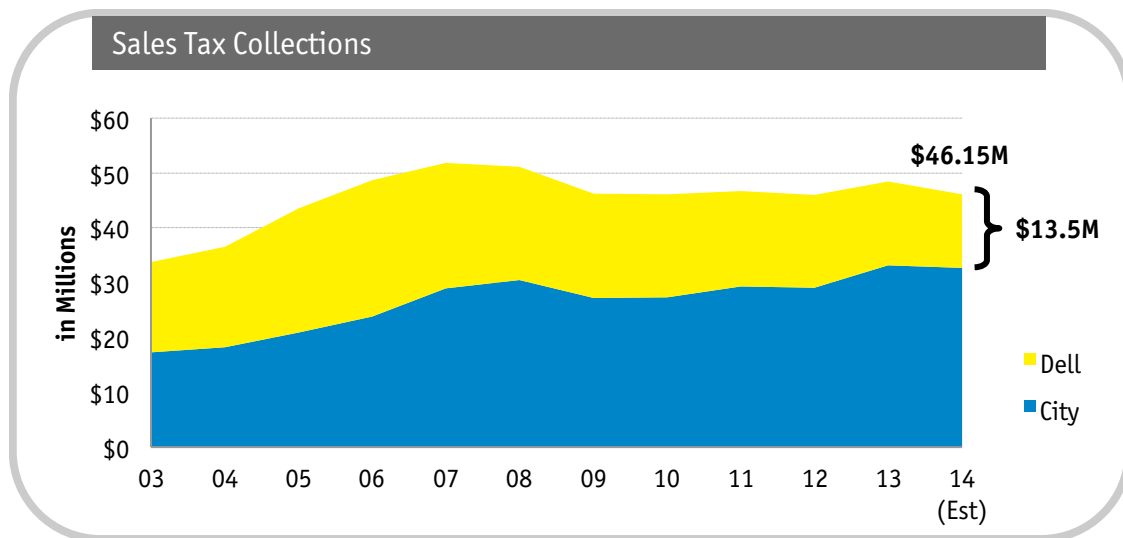
The City has developed a financial management policy directed at reducing the General Fund’s operational reliance on sales tax generated from Dell, Inc. The policy is designed to provide a consistent, long-term approach for the fiscal management of the City’s operating funds to balance revenue requirements with the service needs of a growing population. Specifically, the policy is intended to help the City avoid the risk of a combined high property tax rate and a high reliance on sales tax.

In accordance with the policy, the budget for Dell, Inc. sales tax revenue used for operations has been reduced to 30% or \$13.5 million. This continues the long-term goal of reducing operational reliance on Dell, Inc. sales tax to 20% of total sales tax collected by 2017. Amounts collected beyond the set operational limitation are set aside for two objectives: (1) to fund capital improvements and/or one-time expenditures as approved by the City Council, minimizing the need to borrow additional funds, and/or; (2) to retire existing general debt. This budget adheres to the plan.

Economic Development Agreement with Dell, Inc.

This budget continues to reflect activity of a multi-year economic development agreement between the City and Dell, Inc.. The City and Dell, Inc., a Fortune 500 company and one of the largest computer manufacturers in the world, have developed a revenue sharing agreement whereby sales tax generated by taxable computer sales within the state are shared by the Company and the City.

Sales generated from the Dell, Inc. Round Rock operations are expected to produce \$13.5 million in FY 2014 in local sales tax revenue. The City shares a portion of the proceeds with the Company and the remainder is used toward citywide property tax rate reduction, general expenses, and capital improvements. The chart below shows the relative impact of sales taxes paid by Dell, Inc. on the City’s total sales tax receipts.



Additional Sales and Use Tax for Transportation & Economic Development (Type B)

In August of 1997, voters authorized the adoption of an additional sales and use tax within the City at the rate of one-half of one percent, with the proceeds thereof to be used for streets, roads, drainage, and other related transportation system improvements, including the payment of maintenance and operating expenses associated with such authorized projects. The additional sales and use tax became effective January 1, 1998. The additional revenue is not part of the City’s general operating budget, but is budgeted and spent by a non-profit industrial development corporation established expressly for the above purposes with the approval of the City Council. The Corporation’s activities are included in the City’s audited financial statements as a blended component unit.

Some of the funds under this purpose will be matched with State funds for improvements, benefiting the City and maintained by the State of Texas. Other projects will be constructed and maintained by the City. Future operating budgets will reflect the maintenance impact of these completed projects.

In November of 2011 the citizens approved the use of Type B Funds for economic development related efforts. With economic development identified as the City's top strategic priority, the City and the Round Rock Chamber of Commerce have partnered to update the City's economic development plan. In accordance with this increased strategic emphasis on economic development, the economic development agreement between the City and the Chamber of Commerce was also updated and enhanced. Funds will be leveraged with the Chamber of Commerce Momentum funding to create a public/private partnership for economic development priorities.

All sales tax figures presented or discussed as benefiting the General Fund are *net of* (i.e. exclude) the additional sales and use tax for transportation system improvements.

Franchise Fee Revenue

Franchise fee revenue represents a significant portion of the City's general revenue. Franchise fee revenue is derived from major public utilities operating within the City and is intended to reimburse the City for use of public streets and rights of way. The fee is primarily applicable to: TXU Electric (electric utility); ATMOS Energy (gas utility); AT&T (telecommunications); Time Warner Cable (cable television); other telecommunications and cable providers, and all commercial garbage haulers.

The fee is generally computed as a percentage of gross receipts and the percentages vary among the franchisees. The franchise fee revenue growth is expected to reflect population and commercial development growth rates.

Licenses, Permits and Fees

Revenues from these categories are intended to cover the costs of general governmental services such as: building inspection; plat recording and consultation; subdivision review; site plan fees to assist in recovering costs associated with the development review process; fire inspection fees for commercial construction, and other various services.

Garbage Fees

Residential garbage pickup services are provided to the citizens by Round Rock Refuse through an agreement with the City. The City retains about 20% of the monthly pickup fee for billing and collection and account maintenance. That figure is reflected in the General Fund section and is expected to increase consistently with the rate of growth of the City.

The City has implemented a solid waste and recycling program in an effort to divert recyclables from the landfill. Residents were provided with two 96-gallon containers, one for garbage, and one for recycling. Garbage is collected weekly, while recycling is collected every other week.

Fire Protection Fees

In 2006, voters in the City of Round Rock extraterritorial jurisdiction (ETJ) voted to form Emergency Services District #9 (ESD) for the purpose of providing fire and medical emergency services to the ETJ. The ESD has contracted with the City of Round Rock to provide these services to certain areas of the ESD. This includes areas the City previously serviced under contractual agreements with individual municipal utility districts. The fees are included in the current budget and are intended to offset the costs of providing fire and emergency service for the ESD.

Police Department Fines and Costs

Revenue in this category is produced through the payment of citations, warrants and court costs. The citations issued by the Police Department are processed through and collected or adjudicated by the Municipal Court. The Police Department continues to expend significant resources on Community Oriented Policing. This policy focuses on identifying root causes of crime rather than symptoms. Revenues are generated due to continued focus on traffic law enforcement. Projected revenues from the Red Light Camera program are included, but this is off-set with associated costs to operate and maintain the program. This program is designed to change driver behavior, resulting in fewer traffic accidents and saving lives.

Recreation Program Fees and Recreation Center Fees

The City owns and operates the Clay Madsen Recreation Center, a full service athletic and recreation facility and the Allen R. Baca Senior and Community Activity Center. These centers generate revenue through annual use fees and various programming fees. These fees help to partially offset the costs of operating the center. Fees are estimated by anticipating membership activity and recreation program usage.

Water/Wastewater Utility Fund Transfer

The transfer from the Water/Wastewater Utility Fund to the General Fund is \$2,780,850, representing approximately 6.8% of the Water/Wastewater Utility Fund total revenue. The transfer is designed to reimburse the General Fund for services it provides to the Water/Wastewater Utility Fund such as office space, financial services, administrative services, engineering services, infrastructure repair, information technology, and various other services and benefits.

No significant changes are anticipated for these revenue sources.

Drainage Fund

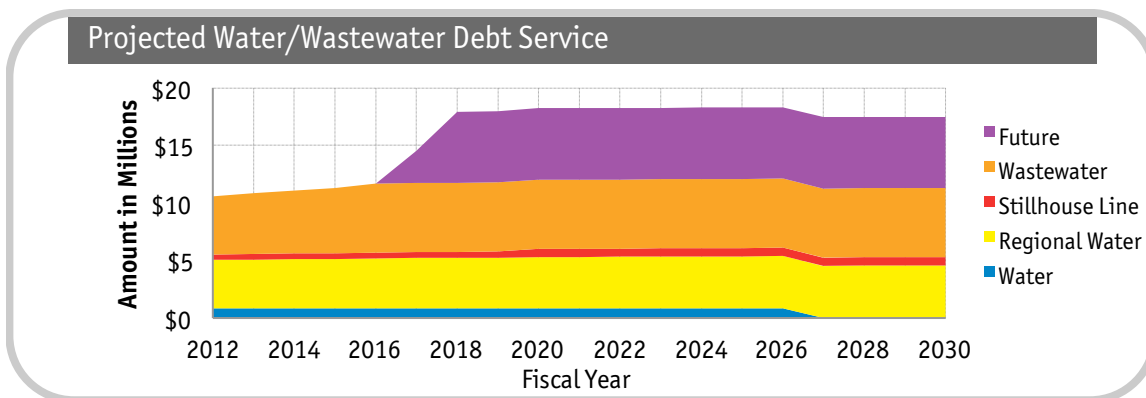
The City is required by the Federal Emergency Management Administration, U.S. Environmental Protection Agency and the Texas Commission on Environmental Quality to ensure certain development, maintenance, and water quality standards are met. In FY 2011, the Council adopted an ordinance establishing the Drainage Utility Fund. Revenues from the Drainage Utility provide stable funding for operations and maintenance of existing drainage infrastructure, as well as help offset program costs associated with state and federal regulations.

Water/Wastewater Utility

The Water and Wastewater Utility operations are funded primarily through user fees. The City's utility infrastructure and service delivery systems have been carefully planned and have sufficiently met demand for a growing customer base.

To continue to meet the projected service demands of existing and new customers, the Water and Wastewater Utility System faces a significant capital improvement program. This program is discussed more fully in the Water/Wastewater Capital Improvements Section of this message and in the **Capital Projects Tab** of this document.

Borrowed funds will be repaid over time from the water and wastewater user fees and impact fees (discussed below). The graph shown illustrates the multi-year growth in cost to service the existing and projected debt issuances.



Water & Wastewater Sales

Growth in the customer base, as well as conservation revenues, have been factored into the projected water sales of \$20,800,000. The City provides treated water to a variety of retail and wholesale customers (those defined as metered connections). For the fiscal year 2013, the customer base increased to 31,121 and gallons of water sold were about 6.5 billion. The number of utility customers is projected at 31,343 and water sales are projected at 5.8 billion gallons for FY 2014. Water sales are conservatively estimated using customer base projections, while at the same time taking into account changing weather conditions.

Water Conservation Revenue

The City's growing customer base and summer season drought conditions have periodically placed the City's water utility system under a strain to deliver potable water and treatment of wastewater. A water conservation and drought contingency program has been established to conserve existing water sources and minimize the impact of water shortages.

In March 2012, the City Council approved a four-tiered water rate structure to replace the previous two-tiered structure that is effective during the summer months. Over this next budget year, Round Rock will continue to expand the water conservation program, focusing on education and awareness that water is one of our most precious resources.

Industrial Pre-Treatment Surcharge

This revenue is derived from a program mandated by the federal government and administered by the City. The program is intended to fund the monitoring and treatment of non-domestic (commercial and industrial) waste discharges.

Water/Wastewater Impact Fees

Water and wastewater impact fees are collected for all new residential and commercial connections to the City's utility. Currently the fees are \$3,889 per LUE (living unit equivalent) for water, and \$2,073 per LUE for wastewater. These fees are designed to help offset the cost of serving new connections to the utility system, and, under the authority by which these fees are collected the fees are restricted in their use. Specifically, impact fees the City collects are set aside for designated utility projects and may also be used toward current debt service on existing facilities, which serve new connections.

Expenditure Assumptions

Personnel

Priorities addressed in this budget are to provide adequate funding to maintain service levels in the midst of population growth and to meet the expectations of a larger community. In order to continue to meet the growing demand, this budget includes additions to citywide staffing levels.

The City supports a staff of 850.25 approved full-time equivalent employees (FTEs) allocated among the operating departments. This adopted budget includes a net increase of 18.75 FTEs over last year's total of 831.50.

New job positions adopted in this budget for the upcoming year are presented below and additional details can be found in the operating department budgets.

| Department | FTE | Positions Classifications |
|----------------------------------|--------------|---|
| General Fund | | |
| Fire | 6 | Firefighters to staff Engine 4 |
| | 1 | Assistant Emergency Manager |
| Library | 1 | Youth Services Librarian |
| General Services | 1 | Project Manager |
| | 1 | Fire Apparatus Mechanic |
| | 2 | Trade Technicians |
| General Fund Total | 12 | |
| Utility Fund | | |
| Water Treatment Plant | 1 | Treatment Plant Operator |
| Utility Billing | .75 | Customer Service Representative |
| Utility Fund Total | 1.75 | |
| Sports Venue Fund | | |
| Sports Complex Operations | 1 | Assistant Operations Coordinator |
| | 1 | Concessions Coordinator |
| | 1 | Maintenance Tech |
| | 2 | Custodian P/T |
| Sports Venue Fund Total | 5 | |
| Total All Funds | 18.75 | Additional FTEs |

Personnel Compensation

In FY 2013 the City completed a city-wide compensation analysis for its employees. The results showed that many employees were remunerated below market rates. This adopted budget includes funding to increase compensation levels to current market rates.

Texas Municipal Retirement System (TMRS)

The City provides pension benefits for all of its full-time equivalents through the state-wide Texas Municipal Retirement System. Along with the employee contributions, the City has allocated approximately \$7.8 million in this adopted budget for funding of retirement benefits for employees.

Self-Funded Health, Dental and Vision Plan

The City provides a self-funded health, dental and vision plan which was originally created in 1993. The City updates the plan periodically to address the needs of its employees. By reviewing the marketplace and updating the plan design, the City has shown cost savings throughout the years. Total health plan funding is scheduled at \$6.6 million.

Educational Assistance

In an effort to allow employees the advantage of further education, the City offers all full-time employees up to \$2,000 per year in educational assistance. This budget includes approximately \$57,000 for the upcoming year.

Program Highlights

This section provides highlights of programs contained in this budget. New programs are generally defined as changes to current service levels or specific new activities.

General Fund

Police Services

For FY 2013-2014, the police department budget includes funding for 221 full time equivalent police personnel, 153 sworn officers and 68 civilian personnel.

This staffing level will allow the department to continue to meet the needs of our growing community. According to the Texas Department of Public Safety, the City's crime rate fell by more than 18 percent in 2010 and 2011, the second-largest decrease in the state for cities with populations over 100,000 according to the CQ Press, a news agency.

Fire Services

This budget represents implementation of the City's strategic plan to ensure fire services are available to the growing community while managing the long-term fiscal impact. The City operates seven fire stations located throughout the City. Fire department personnel funding is for a total of 137 full time equivalent staff members. This budget includes 6 new firefighters to staff an additional engine in a heavily populated area and the reclassification of the grant funded assistant emergency manager. This level of service affords an average six-minute response time to Round Rock citizens.

Parks and Recreation Services

The City has 34 developed parks over 1,700 acres and trail corridors. The City continues to invest significant funds in Old Settlers Park (OSP), Round Rock's 570 acre recreational and sports park facility, and is a well-known sports destination in the youth and amateur sports leagues. OSP has 20 baseball fields and five softball fields, 12 tennis courts, seven soccer fields, two football fields and practice fields. It also provides athletic and aquatic programming.

Pavement Maintenance Programs

The City continues to invest resources in its street maintenance programs to improve the traffic flow and personal mobility for citizens. We have implemented a pavement management system that is designed to achieve the maximum physical and economic lives of the City's residential streets. For FY2014, funding of \$1.7 million has been adopted.

Agency Requests

Funding requests from non-profit organizations and agencies, which serve citizens of the community in various ways, are included in this budget. The City utilized an internal funding review process to determine priorities and provide regular monitoring of agency performance. Current funding for the agencies is included in the Fiscal Services section of the document.

Public Transportation Services

In June 2012 the City contracted with Star Shuttle to provide demand response bus service within the City. This service focuses on transportation for the elderly and handicapped citizens. Demand Response Bus Service is a reservation based public transportation option. The service provides public transportation from any origin to any destination within the city limits. This budget includes funding for the continuation of the service.

Additional Programs

The adopted budget also includes funding the following programs:

Transportation includes additional funding for traffic signal replacements.

Administration includes additional funding to support programming to attract tourists and citizens to the historic downtown.

Each department operating budget contains information about all planned spending and programs.

Water/Wastewater Utility System Operations**Water System**

As mentioned in the Water/Wastewater Utility Revenue section, the City continues to see growth in its residential and commercial utility customer base. The adopted budget expenditures are largely driven by the needs of a growing industrial and residential customer base as well as aging utility plants and lines. Increased expenditures are necessitated by the increase in water and water supply reserve costs as described below. Additionally, cost increases are expected in pumping costs, materials, and supplies.

The Brazos River Authority has constructed a 30 mile pipeline from Lake Stillhouse to Lake Georgetown for the benefit of the City of Round Rock, City of Georgetown, and Jonah Water Special Utility District. The Brazos River Authority owns, operates and maintains the water line. This budget continues to include capital and operating costs associated with this regional water line.

Additionally, the City in partnership with the cities of Leander and Cedar Park have created the Brushy Creek Regional Utility Authority (BCRUA) to facilitate construction and operation of a regional water facility that provides treated water from Lake Travis to the cities. This regional approach is expected to provide significant cost savings for the construction, as well as economies of scale for the ongoing operations as one plant will be designed to serve all three communities. The financial requirements of this project are incorporated in the City's utility rate planning model, as well as this operating budget.

Reserve Water

The City has water supply reserves of 18,134 acre feet from Lake Stillhouse. Additionally, the City has secured water supply reserves of 20,928 acre feet from the LCRA (Lower Colorado River Authority) through the BRA (Brazos River Authority). The reserves are expected to provide adequate water capacity beyond the year 2040 and the increased costs are reflected in the adopted operating budget. Round Rock also maintains an emergency water supply agreement with the City of Austin.

Wastewater System

Like the water system mentioned above, the wastewater system costs reflect plant expansions required to meet the needs of a larger, growing population. The facilities accommodate a *regional* treatment approach, which currently includes the cities of Round Rock, Austin, Leander, and Cedar Park. The Fern Bluff and Brushy Creek Municipal Utility Districts are also customers of this regional system. The City is closely monitoring operating costs and their impacts on current and future utility rates.

Maintaining and rehabilitating the City's wastewater lines in compliance with Texas Commission on Environmental Quality (TCEQ) Edwards Aquifer Regulations continues to be the responsibility of the City. This adopted budget includes costs anticipated to meet these standards.

Utility Fund New Program Highlights

The adopted budget also includes funding for following programs:

The growth of the community and the drought conditions continue to put more stress on the treatment plant and water system infrastructure. This budget adds 1 water treatment plant operator to help manage the plant operations.

The utility billing department budget includes the movement of 1 customer service representative from a contract position to a City FTE.

Citywide Programs

It is important to have practices established that help keep the resources and assets of the City well maintained. The following citywide programs provide the funding necessary to support this need. Program costs are charged directly to each fund.

Equipment Replacement Program

The City has progressed in the design and implementation of an equipment replacement program. Funding is provided in this budget plan for continuation of the program. Enhanced maintenance tracking software has expanded our capability to closely monitor the City's equipment. Equipment funding is contained within the capital outlay section of each operating department.

Maintenance of City-Owned Buildings and Equipment

The City has a substantial investment in buildings and equipment. This budget provides funding for a program manager, 2 trade technicians and 1 mechanic focusing on fire apparatus. We expect that the activity in this area will help preserve the City's investment in property and will keep long-term maintenance costs moderate.

Information Technology Replacement Programs

This budget provides funding for the City's ongoing technology replacement program. The City has developed a technology plan, which provides a systematic method to more fully automate all departments over the next few years. The City's goal is to provide a fully networked environment. As systems become fully interconnected and integrated the system users will be able to share and transfer data with greater speed, improving the efficiency of the departments.

General Capital Improvement Projects

Capital projects scheduled for the upcoming year have been funded by cash and various debt issues as detailed in the **Capital Projects Funds Tab** section of this document. In November 2001, voters authorized the issuance of \$89,800,000 in general obligation bonds. The projects scheduled under this voted authorization along with current projects in process are listed under the **Capital Projects Funds Tab** section of this document.

Water/Wastewater Capital Improvements

In order to keep pace with a growing population, regulatory requirements and infrastructure replacement needs, significant plant and infrastructure expansions and improvements to the water and wastewater systems will continue. Scheduled improvements to the water and wastewater systems are listed in the **Capital Projects Funds Tab** section of the document, totaling \$55 million. Out of this figure \$27 million is scheduled for FY 2013-2014.

Additionally, the BCRUA is constructing a regional water facility that will provide treated water from Lake Travis. The first phase of this project was completed in July 2012 and future financial requirements of this project are incorporated in the City's utility rate planning model. Financing for the current and future years is to be provided primarily by operating funds, capital recovery (impact) fees, and funds borrowed through the issuance of revenue bonds.

In 2012 the City expanded its water reuse facility to provide reuse water for irrigation to Old Settlers Park and the Dell Diamond. A grant from the Bureau of Reclamation and federal funding secured by U.S. Rep. John Carter (R-Round Rock) helped cover the costs of building the facilities and transmission lines. Using reuse water for irrigation helps reduce the demand on potable water. Future phases will extend the reuse system further north, to serve the growing medical and higher education corridor.

Bonded Debt and Debt Service

This budget includes funding for scheduled debt service on maturing general obligation bonds, certificates of obligation, revenue bonds, and contractual obligations. The debt service component of the adopted property tax rate (discussed under the Property Tax Rate section of this message) is sufficient to meet debt service obligations for the next fiscal year.

The debt service component of the property tax rate generates revenue to pay current maturities of all general obligation debt issues. The existing debt level combined with the scheduled current year activity will not have an adverse or limiting effect on the City's current or future operations. Substantial growth in the City's population not only requires infrastructure and capital improvements as described herein, but also must provide sufficient debt financing margins. A complete schedule of outstanding debt obligations, their purpose and related debt service is included under the **Debt Schedules Tab** of this document.

The City had the opportunity to refinance a portion of its outstanding debt in May 2013. The savings in interest costs over the life of the debt are projected to be about \$1.7 million. These savings are reflected as a reduction of the debt service requirements.

The City benefits substantially in reduced interest costs resulting from recently affirmed general obligation bond ratings by Moody's Investors Service of Aa2, and Standard & Poor's of AA+.

Hotel Occupancy Tax Fund

The local hotel/motel industry continues to be a particularly vibrant industry for Round Rock. In 1996, the City had 2 hotels with a total of 181 rooms. Currently, 24 hotels with 2,583 rooms are open for business maintaining solid occupancy rates. This strong industry bodes well for the hotel occupancy tax collections which support local tourism events and debt service for the Dell Diamond/Convention Center complex. To ensure continued development and success of Round Rock's tourism industry, the City and the Chamber of Commerce engaged a tourism consultant to provide a long-range tourism plan for Round Rock. The plan recommends special emphasis on sporting events resulting in new growth in the industry. With several area sports facilities in place and statewide events being planned, Round Rock continues its marketing strategy as the "Sports Capital of Texas." Tourism is an expanded component of the City's overall economic development strategy.

The Convention and Visitor's Bureau (CVB) administers and manages the City's tourism efforts and long-range tourism plans. The Hotel Occupancy Tax Fund budget includes funding for the CVB as well as continued funding for tourism events and debt service associated with Dell Diamond/Convention Center complex.

Sports Center Venue Fund

In November 2011, the citizens voted to increase the Hotel Occupancy Tax rate by 2% to support a Sports & Community Venue Project. This will be a multi-purpose facility that will attract overnight stays and generate tourism revenue for the City of Round Rock. The activities anticipated would focus on major athletic events/tournaments. Funding has been provided by the issuance of \$7.5 million H.O.T revenue bonds and existing sources. The facility is expected to open in January, 2014. Five additional personnel will be added to support the sports complex staffing requirements. Funding for operational and debt service costs for the venue are also included.

Outlook for the Future

This budget communicates a tremendous amount of financial information. However, it is the staff's desire to continue the transformation of this traditional line-item budget into a more programmatic budget: a budget that clearly addresses the various programs of the City and their effectiveness.


In closing, we believe this budget provides the traditional level of quality service the citizens of Round Rock expect. Attention has also been given to preservation of the City's infrastructure and development of new service programs with an entrepreneurial spirit. With the prudent strategies implemented to diversify our economic base, we envision this budget plan as a firm cornerstone in the City's future economic development.

Finally, we wish to thank all of the departments and staff members who contributed effort, time, creative wit, and team spirit in the development of this plan. Special thanks are extended to all members of the Finance Department who contributed to this document.

Sincerely,


LAURIE HADLEY
Assistant City Manager


STEVE NORWOOD
City Manager


BRYAN WILLIAMS
Assistant City Manager

Budget Message Financial Policies

The City of Round Rock has an important responsibility to its citizens, taxpayers, ratepayers, and all customers to carefully account for public funds, to manage the City's finances wisely, and to plan for the adequate funding of services desired by the public. To facilitate this responsibility, certain financial policies have been developed and implemented. These policies, as itemized below, are adhered to within this budget plan.

Fund Balance/Working Capital

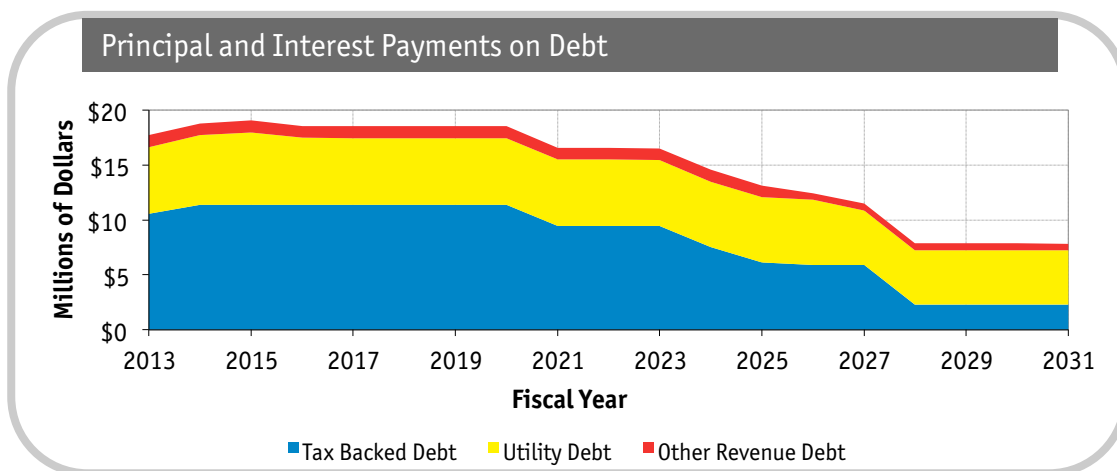
It is the policy of the City to maintain a General Fund balance equivalent to at least 33% of operating expenditures. Working capital in the Water/Wastewater Utility Fund, net of restricted assets/liabilities is also maintained at a similar level. This adopted budget adheres to these policies for these funds. The Interest & Sinking G.O. Bonds Fund maintains a fund balance in compliance with federal arbitrage regulations. Balances in excess of the above levels are earmarked for future uses or reduced to the target levels over an appropriate length of time.

Financial Management Policy

The City has developed a financial management policy directed at reducing the General Fund's operational reliance on sales tax generated from Dell, Inc., as detailed in the sales tax collections section of this message.

Debt Issuance Policy

There is no direct debt limitation in the City Charter or under state law. The City operates under a Home Rule Charter (Article XI, Section 5, Texas Constitution), approved by the voters, that limits the maximum tax rate, for all City purposes to \$2.50 per \$100 assessed valuation. Administratively, the Attorney General of the State of Texas will permit allocation of \$1.50 of the \$2.50 maximum tax rate for general obligation debt service. Assuming the maximum tax rate for debt service of \$1.50 on the January 1, 2013, certified assessed valuation of \$8,624,749,167 at 95% collection, tax revenue of \$122,902,666 would be produced. This revenue could service the debt on \$1,468,733,982 issued as 20-year serial bonds at 5.50% (with level debt service payment). However, from a practical point of view, although the City may have additional capacity to issue bonded debt; many other factors must be considered prior to a debt issuance. Certificates of obligation, revenue bonds, and various forms of contractual obligations may be issued by the City without voter approval, while general obligation bonds may be issued with voter authorization. The City has not established firm debt limitation policies beyond the tax rate limit mentioned above, as such policies could ultimately be detrimental to a rapidly growing community. However, prudence, need, affordability, and rating agency guidelines are always important factors in the decisions to borrow money for improvements to the City.



The **Debt Schedules Tab** section of this document indicates the amount of outstanding debt the City currently has as well as the current principal and interest payment requirements.

Cash Management/Investments

The City's cash management and investment policy emphasizes the goals of maintaining safety and liquidity. The primary objective of all investment activity is the preservation of capital and the safety of principal in the overall portfolio. Each investment transaction shall seek to ensure first that capital losses are avoided, whether from securities defaults or erosion of market value. The investment portfolio will also remain sufficiently liquid to meet the cash flow requirements that might be reasonably anticipated. Liquidity is achieved by matching investment maturities with anticipated cash flow requirements, investing in securities with active secondary markets, and maintaining appropriate portfolio diversification.

Receivables Policy

All financial receivables of the City are accounted for, aged, and collected at the earliest opportunity. Water, wastewater, and garbage billings are due within sixteen days of the billing date. Property taxes are due by January 31 of each year. Delinquent receivables are processed expediently and collection agencies are utilized appropriately.

Payables Policy

All payables for incurred expenses are accounted for, aged, and paid at the latest permissible time to maximize the City's investment earning capability. All applicable discounts are taken.

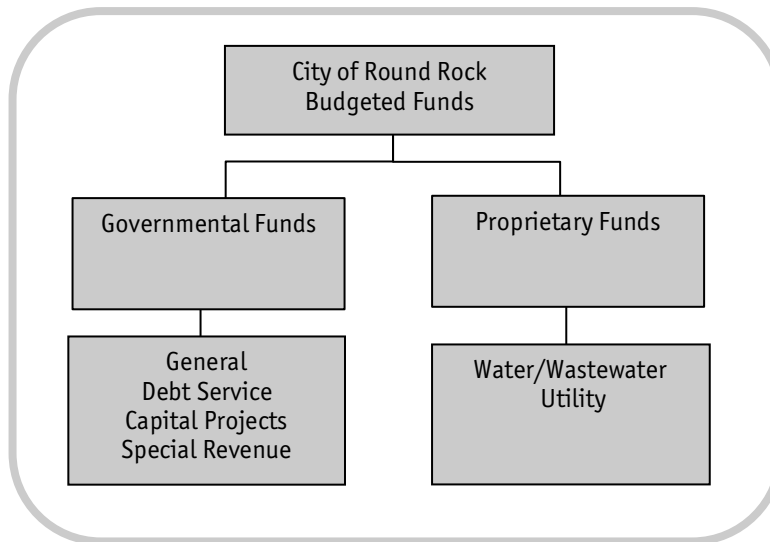
Purchasing Policy

The City utilizes the competitive bidding process, the competitive quote process, intergovernmental cooperative buying, and other prudent purchasing methods to insure that the best value is obtained for products and services.

Summary of the City Fund Accounting Structure

As depicted in the chart below, budgetary accounting for City financial activities is reflected within two major fund groups: Governmental funds and Proprietary funds. All funds described are governed by annual appropriations except for capital projects funds, as further discussed in this section.

Round Rock Fund Structure



Governmental Funds

The governmental funds are used to account for general government operations and include the General Fund, Debt Service funds, Special Revenue funds, and Capital Projects funds. The City utilizes a full-cost approach to budgeting all of its services, which results in significant inter-fund transfers.

General Fund

The General Fund is the most important of the funds and is used to account for all resources not required to be accounted for in another fund and not otherwise devoted to specific activities. Most of the financial transactions for the City are reported in this fund. Only one General Fund exists and it finances the operations of basic City services such as police, fire, library, transportation, parks, recreation, municipal court, community planning/development/zoning, and administration. The services provided by the City are classified according to activity and presented as operating departments in the budget.

Debt Service Funds

This fund type is used to account for resources used to service the principal and interest on long-term debt such as general obligation bonds, revenue bonds, certificates of obligation and tax-exempt leases classified as debt.

Special Revenue Funds

This fund type is used to account for the proceeds of specific revenue sources that are legally restricted to expenditures for specified purposes.

Capital Projects Funds

Capital Projects funds are typically used to account for resources restricted for the acquisition or development of major capital equipment and structures. Financing sources are usually provided by transfers from other funds, bond issue proceeds or grants-in-aid. Capital projects are generally tracked on a project-length basis. That is, upon project authorization, the required financing is not appropriated on an annual basis (or any other period-length basis), but is approved at the outset of the project. Therefore, the capital projects included in the **Capital Projects Tab** section of this document are presented as a memorandum to the reader.

Proprietary Funds

Proprietary funds are used to account for the City's activities that are similar to commercial enterprise accounting.

Water/Wastewater Utility Fund

This fund accounts for water and wastewater operations that are financed through rates and user fees.

Basis of Budgeting and Basis of Accounting

All fund structures and accounting standards of the City of Round Rock are in compliance with generally accepted accounting principles for local governments as prescribed by the Governmental Accounting Standards Board (GASB) and other recognized professional standards.

Governmental funds revenues and expenditures are recognized on the modified accrual basis. Modified accrual basis means that revenue is recognized in the accounting period in which it becomes available and measurable while expenditures are recognized in the accounting period in which the liability is incurred, if measurable. Because the appropriated budget is used as the basis for control and comparison of budgeted and actual amounts, the basis for preparing the budget is the same as the basis of accounting.

Proprietary fund revenues and expenses are recognized on the accrual basis. Revenues are recognized in the accounting period in which they are earned and become measurable while expenses are recognized in the period incurred, if measurable. The basis for preparing the budget is the same as the basis of accounting except for principal payments on long-term debt and capital outlay which are treated as budgeted expenses and depreciation which is not recognized as a budget expense.

In Fiscal Year 2014, the City of Round Rock is migrating the accounting system from PeopleSoft, an Oracle based platform, to the Tyler Technology Munis system. This change provides an opportunity to restructure the accounting reporting and processing system to better reflect the functionality of the City.

Budget Amendment Process

Development of a spending plan during periods of economic change can reveal difficulties in accurate forecasting. Accordingly, the budget amendment process is a very important tool. If community needs develop faster or in a different way than anticipated, then the budget amendment process would be used to provide a funding and spending plan for those needs.

Once the need for an amendment has been determined, the Director of Finance and department heads develop the additional funding needs for specific projects or programs. The City Manager and Assistant City Manager then develop funding alternatives. The funding recommendations are presented along with the spending requirements to the City Council for consideration. Amendments to the budget require two separate readings by the City Council prior to adoption.

Budget amendments which increase the total expenditures of a particular fund are typically funded by growth related revenue, spending reductions in other areas, or from cash reserves.

City Profile

Round Rock City Council
City Organization Chart
Location
History of Round Rock
City Highlights



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.



Craig Morgan
Place 1



Alan McGraw
Mayor

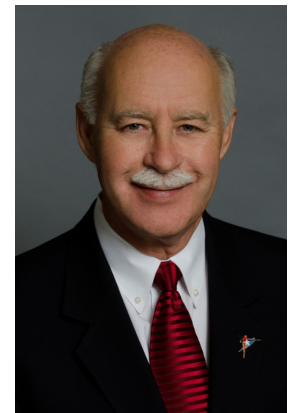


Kris Whitfield
Mayor Pro-Tem
Place 6

Round Rock City Council



George White
Place 2



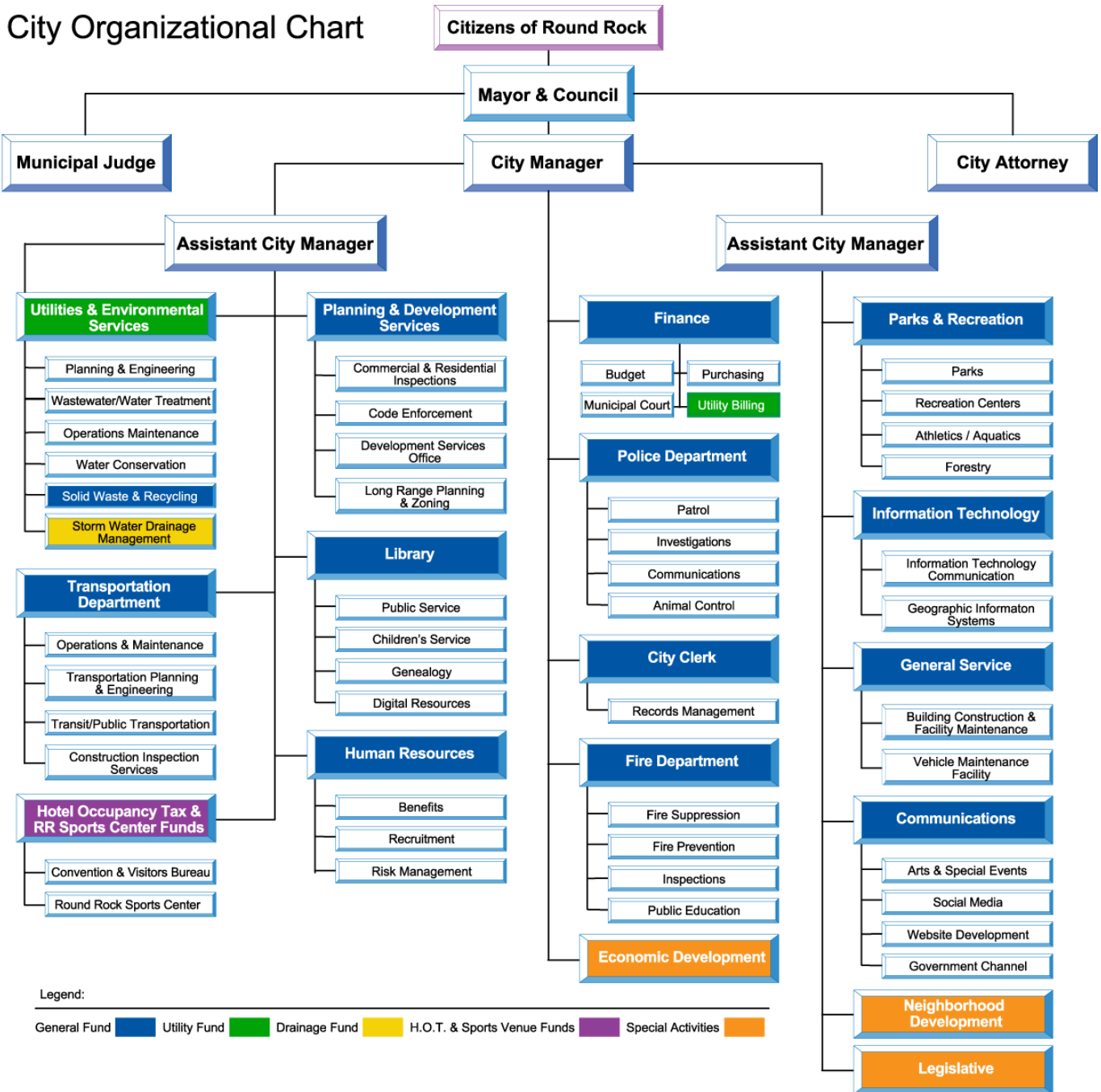
Joe Clifford
Place 3



John Moman
Place 5



Carlos T. Salinas
Place 4



LOCATION

Round Rock is located fifteen miles north of downtown Austin on Interstate Highway 35. This location places our city within three hours driving time of ninety percent of the population of the State of Texas. This population, of over twenty-seven million people, provides an exceptional market for firms located in Round Rock.

Our location, within minutes of downtown Austin, provides ready access to the State Capitol; multiple colleges and universities including the University of Texas; several large hospitals and medical educational facilities; a long list of high tech industries including Dell; and a civilian work force of over 900,000 within the Austin MSA (source: www.austinchamber.com).

The Texas Hill Country and the Highland Lakes are within minutes, providing residents' easy access to some of the best outdoor recreation in Texas.

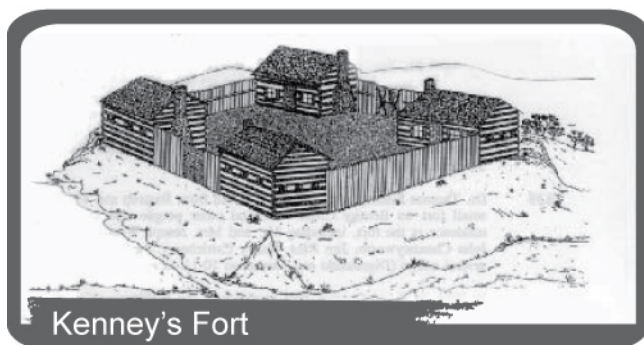


Round Rock to Austin 15 miles
Round Rock to Dallas/Ft. Worth Metroplex 186 miles
Round Rock to Houston 178 miles
Round Rock to San Antonio 96 miles

HISTORY OF THE CITY OF ROUND ROCK

For more than 150 years, Round Rock has been home to cowboys, famous outlaws, lawmen, entrepreneurs, businessmen, and Texas heroes. Today, Round Rock is a growing community which hosts retail malls, high tech companies, several hospitals and college campuses.

Round Rock was first home to many Native American tribes, followed by the Spanish Conquistadors and Friars. After Texas gained its independence and became a nation, American settlers began arriving in large numbers. Even with the continued danger of Indian attack, and threat of invasion from Mexico, the population of the Round Rock/Brushy Creek area grew rapidly. In 1848, these settlers voted to form Williamson County out of the Milam District. The continuing influx of settlers led to the establishment of the "Brushy Creek" Post Office in 1851. When the U.S. Post Office requested a new name for this post office, Postmaster Thomas C. Oatts chose "Round Rock" in 1854 because of the landmark rock in the middle of Brushy Creek.



Kenney's Fort

By the time of the Civil War, the population of the Round Rock area had increased to approximately 450 persons in more than twenty different occupations. In January 1861, Williamson County voted against secession from the Union. Despite this reluctance to secede, 353 men from Williamson County served in the Confederate army, many from Round Rock.

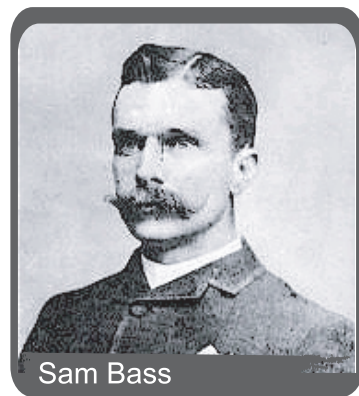
In the years following the Civil War, from 1867 through the 1880s, Round Rock became a stop on the famed Chisholm Trail, as cowboys anxious to herd their longhorns to markets in Kansas drove their steers through Brushy Creek and past the round, table-topped

rock which served as a signpost north. Railroads soon followed the cattle trails, and in 1876 the existing town moved about 1 mile east to take advantage of the newly constructed International and Great Northern Railroad line, and the "New" Round Rock was born.

Today, the downtown still contains many historic structures and is the centerpiece of an evolving historical, cultural, recreational, and commercial area. In the late 1800s, Round Rock played host to many famous and infamous characters. These included outlaw Sam Bass, gunslinger John Wesley Hardin, Washington Anderson, one of the heroes of the battle of San Jacinto, Texas Rangers Ira Aten, Dudley Snyder Barker, Captain Fred Olson, and the famous frontiersman, soldier, hunter and entrepreneur, Captain Nelson Merrell. Anna Hurd Palm, for whom "Palm Valley" is named, typified the pioneer spirit of early settlers. Trapeze artist and female impersonator Vander 'Barbette' Broadway was singled out by Noel Coward as one of the greatest artists of the pre-depression era and was the toast of Parisian society during the 1920s and 30s.

Round Rock citizens first voted to incorporate in 1877, and in 1878, Mr. W.T. Smith served as the City's "Worthy Mayor." The City was incorporated in its present state in 1913, and Jack Jordan was elected the first Mayor. Serving as the first City Council Members were: John A. Nelson, Dr. W.G. Weber, E.J. Walsh, J. A. Jackson, W. A. Gannt, and A.K. Anderson. The newly formed City Government promptly began improving utilities, services and streets. Telephone service began operation in the early 1900s. In 1913, the first streetlights and speed limit signs (12 mph) were installed, and citizens voted for the incorporation of Common School District #19.

Local fire protection, which had been first organized as a volunteer hose and hand pump company in 1884, received a boost from the 1913 City incorporation and used the additional tax revenue to purchase an engine and pump and chemical



Sam Bass



Texas Rangers

Street Scene, Circa 1900



equipment in July of the same year. In 1918, the City granted a license to Mr. S. E. Bergstrom to operate an electric plant, which provided electricity to Round Rock until 1927, when the Texas Power and Light Co. assumed operations. Natural gas and City water were added in 1936. In 1938, the City constructed a \$90,000 citywide sewer system. The Round Rock Public Library, first organized in 1962 by the Ladies Home Demonstration Club, is now recognized as one of the premier libraries in the Central Texas area.

But national crises often intervened to slow the advance of progress. With the advent of World War II, more than 350

Round Rock men followed the example set by their fathers and grandfathers in the Spanish American War and World War I, and enlisted to fight. Citizens of Round Rock have fought in the Korean, Vietnam and Desert Storm wars and continue to serve in the armed services.

Even before the relocation of Dell to Round Rock, the City received national acclaim as a business friendly community producing quality products. At the 1904 St. Louis World's Fair, a broom made at the Round Rock Broom Company won a gold medal. A barrel of lime produced at the Round Rock White Lime Plant was also judged superior and awarded a gold medal. Cheese produced at the Round Rock Cheese Factory won a second place silver medal at the National Dairy Show in Memphis, and in 1929 received a first place ribbon at the Texas State Fair.

By 1936, the population had climbed to 1,173 and has continued to climb. The 1960 population was 2,458 and crept up to 2,811 by 1970. The decade of the 1970s marked the beginning of a surge in development in Round Rock. During this time, Round Rock out-paced the growth of all cities in the Austin Metropolitan Area, resulting in a 353 percent increase in total population for the decade.

By 1980, Round Rock had established itself as the largest city in Williamson County and a viable growth center within the flourishing Austin-Round Rock Metropolitan Area. At this time, the City had a total area of 5,007 acres. By 1990, due to an aggressive annexation campaign, the total area was 12,520 acres, an increase of 250 percent.

In the mid-90s, Round Rock led Williamson County in sales tax revenues due to the great surge in commercial and industrial activity. In 1994, Dell relocated its world headquarters from Austin to Round Rock. The expansion of the City's tax base resulted in a series of public works projects and additional public amenities such as the expansion of the water plant, an additional wastewater treatment plant, and the development of the 570-acre Old Settlers Park without an increase in the property tax rate.

In the late 1990's, voters overwhelmingly approved the use of hotel-motel tax revenue to fund a new minor league baseball stadium and conference center and construction began on the La Frontera retail center, helping to diversify the City's sales tax base.

Round Rock Cheese Co.



Parade, Circa 1900



City Profile

History of Round Rock



With the turn of the century, things changed again for Round Rock. With a diverse population of more than 60,000, the City opened the Clay Madsen Recreation Center. The Dell Diamond opened, and the Round Rock Express' inaugural season ended with a Texas League championship. HEB announced it would build its largest grocery store in Round Rock. Also, classes began at the Round Rock Higher Education Center, a shared venture between Texas State University and Austin Community College.

In 2006, Round Rock Premium Outlets and the Allen R. Baca Center for Senior and Community Activities opened. In 2007, Scott & White hospital opened its doors in northeast Round Rock and IKEA opened, becoming the largest single retail store in Central Texas.

Continuing this rapid growth, Seton Hospital opened its regional medical center, Texas A&M broke ground on a medical school branch campus, and Texas State University opened a nursing school campus. Round Rock citizens voted to be part of Austin Community College's taxing district, making residents eligible for in-network tuition, and Austin Community College started building its new campus.

Today, Round Rock is a progressive community, home to international industries, several large shopping centers, major medical facilities, several university complexes, a professional quality golf course, and a minor league baseball team.



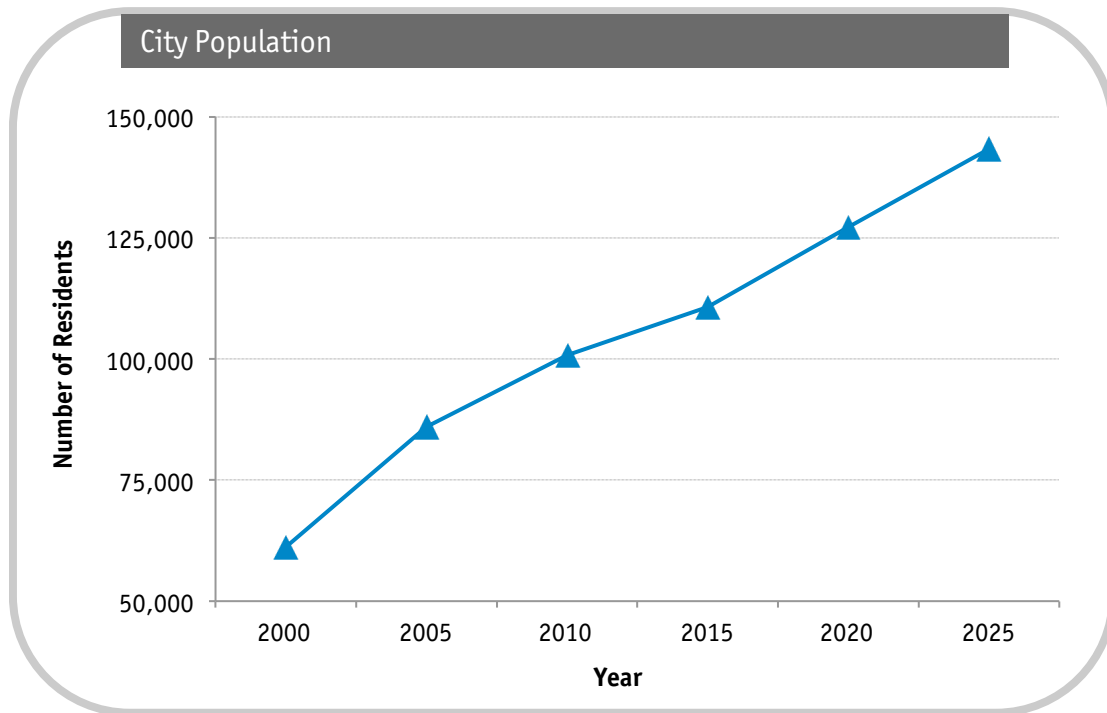
City services continue to be recognized as among the best in the Central Texas area and one of the best values. The City continues to have a reputation for being one of the safest cities in the United States. With all the growth and change, Round Rock refuses to forget its roots. Its downtown historic district retains many of

the buildings that stood at the turn of the last century. Annual events still celebrate and share the City's cultural heritage.

In 2013, Round Rock celebrated its centennial year of incorporation. From a small urban community to the second fastest growing city in the country, Round Rock continues to be a great place to "hang your hat".



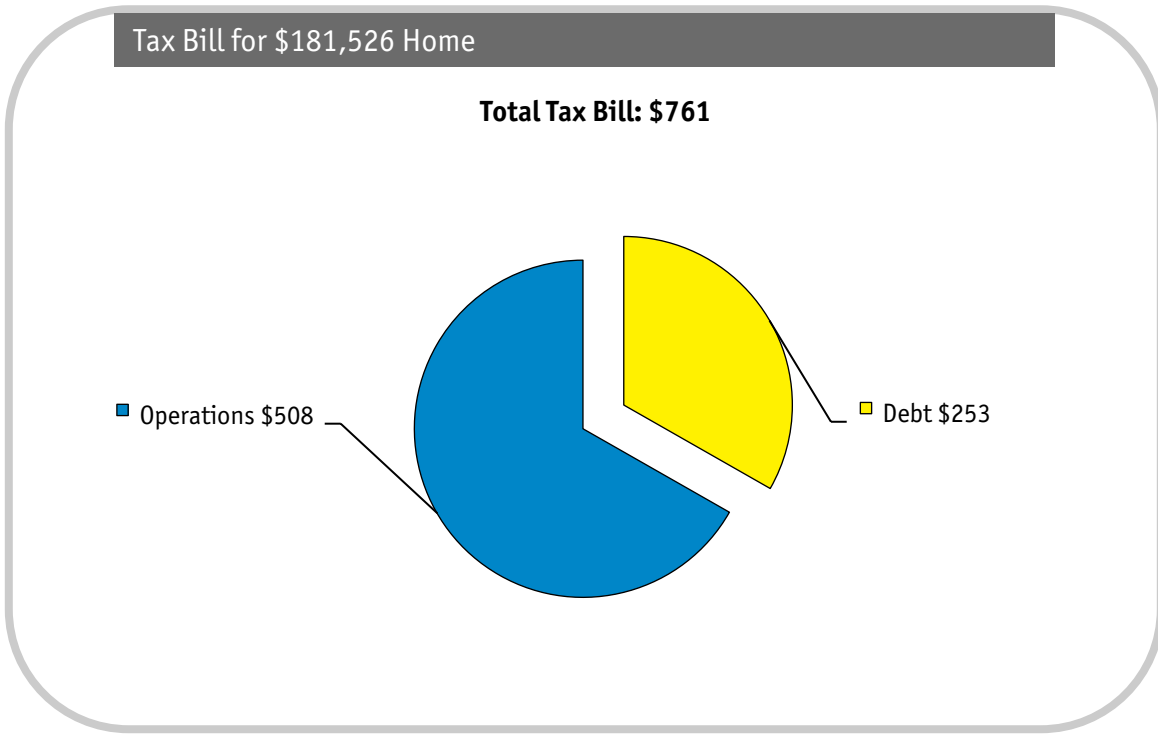
City Population



Population trends, both historical and projected, are important indicators for determining service demands. Further analysis of the demographic profile of a community's population trend provides useful information in determining future service expectations.

| Year | Population |
|-------------|-------------------|
| 2000 | 61,136 |
| 2005 | 86,000 |
| 2010 | 100,800 |
| 2014 | 107,635 |
| 2015 | 110,757 |
| 2020 | 127,279 |
| 2025 | 143,302 |

Tax Bill for \$181,526 Home



| | | |
|--------------------------------------|------------------------------------|-------------------|
| Last Year's Adopted Tax Rate | | \$0.42035 |
| This Year's Effective Tax Rate | | \$0.40749 |
| This Year's Rollback Rate | | \$0.45070 |
| This Year's Proposed Tax Rate | | \$ 0.41949 |
| | Maintenance & Operations Component | \$ 0.28003 |
| | Debt Service Component | \$ 0.13946 |

Summary:

This year's tax bill for an average residential property:

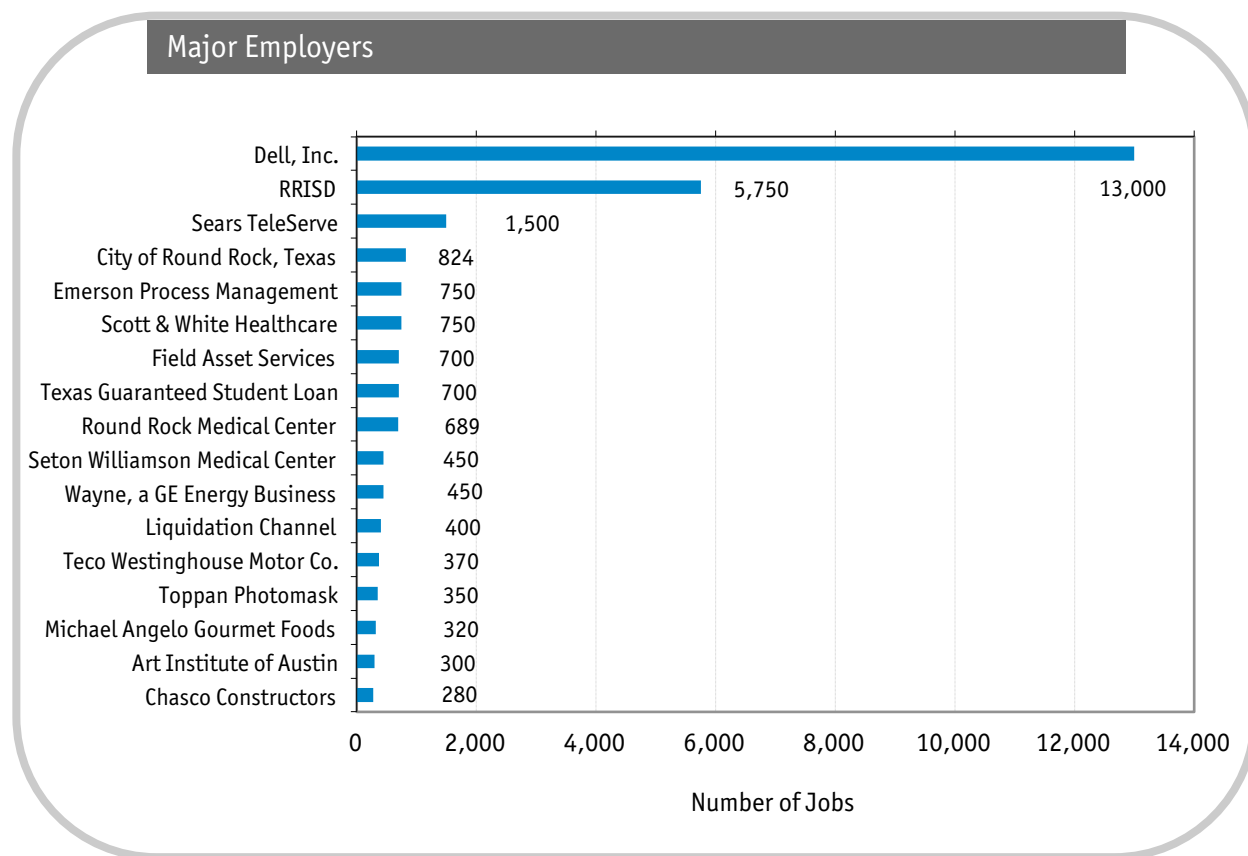
$$\$181,526 / \$100 \times \$0.41949 = \$761.49$$

Last year's tax bill for an average residential property:

$$\$174,210 / \$100 \times \$0.42035 = \$732.29$$

Source: Williamson Central Appraisal District

Jobs in Round Rock

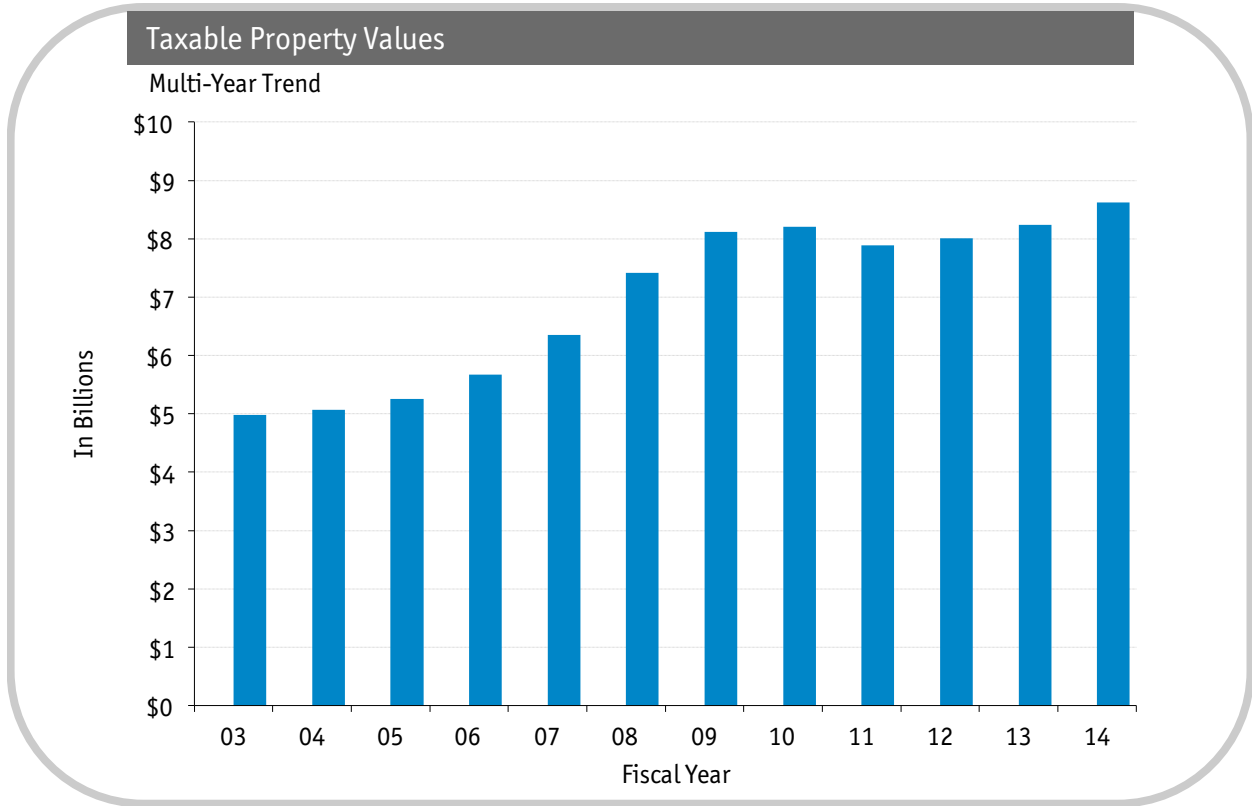


Specific information regarding the major employers in the community is provided by the above chart. The chart illustrates the importance of Dell, Inc. to the City's economy as well as the diversity of the companies making up our local economy.

Job creation in terms of basic jobs, those that import capital while exporting products or services, has been strong over the past year. Basic jobs, in turn, create non-basic jobs as expenditures and payroll are reinvested in the community. Therefore, because of the strength in basic job creation, non-basic job growth has been strong and is expected to continue.

City Profile

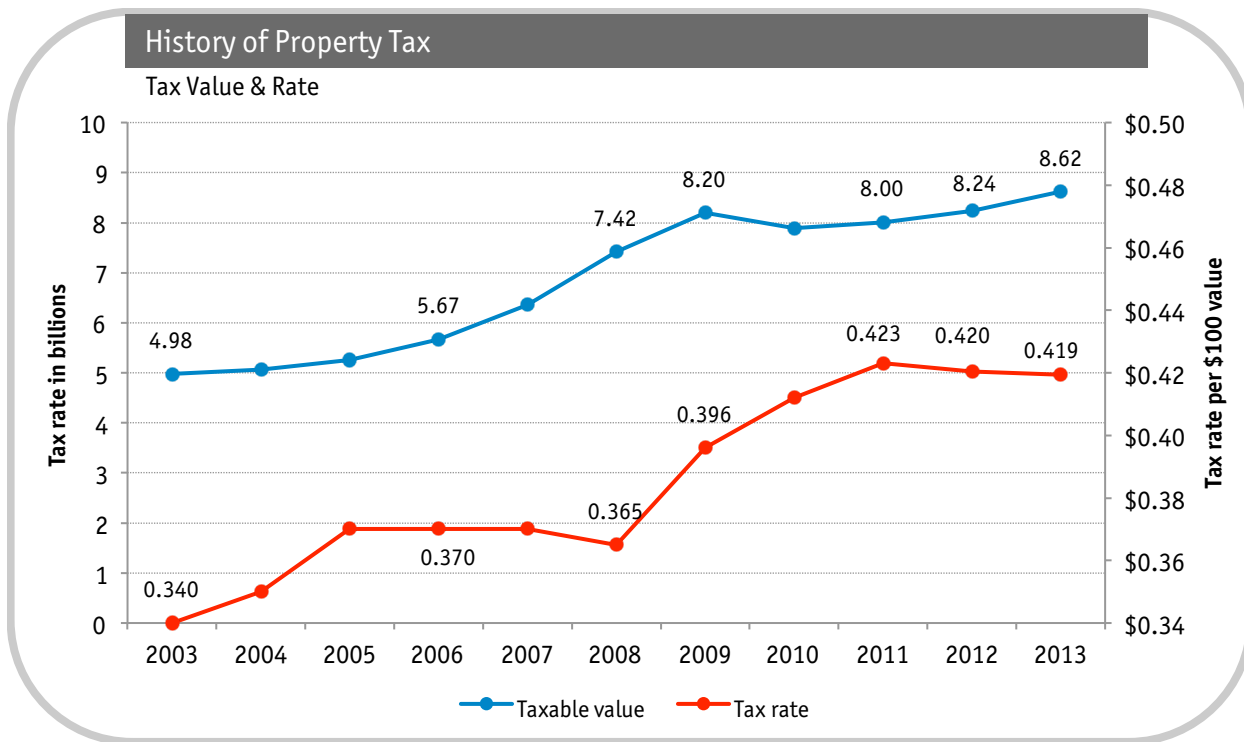
Taxable Property Values



The property value comparison for several fiscal years indicates continued growth in property values. The certified tax roll indicates that values have increased steadily. The reflected values include new property added to the roll as of January 1 of each year.

| Fiscal Year | Taxable Assessed Valuation | Fiscal Year | Taxable Assessed Valuation |
|-------------|----------------------------|-------------|----------------------------|
| 2003 | \$4,978,982,250 | 2009 | \$8,121,902,884 |
| 2004 | 5,071,176,374 | 2010 | 8,206,161,568 |
| 2005 | 5,251,484,692 | 2011 | 7,893,143,364 |
| 2006 | 5,667,029,945 | 2012 | 8,004,285,176 |
| 2007 | 6,356,956,240 | 2013 | 8,238,143,748 |
| 2008 | 7,417,279,787 | 2014 | 8,624,749,167 |

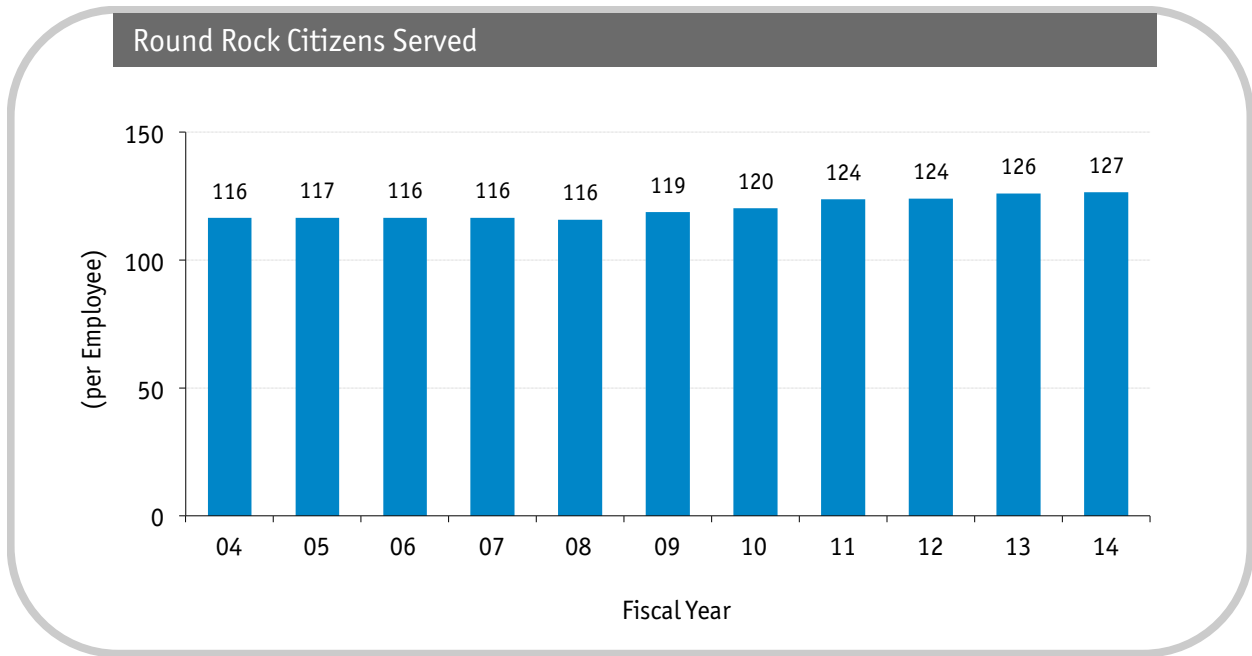
Source: Travis & Williamson Central Appraisal Districts



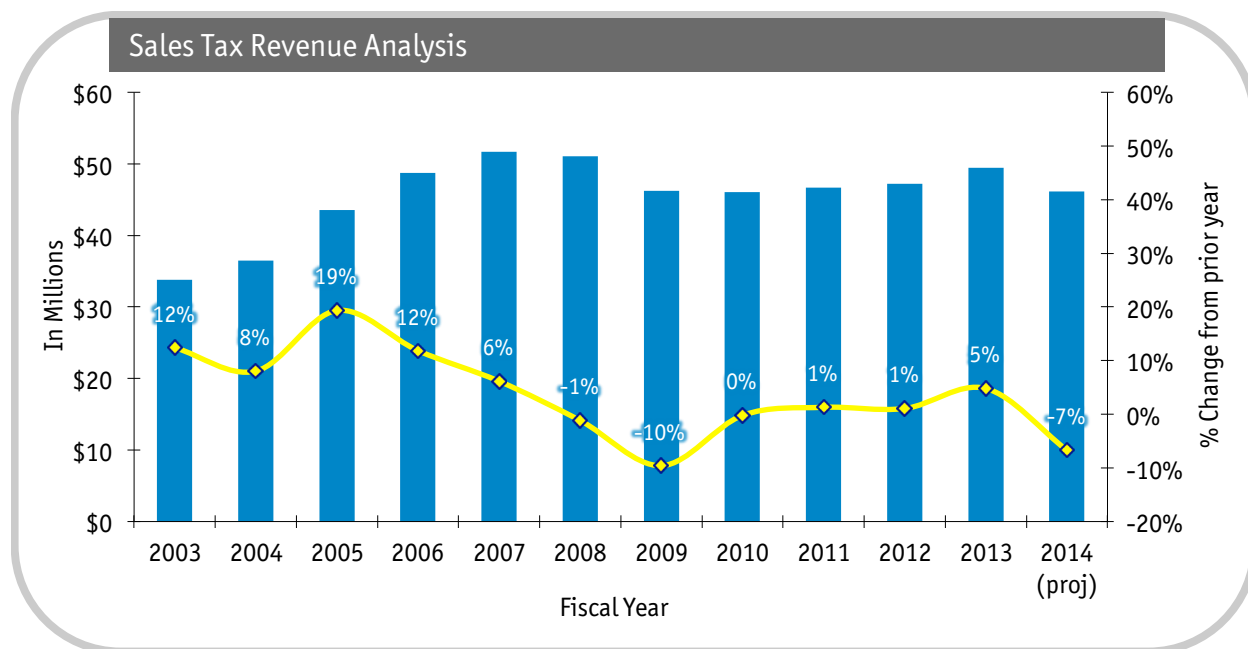
This chart shows the consistent growth in the City’s property values. The ½ cent sales tax adjustment rate along with a diverse sales tax base continues to fund many of the operating costs. This has allowed the property tax rates to remain fairly stable. Additional stability has been provided by the progressive economic development effort and conservative budgeting.

City Profile

Round Rock Citizens Served



| Fiscal Year | Population | Employees (FTEs) | Citizens served per Employee |
|-------------|------------|------------------|------------------------------|
| 2004 | 81,825 | 703.00 | 116 |
| 2005 | 86,000 | 738.00 | 117 |
| 2006 | 89,800 | 771.00 | 116 |
| 2007 | 92,500 | 794.00 | 116 |
| 2008 | 96,200 | 831.00 | 116 |
| 2009 | 99,500 | 838.00 | 119 |
| 2010 | 100,800 | 839.00 | 120 |
| 2011 | 101,500 | 820.00 | 124 |
| 2012 | 102,350 | 826.00 | 124 |
| 2013 | 104,800 | 831.50 | 126 |
| 2014 | 107,635 | 850.25 | 127 |



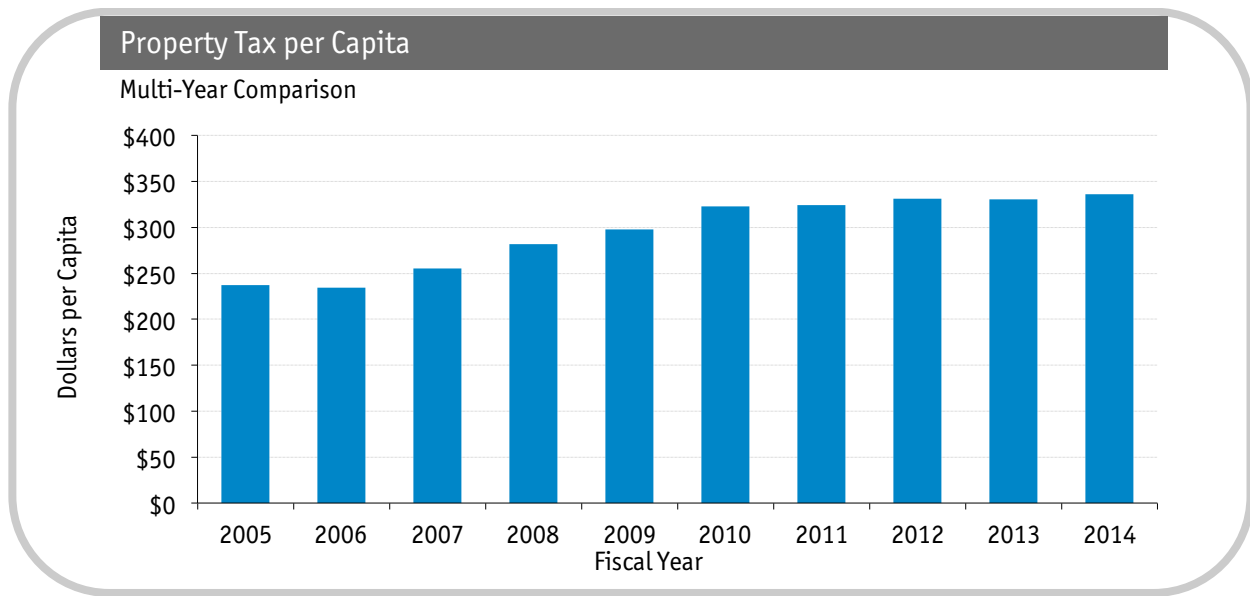
This chart illustrates growth characteristics in the City’s commercial and economic bases. In 1988, voters authorized an additional 1/2 cent sales tax designation for the purpose of property tax reduction.

The data has been expressed in both actual dollars collected and as a percent change from the prior year and reflects projected revenue for Fiscal Year 2014.

| Fiscal Year | Amount | Fiscal Year | Amount |
|-------------|--------------|-------------|--------------|
| 2003 | \$33,770,766 | 2009 | \$46,274,688 |
| 2004 | 36,481,746 | 2010 | 46,138,202 |
| 2005 | 43,538,842 | 2011 | 46,702,628 |
| 2006 | 48,701,773 | 2012 | 47,466,562 |
| 2007 | 51,740,592 | 2013 | 49,467,378 |
| 2008 | 51,097,126 | 2014 (proj) | 46,150,000 |

City Profile

Property Taxes Per Capita



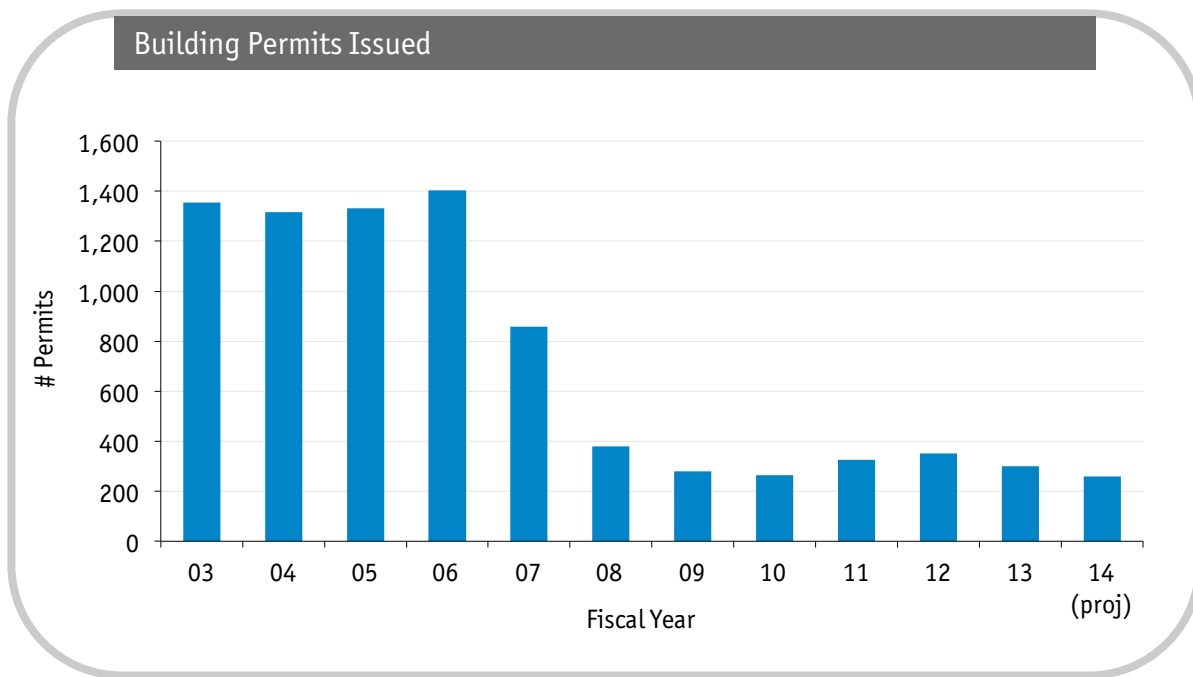
This chart indicates that taxes per capita had been increasing over the past 10 years, but it is important to understand the reason why. *New properties* added to the tax rolls are of a higher per capita value, indicating industrial and commercial property growth. This fact is also evidenced by the change in taxable assessed valuation illustrated below.

As a result of the recent regional economic conditions, we have seen an increase in overall property values for the last several fiscal years.

| Fiscal Year | Taxable Assessed Valuation | Population | Property Tax Levy* | Taxes per Capita ¹ |
|-------------|----------------------------|------------|--------------------|-------------------------------|
| 2005 | \$5,251,484,692 | 86,000 | \$19,940,938 | \$237 |
| 2006 | 5,667,029,945 | 89,800 | 21,027,514 | 234 |
| 2007 | 6,356,956,240 | 92,500 | 23,587,486 | 255 |
| 2008 | 7,417,397,787 | 96,200 | 27,089,389 | 282 |
| 2009 | 8,121,902,884 | 99,500 | 29,662,814 | 298 |
| 2010 | 8,206,161,568 | 100,800 | 32,546,457 | 323 |
| 2011 | 7,893,143,364 | 101,500 | 32,936,508 | 324 |
| 2012 | 8,004,285,176 | 102,350 | 33,874,735 | 331 |
| 2013 | 8,238,143,748 | 104,800 | 34,629,318 | 330 |
| 2014 | 8,624,749,167 | 107,635 | 36,179,960 | 336 |

* General Fund and Debt Service Fund

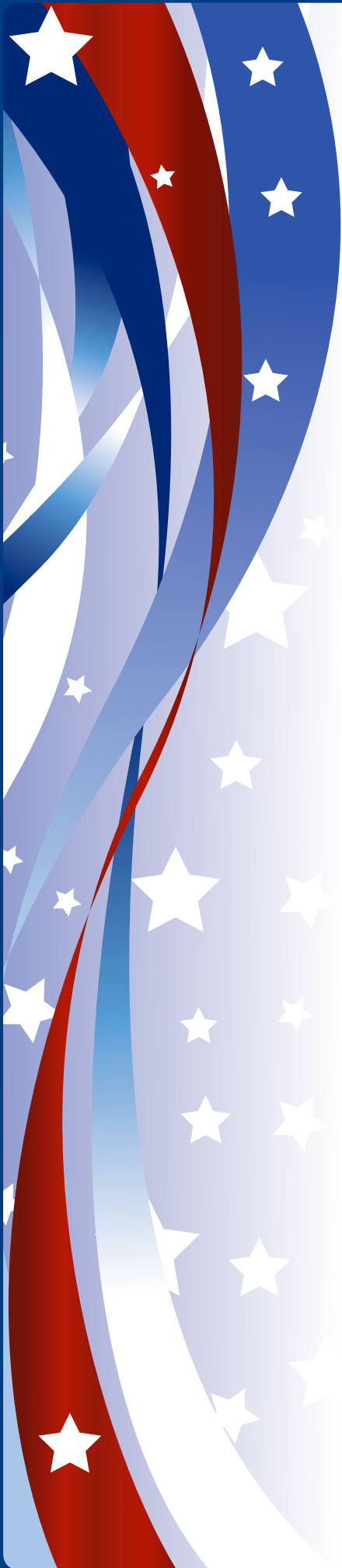
¹ Unadjusted for inflation



The graph above illustrates the number of single family residential building permits issued and anticipated to be issued by the City for the indicated fiscal years. This information is an indicator of current and future demand for City services. The City provides water and wastewater services to many outlying customers which are served on a wholesale basis.

| <u>Year</u> | <u>Residential Building Permits</u> |
|-------------|-------------------------------------|
| 2003 | 1,354 |
| 2004 | 1,317 |
| 2005 | 1,332 |
| 2006 | 1,403 |
| 2007 | 859 |
| 2008 | 380 |
| 2009 | 280 |
| 2010 | 263 |
| 2011 | 325 |
| 2012 | 354 |
| 2013 | 300 |
| 2014 (proj) | 260 |





Budget Summaries

- Financial Summaries for All Funds
 - Fund Balance
- Financial Summaries for All Funds
 - by Fund
- Combined Financial Summaries for All Funds
 - Revenue & Expenditure
- Revenue & Expenditure Graphs



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.

Financial Summaries for All Funds

The Financial Summaries for All Funds section presents a summary of budgeted operations and activities for the ensuing fiscal year. The summary indicates the projected beginning fund balance or working capital balance for the fiscal year. The effect of the budget estimated revenues and expenditures for the fiscal year is illustrated in the projection of ending fund balance or working capital. Revenue detail by category can be found in the respective fund section. Some funds such as capital projects and special revenue record activity on a project length basis as opposed to annual appropriation. In these cases, revenues and expenditures for the fiscal year have been estimated. Information related to these subjects can be found in the Capital Projects Funds Expenditures and individual fund sections.

| | Projected Beginning Fund Balance/ Working Capital 10/1/2013 | Budgeted Revenue and Financing Sources 2013-14 | Budgeted Expenditures and Financing Uses 2013-14 | Budgeted Ending Fund Balance/ Working Capital 9/30/2014 |
|---|--|---|---|--|
| General Fund | \$40,053,313 | \$90,596,526 | (\$90,575,502) | \$40,074,337 |
| I&S G.O. Bonds Fund | 480,187 | 12,452,000 | (12,781,469) | 150,718 |
| I&S Revenue Bonds Fund | 245,895 | 6,400,200 | (6,347,633) | 298,462 |
| Drainage Fund | 1,243,659 | 2,188,088 | (2,180,344) | 1,251,403 |
| Water & Wastewater Utility Fund | 31,657,409 | 40,914,000 | (40,913,983) | 31,657,426 |
| Utility Impact Fees Fund | 2,083,089 | 3,453,000 | (2,900,000) | 2,636,089 |
| Hotel Occupancy Tax Fund | 8,419,288 | 3,125,000 | (2,914,062) | 8,630,226 |
| Hotel Occupancy Tax Fund - Sports & Community Venue | 237,754 | 2,165,500 | (2,155,945) | 247,309 |
| Law Enforcement Fund | 861,480 | 418 | (690,000) | 171,898 |
| Municipal Court Fund | 407,152 | 127,800 | (107,005) | 427,947 |
| Library Fund | 9,579 | 4,010 | (5,550) | 8,039 |
| Tree Replacement Fund | 284,791 | 25,840 | (248,100) | 62,531 |
| PEG Fund | 759,384 | 241,000 | (450,000) | 550,384 |
| Total Fund Balance / Working Capital | \$86,742,980 | \$161,693,382 | (\$162,269,593) | \$86,166,769 |

Budget Summaries

Financial Summaries for All Funds – by Fund

Financial Summaries for All Funds

The following summary indicates the available fund balance and working capital after the City's current financial reserve policies are applied. Please see the budget message for operating reserve policies that have been established. Some capital project and special revenue funds record activity on a project length basis and are not subject to annual appropriation. Additionally, inter-fund transfers are itemized to enable the reader to develop a true sense of revenue and expenditures. Inter-fund transfers are accounting transfers which reimburse or charge for services the funds provide or receive from other funds.

| | General Fund | I&S GO Bonds Fund | I&S Revenue Bonds Fund | Drainage Fund | Water & Wastewater Utility Fund | Utility Impact Fees Fund |
|--|--------------|----------------------|---------------------------|------------------|---------------------------------------|--------------------------------|
| Estimated Fund Balance/ Working Capital 10/01/13 | \$40,053,313 | \$480,187 | \$245,895 | \$1,243,659 | \$31,657,409 | \$2,083,089 |
| Less Reserves *1 | (33,475,596) | 0 | 0 | (719,514) | (14,729,034) | 0 |
| Estimated Revenue (FY 2013-14) | 87,677,501 | 11,999,000 | 200 | 2,188,088 | 40,914,000 | 3,453,000 |
| Inter-fund Transfers | 2,919,025 | 453,000 | 6,400,000 | (138,175) | (9,180,850) | 0 |
| Total Funds Available | 97,174,243 | 12,932,187 | 6,646,095 | 2,574,058 | 48,661,525 | 5,536,089 |
| Budgeted Expenditures | (90,575,502) | (12,781,469) | (6,347,633) | (2,042,169) | (31,733,133) | (2,900,000) |
| Estimated Fund Balance/ Working Capital 9/30/14 | \$6,598,741 | \$150,718 | \$298,462 | \$531,889 | \$16,928,392 *2 | \$2,636,089 *2 |
| Estimated percentage change in fund balance/working capital | 0.05% | (68.61%) | 21.38% | 0.62% | 0.00% | 26.55% |

Explanation of changes in fund balance/working capital greater than 10%.

I&S GO Bonds Fund - 69% decline reflects an annual scheduled use of available fund balance.

Law Enforcement Fund - 80% decline reflects scheduled use of available fund balance.

I&S Revenue Bonds Fund - 21% increase reflects additional debt requirements for wastewater treatment plant.

Others are Special Revenue Funds with specific programs and fund balance fluctuations

*1 Reserves are established in accordance with operating reserve policies and include State Sales Tax payments.

*2 Funds are designated for capital improvements and debt service for the Utility System.

See Capital Projects Funds Tab.

*3 Reflects transfer of \$453,000 from the golf course operator for debt service.

Budget Summaries

Financial Summaries for All Funds – by Fund

| Hotel Occupancy Tax Fund | Hotel Occupancy Tax Fund - Sports & Community Venue | Law Enforcement Fund | Municipal Court Fund | Library Fund | Tree Replacement Fund | PEG Fund | Total For All Funds |
|-----------------------------|---|----------------------------|----------------------------|-----------------|-----------------------------|-------------|---------------------------|
| \$8,419,288 | \$237,754 | \$861,480 | \$407,152 | \$9,579 | \$284,791 | \$759,384 | \$86,742,980 |
| (1,562,500) | (216,550) | (86,148) | (97,500) | (958) | (28,479) | (75,938) | (50,992,217) |
| 3,125,000 | 2,165,500 | 418 | 127,800 | 4,010 | 25,840 | 241,000 | 151,921,357 |
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 453,000 ^{*3} |
| 9,981,788 | 2,186,704 | 775,750 | 437,452 | 12,631 | 282,152 | 924,446 | 188,125,120 |
| (2,914,062) | (2,155,945) | (690,000) | (107,005) | (5,550) | (248,100) | (450,000) | (152,950,568) |
| \$7,067,726 | \$30,759 | \$85,750 | \$330,447 | \$7,081 | \$34,052 | \$474,446 | \$35,174,552 |
| 2.51% | 4.02% | (80.05%) | 5.11% | (16.08%) | (78.04%) | (27.52%) | (0.66%) |

Budget Summaries

Combined Financial Summaries for All Funds

Combined Financial Summaries for All Funds

This section presents a combined, more detailed summary of budgeted operations and activities.

Combined Revenues by Type FY 2013-14

| Revenues & Financing Sources | General Fund | Debt Service Funds | Drainage Fund | Water/Wastewater Utility Fund | Impact Fees Fund | Special Revenue Funds | Total All Funds |
|---|---------------------|---------------------|--------------------|-------------------------------|--------------------|-----------------------|----------------------|
| Property Taxes | \$24,050,000 | \$12,452,000 | | | | | \$36,502,000 |
| Sales Taxes | 46,150,000 | | | | | | 46,150,000 |
| Franchise Fees | 6,075,000 | | | | | | 6,075,000 |
| Water Sales | | | | \$23,158,000 | | | 23,158,000 |
| Sewer Sales | | | | 17,756,000 | | | 17,756,000 |
| Drainage Fees | | | \$2,188,088 | | | | 2,188,088 |
| Other | 14,321,526 | 6,400,200 | | | \$3,453,000 | | 24,174,726 |
| Hotel Occupancy Tax Fund | | | | | | \$3,125,000 | 3,125,000 |
| Hotel Occupancy Tax Fund - Sports & Community Venue | | | | | | 2,165,500 | 2,165,500 |
| Law Enforcement Fund | | | | | | 418 | 418 |
| Municipal Court Fund | | | | | | 127,800 | 127,800 |
| Library Fund | | | | | | 4,010 | 4,010 |
| Tree Fund | | | | | | 25,840 | 25,840 |
| PEG Fund | | | | | | 241,000 | 241,000 |
| Total Revenue & Financing Sources | \$90,596,526 | \$18,852,200 | \$2,188,088 | \$40,914,000 | \$3,453,000 | \$5,689,568 | \$161,693,382 |

Combined Financial Summaries for All Funds (Cont.)**Combined Expenditures by Function FY 2013-14**

| Expenditures | General Fund | Debt Service Funds | Drainage Fund | Water / Wastewater Utility Fund | Impact Fees Fund | Special Revenue Funds | Total All Funds |
|---|---------------------|---------------------------|----------------------|--|-------------------------|------------------------------|------------------------|
| Public Safety | \$41,490,240 | | | | | | \$41,490,240 |
| Transportation | 8,829,836 | | | | | | 8,829,836 |
| General Services | 3,089,466 | | | | | | 3,089,466 |
| Fiscal Support Services | 10,509,335 | | | | | | 10,509,335 |
| Library | 2,418,964 | | | | | | 2,418,964 |
| Parks | 9,646,061 | | | | | | 9,646,061 |
| Utility | | | | \$40,913,983 | | | 40,913,983 |
| Other | 14,591,600 | | | | \$2,900,000 | | 17,491,600 |
| Drainage Fund | | | \$2,180,344 | | | | 2,180,344 |
| Debt Service | | \$19,129,102 | | | | | 19,129,102 |
| Hotel Occupancy Tax Fund | | | | | | \$2,914,062 | 2,914,062 |
| Hotel Occupancy Tax Fund - Sports & Community Venue | | | | | | 2,155,945 | 2,155,945 |
| Law Enforcement Fund | | | | | | 690,000 | 690,000 |
| Municipal Court Fund | | | | | | 107,005 | 107,005 |
| Library Fund | | | | | | 5,550 | 5,550 |
| Tree Replacement Fund | | | | | | 248,100 | 248,100 |
| PEG Fund | | | | | | 450,000 | 450,000 |
| Total Expenditures | \$90,575,502 | \$19,129,102 | \$2,180,344 | \$40,913,983 | \$2,900,000 | \$6,570,662 | \$162,269,593 |

Budget Summaries

Combined Financial Summaries for All Funds

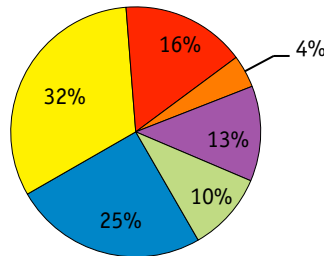
Combined Financial Summaries for All Funds (Cont.)

Combined Expenditures by Category FY 2013-14

| Expenditures | General Fund | Debt Service Funds | Drainage Fund | Water / Wastewater Utility Fund | Impact Fees Fund | Special Revenue Funds | Total All Funds |
|---|---------------------|---------------------|--------------------|---------------------------------|--------------------|-----------------------|----------------------|
| Personnel Services | \$60,057,315 | | \$1,088,010 | \$7,962,450 | | | \$69,107,775 |
| Contractual Services | 11,969,522 | | 502,755 | 12,401,400 | | | 24,873,677 |
| Materials and Supplies | 6,449,349 | | 156,529 | 2,150,614 | | | 8,756,492 |
| Other Services and Charges | 10,641,619 | | 36,875 | 6,062,750 | | | 16,741,244 |
| Capital Outlay | 1,457,697 | | 258,000 | 200,000 | | | 1,915,697 |
| Debt Service | | \$19,129,102 | | | | | 19,129,102 |
| Other/Transfers | | | 138,175 | 12,136,769 | \$2,900,000 | | 15,174,944 |
| Hotel Occupancy Tax Fund | | | | | | \$2,914,062 | 2,914,062 |
| Hotel Occupancy Tax Fund - Sports & Community Venue | | | | | | 2,155,945 | 2,155,945 |
| Law Enforcement Fund | | | | | | 690,000 | 690,000 |
| Municipal Court Fund | | | | | | 107,005 | 107,005 |
| Library Fund | | | | | | 5,550 | 5,550 |
| Tree Fund | | | | | | 248,100 | 248,100 |
| PEG Fund | | | | | | 450,000 | 450,000 |
| Total Expenditures | \$90,575,502 | \$19,129,102 | \$2,180,344 | \$40,913,983 | \$2,900,000 | \$6,570,662 | \$162,269,593 |

Revenue by Type: \$143,962,526

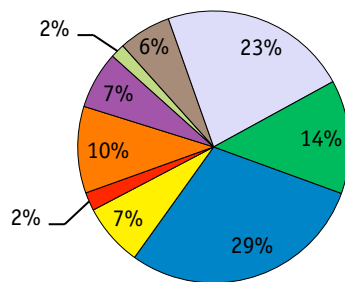
(Combined General, G.O. & Revenue Debt Service, and Utility Funds
- Net of inter-fund Transfers)



- Property Tax
\$36,042,000
- Sales Tax
\$46,150,000
- Water Sales
\$23,158,000
- Franchise Fees
\$6,075,000
- Sewer Sales
\$17,756,000
- Other
\$14,781,526

Uses by Function: \$141,437,737

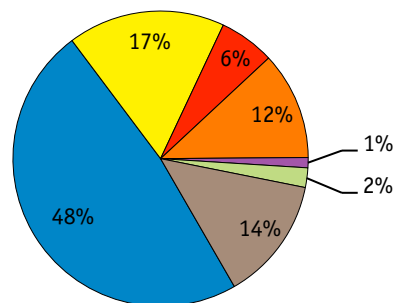
(Combined General, G.O. & Revenue Debt Service, and Utility Funds
- Net of Inter-fund Transfers)



- Public Safety
\$10,509,335
- General Services
\$14,591,600
- Parks
\$2,418,964
- Transportation
\$31,733,133
- Debt Service
\$41,920,240
- Fiscal Support
\$3,089,466
- City Support Services
\$9,646,061
- Library
\$8,829,836
- Utility (less transfers)
\$19,129,102

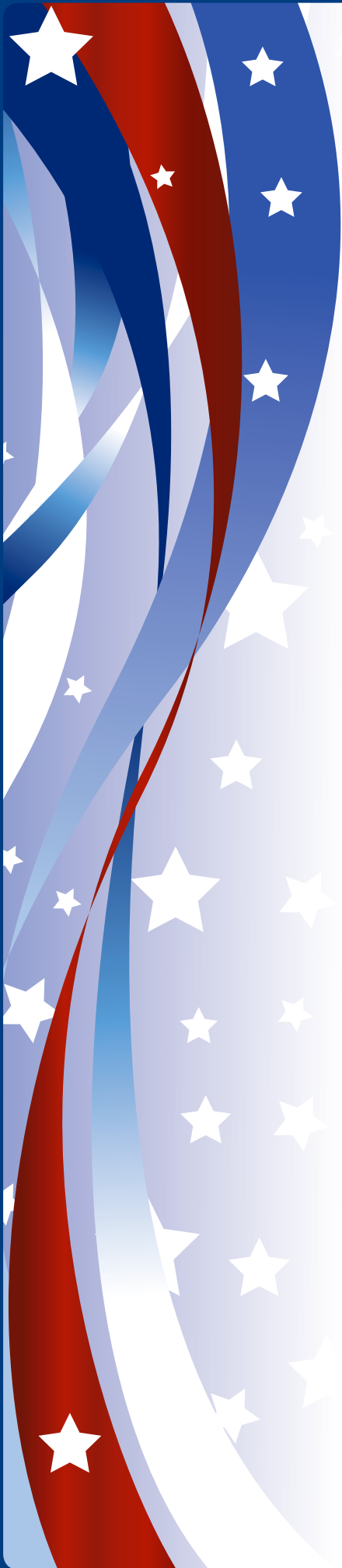
Uses by Category: \$141,437,737

(Combined General, G.O. & Revenue Debt Service, and Utility Funds
- Net of Inter-fund Transfers)



- Personnel
\$68,019,765
- Contract. Svcs
\$24,370,922
- Matls. & Supplies
\$8,599,963
- Other Services and Charges
\$16,704,369
- Capital Outlay
\$1,579,697
- Other
\$3,033,919
- Debt Service
\$19,129,102





General Fund

General Fund Revenue & Expenditures
General Fund Summary of Expenditures by Department
Administration
Finance
Fire
Fiscal Support Services
General Services
Human Resources
Information Technology
Legal Services
Library
Parks & Recreation
Planning & Development Services
Police
Recycling
Transportation



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.

General Fund Summary of Revenue and Expenditures

| Revenues | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|---------------------------------|---------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---|
| Property Tax | \$22,340,194 | \$23,150,000 | \$23,150,000 | \$24,050,000 | \$24,500,000 |
| Sales Tax | 47,479,438 | 45,000,000 | 45,000,000 | 46,150,000 | 46,500,000 |
| Bingo/Mixed Drink Tax | 316,320 | 298,000 | 298,000 | 298,000 | 298,000 |
| Franchise Fees | 6,780,869 | 6,140,000 | 6,140,000 | 6,075,000 | 6,075,000 |
| Building Permits/Inspections | 518,071 | 578,000 | 578,000 | 570,000 | 578,000 |
| Other Permits | 30,032 | 25,000 | 25,000 | 37,125 | 37,125 |
| Garbage/Fire Protection Fees | 2,295,824 | 2,236,000 | 2,236,000 | 2,360,000 | 2,400,000 |
| Recreation Fees | 2,251,993 | 2,175,500 | 2,175,500 | 2,137,500 | 2,179,900 |
| Library Fees | 164,360 | 143,100 | 143,100 | 163,100 | 164,100 |
| Filing/Other Fees | 147,480 | 107,550 | 107,550 | 121,850 | 124,850 |
| Fines & Costs | 2,072,581 | 2,499,600 | 2,499,600 | 2,499,600 | 2,599,600 |
| Rentals | 214,444 | 134,000 | 134,000 | 161,000 | 161,000 |
| Grants | 307,130 | 164,636 | 182,136 | 213,026 | 186,500 |
| Interest | 295,851 | 326,000 | 326,000 | 336,000 | 341,000 |
| Capital Lease Proceeds | 313,604 | 400,000 | 400,000 | 806,700 | 850,000 |
| Transfers | 3,290,100 | 2,811,050 | 2,811,050 | 2,919,025 | 3,290,000 |
| Other Revenues | 1,218,551 | 1,528,500 | 1,462,500 | 1,698,600 | 1,544,500 |
| Total Revenues | \$90,036,842 | \$87,716,936 | \$87,668,436 | \$90,596,526 | \$91,829,575 |
| Expenditures | | | | | |
| Personnel Services | 53,786,470 | \$57,495,490 | \$57,628,590 | \$60,057,315 | \$61,167,507 |
| Contractual Services | 8,598,141 | 11,608,799 | 11,708,799 | 11,969,522 | 12,006,205 |
| Materials and Supplies | 5,957,609 | 6,609,586 | 6,617,586 | 6,449,349 | 6,593,875 |
| Other Services and Charges | 11,067,870 | 10,940,291 | 10,633,191 | 10,641,619 | 10,336,519 |
| Economic Development | 225,000 | 0 | 0 | 0 | 0 |
| Capital Outlay | 824,684 | 1,062,162 | 1,079,662 | 1,457,697 | 2,618,436 |
| Transfers | 8,902,417 | 0 | 0 | 0 | 0 |
| Total Expenditures | \$89,362,191 | \$87,716,328 | \$87,667,828 | \$90,575,502 | \$92,722,542 |
| Net Change in Operations | \$674,651 | \$608 | \$608 | \$21,024 | (\$892,967) |

General Fund

Summary of Expenditures by Department

General Fund Summary of Expenditures

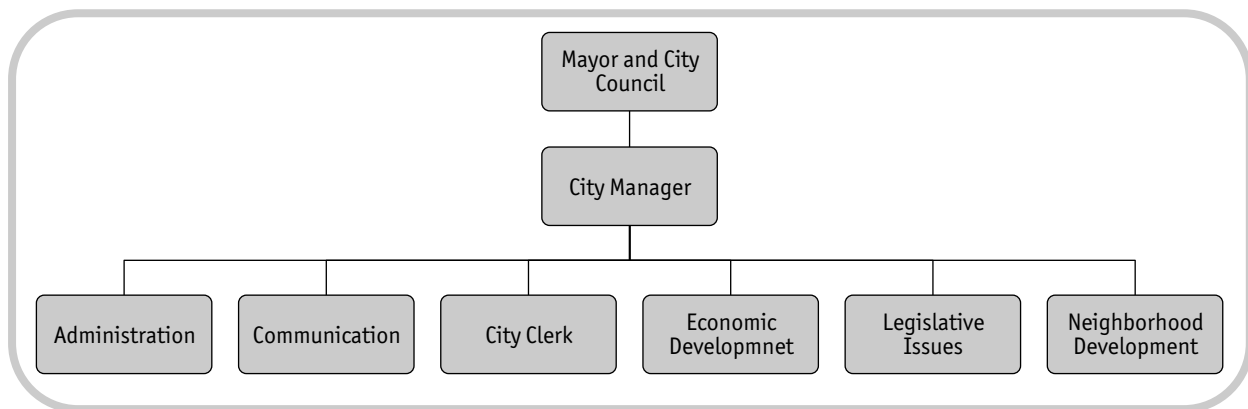
| Department | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|--|---------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---|
| Administration | \$2,146,906 | \$2,160,114 | \$2,179,614 | \$2,285,976 | \$2,218,595 |
| Finance | 2,881,777 | 2,994,423 | 3,029,923 | 3,015,527 | 3,036,046 |
| Fire | 13,992,934 | 14,306,223 | 14,483,223 | 14,965,099 | 15,906,099 |
| Fiscal Support Services | 19,341,997 | 10,472,400 | 9,865,300 | 10,509,335 | 10,022,535 |
| General Services | 2,456,714 | 2,827,765 | 2,841,265 | 3,089,466 | 3,185,525 |
| Human Resources | 923,707 | 1,088,962 | 1,095,462 | 1,141,403 | 1,119,590 |
| Information Technology | 3,515,938 | 3,825,116 | 3,845,616 | 4,028,493 | 4,052,689 |
| Legal Services | 1,217,208 | 999,350 | 1,099,350 | 1,100,000 | 1,100,000 |
| Library | 2,280,103 | 2,320,748 | 2,337,248 | 2,418,964 | 2,459,654 |
| Parks & Recreation | 8,782,501 | 9,361,321 | 9,418,821 | 9,646,061 | 9,967,714 |
| Planning & Development Services | 3,116,861 | 2,901,404 | 2,918,904 | 2,878,085 | 2,933,817 |
| Police | 23,098,070 | 25,697,686 | 25,743,686 | 26,525,141 | 27,775,755 |
| Recycling | 116,422 | 133,932 | 145,432 | 142,116 | 143,342 |
| Transportation | 5,491,053 | 8,626,884 | 8,663,984 | 8,829,836 | 8,801,180 |
| Total - General Fund Expenditures | \$89,362,191 | \$87,716,328 | \$87,667,828 | \$90,575,502 | \$92,722,541 |

Administration

The Administration Department consists of the Mayor and six Council members, and the office of the City Manager. These two bodies, working together, are responsible for the formulation and communication of public policy to meet community needs and assure orderly development in the City. In addition, the City Manager's Office provides for the general administration of a multi-million dollar municipal organization providing a full range of municipal services to over 100,000 customers.

Vision: Citizens and visitors experience Round Rock as the "City of Choice."

Mission: Our mission is to provide leadership and foster a culture of high performance, thereby delivering customer value and enhancing public confidence and satisfaction in Round Rock city government.



Major Business Functions:

Mayor and Council: The Mayor and six Council members, acting as the elected representatives of the citizens of Round Rock, formulate public policy to meet community needs and assure orderly development in the City. The City Council appoints the City Manager, City Attorney, Municipal Court Judge and various citizen boards and commissions. The City Council's public policy activities include: adopting the City's annual budget and establishing general objectives; reviewing and adopting all ordinances and resolutions; and approving purchases and contracts as prescribed by City Charter and State Law. Focus has been placed on diversifying the City's economy to sustain the continued growth, rather than relying heavily on tax increases.

Office of the City Manager: The City Manager's Office handles the general administration of the City and executes the policies of the City Council. The City Manager is directly responsible to the Mayor and City Council. The City Manager is also responsible for presenting an annual budget to the City Council. As Chief Administrators, the City Manager and Assistant City Managers oversee the day-to-day operations of the City by coordinating all City department activities and functions.

Communications: The Communications division develops internal and external communications and citizen participation initiatives. Most media relations are handled through this division. Emphasis is placed on engaging citizens in an ongoing dialogue about City policies and programs, designed to instill an attitude of trust and understanding in local decision making. Transparency in government is achieved through use of new media types, including local access television, newsletters, and the City's Internet website, keeping citizens apprised of public forums for citizen involvement. The department serves its internal customers through the monthly employee newsletter, blogs, and employee surveys. A new component of the Communication division includes the Arts & Special Events programs. The development of the Arts initiative involves the coordination with various local art & cultural organizations to promote the vision of the Arts & Culture Master Plan. The Special Events program includes the proactive recruitment of special events such as concerts, street fairs, and other entertainment type of events.

Office of the City Clerk: The City Clerk is the Records Management Officer of the City. This entails attending and keeping the minutes for all City Council meetings; maintaining all official City records, including ordinances, resolutions, contracts, easements, and deeds; publishing and posting legal notices; responding to open records requests, monitoring the terms and attendance of all Boards and Commissions of the City; and coordinating municipal elections.

Economic Development Partnership: Under the Economic Development Partnership with the Chamber of Commerce, the City's economic priority is to promote business recruitment, retention and expansion as well as market the City of Round Rock. The City's managerial staff, together with the Chamber of Commerce, tourism committee, citizens, and representatives of local businesses, works to formulate and implement strategies and programs that promote economic development and diversify the economic base of the community.

Legislative Issues: The City Manager's office is also responsible for monitoring and researching any upcoming legislative issues that will affect the City.

Neighborhood Development: This program takes a proactive approach to neighborhood revitalization by building partnerships with citizens in neighborhoods that are in need of various repairs and improvements. The City's goal is to reduce crime rates, create City-citizen partnerships, become more involved with the community, and encourage citizens to maintain their property and build relationships with their neighbors.

Key Customers:

The Administration department has both internal and external customers. Internal customers include the City Council, department directors, and all City employees. Externally, the department responds to resident and non-resident concerns; tourists; current and prospective commercial/business entities; government units, including local, state and federal; and non-profit agencies.

Customer Expectations and Requirements:

All these customers require an open forum to participate and be heard; timely, accurate and courteous response to their requests for information; responsiveness to their concerns and issues; efficient and effective provision of City services; and a competent and professional approach to handling the affairs of the City.

FY 2012-13 Highlights:

- 2012 marked the Centennial of the incorporation of the City of Round Rock. A new plaza was completed and dedicated along with other downtown street and building improvements.
- In 2012, the results of the Biennial Survey showed that residents are generally satisfied with the overall quality of life in Round Rock. The ratings were above the regional and national average concerning city services, safety, and customer service.
- Round Rock continued to be one of the fastest growing U.S. city with population more than 100,000. Mayor Alan McGraw states " People continue to move here because we truly do have a remarkable quality of life, it's a great place to do business and raise a family."

FY 2013-14 Overview and Significant Changes:

- The City's new Strategic Plan provides a clear direction on the vision for the City by allowing for annual review and updates. Some of the highlights include the revitalization of established neighborhoods, development of the northeast quadrant of the City as a medical and mixed-use upscale district, and continued promotion of the City as a family-friendly community.
- The City Council continued to focus on "growing" the downtown commerce and social life as a top priority.
- Many city-wide events are designed to promote tourism, generate revenue for the City, and provide our citizens with more options for entertainment in Round Rock.

New Programs for FY 2013-14:

- Administration includes additional funding to support programming to attract tourists and citizens to the historic downtown.

FY 2014-15 Overview and Beyond:

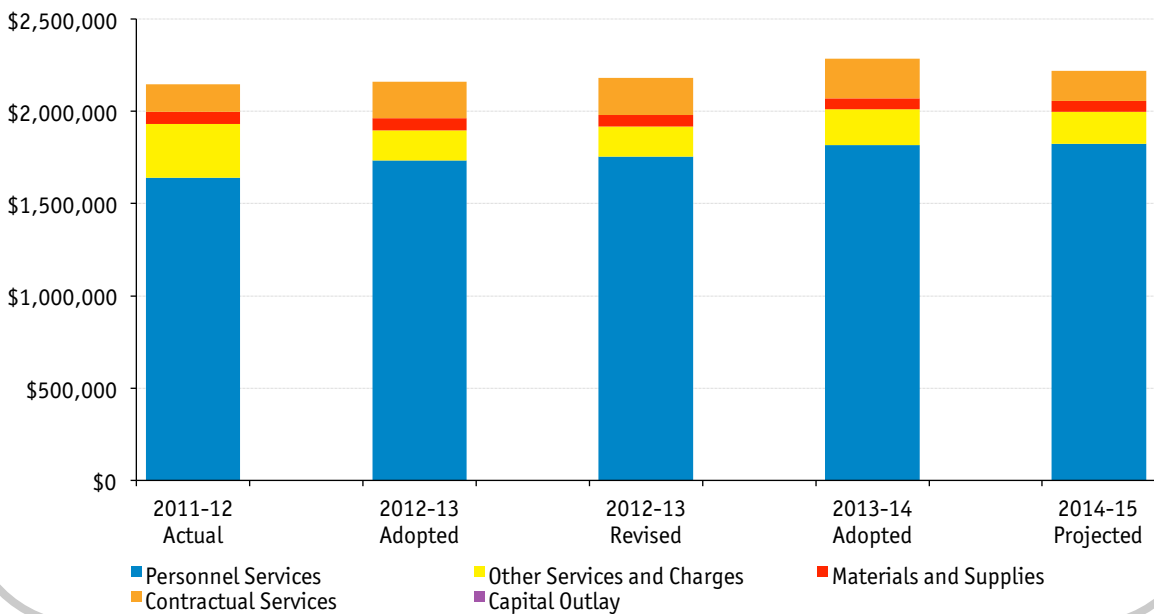
- Continue to actively promote our water conservation and education programs as well as work with surrounding cities in communicating a unified message on the importance of being water-wise.
- Focus efforts on our downtown capital improvement programs, and to work with the Chamber of Commerce on attracting new and lively business to the downtown.
- Concentrate on increasing special events and by doing so, bring more tourists to Round Rock, bolster hotel occupancy, protect and create jobs, increase business at restaurants and retail stores, and increase patronage at arts, cultural and recreational venues.

General Fund

Administration

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$1,640,485 | \$1,734,711 | \$1,754,211 | \$1,817,368 | \$1,824,263 |
| <i>Contractual Services</i> | 150,126 | 198,553 | 198,553 | 216,330 | 162,104 |
| <i>Materials and Supplies</i> | 64,740 | 64,950 | 64,950 | 59,323 | 58,273 |
| <i>Other Services and Charges</i> | 291,555 | 161,900 | 161,900 | 192,955 | 173,955 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$2,146,906 | \$2,160,114 | \$2,179,614 | \$2,285,976 | \$2,218,595 |
| Expenditures per Capita: | \$20.98 | \$20.61 | \$20.80 | \$21.25 | \$20.02 |

Administration - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|----------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Administration | 2.7% | 2.5% | 2.5% | 2.5% | 2.4% | 1.9% | 2.1% | 2.0% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|----------------|-----------------|-----------------|-----------------------|-----------------|-----------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| City Manager | N/A | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Assistant City Manager | EX | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Deputy City Clerk ⁴ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Communications Director | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| City Clerk | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Information Specialist | 107 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Executive Administrative Assistant | 107 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Administrative Tech | 205 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Assistant to the City Manager | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Multi-Media Coordinator | 214 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Marketing & Events Coordinator ^{1,4} | 110 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Emergency Mgmt. Coordinator ² | 113 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Neighborhood Services Coord. ³ | 110 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Total | | 13 | 14 | 14 | 13.00 | 14.00 | 14.00 |

¹ Position reclassified from Dispatcher from Police Department to Special Events Coordinator FY 13

² Position moved to Fire Department FY 13

³ New Program FY 13

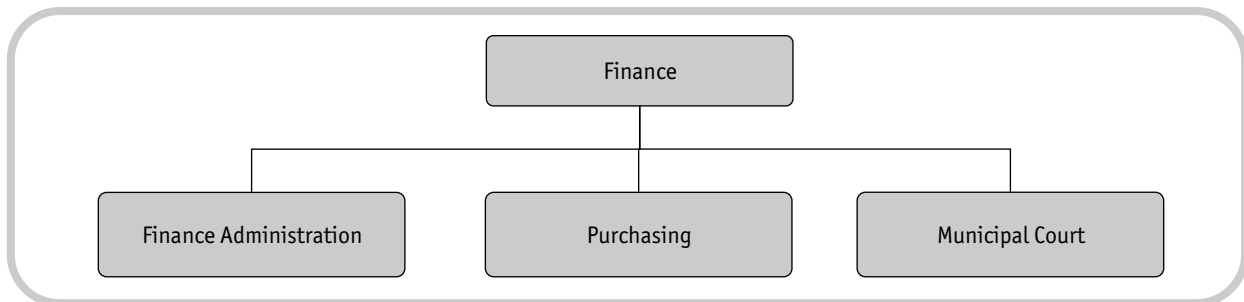
⁴ Title changed due to Market Study FY14

Finance

Management responsibility for all of the City's financial activity is centralized in the Finance Department. The City Manager develops, oversees and communicates strategic financial planning and programs. The Director of Finance is responsible for the City's financial policies, debt management and the day-to-day operations of the Finance Department and its program divisions. Major areas of responsibility include accounting, reporting, payroll, utility billing and collection, purchasing, budget operations, treasury management and municipal court operations. Other duties of the department include processing accounts payable transactions for all City programs, publishing the City's monthly, quarterly and annual financial statements, administering the City's cash management and investment program, coordinating the capital improvement program, invoicing miscellaneous receivables and maintaining all capital asset records. The Purchasing and Municipal Court also report to the Finance Director.

Vision: Provide leadership and direction to maintain the financial stability of the City and prepare for future growth.

Mission: The Finance Department is responsible for financial planning, policy development and administration of the City's financial activities.



Major Business Functions:

Finance Administration: Responsible for financial planning for the organization, policy development, and deployment of financial policy.

Accounting: Responsible for maintaining the financial records of the City. This includes processing and recording all receipts and disbursements of City funds, recording the fixed assets of the City, reconciling City records with the City's depository bank and other agencies, performing the City's payroll function, reporting of financial information on City grants, assisting the City's external auditors during the annual audit, and reporting financial results to City management, departments, citizens, and other agencies as needed.

Budget: Responsible for producing the City's annual operating budget and providing various subsidiary budgets to management and City Council. It is also responsible for generating projections, monitoring of departmental budgets and proactively help departments identify ways to conserve budget dollars while maintaining operational service levels.

Capital Projects: Responsible for the financial planning and management of the City's capital improvement project funds. This involves working closely with various City departments and project managers to develop budgeting, cash flows, disbursements, monthly balancing and reporting of capital projects. This program is also tasked with coordinating the City's five-year Capital Improvement Project (CIP) Process and produces financial information to assist the City auditors, project managers, and others.

Community Development: Responsible for the development and management of neighborhood revitalization and economic development programs funded by Community Development Block Grant (CDBG) funds. Staff prepares and conducts meetings with the Community Development Advisory Commission and attends all Round Rock Housing Authority board meetings. This office is responsible for ensuring compliance with federal regulations, developing, implementing, and monitoring CDBG funded programs, and reporting directly to the San Antonio HUD field office.

Treasury: Responsible for the cash management and investment of City funds. This includes the daily transferring and settling of the City's depository funds, investing excess funds, and reporting investments in accordance with the Texas Public Funds Investment Act and the City's Investment policy. This also includes maintaining working relationships with the City's depository bank(s), authorized broker/dealers, and the City's safekeeping agent. Finally, it includes making sure City funds are collateralized in accordance with the Texas Collateral Act for Public Funds and the City's Investment policy.

Grants: Responsible for assisting city departments to research, submit, administrate and report on grants which are available from various sources including Federal Grants and Earmarks, State Grants, Intergovernmental Agreements and others. Grant funds are used to off-set costs that might be unfunded.

Purchasing: Responsible for the support of procurement requirements of all City departments. Utilizing centralized coordination of purchasing activities, the Department receives purchase requests from the various City departments and determines, with the assistance of the requesting department, the best method of procurement, including open market purchasing; informal bids; formal bids or proposals; cooperative purchasing; inter-local agreement purchasing, and procurement card purchases. In addition, includes the development, coordination and effective administration of all contractual activities within the City while ensuring contract compliance with local, state and federal statutes. Other duties of the business function include contract extensions, bid contract awards, inter-local agreements, change orders, budget amendments and agenda requests. Responsibilities include administration of multiple City programs, to include procurement cards, travel cards, office equipment rentals, uniform rental, coffee and vending.

Municipal Court: It is the first level of the judicial branch of government. The jurisdiction of Municipal Court is limited to criminal "Class C" misdemeanors and administrative proceedings related to animals and junked vehicles, all which must have occurred within the territorial limits of the City of Round Rock. It is responsible for coordinating the administrative functions of court support staff, prosecutors and judges. The Customer Service & Collections team assists defendants with disposition processing and collecting fines for the city and court costs for the state. The Court Coordination & Warrant Services team is responsible for preparing case files for pending court appearances; coordinating witnesses, translators, defendants, prosecutors, judges and the technical needs for impending cases; warrant preparation, issuance and tracking.

Key Customers:

The Finance department has both internal and external customers. Internal customers include the City Council, the City departments, and all City employees. External customers include; the citizens of Round Rock, various local, state and federal agencies, vendors used to provide goods and services to the City, defendants disposing of their citations, citizens called for jury service, and non-profit agencies.

Customer Expectations and Requirements:

All of these customers require accurate, timely, and professional reporting in accordance with legal and regulatory requirements. In addition, these customers require efficient processes; ethical business practices; professional courtesy; competence in all transactions; respectful and courteous assistance; responses for information; timely and accurate payments. Our external customers require a knowledgeable, professional and friendly staff to deliver information in a manner which they may understand.

FY2012-2013 Highlights:

In FY2013, the Finance Department continued striving for excellence in maintaining and advancing the City's financial position while providing effective, efficient service to residents and other City departments.

- Finance staff collaborated with other City departments to reinvigorate the Shop the Rock campaign with a new logo and an advertising campaign. When Round Rock residents Shop the Rock, they put the Hardest Working Sales Tax in Texas to work right here at home.
- Finance staff worked with the City's Emergency Management Coordinator to ensure they were prepared for their role in an emergency situation, should one arise in the City. Knowing the role of the Finance team and how it works in a disaster ensures that the appropriate policies, procedures, and forms are used to request reimbursement from Federal and State agencies.
- Several process improvements were made in the Community Development Office. We worked diligently to streamline the Community Development Block Grant program and to improve our expenditure timeliness ratio. Round Rock went from being in danger of losing funding to having the second best ratio rating in the San Antonio HUD's Region 6 which oversees all CDBG grantees from Williamson County down to the Rio Grande Valley.
- The Purchasing Department implemented phase one of electronic ProCard expense reporting for all City departments. This is the first step toward a more efficient reporting process for City employees.
- A restructure of the Municipal Court creating lead positions and realigning work units furthered the court's ability to process each case efficiently and without delay or unnecessary expense.
- The Utility Billing Department implemented a new on-line payment system. The new system allows payments to be processed in real time and more efficient credit card processing, furthering our mission to record accurate and precise utility billing information for our customers.

FY2013-2014 Overview and Significant Changes:

In FY2014, the Finance Department will continue to provide leadership and direction in maintaining the financial stability of the City and preparing for future growth. Improved processes will be implemented and new technology will be utilized.

- For the past nine months, Finance and Purchasing staff has worked diligently to learn the Munis Financial Management Software that will be implemented on October 1, 2013. City operations depend on accurate, reliable financial data and this citywide financial management system will provide easy access to up-to-date and real time departmental budget information.
- Municipal Court will enhance the duties of an existing position with the implementation of a Juvenile Case Manager, enhance technology used in their office and begin taking steps toward a paper-lite environment.

New Programs for FY 2013-14:

- Implementation of Tyler ERP system to replace the PeopleSoft e-Procurement system.
- Apply for the NPI award for Purchasing Excellence.

FY 2014-15 Overview and Beyond:

Continue to identify future services and resource requirements for the Finance Department that will allow us to accomplish our mission.

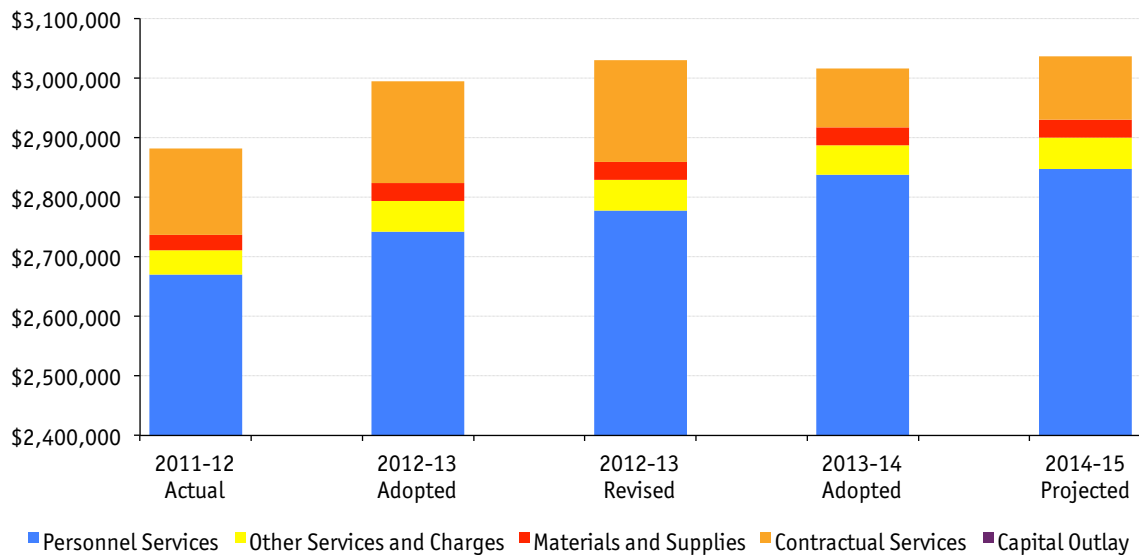
- Utilize/Add more processes in Document Management system in Municipal Court division.
- Research ways to dispose of aged non-appearance or default cases
- Consider enhancing the use of Insite (online payment system) for additional options such as requesting extensions to pay or requesting to complete a driver's safety course
- Additional processes will be utilized in the Document Management system, advancing us further in a paper-lite environment.
- Assist all departments with the purchase of materials so departments to accomplish their mission.
- Assist with the use of the ERP Procurement system.
- Enhance utilization of inter-local agreements and cooperative contracts.
- Continue to look for best practices so that the Purchasing department can perform at a higher level while staying within budget.

General Fund

Finance

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$2,669,631 | \$2,742,327 | \$2,777,827 | \$2,837,854 | \$2,847,552 |
| <i>Contractual Services</i> | 145,532 | 170,585 | 170,585 | 98,578 | 106,688 |
| <i>Materials and Supplies</i> | 25,905 | 30,279 | 30,279 | 29,779 | 29,779 |
| <i>Other Services and Charges</i> | 40,709 | 51,232 | 51,232 | 49,316 | 52,027 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$2,881,777 | \$2,994,423 | \$3,029,923 | \$3,015,527 | \$3,036,046 |
| Expenditures per Capita: | \$28.16 | \$28.57 | \$28.91 | \$28.03 | 27.40 |

Finance - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|---------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Finance | 3.6% | 3.4% | 3.5% | 3.3% | 3.3% | 6.3% | 6.0% | 5.9% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Finance Director | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Controller | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Assistant Director - Finance ¹ | 114 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Finance Programs Manager ² | 00 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Accounting Manager ^{1,2} | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Accounting Supervisor ³ | 110 | 1 | 2 | 2 | 1.00 | 2.00 | 2.00 |
| Accountant ⁷ | 105 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Budget Supervisor | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Accountant ^{3,7} | 105 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Accountant ⁷ | 105 | 1 | 1 | 2 | 1.00 | 1.00 | 2.00 |
| Senior Accounting Technician ⁷ | 207 | 3 | 3 | 3 | 3.00 | 3.00 | 3.00 |
| Budget Analyst ⁷ | 108 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Payroll Coordinator | 211 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Payroll Technician | 208 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Accounting Technician ⁷ | 205 | 5 | 5 | 5 | 4.50 | 4.50 | 4.50 |
| Administrative Assistant ⁷ | 208 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Community Development- Coordinator ⁷ | 109 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Grants Coordinator ⁸ | 106 | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Deputy Court Clerk Administrator ⁷ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Deputy Court Clerk Coordinator ⁷ | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Supervisor Muni. Court ⁷ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Deputy Court Clerk ⁷ | 207 | 6 | 6 | 6 | 6.00 | 6.00 | 6.00 |
| Deputy Court Clerk ^{4,7} | 207 | 2 | 2 | 2 | 1.50 | 1.50 | 1.25 |
| Court Bailiff ^{5,7} | 207 | 2 | 2 | 2 | 1.00 | 1.00 | 1.25 |
| Purchasing Manager | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Purchasing Tech - Senior ^{6,7} | 207 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Contract Specialist | 108 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Purchaser | 211 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Purchasing Tech ^{6,7} | 205 | 4 | 3 | 3 | 4.00 | 3.00 | 3.00 |
| Total | | 45 | 43 | 43 | 43.00 | 41.00 | 41.00 |

See notes on next page

General Fund

Finance

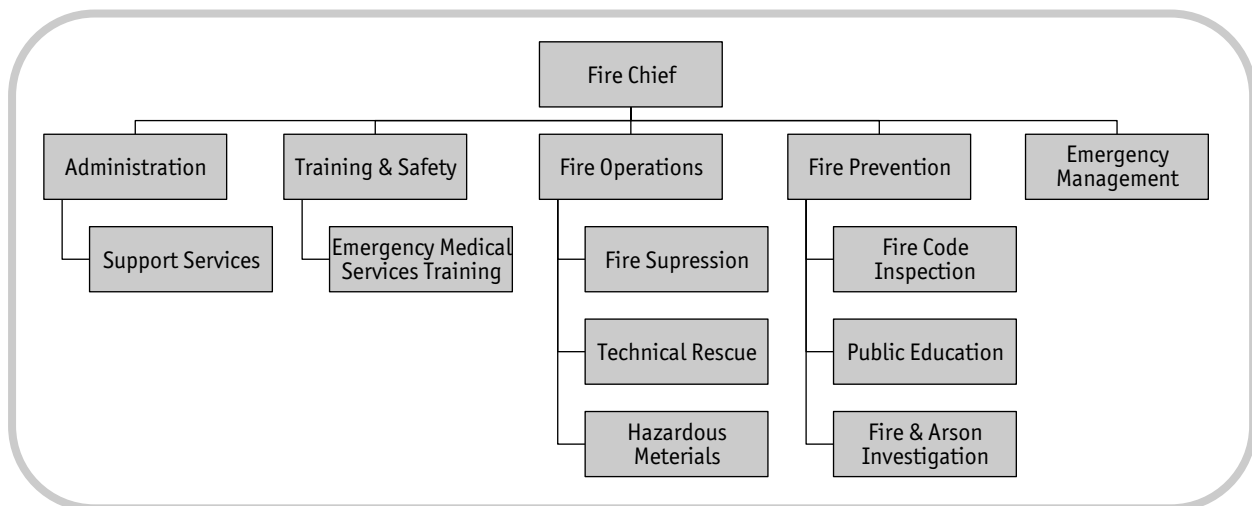
- ¹ Position reclassified from Accounting Manager to Assistant Director - Finance FY13
- ² Position reclassified from Finance Programs Manager to Accounting Manager FY13
- ³ Position reclassified from Accountant II to Accounting Supervisor FY13
- ⁴ Position reduced from .75 to .5 FTE FY 13
- ⁵ Position increased from .5 to .75 FTE FY 13
- ⁶ Position eliminated due to reorganization FY13
- ⁷ Title change due to Market Study FY14
- ⁸ Position reclassified from Grant Coordinator to Accountant I

Fire

Primary responsibility is to provide the emergency services required to meet the demands of a growing population. There is a constant, deliberate effort to provide our customers with the most current knowledge, methodology, and technology available in the realms of fire and emergency services through our training/safety program. The demands placed on personnel also necessitates the need for physical fitness and the most effective procedural training in order for firefighters to perform to the best of their ability in crisis situations. Attention is also given to the maintenance of all fire apparatus and peripheral equipment such as fire hydrants so that all tools will function properly when needed.

Vision: The Round Rock Fire Department is a professional and dynamic department that will provide exceptional public safety through dedicated individuals.

Mission: Through a professional, well-trained, and safe work force, the members of the Round Rock Fire Department are committed to delivering the highest level of fire suppression, emergency medical, fire prevention, and disaster services within the City’s financial capability for our rapidly changing residential, business, and corporate communities.



Major Business Functions:

The Round Rock Fire Department, (RRFD), consists of five Major Business Functions: Administration, Training and Safety, Fire Operations, Fire Prevention, and Emergency Management. The combination of these five major business functions is instrumental in the City’s ability to provide excellent fire services to our citizens.

Administration: This division is responsible for the overall management and strategic planning of the fire department. In addition, this division is responsible for the professional development of our firefighters, recruiting functions, staffing, personnel, payroll, strategic budget, information technology, software support, scheduling tours, and fire service demonstrations.

This division also functions to support Emergency Operations and Emergency Management when required or as assigned by the Fire Chief. In addition, this division takes command of all incidents that exceed the capabilities of the on duty staff or any incident when requested by the on-duty Shift Commander or when directed by the Fire Chief.

Support Services Section, is responsible for asset accountability, vehicle maintenance, new programs, contracts, facilities maintenance, long-range planning, procurement of fire equipment, office supplies, janitorial supplies, expenditure of funds, maintaining the required records for National Firefighter Protection Association (NFPA), Texas Commission on Fire Protection, and Insurance Services Office, Inc. (ISO).

Training and Safety: This division is responsible for the planning and coordinating fire service training, safety programs, development of policies and procedures for training and firefighter safety. In addition, this division oversees the First Responder Advanced Provider (FRAP) program as well as providing continuing education for the Emergency Medical Technicians, (EMT). This division also functions as both the administrator and manager of the training records and certifications for the firefighters and EMTs. This division works with the Texas Commission on Fire

Protection and the Texas Department of State Health Services to maintain the certifications required for completing our mission goals. The division also coordinates training with other departments and outside agencies, in order to provide excellent fire and emergency medical service to our citizens. This division has personnel that function as Safety Officers; using the guidelines set forth in National Firefighter Protection Association (NFPA) 1500 and manages the Firefighter Wellness program in conjunction with the City's Human Resources Department.

Emergency Medical Service Coordinator, is responsible for the implementation of the First Responder Advanced Provider (FRAP) program, that the firefighters maintain their Emergency Medical Technician certification, and manages the City's Automatic External Defibrillator (AED) program.

Fire Operations: This division is responsible for fire suppression, first-in medical response calls, technical rescues, and hazardous materials containment. It also has ten staffed fire apparatuses that respond to medical and fire calls. It has two specialty vehicles, one responds to hazardous material containment and the other that response to technical rescues.

Fire Suppression Section, is responsible for all structural, vehicle, grass, dumpster, and other fires within the City of Round Rock and Williamson County Emergency Service District No. 9. This section also provides mutual aid to other communities surrounding the City. In addition, this section provides medical assistance both emergency and non-emergency.

Technical Rescue Section, this team is comprised of technical experts who respond to vehicle accidents, vehicle entrapments, water rescues, and high/low angle rescues.

Hazardous Materials Section, this team is comprised of hazardous material experts who specialize in detecting and containing a hazardous substance, in order to control or stabilize an incident.

Fire Prevention: This division is responsible for public education, plans reviews, permitting, fire safety inspections, and fire and arson investigations.

Fire Safety Inspections section, identifies and inspects all commercial businesses, new and existing. In addition, it ascertains those properties that should be inspected semi-annually and those qualifying for self-inspection programs. Due to the amount of new construction, plans reviews, fire safety inspections are presently conducted primarily on new buildings, public schools, and for licensed facilities, such as child day care and nursing/health care facilities. Fire safety inspections are continued on a regular basis on all existing commercial businesses, multifamily residences, restaurants, and schools.

Public Education section, delivers fire and life safety information to the citizens of Round Rock. Currently, programs are delivered in local elementary schools, festivals, and through many business family days. Pre-school and middle school programs are also being developed.

Fire and Arson Investigation section, is responsible for ensuring that all fires within the City of Round Rock are investigated as to the cause and origin. Fire personnel certified as both arson investigators and Texas Peace Officers are responsible for conducting criminal investigations on all incendiary fires.

Emergency Management: The Emergency Operation Center, (EOC) Director is responsible for the overall management of the Emergency Operation Center and its response coordination activities. This division ensures situational awareness for the incident is being conducted by the collection, evaluation, display, and dissemination of information about the emergency situation to help support the City's response operations. Information collection sources include, but are not limited to, emergency response organizations, media, neighboring jurisdictions, State and Federal governments, non-governmental groups (NGO), volunteer groups (including ARES), private sector businesses, and citizens.

Key Customers:

This department's primary responsibility is to provide emergency and non-emergency fire services and emergency medical care to our citizens. In addition, we provide emergency fire services to Williamson County Emergency Service District No. 9 and have mutual aid agreements with other communities surrounding the City.

Customer Expectations and Requirements:

Our citizens expect this department to use its resources wisely to provide efficient and effective emergency and non-emergency fire services to the community. The department bases its level of service on the Camry level of service which was reviewed and supported by our citizens. We used their input as a guideline for the Strategic Budget; staffing, fire

apparatuses, equipment, projecting future stations and the placement of these stations. The department will continue to evaluate its operations to ensure the provided resources are used efficiently and meet the fire service demand for a growing population.

FY 2012-13 Highlights:

The fire department has updated its 5-Year Strategic Plan with input from the staff, strategic planning teams, International City/County Management Association (ICMA) report, two completed Management Advisory Group (MAG) reports completed in 2005 and 2010, ISO Public Protection Classification report of 2006, data analysis collected by members of the Round Rock Fire Department and Round Rock GIS section. This plan outlines the future and current needs for staffing, fire apparatuses, equipment, fire stations and best placement of these stations. The department will continue to evaluate its operations to ensure the provided resources are used efficiently to meet the fire service demand for a growing population.

- The department has purchased and implemented Tele-Staff software; it has automatized the contacting of firefighters for operational staffing. In addition, it interfaces with the city's payroll system; Tele-Staff provides a fair and equitable selection of firefighters for overtime by creating rules based on our department's policies. Firefighters are now able to remotely access their schedules, request vacation, sign their timesheet, schedule trade outs and log in sick. Tele-Staff tracks trade outs by allowing firefighters to see who owes them time and who they owe. Tele- Staff automatizes vacation bidding where their supervisors can setup online auctions and firefighters can bid on slots. We are able to track historical data such as vacation, sick and overtime by running reports built into the system.
- Twenty-nine firefighters were equipped and trained in various aspects of wildfire fighting. The firefighters trained are skilled and equipped to work safely and effectively in a hazardous environment while fighting wildfires and can be deployed locally or regionally 24/7 as a team.
- The department has leased a warehouse for three years for firefighter training. The training division used the space in the warehouse to build various props which were used to provide the firefighters realistic hands on training. The training provided enhances the firefighters' fire service skills in the areas of residential, multi-family, and commercial fire tactics; forcible entry, search and rescue, ventilation techniques, technical rescue procedures, vehicle extrication, and firefighter survival techniques.

FY 2013-14 Overview and Significant Changes:

The department's focus in FY 2013-14 is to identify and acquire affordable land for three new fire stations, and the relocation of Station Three through GIS mapping, the planning department, population growth projections, and response time criteria for structure fires that will meet current and future requirements.

- Due to the size of district four, the threats (larger homes, business, and multi-family) and the extended response time, the department will assign an engine company to Station Four to complement the current aerial apparatus. This will enhance the department's ability to fulfill its primary responsibility of providing fire and emergency services to our citizens in this district.
- Begin the remodeling process/design for Central Fire Station and the Public Works building.
- Begin the construction process/design for the new Station Three.

New Programs for FY 2013-14:

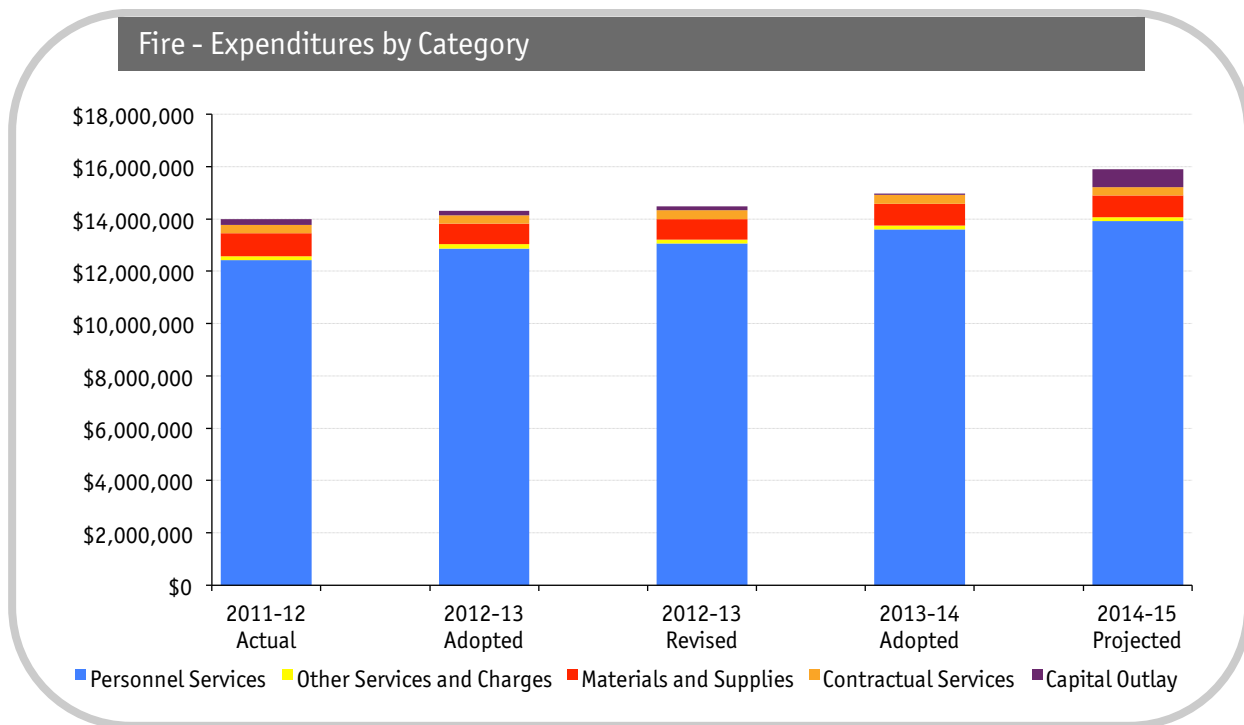
Staff Engine Four/Hire Six Firefighters: This program will add an Engine Company to Station Four with supporting personnel and equipment to address response needs and threats in this district. District four is in the Southeast area of Round Rock it is the only fire station to provide initial emergency response to a district containing over \$2 billion in property and approximately 30,000 lives (an average fire station serves an area of approximately \$500 M and 10,000 lives). Due to the size of this district, the threats (larger homes, businesses, and multi-family) and the extended response time, a truck and engine are needed to fulfill its primary responsibility of providing fire and emergency services to our citizens.

FY 2014-15 Overview and Beyond:

We have identified a course of action for future fire stations, station locations, type and number of apparatuses and what future staffing should be. This will ensure we meet the demands of a growing population and have the ability to provide our citizens the best fire service possible in the years to come.

- Identify and acquire land for three new stations.
- Build, staff, and equip stations eight, nine, and ten. The staffing, fire apparatus, and equipment for the new station will require that we use current and new resources.
- Build a fire and police joint training facility.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|---------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$12,418,394 | \$12,876,992 | \$13,053,992 | \$13,610,422 | \$13,922,455 |
| <i>Contractual Services</i> | 319,101 | 329,706 | 329,706 | 334,833 | 332,250 |
| <i>Materials and Supplies</i> | 871,516 | 789,805 | 789,805 | 819,370 | 816,644 |
| <i>Other Services and Charges</i> | 158,324 | 150,220 | 150,220 | 145,474 | 145,474 |
| <i>Capital Outlay</i> | 225,599 | 159,500 | 159,500 | 55,000 | 689,276 |
| Total Expenditures: | \$13,992,934 | \$14,306,223 | \$14,483,223 | \$14,965,099 | \$15,906,099 |
| Expenditures per Capita: | \$136.72 | \$136.51 | \$138.20 | \$139.08 | \$143.56 |



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Fire | 17.4% | 16.3% | 16.5% | 16.5% | 17.2% | 19.0% | 19.1% | 19.8% |

General Fund

Fire

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|--|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Asst. Coor. Emergency Mgmt. ⁴ | 111 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Logistics Officer ⁵ | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Logistics Officer ⁵ | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Administrative Manager | 111 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Admin. Asst. ⁵ | 208 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Emergency Mgmt. Coordinator ³ | 113 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Assistant Fire Chief | CSPS | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Fire Chief | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Administrative- Assoc. ⁵ | 206 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Battalion Chief (Admin) | CSPS | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Battalion Chief (Fire Marshal) | CSPS | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Battalion Chief (Training) ¹ | CSPS | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Battalion Chief ¹ | CSPS | 3 | 3 | 3 | 3.00 | 3.00 | 3.00 |
| Driver Shift ² | CSPS | 24 | 30 | 30 | 24.00 | 30.00 | 30.00 |
| EMS Coordinator Captain ¹ | CSPS | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Fire Captains Shift | CSPS | 13 | 13 | 13 | 13.00 | 13.00 | 13.00 |
| Fire Inspector Lieutenant | CSPS | 3 | 3 | 3 | 3.00 | 3.00 | 3.00 |
| Fire Lieutenant Shift | CSPS | 17 | 17 | 17 | 17.00 | 17.00 | 17.00 |
| Fire Prevention Captain | CSPS | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Firefighter Shift ² | CSPS | 54 | 48 | 54 | 54.00 | 48.00 | 54.00 |
| In-Service Training Captain ¹ | CSPS | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| In-Service Training Driver | CSPS | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 129 | 130 | 137 | 129.00 | 130.00 | 137.00 |

Civil Service Pay Structure (CSPS) (see Personnel section)

¹ EMS Coordinator Captain reclassified to In-Service Training Captain, In-Service Training Captain reclassified to Battalion Chief FY13

² Firefighter Shift reclassified to Driver Shift FY13

³ Position moved from Administration Department FY13

⁴ Position added from Grant in FY14

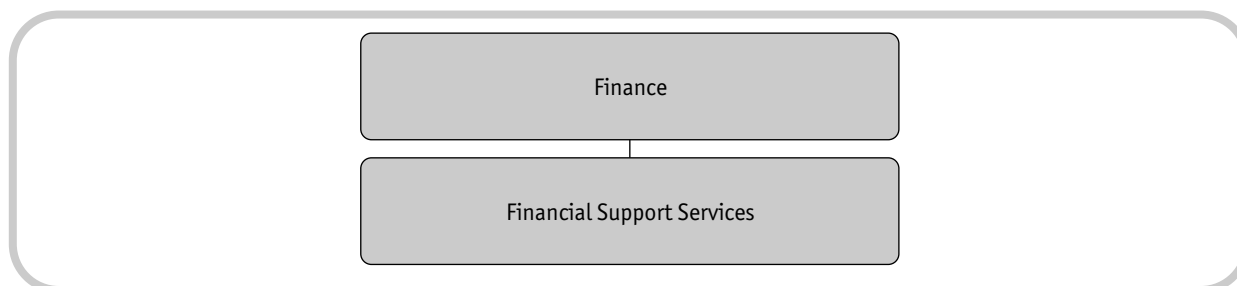
⁵ Title change due to Market Study FY14

Fiscal Support Services

The Fiscal Support Services section of the budget provides funding for general government expenditures that are not allocable to any specific department. Due to the general, strictly financial nature of the Department’s charge, oversight of the Fiscal Support Services Department’s activities is the responsibility of the City’s Finance Department.

Vision: Provide leadership and direction to maintain the financial stability of the City and prepare for future growth.

Mission: The mission of the Fiscal Support Services Department is to provide general financial monitoring, oversight, and support to the City of Round Rock for all expenditures that are not allocable to any specific department.



Major Business Functions:

Fiscal Support Services: is a support department for the General Fund. This purely fiscal responsibility center captures expenditures associated with non-allocable costs for general fund related items. Examples of expenditures include various utility and maintenance costs for City Hall and general fund departments’ expenditure items such as taxes and insurance. This function also provides funding for economic development efforts and the economic development and revenue sharing agreement between the City, Dell Inc. and other entities (addressed in the Budget Message). Finally, funding is also provided for not-for-profit social service agencies, compensation consultants, legislative lobbying, and City participation in state and national organizations such as the Texas Municipal League and the National League of Cities.

Key Customers:

The Fiscal Support Services’ primary customers include General Fund departments. External customers are the non-profit agencies and vendors related to economic development activities and various services the City funds.

Customer Expectations and Requirements:

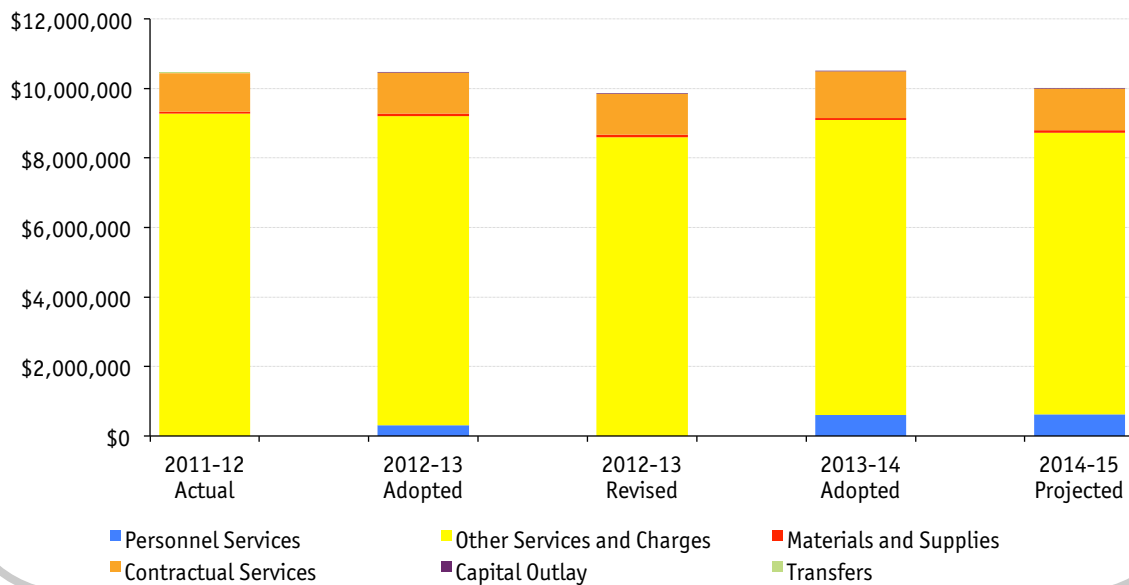
Provide general financial monitoring, oversight and support to General Fund departments for all expenditures that are not allocable to any specific department. This department also responds to all administrative and departmental fiscal needs as necessary.

General Fund

Fiscal Support Services

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|---------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$0 | \$300,000 | \$0 | \$610,000 | \$628,300 |
| <i>Contractual Services</i> | 1,115,437 | 1,180,700 | 1,180,700 | 1,335,825 | 1,210,725 |
| <i>Materials and Supplies</i> | 44,819 | 65,500 | 65,500 | 67,000 | 67,000 |
| <i>Other Services and Charges</i> | 9,279,324 | 8,908,200 | 8,601,100 | 8,478,510 | 8,098,510 |
| <i>Capital Outlay</i> | 0 | 18,000 | 18,000 | 18,000 | 18,000 |
| <i>Transfers</i> | 8,902,417 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$19,341,997 | \$10,472,400 | \$9,865,300 | \$10,509,335 | \$10,022,535 |
| Expenditures per Capita: | \$188.98 | \$99.93 | \$94.13 | \$97.67 | \$90.46 |

Fiscal Support - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|-------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Fiscal Support Services | 13.0% | 11.9% | 11.3% | 11.6% | 10.8% | 0.0% | 0.0% | 0.0% |

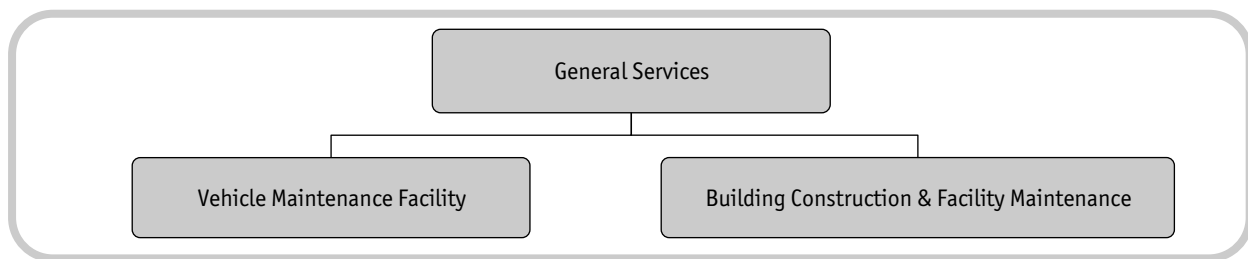
Note: One-time transfer not included in efficiencies

General Services

General Services is responsible for overseeing the fleet operations and the upkeep of the City facilities. Two divisions make up this group. The Vehicle Maintenance Facility Department (VMF) provides maintenance and repair of the City's vehicle fleet and small equipment. The Building Construction and Facility Maintenance Department provides architectural planning and construction management and maintenance and daily upkeep of all City facilities.

Vision: We strive to be an effective, customer service oriented department that is respected by employees and citizens of Round Rock.

Mission: We maintain all City vehicles for safe and efficient operations. We also build and maintain safe and clean facilities that promote a welcoming environment for all employees and the citizens of Round Rock by providing excellence in customer service.



Major Business Functions:

Vehicle Maintenance Facility (VMF): is comprised of a single program with four major teams. Each team consists of highly qualified mechanics and staff who focus on the maintenance and repair of all City vehicles and equipment. Having specialized teams assures that all City vehicles and equipment will always be safe and well-maintained.

Building Construction and Facility Maintenance: works with all City departments to determine facility needs. The department facilitates the design and construction of new facilities and assists with the rehabilitation and renovations of older facilities. The department manages the City's comprehensive preventative maintenance program including maintenance, repair and upgrades of facilities and oversees day-to-day cleaning for City buildings.

Key Customers:

The General Services group serves both internal and external customers. Internal customers include City employees and external customers include any person visiting a City facility.

Customer Expectations and Requirements:

Both our internal and external customers expect a professional level of customer service. Internal customers expect timely responses to their request and external customers expect professionalism in all their interactions with the City.

FY 2012-13 Highlights:

- Planning and project management of the new Sports Center have been on time and in budget. Projected completion date is fall of 2013
- Several City buildings, recreational complexes and other facilities were renovated in coordination with other City departments

FY 2013-14 Overview and Significant Changes:

- The Vehicle Maintenance Facility will continue to maintain the City's fleet of 650 vehicles and 1,000 small engine field equipment (i.e. weed trimmers and mowers)

- Facility Maintenance continues to develop a city-wide repair and maintenance schedule for all buildings. This effort includes plans to reduce the energy consumption by 5% across the City.

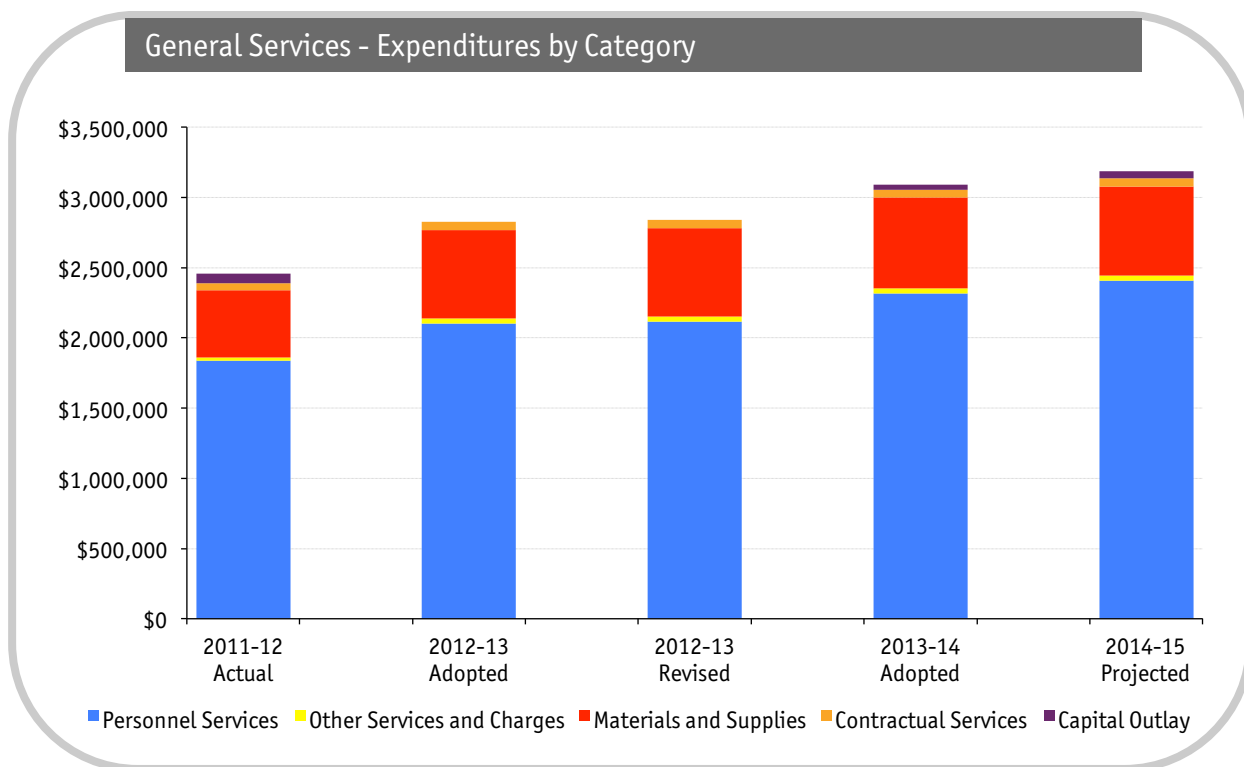
New Programs for FY 2013-14:

- In order for the Vehicle Maintenance Facility to continue maintaining the City's fleet of fire department vehicles, one additional mechanic is added to the staffing model. This mechanic will be focused on the maintenance and repair of the fire apparatus.
- An additional project manager is being added to help oversee all internal projects as well as external projects.
- An addition of two certified/licensed facility maintenance technicians will help reduce the cost of outsourcing repairs and speed up response and repair time.

FY 2014-15 Overview and Beyond:

- Continue delivering excellent customer service to all customers at all times
- Develop department resources to reduce the reliance on outside contractors
- Continue developing plans to increase the efficiency of the Vehicle Maintenance Facility through technology and team work

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$1,836,593 | \$2,102,113 | \$2,115,613 | \$2,314,343 | \$2,408,657 |
| <i>Contractual Services</i> | 52,744 | 60,162 | 60,162 | 55,303 | 59,821 |
| <i>Materials and Supplies</i> | 477,895 | 630,692 | 630,692 | 648,154 | 631,699 |
| <i>Other Services and Charges</i> | 22,682 | 34,798 | 34,798 | 36,666 | 34,798 |
| <i>Capital Outlay</i> | 66,800 | 0 | 0 | 35,000 | 50,550 |
| Total Expenditures: | \$2,456,714 | \$2,827,765 | \$2,841,265 | \$3,089,466 | \$3,185,525 |
| Expenditures per Capita: | \$24.00 | \$26.98 | \$27.11 | \$28.71 | \$28.75 |



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|------------------|-------------------------------------|-----------------|-----------------|-----------------|-------------------|--|-----------------|-----------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| General Services | 3.1% | 3.2% | 3.2% | 3.4% | 3.4% | 4.1% | 4.8% | 5.3% |

General Fund

General Services

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------------------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Building Construction Manager | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| General Services Director | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Facility Maintenance Manager | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Facility-Superintendent ¹⁰ | 111 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Project Manager | n/a ¹¹ | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Facility Maintenance Technician ^{1,2} | 206 | 3 | 4 | 5 | 3.00 | 4.00 | 5.00 |
| Custodian ^{2,3,4} | 203 | 5 | 8 | 9 | 5.00 | 6.50 | 7.50 |
| Custodian Supervisor ³ | 211 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Administration Associate ^{5,7} | 206 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Administrative Assistant | 208 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Fleet Operations Manager | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Superintendent Fleet Operations ^{6,10} | 111 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Fleet Operations Supervisor ^{7,8,10} | 212 | 2 | 1 | 2 | 2.00 | 1.00 | 2.00 |
| Mechanic ^{6,7,8,10} | 209 | 9 | 9 | 10 | 9.00 | 9.00 | 10.00 |
| Parts Specialist ^{9,10} | 207 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Shop Supervisor ⁹ | n/a ¹¹ | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Parts Inventory Technician | n/a ¹¹ | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Administrative Associate ¹⁰ | 206 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 28 | 34 | 38 | 28.00 | 32.50 | 36.50 |

¹ Position moved from Library FY13

² Facility Maintenance Technician reclassified to Custodian FY 14

³ Custodian reclassified to Custodian Supervisor FY13

⁴ Positions moved from PARD FY13

⁵ Position moved from Planning & Development Services during FY12

⁶ Mechanic reclassified to Shop Superintendent FY 13

⁷ Mechanic reclassified to Shop Foreman FY 14

⁸ Shop Foreman reclassified to Mechanic FY13

⁹ Shop Supervisor reclassified to Parts Specialist FY13

¹⁰ Title change due to Market Study FY14

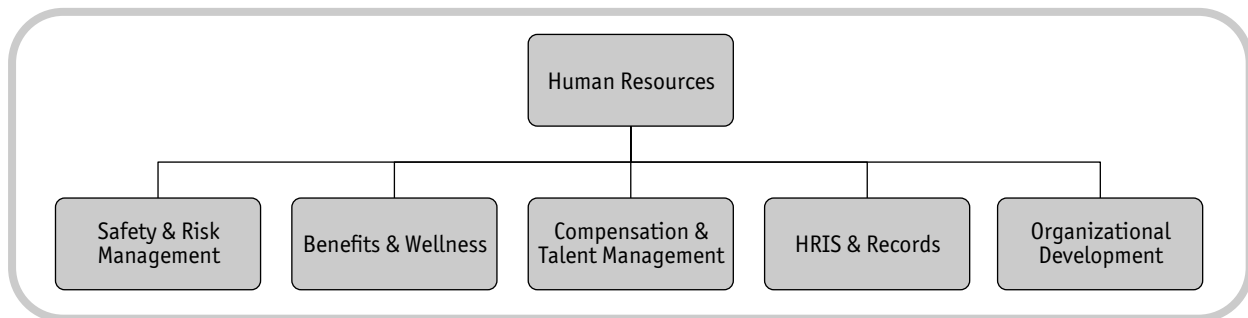
¹¹ n/a denotes a position that has been eliminated

Human Resources

The Human Resources Department (HR) is responsible for providing direction and leadership in human resources matters that support our organization's success. Our focus is to provide the following HR major business functions: Safety and Risk Management, Benefits and Wellness, Compensation and Talent Management, managing the Human Resource Information System/Records, and Organizational Development.

Vision: To encourage and support a work/life balance for employees while maintaining a diverse, respectful, and safe work environment.

Mission: Attract, engage, and retain the best talent to provide quality service to the citizens of Round Rock.



Major Business Functions:

HR is responsible for developing the organization's human resource capacity to meet its current and future operational objectives. The Human Resources Department's major business functions are:

Safety and Risk Management: The purpose of this program is to integrate and facilitate risk management thinking; occupational safety, and health practices into business planning and daily operations. Risk Management, in cooperation with management and department representatives; continue to develop organizational and operational skills to respond actively and creatively to challenges that would constitute risk exposure to the City's assets and resources.

Benefits and Wellness: The Human Resources staff recommends and administers the City's benefits and wellness program consistent with its philosophy that the program will be externally competitive and promote a healthy lifestyle. Staff recommends to City management changes to employee benefit programs based on market trends and identifies new wellness initiatives.

Compensation and Talent Management: Provides strategies and programs for recruiting, selecting, developing and retaining a workforce with the skills necessary to achieve City objectives. Human Resources staff provides departments with assistance on the job classification process; performance management program, and enhances manager and employee understanding of human resource related policies and practices. In addition, staff continues to work on the development of strategies related to the City's total rewards. This involves ensuring that the City's compensation and salary administration system is internally equitable and externally competitive as well as monitoring and revisiting benefit cost control strategies.

Human Resource Information System (HRIS) and Records: This functional area manages the integrated human resources systems. HRIS maintains employment-related records such as personal data, job data, pay, benefits, taxes, and leave records for all City employees. Responsibility includes maintenance of employee personnel files and other associated HR files. Other services provided by HRIS include: maintaining benefit records for retirees with medical coverage; online Talent Management and performance management process, and the development and improvement of technology efficiencies, such as employee self-service.

Organizational Development: This function supports consultation, facilitation and training services that assist the organization and employees in improving performance. In addition, HR staff works closely with department management on interpretation and training related to federal, state, and local compliance. This focus also assists in diversity initiatives, workforce and succession planning, change management, team development and group dynamics, collaborative problem-solving, and team building. Embedded in the system is the identification of employee

development opportunities component tasked with enabling employees to maximize their career potential by providing job advancement, learning, training, development opportunities, and effective performance management practices. Staff provides management consultation and group facilitation services for business planning.

Key Customers:

The Human Resources Department serves both internal and external customers. Internal customers include the City of Round Rock employees and senior management. We also serve and support our external customers which are applicants, vendors, and citizen inquiries.

Customer Expectations and Requirements:

Both our internal and external customers expect a professional level of service and support. Our internal customers expect the Human Resources Department to deliver organizational leadership to support long term strategy and short term activities. Employees have the expectations that Human Resources staff will provide timely and accurate responses to their questions as well as provide guidance for following policies and procedures. When a citizen enters the office, we represent the City of Round Rock; the expectation is to be heard, understood, and to provide accurate, timely responses to questions.

FY 2012-13 Highlights:

Human Resources focused on process efficiencies, pay practices and ways to improve productivity using technology. Here are a few of the highlights:

- The Compensation and Classification Study was completed and changed the pay structure for the entire City to be more competitive. Job descriptions were updated to reflect the work being performed which resulted in 90 job titles being eliminated.
- On-line open enrollment for benefits was implemented citywide with 100% participation.
- Free mail order generics were added as a benefit and full service employee assistance program (EAP).

FY 2013-14 Overview and Significant Changes:

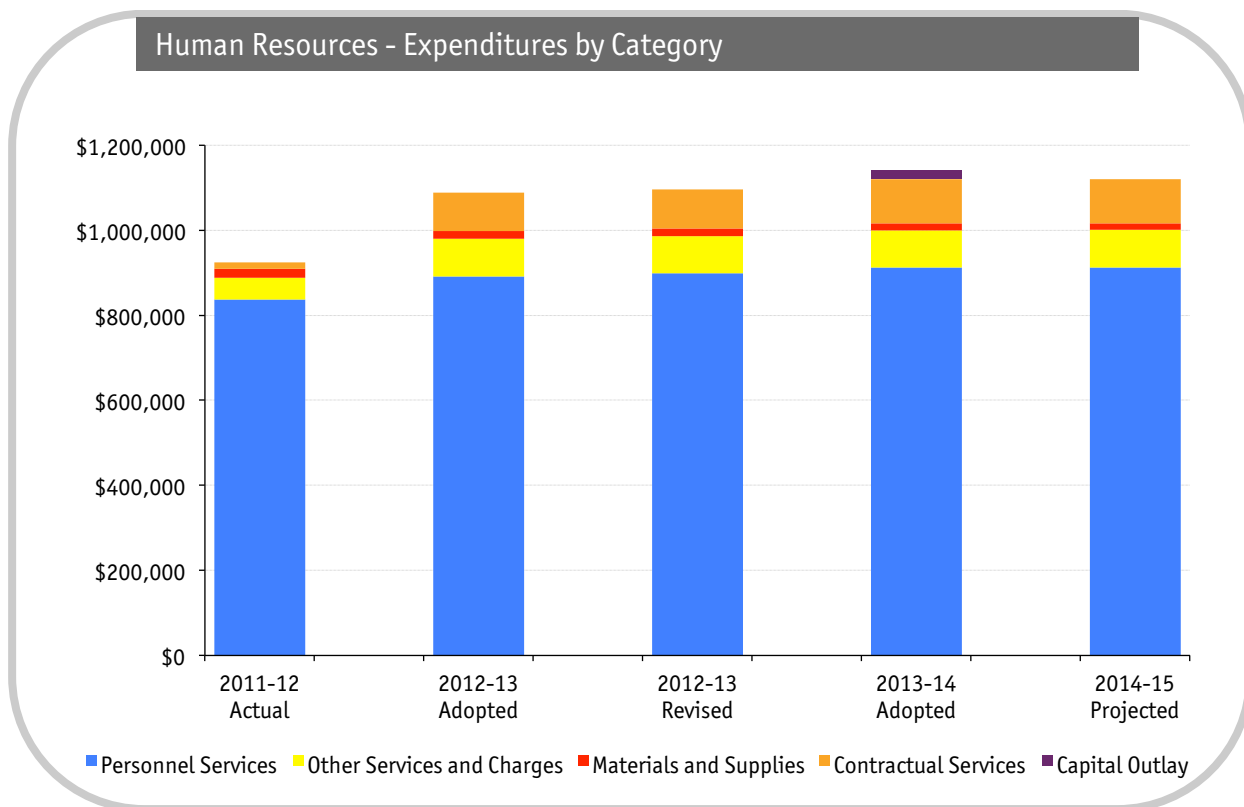
In our efforts to continue as an employer of choice, the City will continue to review and revise where appropriate programs that directly affect its employees. In FY 2013-14, Human Resources will focus on creating and developing strategic specializations for Human Resources Management that support the City's Strategic Goals.

- We will continue to focus on Employee Wellness Programs that are targeted on early detection and self-management of health risks in an attempt to improve the overall health of City employees. Programs will emphasize prevention of disease and secondary care for existing conditions. Programing includes health screenings, primary-prevention techniques including participation at area fitness centers, healthy eating seminars, weight management courses, health education information, health risk assessment tools, and coworker/department health competitions and games.
- With the implementation of the Munis system, we will continue to transition more fully into an e-HR that will transform how we deliver Human Resources administrative services. Specific attention will focus on a web based Performance Management System, On-line Training Calendar and Enrollment (employee self-service) and provide hands-on access to employee data to meet our performance requirements for supporting organizational needs across City departments.

FY 2014-15 Overview and Beyond:

Organization Development will continue to be a focus as we look for ways to keep the employees, supervisors, and managers engaged in the work by providing innovative ways of doing our jobs smarter as we develop the City's future leaders. This effort will be supported by continuing programs like the Supervisor Academy and providing classes on Policy and Procedure, Coaching and Counseling, and Sexual Harassment which are being developed. We will continue to focus on safety and will work on enhancements to our existing programs. We will also continue to support the Executive Academy currently being offered and work on a mentor program for managers to enhance their supervisory skills and development of their employees.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|-------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$837,119 | \$891,492 | \$897,992 | \$911,636 | \$912,991 |
| <i>Contractual Services</i> | 14,686 | 91,110 | 91,110 | 102,958 | 102,790 |
| <i>Materials and Supplies</i> | 20,761 | 17,700 | 17,700 | 16,149 | 15,149 |
| <i>Other Services and Charges</i> | 51,141 | 88,660 | 88,660 | 88,660 | 88,660 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 22,000 | 0 |
| Total Expenditures: | \$923,707 | \$1,088,962 | \$1,095,462 | \$1,141,403 | \$1,119,590 |
| Expenditures per Capita: | \$9.02 | \$10.39 | \$10.45 | \$10.61 | \$10.10 |



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|-----------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Human Resources | 1.1% | 1.2% | 1.2% | 1.3% | 1.2% | 1.6% | 1.6% | 1.6% |

General Fund

Human Resources

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Human Resources Director | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Benefits Manager ^{1,2} | 112 | 1 | 0 | 0 | 1.00 | 1.00 | 0.00 |
| Human Resources Specialist ² | 211 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Safety/Risk Manager | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Safety Coordinator ² | 107 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Human Resources Assistant ² | 206 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Human Resource Senior Generalist | 110 | 1 | 2 | 2 | 1.00 | 1.00 | 2.00 |
| Human Resources Generalist ² | 107 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Administrative Assist. ² | 208 | 1 | 1 | 1 | 0.75 | 0.75 | 0.75 |
| Total | | 11 | 11 | 11 | 10.75 | 10.75 | 10.75 |

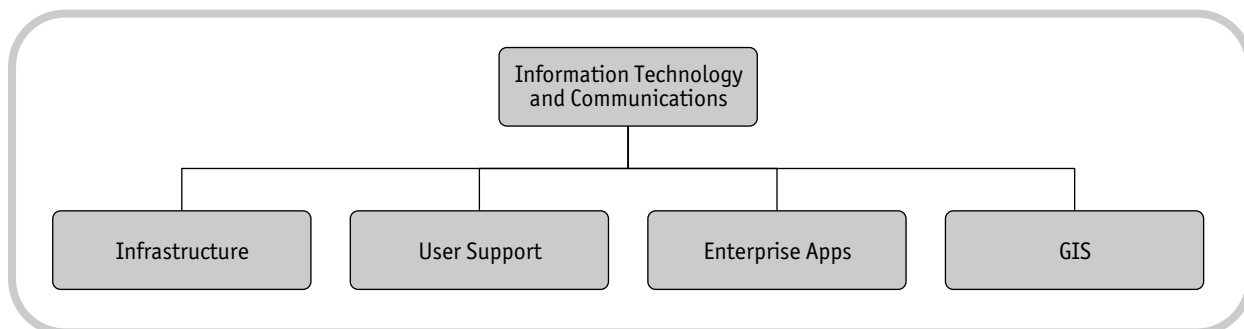
¹ Human Resources Benefits Manager reclassified to Benefits Specialist FY13

² Title change due to Market Study FY14

Information Technology and Communications

The Information, Technology and Communications (ITC) Department's function is to maintain and support the hardware and software infrastructure within the City government; assist in procurement and training for all major software systems, and help guide all departments in forming plans for their future use of technology.

Mission: The ITC department's mission is to assist all City departments to become more productive through the use of technology, to safeguard relevant data, and to increase the sharing of important governmental information between City departments.



Major Business Functions:

The City of Round Rock Information Technology and Communications Department consists of four programs:

Infrastructure: Responsible for the planning, implementation and management of data connectivity throughout the City government. Providing IP telephony, server virtualization, data storage, desktop virtualization, and network security are the major responsibilities of this program.

User Support: A major function of the ITC department is managing day-to-day customer support issues and technology requests. The department maintains a database of current issues and needs, and deploys personnel to assist in these matters.

Enterprise Applications: Information systems and database support are the duties of this group. Assistance in project management, implementation planning and procurement of new systems is also provided. In addition, Web Technology Support responsibility falls in this area it is split between one IT staff member and the Communication Division of the Administration Department.

GIS Support: This group's duties include coordinating the use of GIS (Geographic Information Systems) and spatial data throughout the City. This group helps guide departments to take advantage of the power of location data in their everyday work.

Key Customers:

The ITC Department supports City technology hardware and software resources. In addition, we work with external agencies, including: RRISD, WCAD, BCRUA, Time Warner, and various hardware and software vendors to ensure that the City's technology requirements are fulfilled.

Customer Expectations and Requirements:

The ITC Department continues to address the need to develop ways of utilizing technology to enhance effectiveness and efficiency. Implementing smart technologies in appropriate ways should lead to quality customer service for those we serve.

FY 2012-13 Highlights:

- Roughly 400 employees, nearly half of the City's fulltime workforce, utilize desktop virtualization technology. This allows employees access to their desktop computing environment from locations around the world. This technology also gives employees in the field access to information in a secure fashion from tablets and smartphone devices.
- Employees, residents, and businesses have come to see great value in the geographic data that the city provides and the City View project was designed to make that data available in a format that can be used on as many modern devices as possible. Benefits range from utility workers having better knowledge of where utility lines run through the City to residents locating the nearest park or trail from their smartphone.
- IT became responsible for the City cell phone program and transitioned all 600 City cell phones over to Verizon Wireless. Cost reductions with Verizon allowed City departments to enhance capabilities by putting over 160 smart phones in the field.
- Migrated to the new Cisco VoIP phone system after months of planning. Early comments have given high praise for the system's features, call quality and ease of use. Enhanced features will continue to be rolled out.
- Through the fiber agreement with the Round Rock Independent School District, the City of Round Rock has access to the data room at the sports arena on Parmer Lane. IT took the opportunity to utilize this location as a disaster recovery site for City data. Through this upgrade, the fiber network was strengthened by adding additional redundancy and freeing up valuable storage space at our City Hall and Police Department data centers.
- In conjunction with the Finance and HR Departments, IT began the process of migrating our financial and human resource data off of multiple legacy and shadow systems into the new Munis Enterprise Resource Planning (ERP) system.
- Installation of public Wi-Fi equipment at select City locations.

FY 2013-14 Overview and Significant Changes:

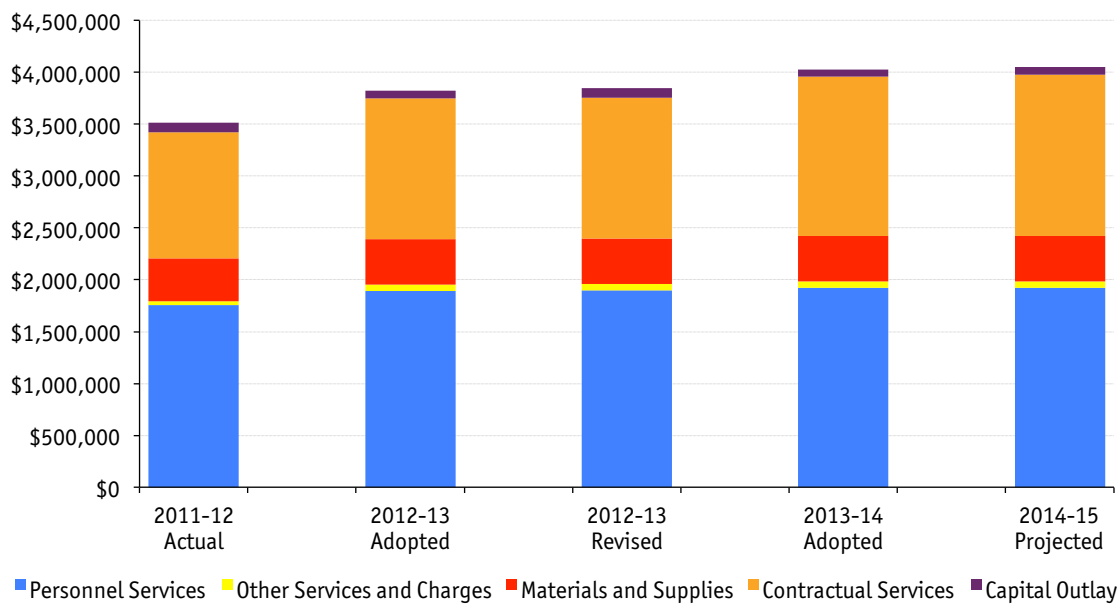
- Continued evolution of virtualization technologies will enable better performance, management of data and experience, regardless of the end point device. The virtual environment consists of desktop software images running on centralized servers and will significantly reduce the cost of managing these customer desktops.
- Enterprise-grade fixed asset and work order system will allow for better tracking of work processes and City assets. This will also make business data more readily accessible for planning and decision making.
- Electronic Document Management allows us to better share documents and better track our retention schedules. This project also serves to help the City reduce duplication of efforts and cut down on paper waste.
- Enterprise Resource Planning will allow us to better track our financial and human resources processes as well as gain operation efficiencies and reduce the amount of time it takes to complete many transactional processes.
- Enhancement of internal communications through the development of a City intranet.

FY 2014-15 Overview and Beyond:

- Evolution of existing virtualization technologies and environments.
- Emphasis on evaluating mobile technologies.
- Continued fiber network and public Wi-Fi improvements.
- Reduce datacenter complexity and footprint.
- Enhance efforts to make data more readily available.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$1,756,227 | \$1,893,878 | \$1,896,878 | \$1,921,164 | \$1,924,007 |
| <i>Contractual Services</i> | 1,220,171 | 1,357,544 | 1,357,544 | 1,540,683 | 1,553,283 |
| <i>Materials and Supplies</i> | 412,898 | 438,107 | 438,107 | 437,819 | 437,819 |
| <i>Other Services and Charges</i> | 35,146 | 62,580 | 62,580 | 62,580 | 62,580 |
| <i>Capital Outlay</i> | 91,496 | 73,007 | 90,507 | 66,247 | 75,000 |
| Total Expenditures: | \$3,515,938 | \$3,825,116 | \$3,845,616 | \$4,028,493 | \$4,052,689 |
| Expenditures per Capita: | \$34.35 | \$36.50 | \$36.69 | \$37.44 | \$36.58 |

Information Tech. and Communications - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Information Technology | 4.4% | 4.4% | 4.4% | 4.4% | 4.4% | 3.2% | 3.2% | 3.2% |

General Fund

Information Technology

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Chief Information Officer ¹ | EX | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Enterprise Applications Manager ¹ | 112 | 2 | 1 | 1 | 2.00 | 1.00 | 1.00 |
| Information Technology Operations Officer ² | 114 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Infrastructure Manager ^{2,3} | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Logistics Officer - IT ⁷ | 106 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| User Support Manager | 111 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Computer Support Technician ⁴ | 210 | 3 | 4 | 4 | 3.00 | 4.00 | 4.00 |
| Database Administrator | 109 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| GIS Analyst | 107 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| GIS Coordinator | 111 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Senior GIS Analyst ⁷ | 108 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| GIS Technician ⁴ | 00 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Network Administrator ^{3,7} | 109 | 3 | 2 | 2 | 3.00 | 2.00 | 2.00 |
| System Administrator ^{5,6,7} | 108 | 2 | 4 | 4 | 2.00 | 4.00 | 4.00 |
| System Analyst ⁶ | 00 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Web Administrator ⁵ | 00 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Total | | 22 | 22 | 22 | 22.00 | 22.00 | 22.00 |

¹ Enterprise Applications Manager reclassified to Chief Information Officer FY13

² Infrastructure Manager reclassified to Information Technology Operations Officer FY13

³ Network Administrator reclassified to Infrastructure Manager FY13

⁴ GIS Technician reclassified to Computer Support Technician FY13

⁵ Web Administrator reclassified to Systems Administrator II FY13

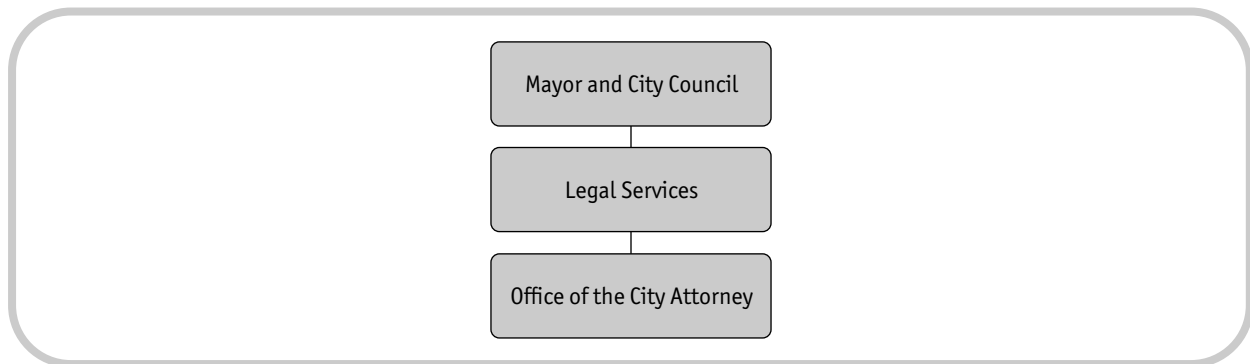
⁶ System Analyst reclassified to System Administrator FY13

⁷ Title changed due to Market Study FY14

Legal

The Legal Services Department consists of the appointed City Attorney, the special counsel for the Ethics Commission, and other outside attorneys retained from time to time to represent the City in specific matters. The City Attorney, Stephan L. Sheets of the law firm Sheets & Crossfield, PC, is assisted by five other attorneys and five legal assistants.

Mission: To provide competent professional and timely legal services at reasonable rates.



Major Business Functions:

As set forth in the Round Rock City Charter, the City Attorney is generally responsible for all legal affairs and reports directly to City Council. The duties of the City Attorney include: providing legal advice to Council, boards, commissions, and City departments; prosecutorial duties in Municipal Court; real estate matters including acquisitions, dispositions, and trades; representing the City in all litigation; and preparation and review of all contracts, resolutions, ordinances, and items presented to Council and the City Manager. Other outside attorneys may be retained as warranted to represent the City in special matters.

Key Customers:

Internal customers include the City Council and all City employees.

Customer Expectations and Requirements:

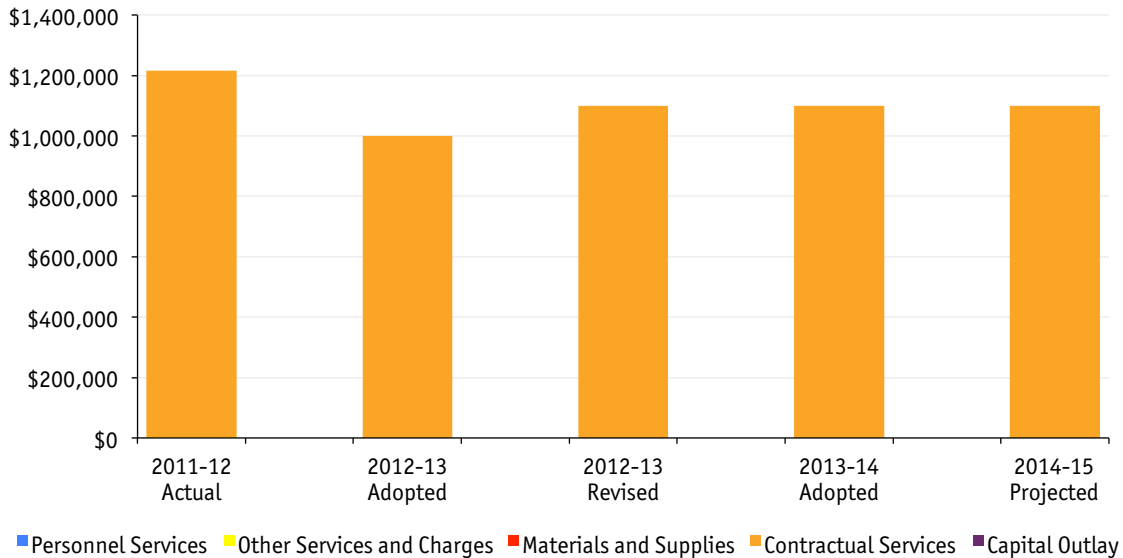
The internal customers expect prompt, competent legal advice at reasonable rates.

General Fund

Legal Services

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$0 | \$0 | \$0 | \$0 | \$0 |
| <i>Contractual Services</i> | 1,215,773 | 999,350 | 1,099,350 | 1,100,000 | 1,100,000 |
| <i>Materials and Supplies</i> | 0 | 0 | 0 | 0 | 0 |
| <i>Other Services and Charges</i> | 1,435 | 0 | 0 | 0 | 0 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$1,217,208 | \$999,350 | \$1,099,350 | \$1,100,000 | \$1,100,000 |
| Expenditures per Capita: | \$11.89 | \$9.54 | \$10.49 | \$10.22 | \$9.93 |

Legal - Expenditures by Category



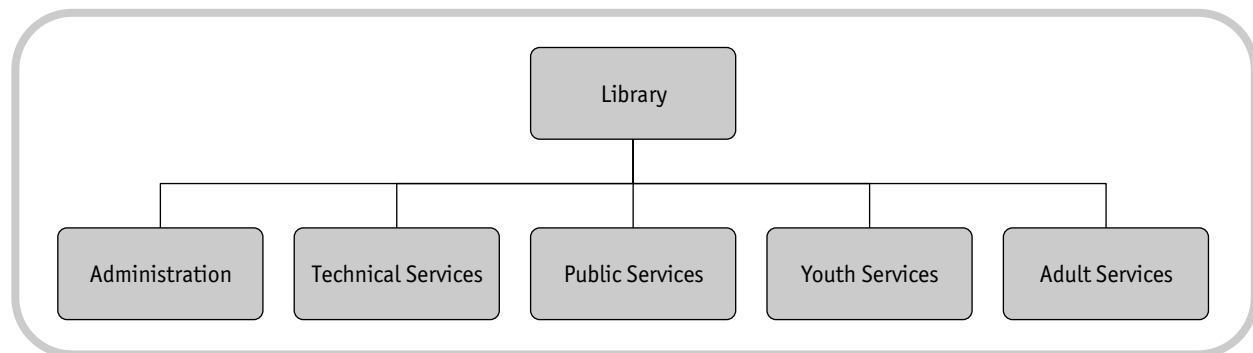
Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|----------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Legal Services | 1.5% | 1.1% | 1.3% | 1.2% | 1.2% | 0.0% | 0.0% | 0.0% |

Library

The Round Rock Public Library System provides our growing and diverse community a variety of exceptional programs and services. Our caring and knowledgeable staff maintains an attractive and dynamic environment in which to find information, enjoyment, and enrichment.

Mission: The Round Rock Public Library proudly serves its dynamic and growing community by providing high quality resources, services, and programs.



Major Business Functions:

The Library Department consists of five core functions. Each division is also responsible for marketing and volunteers.

Administration: includes the director and an administrative assistant who provides library-wide support and manages the room reservation system.

Technical Services: includes acquisitions, materials processing services, and system administration. This division selects, orders, catalogs and physically processes materials for public use. System Administration oversees the Horizon Integrated Library Computer System.

Public Services: provides direct customer service in person, over the telephone and via the Internet. Public service staff members provide various levels of reference and circulation assistance.

Youth Services: provides programs and direct customer service for children. This division also selects material for the newborn through high school level book and audio-visual collections.

Adult Services: oversees the art gallery, community outreach programs involving partnerships with outside organizations, customer computer training, and programs targeting adult populations.

Key Customers:

The Library Department serves primarily external customers. These customers are residents, non-residents, and visitors to our community.

Internal customers include the City Council and all City employees.

Customer Expectations and Requirements:

There is an expectation that the building is safe, clean, and comfortable. Customers expect prompt, accurate, and courteous information and service.

FY 2012-13 Highlights:

Technology Upgrades

- Enhanced searching for customers by adding NoveList Complete software helps customers with reading recommendations; book discussion guides; ratings and reviews, and includes an “on the go” version for mobile devices; customers now have the ability to search the library catalog in Facebook and Wikipedia; LibX toolbar provides direct access to the library as you search through Amazon.com, Google Scholar, and other online resources.
- Increased access to digital resources by adding magazines to the collection; Zinio offers library customers the ability to access over 100 magazines online.

Summer Reading Program

- Total program attendance: 10,619
- 41 teen volunteers worked 1500 hours over nine weeks
- Students from Berkman, Bluebonnet, Brushy Creek, Robertson and Chandler Oaks all increased participation by 50% or more

Programming Initiatives and Partnerships

As a result of hiring a dedicated staff person to oversee programming for adults, the library was able to offer a diverse group of programs designed to engage adult citizens with a variety of interests. Listed here are just a few examples:

- Community Cinema – In partnership with PBS, free monthly screenings of documentary films from the Emmy Award-winning series Independent Lens.
- eReader drop-in help sessions on Thursday nights – Customers bring their eReader, tablet or smartphone for free hands-on help sessions.
- Intro to Social Media: Beyond Facebook and Twitter -- Learn about the latest social media trends and how to use them.
- Spanish Language Computer Literacy – A series of bilingual computer classes held Sundays from October through February (in partnership with the Friends of the Round Rock Public Library and Chaparral Women’s Club).

FY 2013-14 Overview and Significant Changes:

As expected, there was an increase in all areas of service:

- 931,831 Number of circulation transactions
- 320,130 Customer visits
- 90,296 Total number of uses of public Internet computers
- 53,456 Reference transactions (questions answered)
- 34,482 Total attendance at library programs

The preliminary recommendations from the Library Master Plan were released and the goal is to begin implementation in FY14.

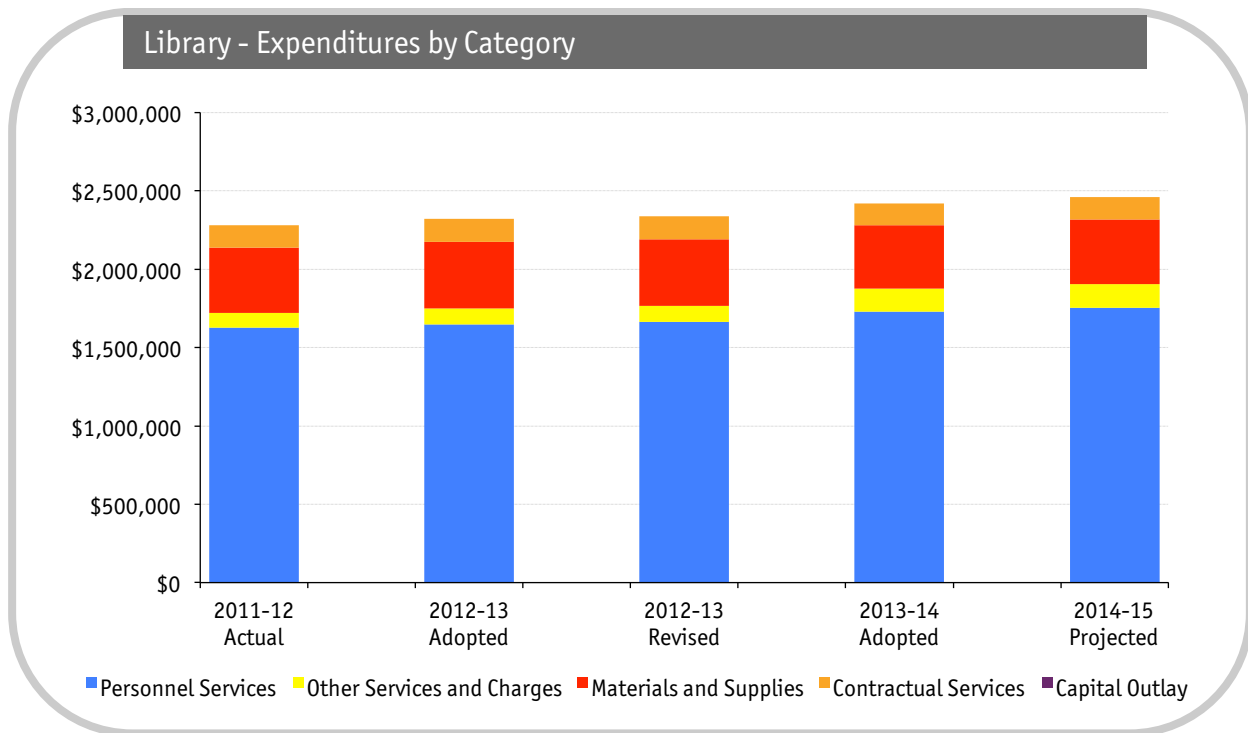
New Programs for FY 2013-2014:

- Additional Youth Services Librarian to provide programming for elementary and tween customers.

FY 2014-15 Overview and Beyond:

The Library Master Plan will be completed and a recommendation forwarded to City Council for potential bond funding.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|---------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| Personnel Services | \$1,628,517 | \$1,648,701 | \$1,665,201 | \$1,730,195 | \$1,754,406 |
| Contractual Services | 141,779 | 146,202 | 146,202 | 140,171 | 140,171 |
| Materials and Supplies | 416,292 | 425,764 | 425,764 | 403,566 | 414,100 |
| Other Services and Charges | 93,515 | 100,081 | 100,081 | 145,032 | 150,977 |
| Capital Outlay | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$2,280,103 | \$2,320,748 | \$2,337,248 | \$2,418,964 | \$2,459,654 |
| Expenditures per Capita: | \$22.28 | \$22.14 | \$22.30 | \$22.48 | \$22.20 |



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|---------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Library | 2.8% | 2.6% | 2.7% | 2.7% | 2.7% | 4.5% | 4.3% | 4.4% |

General Fund

Library

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|--|------------------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2011-12 Adopted |
| Library Director | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Library Manager ² | 112 | 3 | 3 | 2 | 3.00 | 3.00 | 2.00 |
| Senior Library Manager ² | 113 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Librarian ² | 106 | 10 | 9 | 10 | 8.25 | 7.75 | 8.75 |
| Library Asst. ² | 204 | 17 | 18 | 18 | 15.00 | 15.50 | 15.50 |
| Administrative Assoc. ² | 206 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Facility Maintenance Technician ¹ | n/a ³ | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Library Page ² | 201 | 5 | 5 | 5 | 1.25 | 1.25 | 1.25 |
| Total | | 38 | 37 | 38 | 30.50 | 29.50 | 30.50 |

¹ Position moved to GS - BC&FM FY13

² Title changes due to Market Study FY14

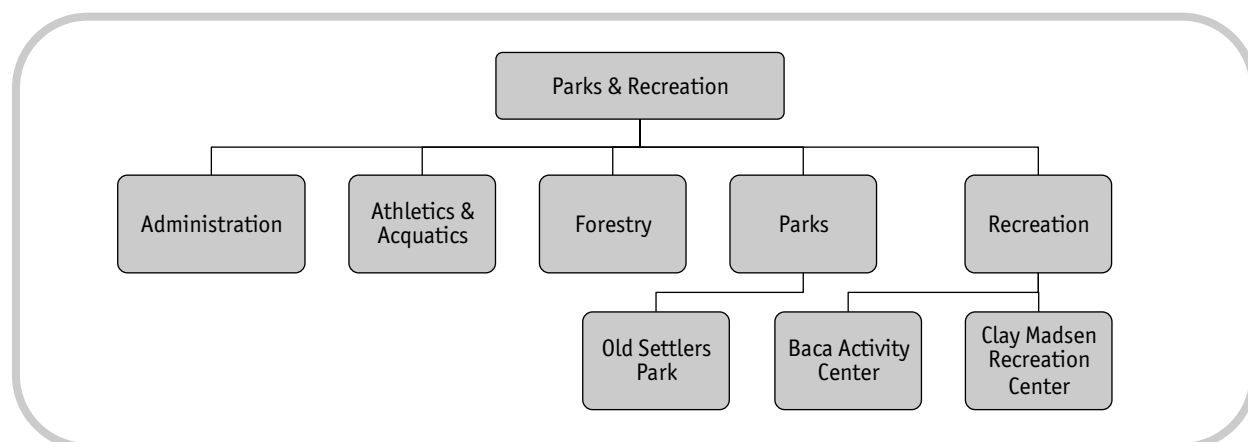
³ n/a denotes a position that has been eliminated

Parks and Recreation

The Parks and Recreation Department (PAR) is responsible for the acquisition, design, development, and maintenance of the park system; and the planting, conservation, and maintenance of trees. In addition, PAR is responsible for organized recreation programs, which include athletics, aquatics, instructional classes, special events and senior citizen activities. PAR also manages the Clay Madsen Recreation Center and the Allen R. Baca Senior/Activity Center.

Vision: Dedicating and empowering people to create positive and memorable experiences in their lives.

Mission: To provide an active, vibrant, and beautiful city with diversified and quality parks and a recreation system that produces economic, health, and social benefits for the entire community.



Major Business Functions:

Administration Division: This division is responsible for a variety of specific functions such as strategic plan implementation, marketing, facility reservations, park planning, and development and community outreach through the Park Ranger Program. Other division personnel provide administrative support functions including customer service, program registration, record retention, data input, technology support, and additional support to other internal divisions.

Athletics and Aquatics Division: This division has two distinct functional areas. The first responsibility is the development and supervision of youth and adult athletic leagues, sport lessons, sport camps and athletic special events. The second responsibility includes aquatic programming and pool maintenance of the Rock'n River Family Aquatic Center, Micki Krebsbach Pool, Lake Creek Pool and Clay Madsen Indoor Pool. Programs include 'Learn to Swim' lessons for children and adults, Lifeguard Training, Water Safety Instructor classes, and recreational swimming for the general public.

Forestry Division: This division is responsible for the beautification, conservation, and preservation of Round Rock's urban landscape through comprehensive tree planting and management programs. Services include: tree planting events, tree care, maintenance of trees in parks and rights-of-way, brush recycling, mulch management, residential curbside brush pick-up, storm damage to trees clean up and removal, management and expansion of the tree nursery, tree inspections, memorial tree program, Arbor Day events, Christmas tree recycling, community education and review and enforcement of the City's Tree Protection and Preservation Ordinance (Tree Removal Permit portion) and relevant portions of the Landscape Ordinance.

Parks Division: This division is responsible for grounds maintenance, athletic field maintenance, chemical applications, irrigation systems, construction projects, horticulture, and playgrounds that fall within the 1,999 acres of park land. An additional 372 acres of facilities, water tanks, and rights-of-way are also maintained. In addition, approximately 65 miles of corridor cleanup are maintained by this division. The Parks Division solicits and organizes volunteers such as community service personnel, as well as scouts and other groups to help accomplish divisional goals. The Parks Division provides support services for other activities within the department such as Christmas Family Night, Outlaw Trail, Texas Road Rash, and 4th of July Fireworks Celebration.

Old Settlers Park: This division was set up as a cost center within the Parks Division to better track the expenditures of events and maintenance of this 645 acre park. This alignment also gives us the ability to track expenses for supporting leagues, associations and the Sports Capital of Texas promotions.

Recreation Division: The Recreation Division, consisting of the Baca Activity and Clay Madsen Recreation Center (CMRC) is responsible for the development, implementation, and evaluation of recreation programs for all ages. This includes special events, instructional classes, and senior activities. Program development reflects the needs and desires of the community as expressed in surveys, suggestion boxes and focus groups.

The Allen R. Baca Senior/Community Center, this activity was set up as a cost center within the Recreation Division to better track revenues, expenses and cost recovery. The Baca Center is a senior and community facility committed to providing social, recreational, educational, and nutritional opportunities to persons 50 years of age and older in Round Rock and the surrounding communities. Programs are diverse in nature. Examples include instructional classes such as computer, art, fitness, finance, and foreign language; recreational programs including table games, club meetings, varied craft groups and dances; a fitness room, and special events. In addition, the center is available for community based groups, classes, and programs, as well as private rental opportunities.

The Clay Madsen Recreation Center: This division was set up as a cost center within the Recreation Division to better track revenues, expenses, and cost recovery. This is a membership-driven facility, committed to providing recreational and leisure opportunities to citizens of Round Rock and surrounding communities. The diversity of programs offered includes open gym play, tournaments, sports camps, after-school programs, instructional classes, adaptive activities, fitness and strength opportunities, and special events.

Key Customers:

The Parks and Recreation Department primarily serves external customers. These customers are residents of Round Rock, non-residents and visitors to our community.

Customer Expectations and Requirements:

Each customer segment has varying expectations for our department; however, the requirements are very similar. Each group expects responsive, respectful, and timely communication; high quality affordable programs and services; and effective and efficient use of City resources.

FY 2012-13 Highlights:

During Fiscal Year 2013, the department was recognized and honored by parks and recreation professionals at the national and state levels while focusing on new projects and maintaining our assets through the Repair and Replacement Program.

- National Recreation and Parks Association City Class II Award Finalist; Texas Recreation and Parks Society City Class II Gold Medal Award, and Park Development Innovations Award.
- New Projects: Rock'n Lights Holiday Lights Tour and the construction and opening of the McConico Centennial Plaza.
- Repair and Replacement Projects: Lake Creek Park and Lake Creek Pool Improvements.

FY 2013-14 Overview and Significant Changes:

Fiscal Year 2014 is focused on the preservation and revitalization of the historical Bathing Beach park space, the sale of McNeil Park as well as maintaining our assets through the Repair and Replacement Program.

- Bathing Beach
- Sale of McNeil Park
- Repair and Replacement Projects: Micki Krebsbach Pool Play Features and Round Rock West Improvements

FY 2014-15 Overview and Beyond:

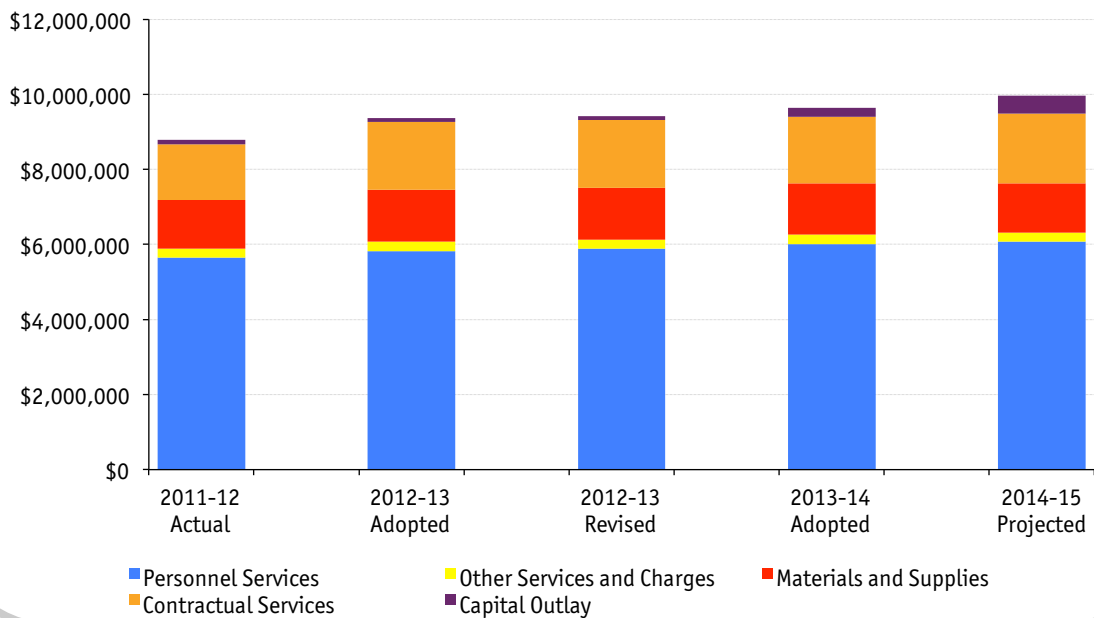
- Approved Bond Election included Rock'n River FAC Expansion, Adult Softball Complex, Multi-Purpose Fields, Heritage Trail and other City-wide trail connections plus a continuation of the Repair and Replacement Program.

General Fund

Parks & Recreation

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$5,646,276 | \$5,825,513 | \$5,883,013 | \$6,011,578 | \$6,071,836 |
| <i>Contractual Services</i> | 1,490,991 | 1,803,592 | 1,803,592 | 1,766,678 | 1,852,108 |
| <i>Materials and Supplies</i> | 1,298,097 | 1,378,361 | 1,378,361 | 1,377,870 | 1,317,285 |
| <i>Other Services and Charges</i> | 236,846 | 250,855 | 250,855 | 244,185 | 244,185 |
| <i>Capital Outlay</i> | 110,291 | 103,000 | 103,000 | 245,750 | 482,300 |
| Total Expenditures: | \$8,782,501 | \$9,361,321 | \$9,418,821 | \$9,646,061 | \$9,967,714 |
| Expenditures per Capita: | \$85.81 | \$89.33 | \$89.87 | \$89.65 | \$89.96 |

Parks & Recreation - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| PARD | 10.9% | 10.7% | 10.7% | 10.6% | 10.8% | 13.8% | 13.4% | 13.2% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Administrative Assistant | 206 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Administrative Technician I-III | 205 | 6 | 6 | 6 | 5.00 | 5.00 | 5.00 |
| Arborist | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Assistant PARD Director | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Athletics/Aquatics Manager | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Athletics/Aquatics Programs Supervisor | 108 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Brush Service Representative ¹ | 204 | 2 | 3 | 3 | 2.00 | 3.00 | 3.00 |
| Bus Driver | 203 | 1 | 1 | 1 | 0.25 | 0.25 | 0.25 |
| Business Systems Analyst | 107 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Forestry Crew Leader | 209 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Forestry Manager | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Forestry Technician ¹ | 205 | 4 | 3 | 3 | 4.00 | 3.00 | 3.00 |
| General Services Custodian ³ | 203 | 4 | 0 | 0 | 2.50 | 0.00 | 0.00 |
| Marketing Specialist II | 107 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Office Manager | 208 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| PARD Director | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Park Development Manager | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Park Development Specialist | 107 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Park Ranger - Senior | 212 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Park Ranger | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Parks Maintenance Crew Leader | 209 | 7 | 7 | 7 | 7.00 | 7.00 | 7.00 |
| Parks Maintenance Foreman ² | 212 | 2 | 3 | 3 | 2.00 | 3.00 | 3.00 |
| Parks Maintenance Worker I-III | 204 | 31 | 31 | 31 | 31.00 | 31.00 | 31.00 |
| Parks Manager | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Parks Supervisor - Const/Grounds ² | 108 | 3 | 2 | 2 | 3.00 | 2.00 | 2.00 |
| Rec Ctr Supervisor - Senior Ctr/CMRC | 108 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Recreation Assistant Shift Leader | 207 | 3 | 3 | 3 | 2.25 | 2.25 | 2.25 |
| Recreation Leader I/II | 207 | 15 | 15 | 15 | 6.50 | 6.50 | 6.50 |
| Recreation Manager | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Recreation Program Coordinator | 104 | 4 | 4 | 4 | 4.00 | 4.00 | 4.00 |
| Recreation Shift Leader - P/T | 209 | 1 | 1 | 1 | 0.25 | 0.25 | 0.25 |
| Recreation Shift Leader | 209 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Total | | 107 | 103 | 103 | 93.75 | 91.25 | 91.25 |

¹ Forestry Technician reclassified to Brush Service Representative FY13

² Parks Supervisor -Construction reclassified to Parks Maintenance Foreman FY13

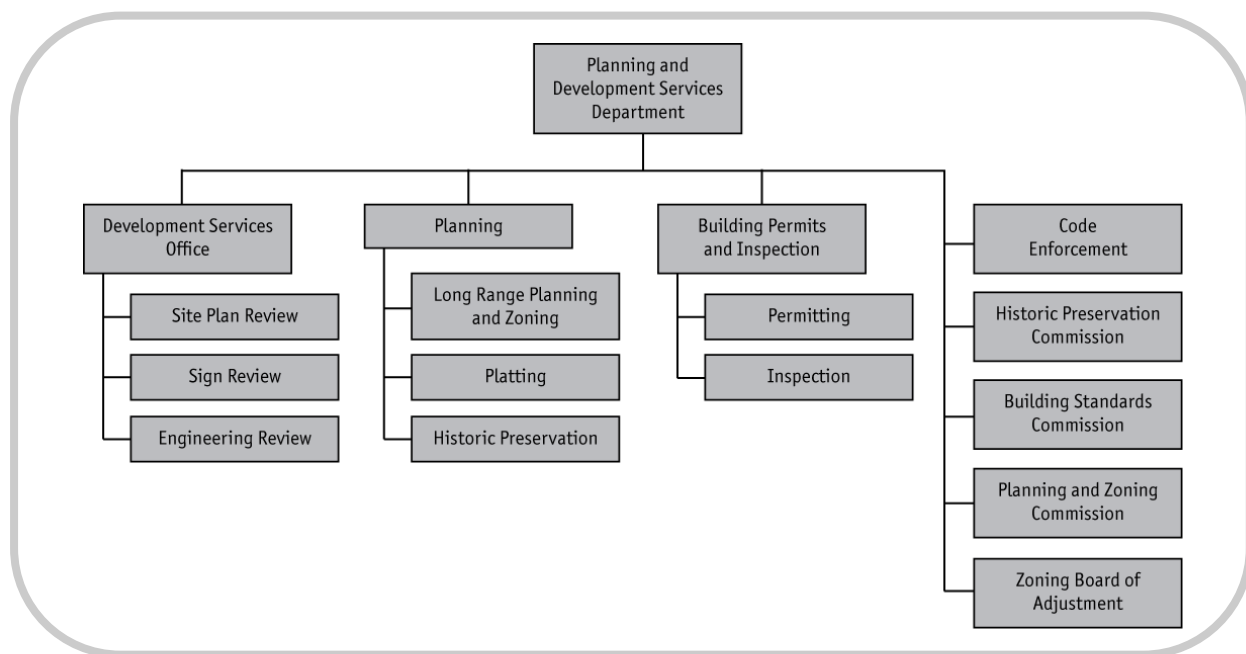
³ Custodians moved to GS - BC&FM FY13

Planning and Development Services

The Planning and Development Services Department is responsible for land use and neighborhood planning; administration of land development regulations; building permit review and inspections, and code enforcement for the City under the leadership of the Planning and Development Services Director.

Vision: Round Rock is a diverse, historic, and family-oriented community with a distinct identity as a desirable place to live, work and play. Residents, government and business are committed to working together to build a quality community.

Mission: to provide citizens and the business community with efficient, consistent, fair, and effective development review services, promote quality development and planning programs for the purpose of facilitating economic growth, and improving the building environment.



Departmental Program Summary:

The Planning & Development Services Department consists of 4 general programs: Development Services; Planning; Code Enforcement; and Building Permits and Inspections. Each of these programs is funded by the City’s General Fund.

Development Services: Leads the review of site plans, landscape plans, drainage plans, and utility and street plans associated with new development and platting.

Planning: Oversees the development and implementation of the City’s General Plan, historic preservation ordinance, zoning and subdivision ordinances, and area plans. It is the lead facilitator of zoning, platting applications and annexation applications.

Building Permits and Inspections: Reviews construction plans, issues building permits, and performs building inspections during construction.

Code Enforcement: Investigates complaints and enforces ordinances related to illegal signs, zoning violations, weeds, trash and junk.

Major Business Functions:

Prepare and maintain the City's General Plan – a policy document guiding the physical development within the City - to ensure logical, compatible and contiguous development of the City.

Review and revise zoning, subdivision and sign Codes to reflect General Plan and Strategic Plan goals as well as to address the changing needs of the community and innovative land use and zoning principles.

Review and process site plan, sign, zoning and platting applications to ensure they meet ordinance requirements and promote Strategic and General Plan goals.

Provide staff support to the Planning and Zoning Commission, Historic Preservation Commission and Zoning Board of Adjustment to ensure efficient and thorough review of development applications.

Prepare special area studies and programs to implement principals and goals identified in the Strategic Plan and General Plan.

Develop annexation criteria in cooperation with the utilities department to provide for future growth.

Prepare population projections and monitor demographic trends.

Review construction plans, issue building permits and perform inspections.

Assist with economic development and business recruitment.

Assist with downtown redevelopment.

Key Customers:

Key customers include the citizens of Round Rock, City Council, the Planning and Zoning Commission, the Historic Preservation Commission, the Zoning Board of Adjustment, the City Manager and various City divisions/departments involved in the development process such as Utilities, Transportation and Parks. Customers also include the development community, design professionals, business owners, residents, contractors, persons needing information or assistance with City processes, and external agencies involved with planning or development regulations.

Customer Expectations and Requirements:

External customers expect prompt, accurate and courteous information and service. Business owners, developers and design professionals expect a positive and open minded attitude from staff to resolve design or code compliance issues as they arise. External customers also expect staff expertise regarding regulations and processes to ensure the staff fully understands their questions and needs. They require sufficient details of requirements to ensure that they are fully aware of City requirements to avoid unnecessary delays and costs as they navigate the development approval process. Residents of the City expect quality development, protection of property values and participation in key policy decisions.

Internal customers require prompt, accurate and courteous information as well as our understanding the purpose of their request to ensure the usefulness of the information required. Internal customers also require collaboration on projects that involve multiple departments.

FY 2012-13 Highlights:

An upward trend in development activity occurred during this timeframe. The increase in activity is predominately centered on the residential market, while commercial activity has also slightly increased. Several new apartment projects are either in the planning stages or under construction. For the first time in the last few years, an elevated interest in new single-family subdivisions has occurred. As activity increases, the pressure on reviewers and inspectors to perform at a high level with regards to customer service becomes a challenge. The department is preparing to meet this challenge, and exceed expectations by allocating resources in the most effective manner possible.

Planning and Development Services staff continued to facilitate development applications in a professional, fair, proactive and expeditious manner. This statement is supported by the continued high scores from survey respondents. Staff has also collaborated with other City Departments in downtown revitalization; arts in public places; neighborhood improvement program, and roadway beautification. This collaboration assists in meeting the overall goals of the City Council and achieving the vision of a well-planned Round Rock.

- Adopted a new sign ordinance that consists of a rewrite and reorganization of all signage standards.
- Finalized drafts for the rezoning of the Downtown area and conducted a public open house.
- Annexed and zoned approximately 14 acres in the Greenlawn/IH-35 area. This provides the City with land use control of an area that is the southern gateway into the City.

FY 2013-14 Overview and Significant Changes:

In FY 2012-13 the Planning and Development Services Department will continue to lead or assist with the implementation of the strategic goals established by the City Council. The major projects will include:

- Complete and present for adoption the downtown zoning districts.
- Initiate work on the unified development code (UDC).
- Annex the Freeman Tract and present for adoption the Planned Unit Development (PUD)
- Identify target areas for strategic annexation including the northeast

New Programs for FY 2013-14:

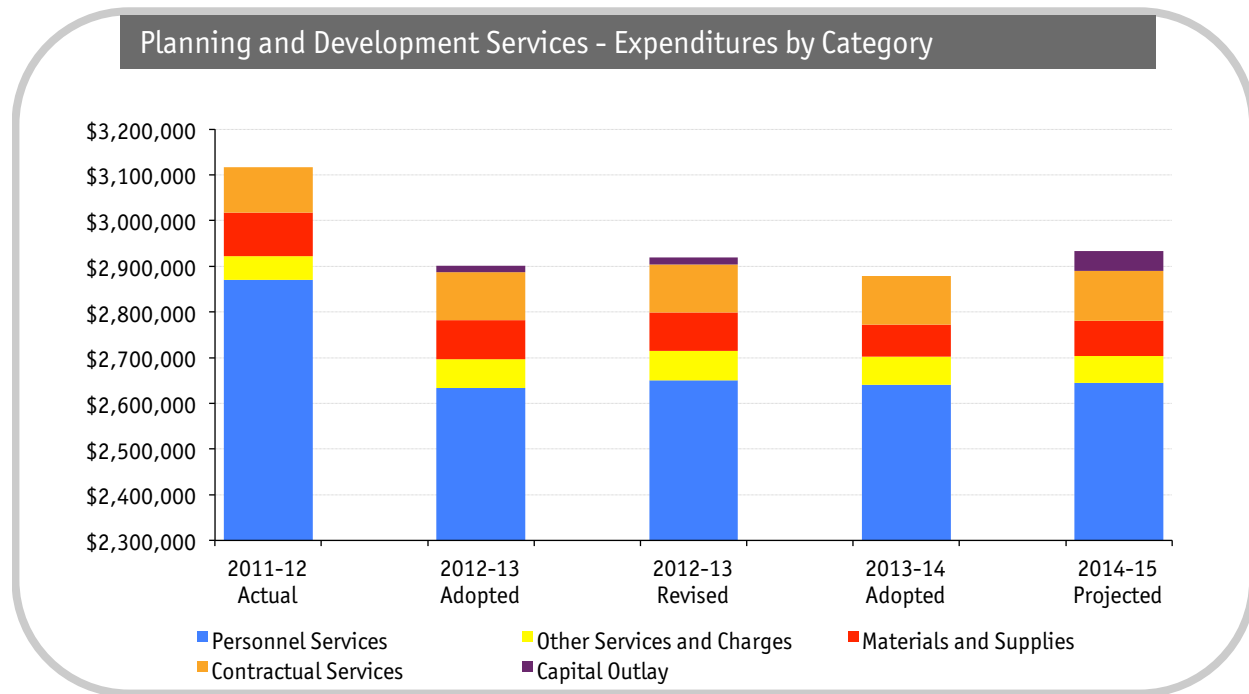
Planning is proposing no new programs for FY14.

FY 2014-15 Overview and Beyond:

The Department will be completing many of the projects and programs started in the previous year and continue to participate in the implementation of the City Council's strategic policies. Some of the projects – like the unified land development code – can typically take multiple years to complete given the complexity and collaboration with stakeholder groups. It is also envisioned that the Department will play a key role in the redevelopment of Downtown and neighborhood revitalization. Some of the major projects will include:

- Completing the unified land development code and presenting it to the City Council for adoption.
- Expanding the role of code enforcement by implementing a property maintenance code.
- Continue to promote development opportunities in targeted areas of the City by providing expeditious development review service.
- Relocation of the Building Inspections Division to the McConico Building.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$2,870,180 | \$2,633,134 | \$2,650,634 | \$2,640,734 | \$2,645,053 |
| <i>Contractual Services</i> | 100,092 | 105,123 | 105,123 | 105,306 | 109,607 |
| <i>Materials and Supplies</i> | 94,158 | 84,112 | 84,112 | 71,010 | 77,462 |
| <i>Other Services and Charges</i> | 52,431 | 64,035 | 64,035 | 61,035 | 58,285 |
| <i>Capital Outlay</i> | 0 | 15,000 | 15,000 | 0 | 43,410 |
| Total Expenditures: | \$3,116,861 | \$2,901,404 | \$2,918,904 | \$2,878,085 | \$2,933,817 |
| Expenditures per Capita: | \$30.45 | \$27.69 | \$27.85 | \$26.75 | \$26.48 |



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|---|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Planning & Community Development Services | 3.9% | 3.3% | 3.3% | 3.2% | 3.2% | 5.9% | 5.0% | 4.9% |

General Fund

Planning & Development

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|--|-------|----------------|-----------------|-----------------|-----------------------|-----------------|-----------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Planning & Development Services Director | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Planning Manager | 113 | 1 | 1 | 1 | 0.75 | 0.75 | 0.75 |
| Principal Planner ¹ | 110 | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Senior Planner ^{1,2} | 110 | 3 | 3 | 5 | 3.00 | 3.00 | 5.00 |
| Planner ^{2,3} | 108 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Planner ³ | 108 | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Code Enforcement-Mgr | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Senior Code Enforcement Officer | 211 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Code Enforcement Officer ⁴ | 208 | 1 | 2 | 2 | 1.00 | 2.00 | 2.00 |
| Admin Associate | 206 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Administrative Assistant | 206 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| DSO Manager | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Admin. Tech- ^{5,9} | 205 | 3 | 2 | 0 | 3.00 | 2.00 | 0.00 |
| Engineering Assoc. | 108 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Engineer-Staff | 111 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Planning Technician* | 206 | 4 | 4 | 4 | 4.00 | 4.00 | 4.00 |
| Manager - Inspection Services ⁶ | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Chief Commercial Insp. | 214 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Chief Electrical Insp. | 214 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Chief Residential Insp. | 214 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Senior Building Inspector ⁷ | 202 | 2 | 3 | 3 | 2.00 | 3.00 | 3.00 |
| Building Inspector ^{7,9} | 210 | 2 | 1 | 2 | 2.00 | 1.00 | 2.00 |
| Building Permits Technician ⁹ | 206 | 1 | 1 | 2 | 1.00 | 1.00 | 2.00 |
| Chief Construction Inspector ⁸ | 112 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Commercial Inspector | 211 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Construction Inspector ⁸ | 210 | 5 | 0 | 0 | 5.00 | 0.00 | 0.00 |
| Total | | 40 | 34 | 34 | 39.75 | 33.75 | 33.75 |

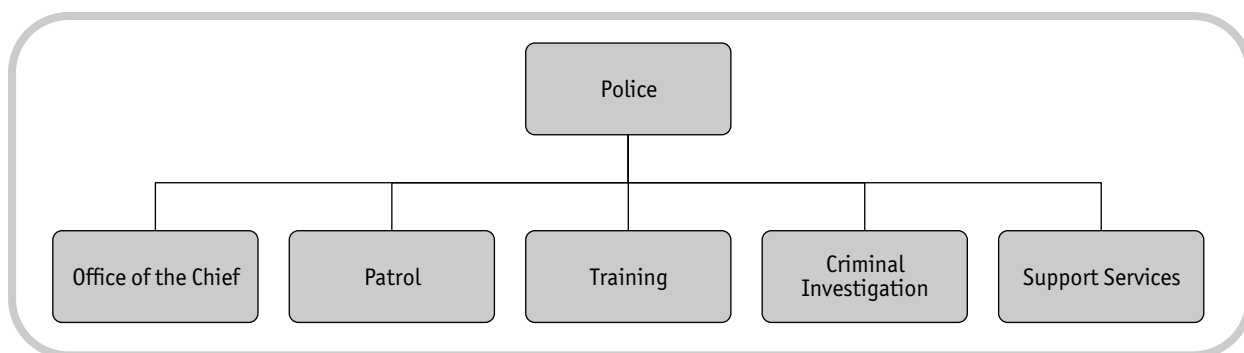
- ¹ Principal Planner reclassified to Senior Planner due to Market Study FY 14
- ² Position reclassified from Planner to Senior Planner due to Market Study FY 14
- ³ Position reclassified from Associate Planner to Planner due to Market Study FY 14
- ⁴ New Program FY 13
- ⁵ Position moved to General Services FY 13
- ⁶ Chief Building Official reclassified to Manager - Inspection Services FY13
- ⁷ Building Inspector reclassified to Senior Building Inspector FY13
- ⁸ Positions moved to Transportation Dept. (27003) due to reorganization FY13
- ⁹ Reclassified 2 Amin. Tech to 1 Building Inspector + 1 Building Permit Tech
- * These are both in the pay range 09. I is entry level pay and II is 8% higher

Police

The Police Department provides public safety and enforces federal, state, and city laws and ordinances through proactive and responsive patrol of the City by state-commissioned peace officers. As its business model, the Department believes the best way to fight crime is to forge strategic partnerships that address quality-of-life issues before they become serious public safety or crime issues. The Department also is responsible for animal control; fire and police radio dispatch functions in the City limits; and maintaining the recruiting, training, crime victim, and support functions necessary to maintain a police force of the highest quality.

Vision: Effectively adapt to the challenges created by a rapidly growing community that is striving to maintain its low crime rate and high quality of life. Deliver policing that responds to the needs of the community and engages them to share in the responsibility of keeping Round Rock a great community.

Mission: The Round Rock Police Department, in alliance with our community, provides public safety and promotes a high quality of life.



Major Business Functions:

The Police Department performs a wide variety of public safety and related functions. The Department performs activities that make officers available to citizens, enforce laws, deter crime, observe and address suspicious activity, and respond to calls for service. Officers investigate crimes, and specialized units address unusual crimes or incidents with technical expertise and training in those areas (SWAT, White Collar Crimes, Narcotics, etc.).

The Department operates a School Resource Officer Unit and Animal Control Unit. Other public safety-related functions include the City’s 911 Public Safety Answering Point and ensuring traffic safety and flow. The Department corrects and reports public hazards, and provides radio dispatch service to police and fire. The Department makes arrests and transports suspects to jail; processes crime scenes and forensic evidence; receives and investigates complaints from the public; and maintains the capacity to manage large-scale incidents through regular training and exercise. In keeping with our mission, we perform many functions to help maintain a high quality of life for residents. The Department enforces laws and ordinances regarding public nuisances (e.g., parking in yards), addresses citizen traffic complaints, supports neighborhood efforts, and provides for geographic-based police patrol. Related functions include victim assistance and several community programs.

A major component of the Department’s mission has to do with working in alliance with the community to address issues. The Department has established a formal Community Affairs group to enhance its approach to this part of its mission. The Department provides the public with information about crime and major incidents through presentations at meetings of local civic, neighborhood, and related organizations. A Citizen’s Police Academy educates the public about policing and develops a source for volunteers. National Night Out and other events encourage positive police-community relations, as do our Blue Santa; Police Explorers; Robbery prevention; and Lock, Take, and Hide programs.

The following explains the actual operations and the reporting structure of the Police department.

Office of the Chief: The Office of the Chief determines departmental policies and ensures the complete discharge of all duties imposed by Texas State Law or City Ordinance. The office is responsible for the strategic direction, control, management, and direction of employees, as well as the Department’s operation and administration. The Office of the Chief houses the Professional Standards Section, Planning and Research Section, and volunteer coordinator.

Professional Standards, through the internal affairs function, ensures the Department's integrity is maintained through an internal system where objectivity, fairness, and justice are assured by impartial investigation. Professional Standards also houses accreditation, community services, and public information functions. Planning and Research performs budgeting, strategic planning, policy development, criminal intelligence, and research functions.

Patrol Division: The Patrol Division is responsible for law enforcement, public safety, and community policing functions within the City limits of Round Rock. The division is structured on a geographic "beat" basis, with individual officers responsible for an area of the City, sergeants responsible for their officers' areas, and continues up to the Chief of Police. A Traffic Unit addresses traffic issues throughout the City, and the School Resource Officer Unit is also housed in this division.

Training Division: Training houses the Department's training and recruiting functions, as well as the Animal Control Unit. Animal Control enforces local ordinances regarding the care and keeping of domestic animals in the City. The division also administers the Citizen's Police Academy.

Criminal Investigation Division: This division is responsible for a variety of police services through investigative units focusing on crimes against persons, property crimes, narcotics, organized crime, criminal interdiction, and white collar crimes.

Support Services Division: The Support Services Division provides the Department with technical and administrative support services related to communications, police records, evidence, criminalistics and victim assistance. This division ensures that 24-hour; two-way radio communications are conducted in compliance with federal regulations, handling emergency and other citizen requests for police and fire service, dispatching police/fire units as required or referring citizens to an appropriate service or agency.

Key Customers:

The Police Department recognizes most citizens have little interaction with officers during the normal course of a given year. However, we provide professional police service not only to crime victims and witnesses but also suspects and arrestees. We serve the City's different neighborhoods, schools, civic organizations, and business groups. Motorists comprise another significant customer group, and we dedicate resources to deal with traffic-related issues. Within the city organization, we provide emergency dispatch services to the police and fire personnel, and police officers investigate fleet accidents for the Human Resources Department's risk management program.

Customer Expectations and Requirements:

The public expects the Police Department to provide quality community policing – addressing quality-of-life issues early enough so they do not evolve into public safety problems. For the past several years, the City has been named among the safest cities in the country. When surveyed every two years, Round Rock citizens overwhelmingly rate police services as good or excellent. In addition, more than four-fifths of our residents surveyed over the years report they feel safe walking in their neighborhoods at night.

FY 2012-13 Highlights:

Fiscal 2012-13 saw the Police Department again make strides to improve residents' safety and quality of life.

- Once again, Round Rock was recognized as one of the safest cities in the United States. In 2011, the City ranked eleventh-safest in the country for cities with more than 100,000 residents and second in Texas for cities with a population over 100,000.
- The Department saw Part 1 crimes under the FBI's Uniform Crime Reports program rise 8.7 percent but remain low in 2012. With the exception of 2011, Part 1 crime in 2012 was at the lowest level the City has seen since 2007. Crimes falling into Part 1 are murder, rape, robbery, aggravated assault, burglary, larceny, and vehicle theft.
- The Department continued in 2012 to heavily emphasize proactive enforcement activities, though a slight (2.4 percent) increase in external calls for police service resulted in a similar decline in the number of self-initiated police events. At the same time, the number of arrest charges rose by 16.3 percent in calendar 2012 to reach 6,715. Felony arrest charges rose 32.6 percent compared with 2011.

FY 2013-14 Overview and Significant Changes:

Fiscal 2013-14 will find the Police Department working to expand its capacity to provide public safety and promote a high quality of life for Round Rock residents.

- The Department worked with the Fire Department to complete a needs assessment for a joint Police/Fire training facility. Funding for that facility was expected to go before voters in a bond election in the fall of 2013.
- FY 13-14 will see the development of a 311 system for the City. This system provides a quick and easy-to-remember telephone number that provides citizens with access to city services or information about the city. The eventual system is expected to allow smart phone accessibility.
- The Department will continue moving toward an intelligence-led policing model that leverages technology to increasing crime-fighting efficiency and effectiveness.
- The Department anticipates its third successful re-accreditation by the Commission on the Accreditation of Law Enforcement Agencies (CALEA), an international police accreditation authority.

FY 2014-15 Overview and Beyond:

In Fiscal 2014-15 and beyond, the Department anticipates:

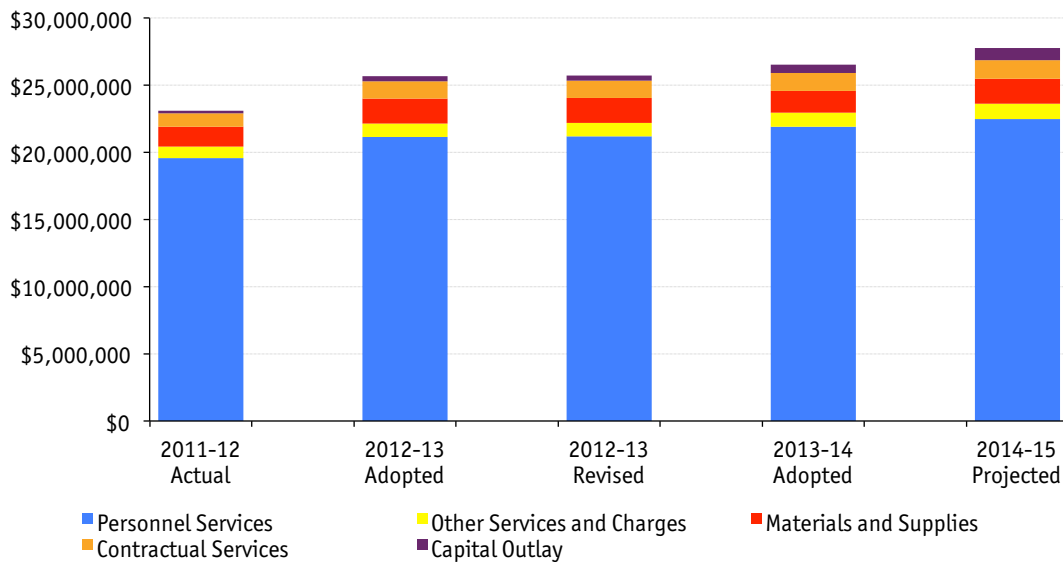
- Implementation and the start of operations at the joint Police/Fire training facility.
- Expansion of the Department's Communications Center capacity to meet service and operational demands.

General Fund

Police

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|---------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$19,561,348 | \$21,151,081 | \$21,197,081 | \$21,936,569 | \$22,509,997 |
| <i>Contractual Services</i> | 999,065 | 1,319,644 | 1,319,644 | 1,346,931 | 1,395,982 |
| <i>Materials and Supplies</i> | 1,504,721 | 1,864,677 | 1,864,677 | 1,615,182 | 1,859,155 |
| <i>Other Services and Charges</i> | 872,586 | 980,619 | 980,619 | 1,031,459 | 1,121,321 |
| <i>Capital Outlay</i> | 160,350 | 381,665 | 381,665 | 595,000 | 889,300 |
| <i>Transfers</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$23,098,070 | \$25,697,686 | \$25,743,686 | \$26,525,141 | \$27,775,755 |
| Expenditures per Capita: | \$225.68 | \$245.21 | \$245.65 | \$246.52 | \$250.68 |

Police - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|--------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Police | 28.7% | 29.3% | 29.4% | 29.3% | 30.0% | 32.2% | 32.5% | 31.9% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|--|-------|-------------------|---------------------|--------------------|-----------------------|---------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Approved | 2013-14 Adopted | 2011-12 Actual | 2012-13 Approved | 2013-14 Adopted |
| Police Chief | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Assistant Police Chief | CSPS | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Police Commander ¹⁰ | CSPS | 0 | 0 | 3 | 0.00 | 0.00 | 3.00 |
| Police Captain ¹⁰ | CSPS | 3 | 3 | 0 | 3.00 | 3.00 | 0.00 |
| Police Lieutenant ¹ | CSPS | 6 | 8 | 8 | 6.00 | 8.00 | 8.00 |
| Police Officer ^{11,12} | CSPS | 112 | 116 | 116 | 112.00 | 116.00 | 116.00 |
| Police Sergeant ¹ | CSPS | 29 | 24 | 24 | 29.00 | 24.00 | 24.00 |
| Accreditation Manager | 108 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Administrative Assistant | 208 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Crime Analyst & Stat Mgr. ¹⁵ | 111 | 1 | 2 | 1 | 1.00 | 2.00 | 1.00 |
| Police Support Div. Mgr. ¹⁵ | 113 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Administrative Assoc. ¹⁵ | 206 | 8 | 7 | 5 | 7.50 | 7.00 | 5.00 |
| Admin. Assoc. ¹⁵ | 206 | 2 | 5 | 5 | 2.00 | 5.00 | 5.00 |
| Administrative Technician III P/T ^{1,2,3} | 08 | 1 | 2 | 0 | 0.50 | 1.00 | 0.00 |
| Animal Control Officer | 205 | 5 | 5 | 5 | 5.00 | 5.00 | 5.00 |
| Animal Control Supervisor | 213 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Communications Training Officer ^{4,5} | 211 | 4 | 7 | 7 | 4.00 | 7.00 | 7.00 |
| Crime Scene Specialist ⁷ | 212 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Evidence Control Supervisor | 214 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Evidence Technician ⁶ | 206 | 1 | 1 | 2 | 1.00 | 1.00 | 2.00 |
| Crime Analyst ¹⁵ | 212 | 1 | 0 | 6 | 1.00 | 0.00 | 5.50 |
| Crime Analyst ¹⁵ | 212 | 2 | 3 | 1 | 2.00 | 3.00 | 1.00 |
| Law Enforcement Specialist ^{2,8} | 12 | 0 | 2 | 1 | 0.00 | 1.50 | 1.00 |
| Law Enforcement Support Tech ⁹ | 207 | 1 | 3 | 3 | 0.50 | 2.50 | 2.50 |
| Law Enforcement Support Tech ¹⁵ | 207 | 3 | 2 | 2 | 2.00 | 1.00 | 1.00 |
| Logistics Officer | 210 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Project Specialist ⁹ | 09 | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Records Supervisor | 211 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Records Technician ^{13,14} | 06 | 4 | 1 | 0 | 4.00 | 1.00 | 0.00 |
| Public Safety Supervisor ¹⁵ | 213 | 5 | 4 | 4 | 5.00 | 4.00 | 4.00 |
| Public Safety Com. Mgr. ¹⁵ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Public Safety Com. Oper. ¹⁵ | 210 | 18 | 15 | 15 | 18.00 | 15.00 | 15.00 |
| Victims Advocate | 209 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Victims Assist. Coordinator | 213 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 221 | 224 | 223 | 218.50 | 221.00 | 221.00 |

See notes on next page

Civil Service Pay Structure (CSPS) (see Personnel Tab)

- ¹ Correcting errors in FY12 count
- ² P/T position combined with P/T Law Enforcement Specialist to create FT position FY 14
- ³ Positions reclassified to Intelligence Analyst FY 14
- ⁴ TCO Supervisor reclassified to CTO FY13
- ⁵ Telecommunications Operator reclassified to CTO FY13
- ⁶ Position reclassified from Report Taker FY 14
- ⁷ Intelligence Analyst reclassified to Management Analyst II FY13
- ⁸ New Program FY 13
- ⁹ Project Specialist & Public Safety Officer reclassified to Law Enforcement Support Tech FY13
- ¹⁰ Positions retitled from Police Captain to Police Commander FY 14
- ¹¹ Police Cadets reclassified to Police Officers FY 13
- ¹² New Program FY 13
- ¹³ Records Technicians reclassified to Report Takers FY13
- ¹⁴ Position reclassified to Report Taker FY 14
- ¹⁵ Title changed due to Market Study FY14

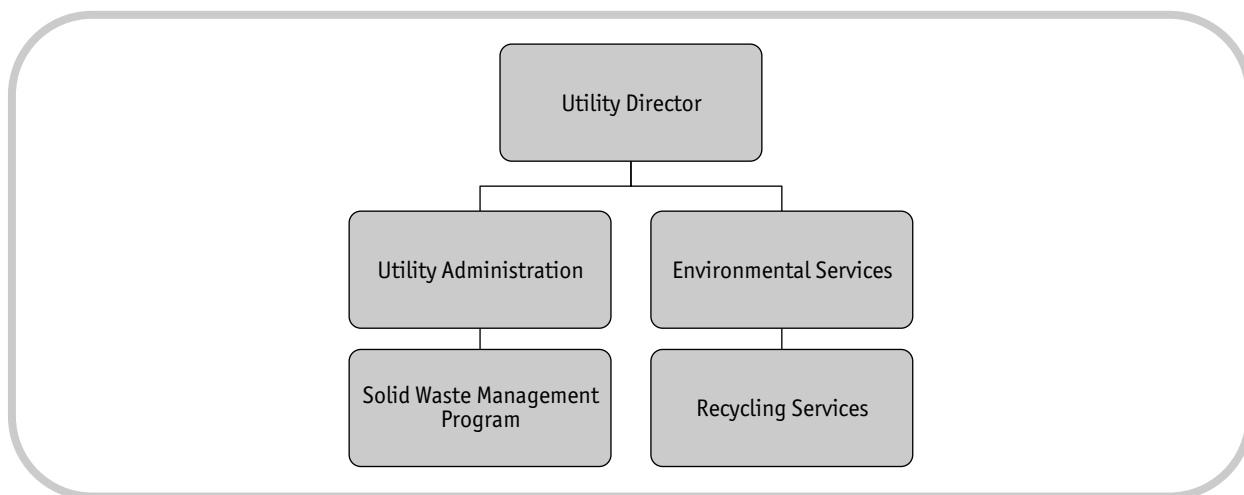
Recycling / Solid Waste Services

The Recycling/Solid Waste Services Department is responsible for both garbage collection and recycling services that are provided to the citizens of Round Rock. These functions are handled by personnel in two separate divisions. The Environmental Services Division oversees the recycling drop off center and the four used oil drop off locations. The division also manages the city's in-house recycling program.

The Utility Administration Division oversees the solid waste management programs which include garbage collection and disposal by a third party contractor as well as the curbside recycling program. In addition, this division oversees the franchise agreements with the commercial haulers who collect garbage for all commercial properties in the City. Both divisions provide recycling opportunities for the citizens by promoting environmental awareness in order to increase recycling and reduce the amount of waste disposed of in the landfill.

Vision: The Recycling/Solid Waste Services Department will be a respected and trusted leader in providing recycling services and pollution prevention activities.

Mission: To provide resource preservation, conservation, and protection through the recycling and innovative waste management practices.



Major Business Functions:

Solid Waste Management Program: Provides cost effective garbage collection and disposal services as well as curbside recycling services at a competitive price with low risk to the citizens. Develop a process to provide a recycling credit back to the citizens when the commodity market is doing well. A new curbside recycling program has been in effect since January 2011.

Recycling Services: Provides safe and responsible handling of recyclable commodities to the public. This program diverts recyclable materials from landfills, receiving streams, drinking water supplies and reduces the potential for illegal dumping.

The City has operated a Recycling Drop Off Center since 1990.

Key Customers:

The Recycling/Solid Waste Services program customers include the entire Round Rock and surrounding community as well as all internal City departments. The program is open to everyone.

Customer Expectations and Requirements:

Recycling/Solid Waste Services customers expect frequent and convenient access to services and that the resource handling methods that are environmentally sound and cost conscious.

FY 2012-13 Highlights:

- The department continued to Improve operational cost efficiencies by using a more competitive bid process for selecting vendors for hauling and processing recycled materials.
- Review operations to see where the programs could be expanded to provide better support for the City in recycling more materials.
- Helped with a traffic and workforce flow review to help in the modification of the recycling center.

FY 2013-14 Overview and Significant Changes:

The Recycling/Solid Waste Services Department continues to concentrate on pollution prevention activities and improving efficiencies. The department is focusing on the following significant activities:

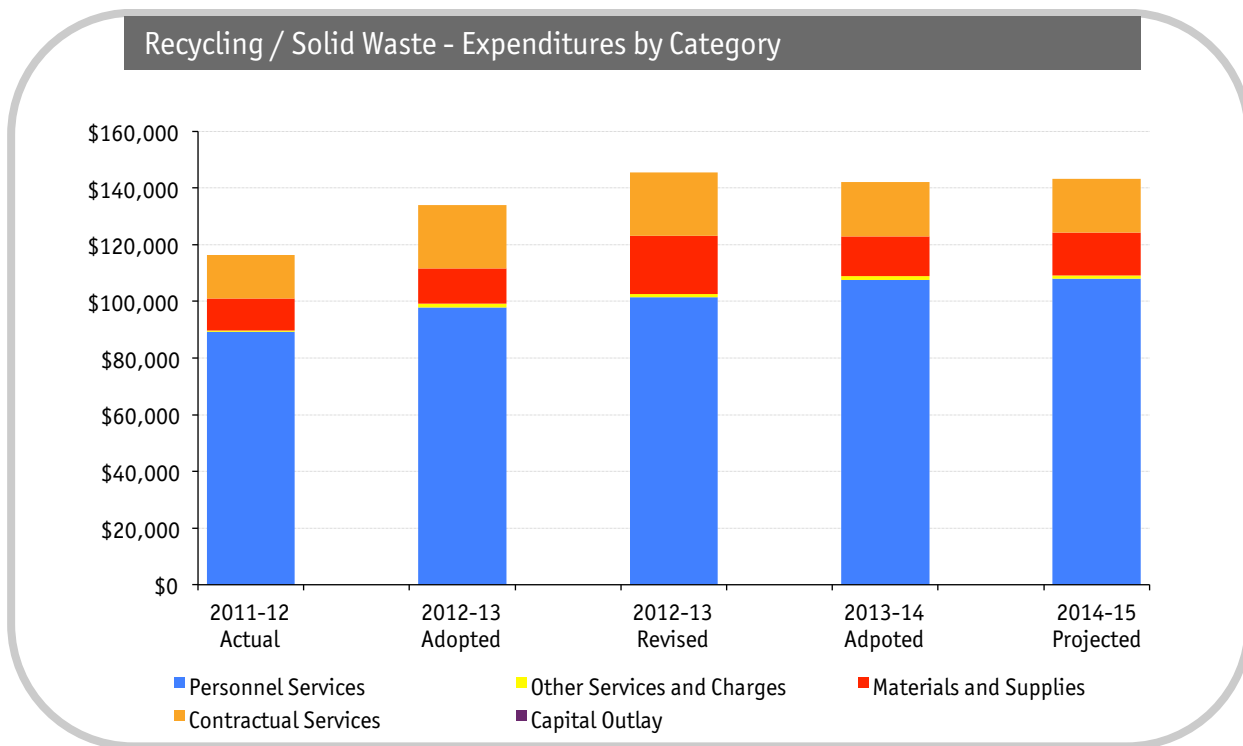
- Improving traffic flow through the drop off center so that the facility is more user friendly.
- Implementing the storm water pollution prevention program.
- Including a public education component to the yard waste and composting program.

FY 2014-15 Overview and Beyond:

The Recycling/Solid Waste Services Department will concentrate on activities geared towards improving cost effectiveness and operational efficiencies of the facility. The Recycling/Solid Waste Services Department will focus on the following tasks:

- The department will work with local material haulers and processors to add glass recycling for the community.
- The department will explore opportunities for reducing hauling costs.
- The department will improve the public education component of its public relations and outreach.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|-------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$89,224 | \$97,885 | \$101,385 | \$107,593 | \$107,947 |
| <i>Contractual Services</i> | 15,326 | 22,287 | 22,287 | 19,085 | 19,085 |
| <i>Materials and Supplies</i> | 11,336 | 12,510 | 20,510 | 14,188 | 15,060 |
| <i>Other Services and Charges</i> | 536 | 1,250 | 1,250 | 1,250 | 1,250 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$116,422 | \$133,932 | \$145,432 | \$142,116 | \$143,342 |
| Expenditures per Capita: | \$1.14 | \$1.28 | \$1.39 | \$1.32 | \$1.29 |



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|-----------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Recycling | 0.1% | 0.2% | 0.2% | 0.2% | 0.2% | 0.4% | 0.4% | 0.4% |

General Fund

Recycling

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|--------------------------|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Recycling Attendant I/II | 203 | 3 | 3 | 3 | 2.75 | 2.75 | 2.75 |
| Total | | 3 | 3 | 3 | 2.75 | 2.75 | 2.75 |

New department from division in FY13

Transportation

The Transportation Department consists of eight divisions: Administration; Transit and Public Transportation; Planning and Engineering; CIP/Infrastructure Inspection; CIP Project Management; Traffic Signs and Signals; Street Maintenance; and Drainage Operations.

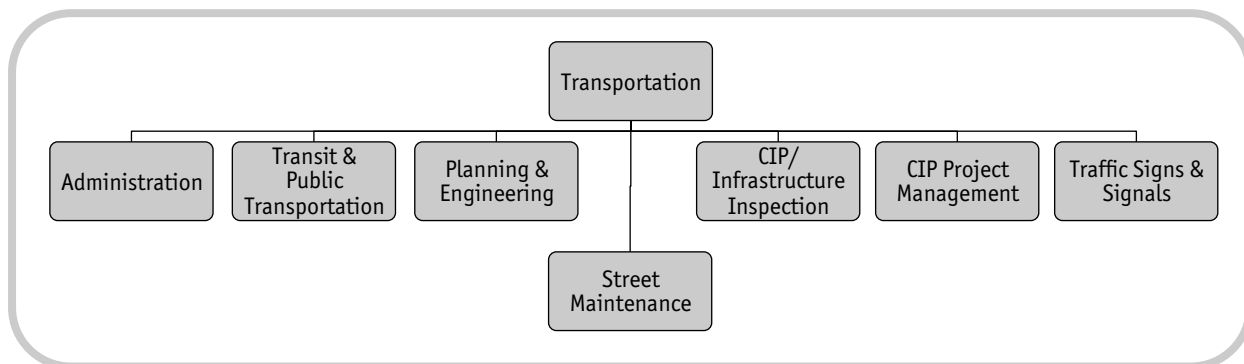
The Transportation Department is responsible for planning, building and maintaining the City’s transportation infrastructure. In addition, the department oversees the City’s Transit and Public Transportation activities. The Department also works extensively with regional partners for improved planning and project coordination.

Vision: The Department strives to be a premier organization that values innovation, trust, teamwork and professionalism.

We will:

- Accept the challenge of change and be committed to continually enhancing the safety, environment, quality of life and economic vitality of our community
- Be accountable for our performance and our organization’s success and be recognized for our achievements
- Be committed to provide our employees a stable work environment with equal opportunity for learning and personal growth
- Be respectful of each other, the internal and external customers we support

Mission: Cost-effectively plan, build and maintain the City’s transportation infrastructure and provide public transportation in a manner which meets the needs of the community and supports the safety and welfare of our citizens.



Major Business Functions

The primary goal of the Transportation Department is to improve local and regional mobility. By effectively planning for and building the City’s transportation system, at both the local and regional levels, staff provides citizens greater mobility, less congestion and promotes better air quality.

Transportation Administration: This division provides guidance, oversight and support to all transportation divisions. This includes proactively seeking solutions to current and future transportation needs community wide and providing up-to-date communications about projects and other activities to the public.

Transit and Public Transportation: This division is responsible for all planning, programming and implementation of the City’s public transportation. This division provides oversight for the City’s third-party contract ensures compliance with all applicable federal regulations and requirements and provides information to the public. It also includes managing the City’s Disadvantaged Business Enterprise Program and the Title VI Program.

Planning and Engineering: This division is responsible for the planning and day-to-day management of the City’s transportation system at the local and regional level. This includes managing and implementing the City’s

Transportation Capital Improvement Program and planning transportation projects and systems that meet the increasing demands of the Round Rock community and Central Texas region.

CIP/Infrastructure Inspection: This division is responsible for ensuring the integrity of all newly built and improved infrastructure projects. The work includes inspections during construction and upon completion of construction for every project of the Transportation Department, the Utilities and Environmental Services Department, and the Parks and Recreation Department.

CIP Project Management: This division is responsible for each transportation project from beginning to end. The project managers are responsible for hiring all consulting firms (engineers and architects) and contractors; reviewing designs and plans to ensure they meet local and state regulations; and completing the projects in a timely manner and on budget.

Traffic Signs and Signals: This division is responsible for maintaining, inspecting and managing traffic signals; installing and maintaining school zone signals; and installing, inspecting, maintaining and managing traffic control signs and road markings. The division manages the Citywide Thermoplastic Striping contract. The division also manages the process of public street luminaire installations from citizen requests and provider installation. The division manages block party permit requests and assists City Administration and other city departments with internal and external special events, parades, races, etc.

Street Maintenance: This division is responsible for all street and right-of-way maintenance. The work includes:

- Annual street maintenance projects
- Repair of roadways, parking lots, and hike and bike trails
- Maintenance and repair of city sidewalks and driveways
- Mowing and maintaining all of the City's rights-of-ways

Key Customers:

The Transportation Department serves both internal and external customers. Key customers include the citizens of Round Rock, the traveling public, business owners, developers, the City Council, the City Manager's office, other City departments, and local, state and federal government agencies.

It is especially important for us to pursue a well maintained and functioning road network to support timely safety and engineering services to the community.

Customer Expectations and Requirements:

External customers expect prompt, professional and accurate communications to their inquiries or requests. If the customer is asking for an explanation or clarification about a City process or regulation, the customer expects City staff to be knowledgeable about processes and regulations. The customer's expectation is to be treated with courtesy and respect by staff members and customers want their issue(s) responded to as efficiently as possible within a reasonable timeframe.

Internal customers/employees expect other staff members to provide timely and accurate responses to their questions in a professional manner. All staff should treat each other with courtesy and be respectful and mindful of each other's work.

It is a Department requirement that each staff member provide exceptional customer service to all customers. Another requirement of staff is to work collaboratively with our internal customers to better serve our external customers.

FY 2012-13 Highlights:

The Transportation Department balanced work to give the community immediate results and relief from problem areas while ensuring progress on new and expanded projects.

- Completion of several bottleneck improvements
- Prepared and bid several projects that had languished for years
- Planned future infrastructure needs as community grows

The Transportation Department was completely restructured to focus on completing work through better and more effective project planning, implementation and management.

- Department restructuring
- Focus on project success
- More effective use of staff time

New Programs for FY 2013-14:

- Traffic Signal Replacement

FY 2014-15 Overview and Beyond:

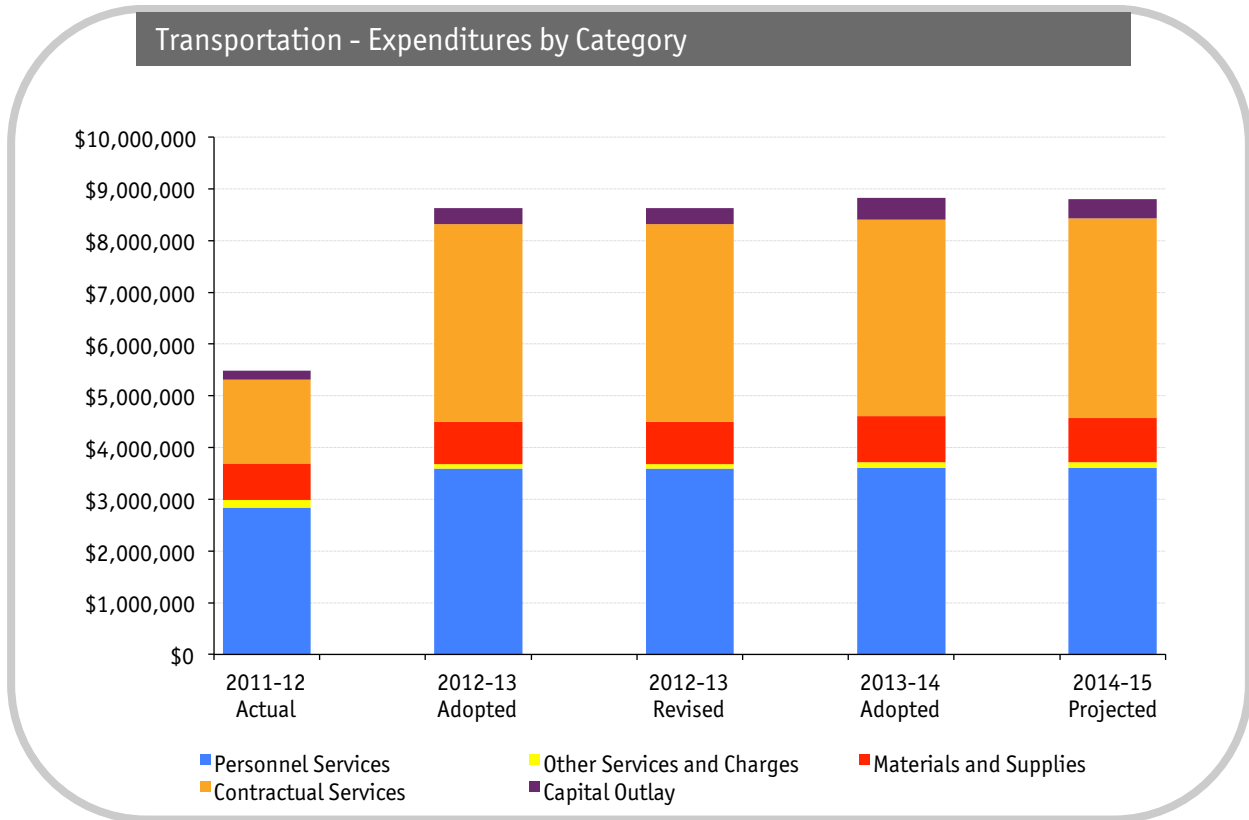
Continue to plan and implement projects to support community growth.

- Update 5-year plan annually
- Maintain Master Transportation Plan and modify as necessary
- Work with other agencies to leverage our needs and expenses to the benefit of our community
- Continue to study needs and construct specific neighborhood traffic calming devices
- Continue to repair and maintain the City's transportation and drainage infrastructure

General Fund

Transportation

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$2,832,476 | \$3,597,663 | \$3,634,763 | \$3,607,859 | \$3,610,042 |
| <i>Contractual Services</i> | 1,617,317 | 3,824,241 | 3,824,241 | 3,806,841 | 3,861,591 |
| <i>Materials and Supplies</i> | 714,470 | 807,129 | 807,129 | 889,939 | 854,450 |
| <i>Other Services and Charges</i> | 150,641 | 85,861 | 85,861 | 104,497 | 104,497 |
| <i>Capital Outlay</i> | 176,149 | 311,990 | 311,990 | 420,700 | 370,600 |
| Total Expenditures: | \$5,491,053 | \$8,626,884 | \$8,663,984 | \$8,829,836 | \$8,801,180 |
| Expenditures per Capita: | \$53.65 | \$82.32 | \$82.67 | \$82.06 | \$79.43 |



Operating Efficiencies:

| | Expenditures as a % of General Fund | | | | | Authorized Personnel as a % of General Fund FTEs | | |
|----------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Transportation | 6.8% | 9.8% | 9.9% | 9.7% | 9.5% | 7.3% | 7.6% | 7.5% |

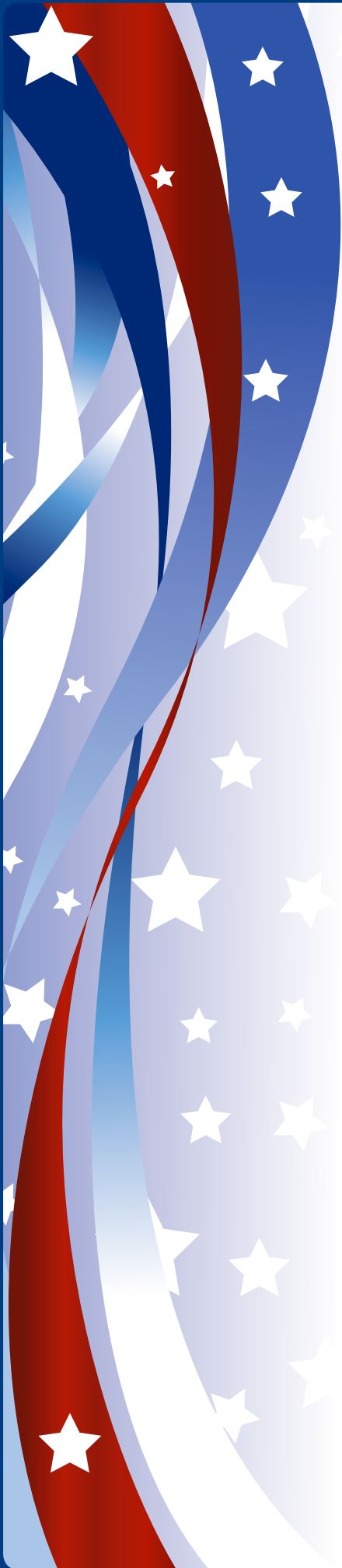
| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|--|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| City Engineer | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Transportation Services Director ¹⁸ | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Assistant Transportation Director ¹ | 114 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Programs Manager ² | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Operations Manager ³ | 113 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Transportation Planner ¹⁸ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Administrative Assoc. ^{6,18} | 206 | 3 | 3 | 3 | 3.00 | 3.00 | 3.00 |
| GIS Technician I ⁷ | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Chief Construction Inspector ⁸ | 112 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Engineer Assistant I/III ⁹ | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Traffic Engineer ¹⁸ | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Engineering Technician ¹⁸ | 207 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Engineering Technician-Senior ¹⁸ | 210 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Project Manager ¹⁸ | 107 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Project Manager-Senior ^{4,18} | 110 | 0 | 2 | 2 | 0.00 | 2.00 | 2.00 |
| Transportation Planner III ¹ | n/a | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Administrative Assistant ⁵ | 208 | 2 | 1 | 1 | 2.00 | 1.00 | 1.00 |
| Construction Inspector ¹⁸ | 210 | 0 | 1 | 2 | 0.00 | 1.00 | 2.00 |
| Construction Inspector ^{10,18} | 210 | 0 | 3 | 3 | 0.00 | 3.00 | 3.00 |
| Construction Inspector ¹⁸ | n/a | 0 | 1 | 0 | 0.00 | 1.00 | 0.00 |
| Operation Manager ¹¹ | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Transportation Superintendent ¹⁸ | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Transportation Crew Leader ^{13,18} | 211 | 0 | 5 | 5 | 0.00 | 5.00 | 5.00 |
| Street Foreman ¹² | n/a | 2 | 0 | 0 | 2.00 | 0.00 | 0.00 |
| Transportation Supervisor ¹⁸ | 213 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Traffic Signal Supervisor | 213 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Transportation Superintendent ¹⁸ | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Management Analyst I ¹⁴ | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Meter Reader I ¹⁵ | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Equipment Operator ¹⁸ | 206 | 17 | 15 | 15 | 17.00 | 15.00 | 15.00 |
| Signs & Marking Technician ¹⁸ | 206 | 3 | 3 | 3 | 3.00 | 3.00 | 3.00 |
| Traffic Signal Technician ^{16,18} | 205 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Traffic Signal Technician-Senior ¹⁸ | 209 | 2 | 3 | 3 | 2.00 | 3.00 | 3.00 |
| Total | | 47 | 52 | 52 | 47.00 | 52.00 | 52.00 |

See notes on next page

General Fund

Transportation

- ¹ Transportation Planner reclassified to Assistant Transportation Director FY14
 - ² Programs Manager reclassified to Engineering Technician II FY13
 - ³ Operations Manager position moved in from Transportation Operate & Maintain FY13
 - ⁴ Administrative Tech II position reclassified to Project Manager II FY13
 - ⁵ Administrative Tech II position reclassified to Administrative Assistant FY13
 - ⁶ Admin Tech III position moved in from Transportation Operate & Maintain FY13
 - ⁷ Position moved to Drainage during reorganization FY13
 - ⁸ Position moved from Inspection Services during reorganization FY13
 - ⁹ Engineer Assistant I reclassified to Project Manager I FY 13
 - ¹⁰ Construction Inspector III reclassified to Construction Inspector II FY14
 - ¹¹ Position moved to Transportation - Administration during FY13 reorganization
 - ¹² Street Foreman reclassified to Street & Drainage Crew Leader FY13
 - ¹³ Equipment Operator reclassified to Street & Drainage Crew Leader FY13
 - ¹⁴ Reclassified Management Analyst to Equipment Operator FY13
 - ¹⁵ Meter Reader I reclassified to Equipment Operator FY13
 - ¹⁶ Reclassified Equipment Operator to Traffic Signal Tech FY13
 - ¹⁷ Positions moved to Recycling Services Department FY13
 - ¹⁸ Title change FY14
- n/a denotes a position that has been eliminated



Debt Service

Debt Service Funds Revenue & Expenditures

Interest & Sinking G.O. Bonds

Interest & Sinking Revenue Bonds



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.



Interest & Sinking G.O. Bonds Program Description

To provide for the scheduled retirement of the City's Bonded and other long-term debt. See also the Debt Schedules Section of this budget.

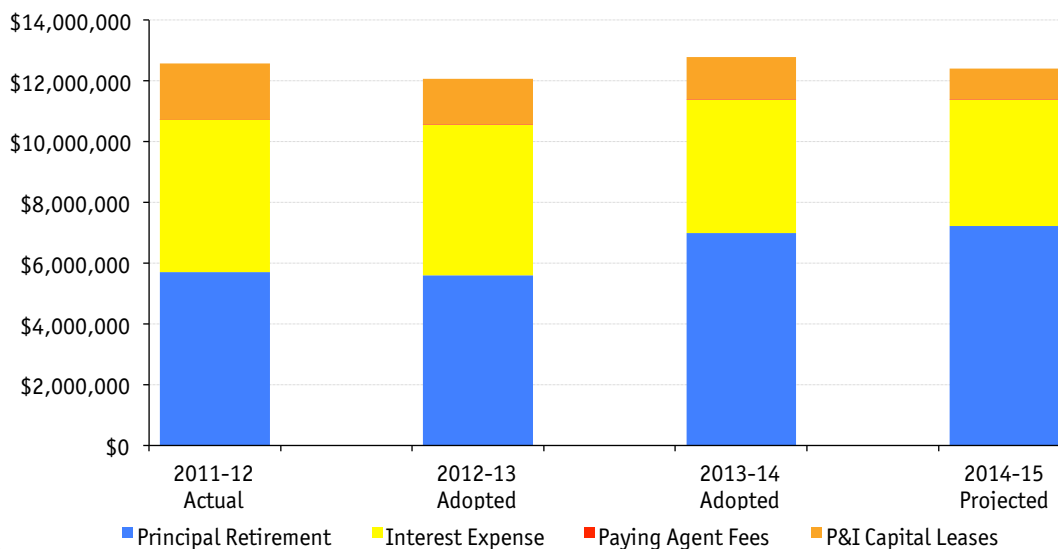
Debt Service Funds

Interest & Sinking G.O. Bonds

Interest & Sinking G.O. Bonds Fund:

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 | 2014-15 |
|-------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| Revenues | Actual | Adopted Budget | Revised Budget | Adopted Budget | Projected Budget |
| Current Property Taxes | \$11,951,868 | \$11,201,000 | \$11,201,000 | \$11,927,000 | \$11,651,169 |
| Delinquent Taxes | 26,933 | 45,000 | 45,000 | 25,000 | 25,000 |
| Penalty & Interest Delinquent Taxes | 8,165 | 10,000 | 10,000 | 10,000 | 10,000 |
| Penalty & Interest Current Taxes | 42,136 | 30,000 | 30,000 | 30,000 | 30,000 |
| Interest Income | 6,281 | 7,000 | 7,000 | 7,000 | 7,000 |
| Transfer from Golf Course | 451,091 | 450,000 | 450,000 | 453,000 | 451,000 |
| Total Revenues | \$12,486,474 | \$11,743,000 | \$11,743,000 | \$12,452,000 | \$12,174,169 |
| Expenditures | | | | | |
| Principal Retirement | \$5,700,000 | \$5,610,000 | \$5,610,000 | \$6,985,000 | \$7,215,000 |
| Interest Expense | 5,010,997 | 4,952,005 | 4,952,005 | 4,397,274 | 4,160,874 |
| Paying Agent Fees | 2,300 | 9,000 | 9,000 | 9,000 | 9,000 |
| P&I Capital Leases | 1,858,906 | 1,492,562 | 1,492,562 | 1,390,195 | 1,016,348 |
| Issuance Costs | 278,919 | 0 | 0 | 0 | 0 |
| Subtotal | 12,851,122 | 12,063,567 | 12,063,567 | 12,781,469 | 12,401,222 |
| Payment to Refunding Escrow Agent* | 20,616,784 | 0 | 0 | 0 | 0 |
| Total Expenditures | \$33,467,906 | \$12,063,567 | \$12,063,567 | \$12,781,469 | \$12,401,222 |
| Net Change in Operations | (\$20,981,432) | (\$320,567) | (\$320,567) | (\$329,469) | (\$227,053) |
| Expenditures per Capita | \$125.56 | \$115.11 | \$115.11 | \$118.75 | \$117.57 |

Interest & Sinking G.O. Bonds - Expenditures by Category



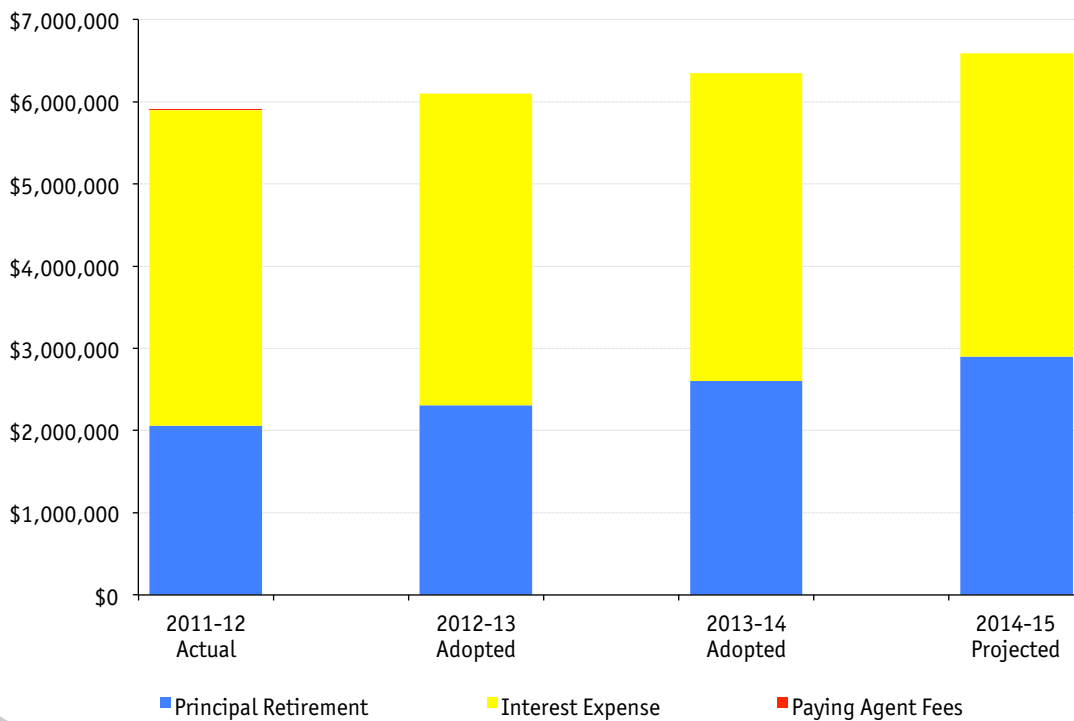
* Costs related to a debt refunding are not included in the comparisons.

Interest & Sinking Revenue Bonds Fund:

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|----------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| Revenues | | | | | |
| Interest Income | \$339 | \$0 | \$0 | \$200 | \$200 |
| Transfer from Utility Fund | 6,000,000 | 6,200,000 | 6,200,000 | 6,400,000 | 6,400,000 |
| Total Revenues | \$6,000,339 | \$6,200,000 | \$6,200,000 | \$6,400,200 | \$6,400,200 |

| | | | | | |
|---------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Expenditures | | | | | |
| Principal Retirement | \$2,055,000 | \$2,305,000 | \$2,305,000 | \$2,600,000 | \$2,900,000 |
| Interest Expense | 3,838,953 | 3,796,113 | 3,796,113 | 3,747,633 | 3,687,923 |
| Paying Agent Fees | 500 | 0 | 0 | 0 | 0 |
| Total Expenditures | \$5,894,453 | \$6,101,113 | \$6,101,113 | \$6,347,633 | \$6,587,923 |
| Net Change in Operations | \$105,886 | \$98,887 | \$98,887 | \$52,567 | (\$187,723) |
| Expenditures per Capita | \$57.59 | \$58.22 | \$58.22 | \$58.97 | \$59.48 |

Interest & Sinking Revenue Bonds - Expenditures by Category





Water / Wastewater Utility Fund

Water/Wastewater Utility Fund Revenue & Expenditures
Water/Wastewater Utility Fund Expenses by Department
Utility - Administration
Utility - Billings & Collections
Utility - Environmental Services
Utility - Fiscal Support Services
Utility Debt Service & Transfers
Wastewater Line Maintenance
Wastewater Systems Support
Wastewater Treatment Plant
Water Line Maintenance
Water Systems Support
Water Treatment Plant



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.

Water / Wastewater Utility Fund Summary of Revenues and Expenditures:

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 | 2014-15 |
|----------------------------|--------------|--------------|--------------|---------------------|--------------|
| | Actual | Adopted | Revised | Adopted | Projected |
| Revenues | | Budget | Budget | Budget | Budget |
| Water & Related Services | \$24,562,149 | \$21,235,000 | \$21,235,000 | \$21,995,000 | \$22,195,000 |
| Sewer & Related Services | 17,001,427 | 16,283,000 | 16,283,000 | 16,473,000 | 16,673,000 |
| Pre-Treatment Surcharge | 185,651 | 175,000 | 175,000 | 175,000 | 175,000 |
| Interest | 417,552 | 261,000 | 261,000 | 269,000 | 271,000 |
| Other Revenues | 2,636,985 | 2,048,641 | 2,048,641 | 2,002,000 | 2,002,000 |
| Total Expenditures | \$44,803,764 | \$40,002,641 | \$40,002,641 | \$40,914,000 | \$41,316,000 |
| Expenditures | | | | | |
| Personnel Services | \$7,369,134 | \$7,719,173 | \$7,757,673 | \$7,962,450 | \$8,072,889 |
| Contractual Services | 10,794,647 | 12,005,515 | 12,005,515 | 12,401,400 | 13,312,055 |
| Materials and Supplies | 1,872,282 | 2,160,815 | 2,160,815 | 2,150,614 | 2,131,564 |
| Other Services and Charges | 5,125,075 | 6,141,201 | 6,102,701 | 6,062,750 | 6,394,236 |
| Capital Outlay | 31,751 | 139,278 | 139,278 | 200,000 | 246,000 |
| Debt Service / Transfers | 20,123,919 | 11,835,619 | 11,835,619 | 12,136,769 | 11,198,919 |
| Total Expenditures | \$45,316,807 | \$40,001,601 | \$40,001,601 | \$40,913,983 | \$41,355,663 |
| Net Change in Operations | (\$513,043) | \$1,040 | \$1,040 | \$17 | (\$39,663) |

Water / Wastewater Utility Fund

Expenses by Department

Water / Wastewater Utility Fund Summary of Expenditures:

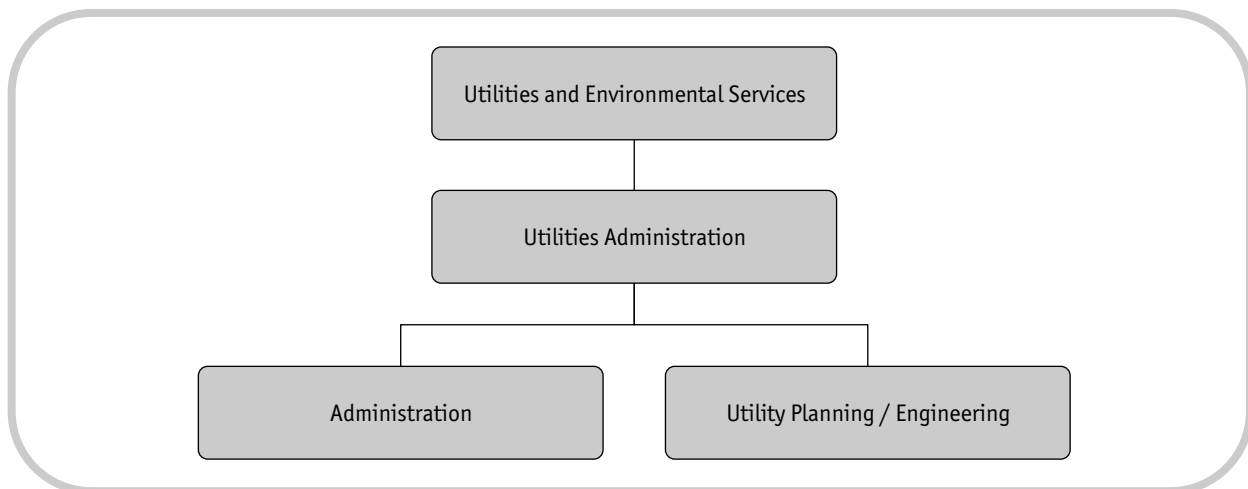
| Department | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|--|---------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| Fiscal Support Services | \$0 | \$0 | \$0 | \$1,026,259 | \$1,112,595 |
| Utility Administration | 1,104,187 | 1,196,406 | 1,198,406 | 995,952 | 997,253 |
| Utility Billings & Collections | 1,322,710 | 1,401,939 | 1,411,439 | 1,387,734 | 1,358,159 |
| Utility Environmental Services | 543,159 | 566,328 | 569,328 | 574,478 | 575,030 |
| Utility Transfers | 20,647,829 | 13,897,700 | 13,846,700 | 14,355,850 | 15,140,000 |
| Wastewater Line Maintenance | 1,802,790 | 1,901,382 | 1,918,882 | 1,819,169 | 1,878,464 |
| Wastewater Systems Support | 2,548,677 | 1,948,513 | 1,949,513 | 1,821,708 | 1,470,375 |
| Wastewater Treatment Plant | 4,116,693 | 4,345,542 | 4,328,792 | 4,440,347 | 4,828,592 |
| Water Line Maintenance | 2,235,074 | 2,313,220 | 2,350,220 | 2,388,733 | 2,573,681 |
| Water Systems Support | 3,061,406 | 3,602,758 | 3,612,758 | 3,734,215 | 3,709,520 |
| Water Treatment Plant | 7,934,282 | 8,827,813 | 8,815,563 | 8,369,538 | 7,711,994 |
| Total - Utility Fund Expenditures | \$45,316,807 | \$40,001,601 | \$40,001,601 | \$40,913,983 | \$41,355,663 |

Utility Administration

The Utilities Administration Division consists of the Utility Director's office, Utility Engineering and Water Conservation personnel and is responsible for providing support and oversight to eight other divisions that include: Water Treatment Plant, Environmental Services (Industrial Waste Pretreatment, Household Hazardous Waste Services and Analytical Laboratory), Water Line Maintenance, Water Systems Support, Wastewater Line Maintenance, Wastewater Systems Support, Wastewater Treatment Plant, and Solid Waste/Recycling Services.

Vision: To ensure adequate future water supply for the city, ensure installation of water and wastewater infrastructure to meet existing and future growth needs, economical operation of the utilities system and ensure compliance with state and federal regulations.

Mission: We are committed to provide our staff a stable work environment with equal opportunity for learning and personal growth. Innovation, trust, and professionalism are encouraged for improving the effectiveness of the department. Above all, each staff member will be provided the same concern, respect, and caring attitude within the department which we are expected to support and communicate to each internal and external Utility Department customer.



Major Business Functions:

Administration: The Utility Administration office oversees the Utilities & Environmental Services Department and is ultimately responsible for all of the functions of the department. Major functions of the Utility Administration office include:

Ensure that the City provides an adequate, affordable and safe water supply. This includes master planning and construction of the necessary infrastructure, securing future water rights to meet ultimate build-out needs of the City, protecting the current water supply, forming regional partnerships, promoting and implementing water conservation, rehabilitating existing infrastructure, managing the system to maintain competitive rates, and complying with all state and federal regulations.

Maintain wastewater system to ensure proper waste disposal in order to protect public health and the environment. This includes participating in a regional wastewater collection and treatment system with neighboring cities, as well as planning, constructing and rehabilitating the City's collection system to maintain competitive rates and to ensure compliance with federal and state regulations.

Directs water conservation program which includes; education, outreach, and public awareness to ensure that the City's water supply sources are protected and available to meet the water demands of the City.

Oversee the City's solid waste management program which includes garbage collection and disposal as well as

providing recycling opportunities to the citizens. Promote environmental awareness in order to increase recycling and reduce the amount of waste disposed of in the landfill. Also see Solid Waste/Recycling Services.

Utility Engineering: Utility Engineering is responsible for the management, inspection and coordination of all Utility Capital Improvement Projects (C.I.P.) including negotiating professional services contracts, providing general engineering support for other departments in the City, and managing and coordinating the Utility GIS, Mapping and Utility Systems Computer Modeling Programs.

Key Customers:

The Utility Administration Division has internal as well as external customers. The internal customers include all City Departments. The external customers include residents, commercial/business entities, homeowner associations, educational institutions, governmental and industrial facilities, non-profit organizations, and wholesale customers including Municipal Utility Districts (MUDs) within the City's Extraterritorial Jurisdiction (ETJ).

Customer Expectations and Requirements:

External customers expect clean, safe drinking water as well as proper waste disposal at an affordable rate. All of our customers expect accurate, timely and professional responses to their requests and/or inquiries.

FY 2012-13 Highlights:

- Completed the construction of Phase I of the Brushy Creek Regional Utility Authority (BCRUA) Project.
- Implemented a new 4-tiered water rate structure, encouraging water conservation and increases public awareness of the value of our water supply.
- Commissioned Phase I of the City's Reuse Water System, providing treated wastewater effluent to Dell Diamond and Old Settler's Park for irrigation purposes in lieu of drinking water.
- Completed update of the City's water and wastewater capital recovery fees (impact fees) that are charged for new connections to the water and wastewater systems.
- Continue the growth and expansion of the City's water conservation program, which includes education, outreach, and public awareness.

FY 2013-14 Overview and Significant Changes:

The division will continue to move forward with projects and initiatives established in the previous year, as well as continue to evaluate ways to improve the department's effectiveness, not only through efficiencies, but through new technology. In FY 2013-14, the following are some of the projects and programs that the department will be working on:

- Continue to design and construct utility capital improvement projects within the City to meet the demand and growth of new development.
- Continue to rehabilitate portions of the asbestos-cement water distribution lines and wastewater collection system annually.
- Evaluating a Utility Asset Management System which includes reviewing department processes and work order systems to ensure we are operating at maximum efficiency.
- Complete construction of Segment 3 of the regional water line for the BCRUA Water Treatment Plant and complete the purchase of the Phase 2 deep water intake site at Lake Travis for the BCRUA.
- Continue to expand the water conservation program to promote smart use of water and the installation of water wise landscaping.

- Complete renovation of the Brushy Creek Regional Wastewater System (BCRWWS) West Plant.

FY 2014-15 Overview and Beyond:

The department will continue to look for ways to improve our effectiveness and be efficient in order to continue to provide the best service possible at a low cost to the citizens. In FY 2014-15 and beyond, the following are some of the projects and programs for the department:

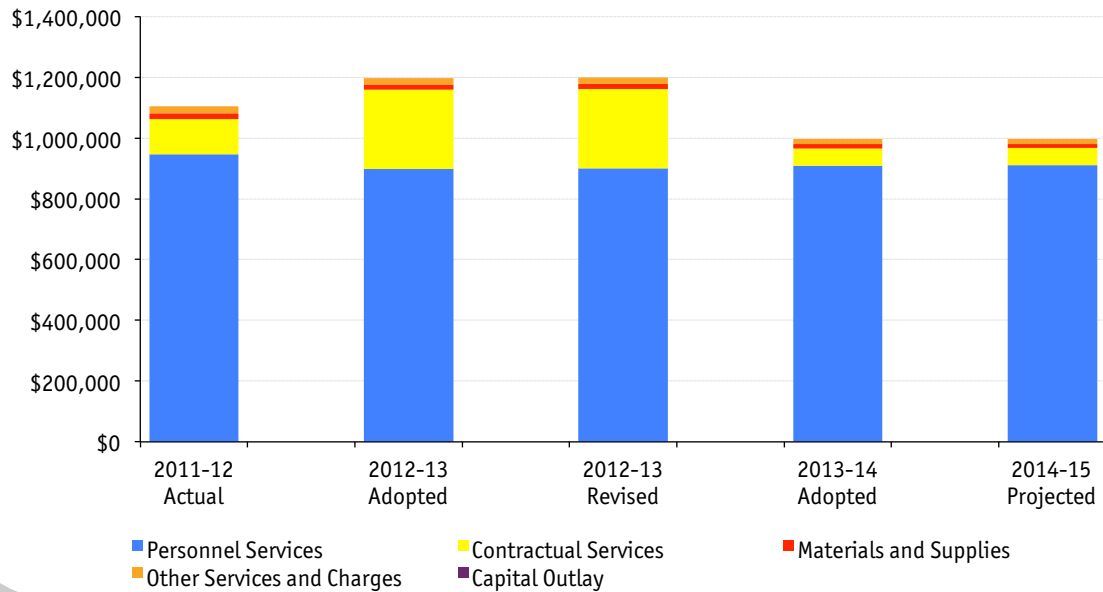
- Complete construction of Phases 2 and 4 of the Reuse System in order to provide reuse water to the Avery Center and other areas of the northeast.
- Expand solid waste management program to include more opportunities for recycling in order to divert more waste from the landfills.
- Determine a way to offset the no-net-loss provision in the Lake Travis water contract to ensure that the City's water rates remain some of the lowest in the region.
- Continue to work toward completion of the automatic meter reading (AMR) Program.

Water / Wastewater Utility Fund

Utility - Administration

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$945,764 | \$898,909 | \$900,909 | \$909,023 | \$910,324 |
| <i>Contractual Services</i> | 117,381 | 259,781 | 259,781 | 56,092 | 56,092 |
| <i>Materials and Supplies</i> | 18,781 | 16,966 | 16,966 | 14,687 | 14,687 |
| <i>Other Services and Charges</i> | 22,261 | 20,750 | 20,750 | 16,150 | 16,150 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$1,104,187 | \$1,196,406 | \$1,198,406 | \$995,952 | \$997,253 |
| Expenditures per Capita: | \$10.79 | \$11.42 | \$11.44 | \$9.26 | \$9.00 |

Utility Administration - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|--------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Utility - Administration | 2.4% | 3.0% | 3.0% | 2.4% | 2.4% | 8.7% | 7.9% | 7.8% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Director of Utilities | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Utility Engineer ³ | 114 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Utility Systems Analyst | 107 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| GIS Analyst ¹ | 107 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Engineer II/ III ² | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Manager - Utility Engineering ⁴ | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Project Manager - Senior ⁵ | 110 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Administrative Technician II/III ⁶ | 205 | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Administrative Associate ⁷ | 206 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Administrative Assistant | 208 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 11 | 10 | 10 | 11.00 | 10.00 | 10.00 |

¹ GIS Technician reclassified to GIS Analyst FY13

² Engineer II position eliminated FY13

³ Chief Utility Engineer reclassified to Utility Engineer FY14.

⁴ Senior Utility Engineer reclassified to Manager-Utility Engineering FY14.

⁵ Project Manager I/II reclassified to Project Manager - Senior FY14.

⁶ Administrative Technician II/III position eliminated FY14.

⁷ Administrative Associate position added FY14.

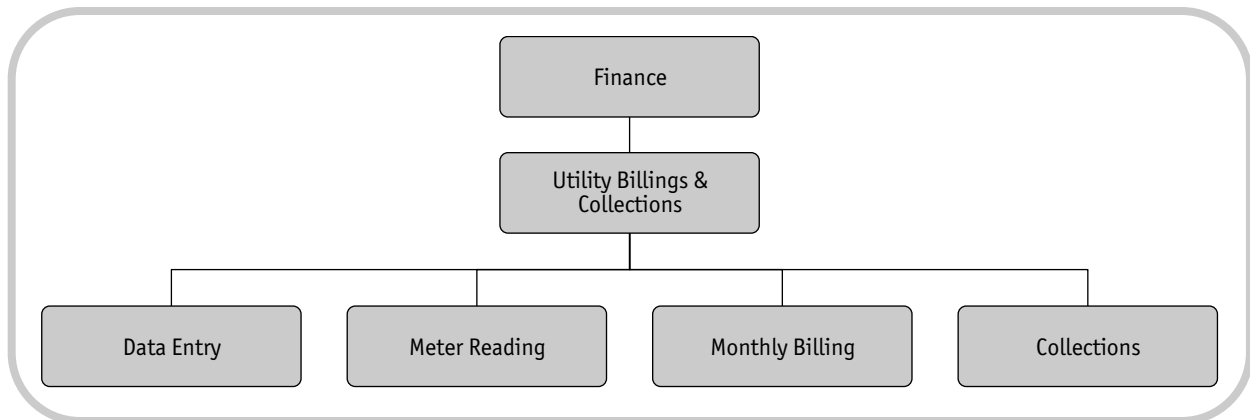
n/a denoted a position that has been eliminated

Utility Billing and Collections

The Utility Billing Office handles the accounting, billing, and collection of all customer water, sewer, and garbage billings; connects and disconnects service; and provides assistance to customers.

Vision: To provide customers with accurate and timely billing information by utilizing the most efficient resources available.

Mission: To account for the accurate and precise recording of information gathered from the reading, billing and collecting of money for every meter within the City of Round Rock, and provide professional, courteous and superior customer service to all citizens of Round Rock.



Major Business Functions:

The Round Rock Utility Billing Department consists of four major business functions that allow the department to merge together data and reading information, bill and collect revenue for the City of Round Rock on a monthly basis.

Data Entry: The utility billing office staff tracks all new meters in order to provide water service to new connections. Building Inspections Division and Utility Systems Support Department provide the information used for data entry to create new accounts in the City's main database. The office reviews existing accounts monthly for correct occupant billing information. The office depends upon our customers to inform us of any changes of occupancy.

Meter Reading: The Utility Systems Support staff reads the water meters every month. They transfer these meter readings to our office to be processed for the monthly billing. The Utility Billing staff works closely with Utility Systems Support staff to maintain the reading schedule and to meet monthly deadlines.

Monthly Billing: After reviewing, inputting and checking for accuracy on all the readings the utility billing staff calculates and invoices all customers within the database with a monthly billing.

Collections: The office staff collects current and past due monies owed to the City of Round Rock. The collections process occurs throughout the month to ensure that all accounts are collected in a timely manner.

Key Customers:

The Utility Billing Office deals mostly with external customers that we service on a daily basis. We interact with new customers who are moving to the City of Round Rock for the first time and existing customers whose needs vary from simple billing questions to more complex ones regarding service interruption. Our interaction with internal customers is most often regarding meter reading and other meter related functions.

Customer Expectations and Requirements:

All of our customers have the right to expect professional and courteous customer service from our customer service staff. The staff must comply with accurate information regarding policies and procedures that relate to the Utility Billing Office.

FY 2012-13 Highlights:

- In June 2012, the utility billing office moved back from our temporary building into our original location at City Hall. Our office space in the newly remodeled city hall is very spacious, contemporary and energy efficient. We once again notified our customer base of our moving date and reinstated the convenience of the drive through. The feedback from our customers has been positive and very complimentary of our new space.
- In the fall of 2012 there was a change in the city's banking relationship which impacted the operations in the utility billing department. Our online payments as well as the pay by phone payments were affected by the change. Fortunately, we experienced very few setbacks and were able to make the transition to the new system fairly easy for the customers. Our online customers have experience several changes in the last two years. In addition, we updated our processing for paper checks to a different electronic system. The change decreases the wait time on credit for checks to the bank account.
- Our outbound calling notification system has proven to be a very wise investment. The system calls all past due accounts with the particulars of their past due account and when the account is subject for termination. The system also allows the customer the option to pay by phone within the same phone call should they be home to answer the call. The volume of accounts subject to disconnection has decreased due to the courtesy notification. We now are finally able to manage all accounts due for disconnections in a shorter time frame during the month.
- The 4-tier conservation rate was billed effective with the first billing in June 2012 through the last billing for October 2012. The additional two tiers were an extension of the last two years that conservation rates were first introduced to our citizens. The data indicated that the additional tiers would affect about 20% of our residential customer base. We worked through the five month period with very minimal concerns from our customers.

FY 2013-14 Overview and Significant Changes:

- The City has invested in new software for the finance department. Utility billing will be impacted by this change due to the interface associated with the reporting of collections for the office. We will stay abreast of any upcoming changes and be on target to make the transition as smooth as possible.
- A new phone system is scheduled to be implemented in April 2013. This will be the second phone system to be introduced to our customer base over the last 18 month period. User friendly tracking reports are promised with the new system as well as a user friendlier call center.
- We are currently working on a new bill format for our paper bills. The current print vendor has taken on the project and we will be reviewing the recommendations.

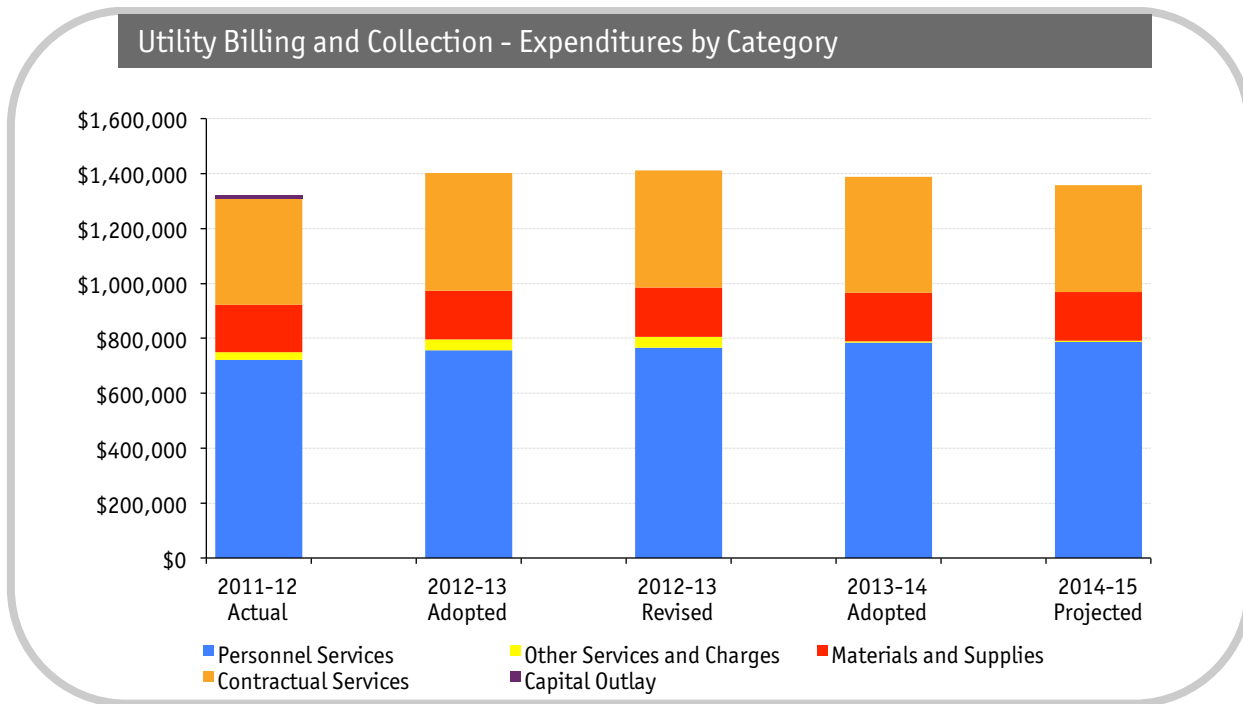
New Programs for FY 2012-13:

Utility Billing is proposing one new program for FY 2013-14 we need to add a permanent part time customer service representative to maintain service levels.

FY 2014-15 Overview and Beyond:

- We still have plans to place a kiosk directly outside the building. This would allow our after-hours customers an alternative to the payment drop box. The kiosk would be able to give customer information regarding account balances, due date and cutoff dates.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$722,823 | \$756,144 | \$765,644 | \$784,435 | \$786,397 |
| <i>Contractual Services</i> | 386,118 | 427,420 | 427,420 | 420,131 | 388,594 |
| <i>Materials and Supplies</i> | 173,529 | 178,675 | 178,675 | 178,468 | 178,468 |
| <i>Other Services and Charges</i> | 26,495 | 39,700 | 39,700 | 4,700 | 4,700 |
| <i>Capital Outlay</i> | 13,745 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$1,322,710 | \$1,401,939 | \$1,411,439 | \$1,387,734 | \$1,358,159 |
| Expenditures per Capita: | \$12.92 | \$13.38 | \$13.47 | \$12.90 | \$12.26 |



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|----------------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Utility - Billings & Collections | 2.9% | 3.5% | 3.5% | 3.4% | 3.3% | 11.8% | 11.9% | 12.3% |

Water / Wastewater Utility Fund

Utility - Billing & Collections

| Authorized Personnel | Grade | Positions | | | Full Time Equivalent | | |
|---|-------|-------------------|--------------------|--------------------|----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Manager-Utility Billing | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Supervisor- Utility Billing ³ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Customer Service Representative ^{1,3} | 205 | 6 | 7 | 7 | 6.00 | 7.00 | 7.00 |
| Admin Technician ³ | 205 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Customer Service Representative - PT ^{1,3} | 205 | 2 | 1 | 2 | 1.00 | 0.50 | 1.25 |
| Coordinator-Customer Service ³ | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Accountant ³ | 105 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Meter Service Representative ^{2,3} | 205 | 3 | 2 | 2 | 3.00 | 2.00 | 2.00 |
| Meter Service Representative - PT ^{2,3} | 205 | 0 | 1 | 1 | 0.00 | 0.50 | 0.50 |
| Total | | 16 | 16 | 17 | 15.00 | 15.00 | 15.75 |

¹ Customer Service Rep. - P/T reclassified to Customer Service Rep. FY13

² Field Service Representative reclassified to Field Service Representative - P/T FY13

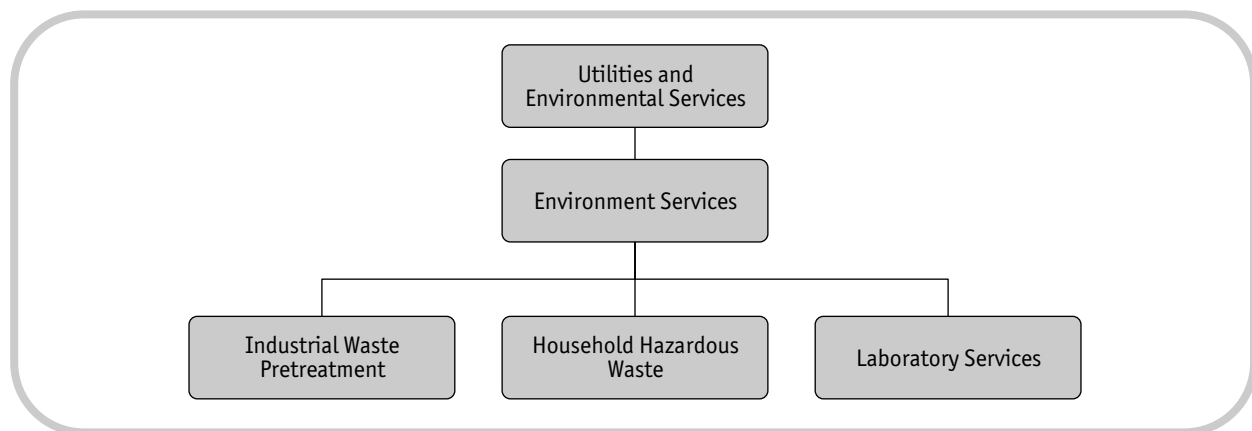
³ Position reclassified FY14.

Environmental Services

Environmental Services consists of several primary activities: Industrial Waste Pretreatment, Household Hazardous Waste Services and Laboratory Services. These activities are accomplished through implementing and encouraging pollution prevention activities, enforcing environmental regulations, and quantifying pollutant concentrations.

Vision: The Environmental Services Division will be a respected and trusted leader in Industrial Waste Pretreatment, Laboratory Services and pollution prevention activities.

Mission: To provide resource preservation, conservation, and protection through the implementation and enforcement of environmental regulations and environmental stewardship.



Major Business Functions:

Industrial Waste Pretreatment: Mandated by the Environmental Protection Agency (EPA) and the Texas Commission on Environmental Quality (TCEQ) this program is designed to protect the wastewater collection and treatment systems, public health, the environment, and public waterways from pollutant discharges. The pretreatment program includes permitting, inspecting, sampling, and testing of local businesses and industries to ensure compliance with applicable regulations.

Household Hazardous Waste Services (HHW): Provides safe and correct disposal of hazardous home chemicals and paint. This program helps divert hazardous materials from landfills, receiving streams, drinking water supplies and reduces the potential for illegal dumping. The City has conducted HHW services since 1996 and became a TCEQ approved permanent collection facility in December 2004.

Laboratory Services: Provides testing services to a variety of customers including: the City's water system, Capital Improvement Projects and development projects for the Building Construction & Inspections Divisions, private citizens, and other municipalities. The laboratory is certified under the National Environmental Laboratory Accreditation Program (NELAP) which requires the highest standard of quality control. The Environmental Laboratory has been certified by the Texas Department of Health since 1996.

Key Customers:

The laboratory supports the needs of several City Departments which include the TCEQ required samples for the water/wastewater utility, construction inspection, storm water program and other special need samples required by the City. The laboratory also supports outside water customers for bacteriological and other testing services including: private home owners, construction companies, local public water systems, real estate agencies and other entities requiring water and wastewater testing services.

The industrial pretreatment program customers include all non-domestic dischargers within the City of Round Rock

and regulatory agencies such as the EPA and TCEQ. All individuals and/or businesses connected to the City's sanitary system are impacted by the program. Other customers include the Brazos River Authority and partner cities involved in the Brushy Creek Regional Wastewater System.

Customers of the household hazardous waste program include all Round Rock residents and employees. The recycling and reuse component of this program is available to anyone.

Customer Expectations and Requirements:

Laboratory customers expect precise, accurate results in a short period of time with attention to quality control and data integrity at a cost competitive price.

Industrial Pretreatment customers expect clear communication, fair treatment when applying regulations, fast response and professional input regarding treatment design and processes.

Household Hazardous Waste customers expect frequent and convenient access to services and that the disposal methods chosen are environmentally and cost conscious.

FY 2012-13 Highlights:

In the FY 2012-2013 budget year, the Environmental Services Division focused on activities dedicated to enhancing the laboratory services, industrial waste pretreatment and household hazardous waste programs. These activities included:

- The successful completion of an audit of the Environmental Services Laboratory which resulted in the reissuance of our certification under NELAP.
- The reevaluation of Environmental Services Laboratory fees.
- Monitoring the wastewater collection system to obtain baseline monitoring data for certain parameters.

FY 2013-14 Overview and Significant Changes:

In FY 2013-2014, the Environmental Services Division will continue to concentrate on pollution prevention activities. The department is focusing on the following significant activities:

- Improving the surcharge program to include more commercial businesses. This will require an update to the Industrial Waste Pretreatment Ordinance.
- Implementing the recently modified Industrial Waste Pretreatment Program which includes more stringent local limits on nondomestic wastewater discharges.
- Improving the Pretreatment Program website to include user forms, applicable industrial user rules and guidance documents.

FY 2014-15 Overview and Beyond:

The Environmental Services Division will concentrate on activities geared toward improving pollution prevention programs and expanding laboratory testing services as well as planning to meet the future needs of the community. The Environmental Services Division will focus on the following tasks in FY 2014-15 and beyond:

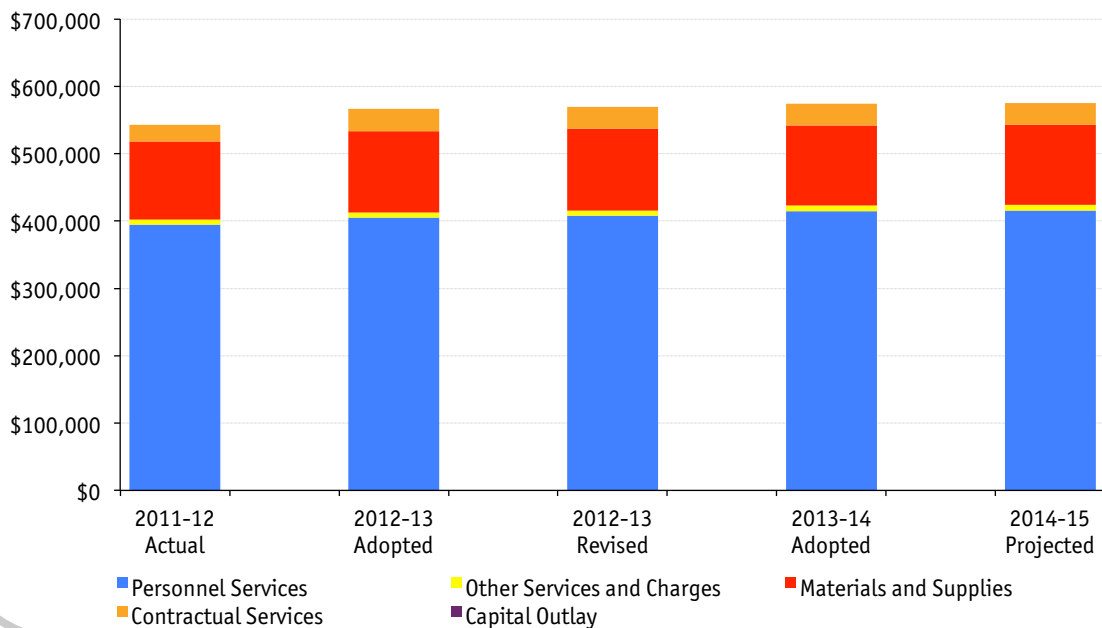
- The division will work with regional partners to develop and offer household hazardous waste disposal options to residents within our utility service area. This program will ensure proper disposal of household chemicals, reduce the possibility of pollution to our area water sources and be set up to financially support itself.
- The laboratory will maintain necessary NELAP accreditation for the production of reportable and defensible data.
- The division will evaluate the need for expanding the laboratory facilities to improve efficiencies or increase workloads.

Water / Wastewater Utility Fund

Utility - Environmental Services

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|-------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$394,583 | \$404,995 | \$407,995 | \$414,743 | \$415,495 |
| <i>Contractual Services</i> | 25,273 | 32,469 | 32,469 | 32,556 | 32,556 |
| <i>Materials and Supplies</i> | 115,925 | 121,564 | 121,564 | 118,359 | 118,159 |
| <i>Other Services and Charges</i> | 7,378 | 7,300 | 7,300 | 8,820 | 8,820 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$543,159 | \$566,328 | \$569,328 | \$574,478 | \$575,030 |
| Expenditures per Capita: | \$5.31 | \$5.40 | \$5.43 | \$5.34 | \$5.19 |

Environmental Services - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|-------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Utility - Environmental | 1.2% | 1.4% | 1.4% | 1.4% | 1.4% | 4.7% | 4.8% | 4.7% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---------------------------------------|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Senior Lab Analyst | 212 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Lab Analyst ¹ | 209 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Pretreatment Compliance Specialist | 212 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Environmental Services Supervisor | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Laboratory Analyst ¹ | 209 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Administrative Associate ¹ | 206 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 6 | 6 | 6 | 6.00 | 6.00 | 6.00 |

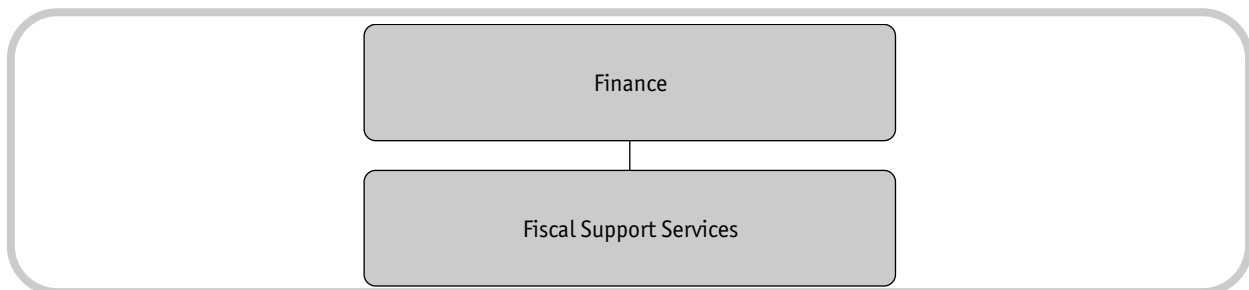
¹ Position reclassified FY14.

Utility – Fiscal Support Services

The Fiscal Support Services section of the budget provides funding for utility expenditures that are not allocable to any specific department. Due to the general, strictly financial nature of the Department’s charge, oversight of the Fiscal Support Services Department’s activities is the responsibility of the City’s Utility Department.

Vision: Provide leadership and direction to maintain the financial stability of the City and prepare for future growth.

Mission: The mission of the Fiscal Support Services Department is to provide general financial monitoring, oversight, and support to the City of Round Rock for all expenditures that are not allocable to any specific department.



Major Business Functions:

Fiscal Support Services is a support department in the utility fund of the City of Round Rock. This purely fiscal responsibility center captures expenditures associated with non-allocable costs for city utility operations related items. Examples of expenditures include various utility and maintenance costs for Utility administration offices and facilities.

Key Customers:

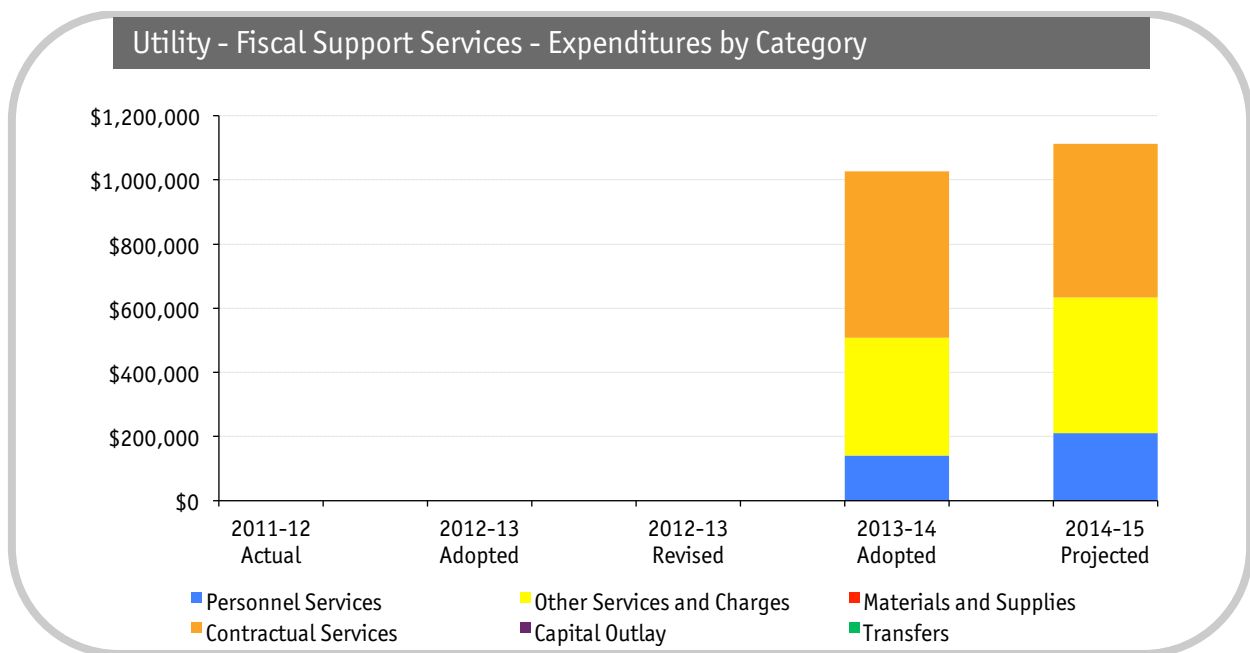
The Fiscal Support Services’ primary customers include City utility departments. External customers are the vendors related to maintenance activities and various services the City funds.

Customer Expectations and Requirements:

Provide general financial monitoring, oversight and support to City utility departments for all expenditures that are not allocable to any specific department. This department also responds to all administrative and departmental fiscal needs as necessary.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|-------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$0 | \$0 | \$0 | \$140,000 | \$210,000 |
| <i>Contractual Services</i> | 0 | 0 | 0 | 517,495 | 479,495 |
| <i>Materials and Supplies</i> | 0 | 0 | 0 | 0 | 0 |
| <i>Other Services and Charges</i> | 0 | 0 | 0 | 368,764 | 423,100 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| <i>Transfers</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$0 | \$0 | \$0 | \$1,026,259 | \$1,112,595 |
| Expenditures per Capita: | \$0.00 | \$0.00 | \$0.00 | \$9.54 | \$10.04 |

Note: Funds were previously budgeted in Water Treatment Plant & Wastewater Treatment Plant departments



Operating Efficiencies:

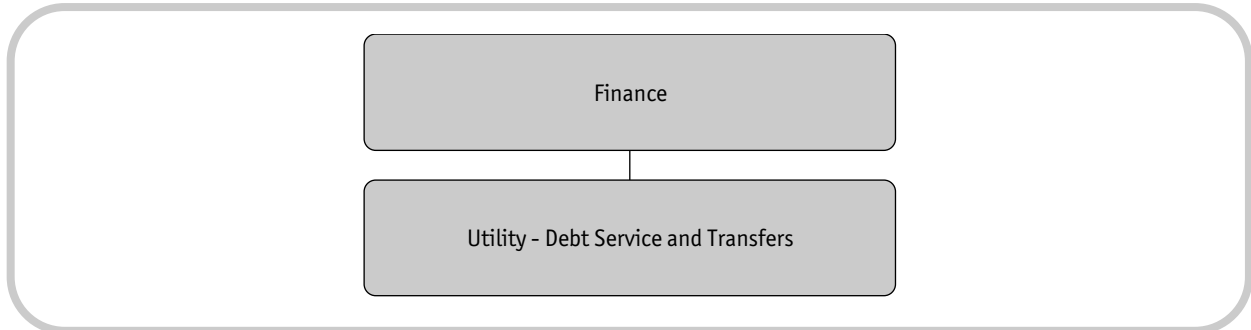
| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|-----------------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Utility - Fiscal Support Services | 0.0% | 0.0% | 0.0% | 2.5% | 2.7% | 0.0% | 0.0% | 0.0% |

Utility – Debt Service and Transfers

The Utility Debt Service and Transfers section of the budget provides funding for retirement of the City's bonded and other long term debt.

Vision: Provide leadership and direction to maintain the financial stability of the City and prepare for future growth.

Mission: The mission is to provide management of debt retirement by providing the most cost effective and timely retirement of long term debt.



Major Business Functions:

Provides department level visibility of City owned long term debt and activities associated with the retirement of those debts.

Key Customers:

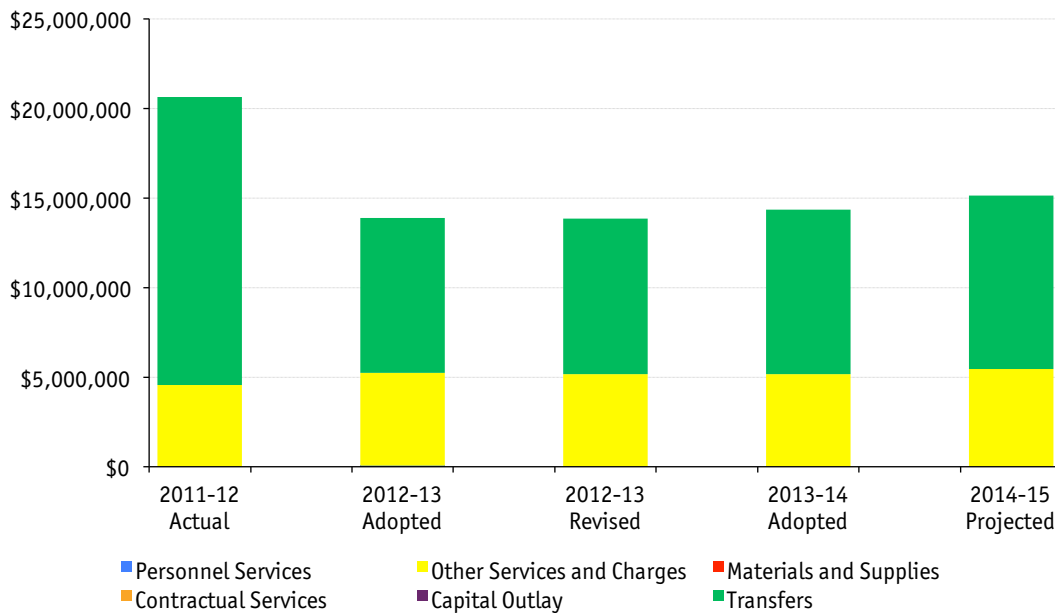
The Utility Debt Service and Transfers primary customers are the citizens of Round Rock through the management of the long term debt.

Customer Expectations and Requirements:

This department provides visibility of all long term debt and the payments associated with that debt.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|---------------------------------|---------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| Personnel Services | \$0 | \$51,000 | \$0 | \$0 | \$0 |
| Contractual Services | 0 | 0 | 0 | 0 | 0 |
| Materials and Supplies | 0 | 0 | 0 | 0 | 0 |
| Other Services and Charges | 4,557,829 | 5,175,000 | 5,175,000 | 5,175,000 | 5,450,000 |
| Capital Outlay | 0 | 0 | 0 | 0 | 0 |
| Transfers | 16,090,000 | 8,671,700 | 8,671,700 | 9,180,850 | 9,690,000 |
| Total Expenditures: | \$20,647,829 | \$13,897,700 | \$13,846,700 | \$14,355,850 | \$15,140,000 |
| Expenditures per Capita: | \$201.74 | \$132.61 | \$132.13 | \$133.42 | \$136.64 |

Utility - Debt Service and Transfers - Expenditures by Category



Operating Efficiencies:

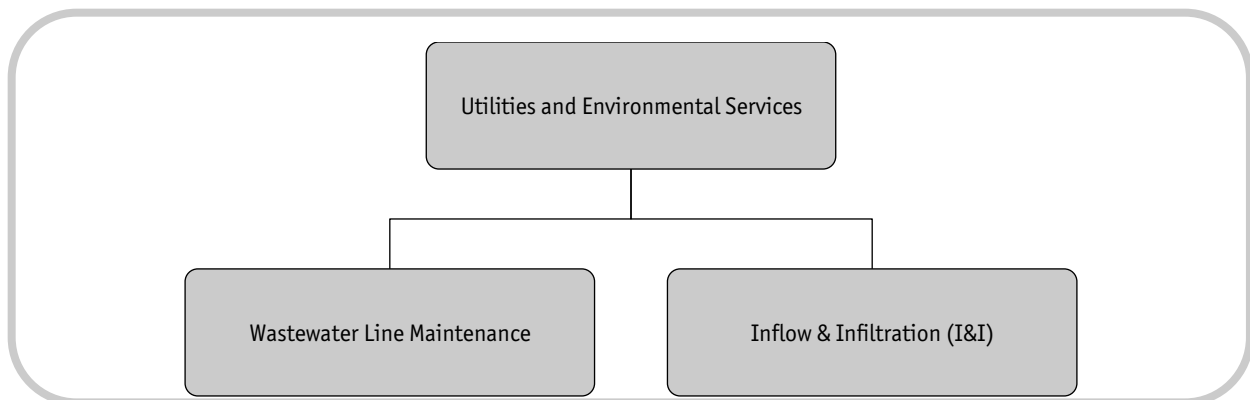
| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|---------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Utility - Transfers | 45.6% | 34.7% | 34.6% | 35.1% | 36.6% | 0.0% | 0.0% | 0.0% |

Wastewater Line Maintenance

The Wastewater Line Maintenance (WWLM) Division is responsible for the maintenance and repair of 8,756 manholes and 422 miles of the City's Wastewater Collection System. Wastewater Line Maintenance is structured utilizing multiple three-man maintenance crews under the direction of the Wastewater Line Maintenance Superintendent reporting to the Utility Operations Manager, all of whom report to the Director of Utilities.

Vision: Provide all of our customers with safe, adequate, reliable, and high quality wastewater services.

Mission: We are committed to provide our staff a stable work environment with equal opportunity for learning and personal growth. Innovation, trust, and professionalism is encouraged for improving the effectiveness of the department. Above all, each staff member will be provided the same concern, respect, and caring attitude within the department, which we are expected to support and communicate to each internal and external Utility Department customer.



Major Business Functions:

Wastewater Line Maintenance: This program operates 24 hours a day, 365 days a year with on-call personnel after hours, weekends and holidays. Wastewater Line Maintenance crews repair line breaks and remedy service problems, as well as perform preventative maintenance and locates of existing utility lines as needed in accordance with the Texas Line Locate Bill, "One Call".

Inflow and Infiltration (I&I): With the current inspection, correction and documentation requirements of the Texas Commission on Environmental Quality (TCEQ) Edwards Aquifer Rules (Chapter 213), the I&I division identifies and corrects inflow and infiltration into the City's wastewater collection system during rainy periods. This requires testing and certification of all wastewater facilities.

Key Customers:

Wastewater Line Maintenance has both internal and external customers. Internal customers consist of all department employees within the City of Round Rock. Externally, this division responds to resident concerns, commercial/business entities, contractors, non-profit organizations, and local, state and federal governed agencies.

Customer Expectations and Requirements:

All customers require a prompt and professional response to their concerns, issues and/or questions regarding water and wastewater; efficient and effective preventative maintenance; and compliance with all State and Federal laws and regulations.

FY 2012-13 Highlights:

The department has been working on improving service and meeting mandated rules by:

- Utilizing technology (Ipads) to more effectively update the GIS wastewater layer of the wastewater system.
- Implemented a process to review data collected from camera crews and transfer to our current consulting firm for review and rehab recommendations.
- Continuously working to improve the process of cleaning and inspecting the collection system and schedule rehabs to make needed repairs to broken pipe, roots intrusions and deteriorating conditions.

FY 2013-14 Overview and Significant Changes:

The City will continue to work on reducing the amount of I&I into the wastewater collection system as well as the cost to treat this additional amount of water by:

- Working in conjunction with City staff and consulting engineer to reach a common goal of eliminating I&I sources in specific target areas.
- Coordinate I&I video inspections and line cleaning crews work assignments to help enhance the efficiency of the work unit and successfully complete projects on target.
- Upgrade I&I camera equipment to enhance the performance of I&I crew.
- Continue to rehab and replace wastewater lines that have deteriorated over time.

New Programs for FY 2013-14:

Wastewater Line Maintenance is proposing no new programs for FY 2013-14.

FY 2014-15 Overview and Beyond:

Improve current equipment in order to meet the needs of CORR infrastructure expansion and I&I prevention program.

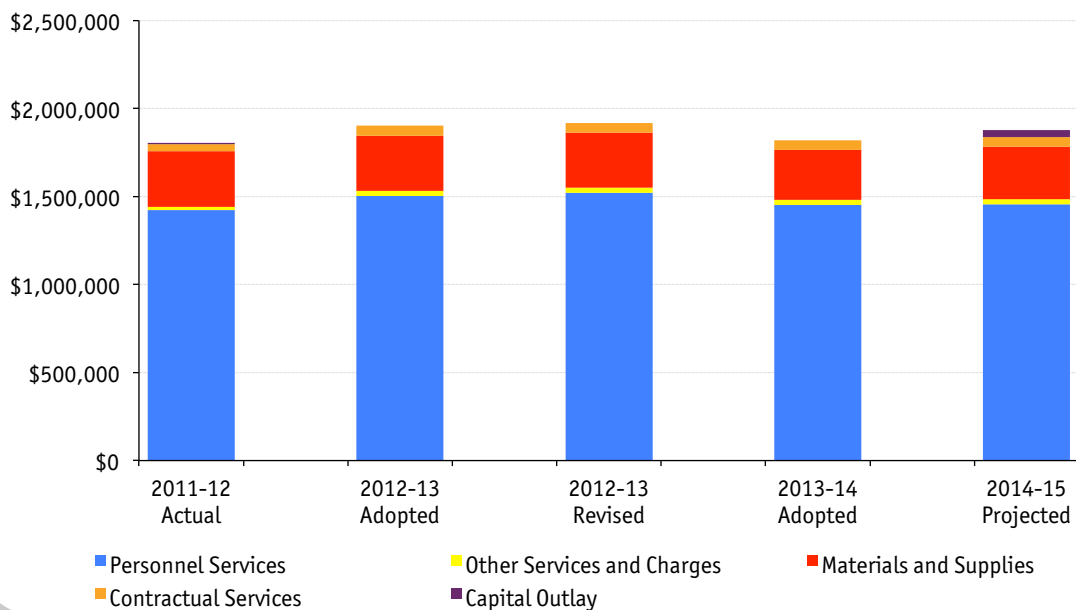
- Assign individual asset numbers to video inspection cameras and computer equipment in order to release damaged and outdated equipment from ties to camera van asset number. Camera van is under a 10 year/100,000 mile replacement program.
- Enact a plan to consolidate all Utility Field Divisions into one central service center.

Water / Wastewater Utility Fund

Wastewater Line Maintenance

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$1,421,528 | \$1,503,464 | \$1,520,964 | \$1,452,174 | \$1,455,264 |
| <i>Contractual Services</i> | 37,751 | 56,975 | 56,975 | 54,747 | 54,747 |
| <i>Materials and Supplies</i> | 319,474 | 312,273 | 312,273 | 283,578 | 299,433 |
| <i>Other Services and Charges</i> | 17,927 | 28,670 | 28,670 | 28,670 | 28,670 |
| <i>Capital Outlay</i> | 6,110 | 0 | 0 | 0 | 40,350 |
| Total Expenditures: | \$1,802,790 | \$1,901,382 | \$1,918,882 | \$1,819,169 | \$1,878,464 |
| Expenditures per Capita: | \$17.61 | \$18.14 | \$18.31 | \$16.91 | \$16.95 |

Wastewater Line Maintenance - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|-----------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Wastewater Line Maintenance | 4.0% | 4.8% | 4.8% | 4.4% | 4.5% | 20.5% | 20.6% | 20.4% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Administrative Technician ⁴ | 205 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Supervisor - Utility Services ⁵ | 213 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Utility Services Crew Leader ^{1,4} | 210 | 8 | 8 | 8 | 8.00 | 8.00 | 8.00 |
| Utility Services Worker - Senior ^{2,4} | 209 | 2 | 1 | 5 | 2.00 | 1.00 | 5.00 |
| Superintendent - Utility Services ⁴ | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Utility Services Technician ^{3,4} | 209 | 1 | 2 | 2 | 1.00 | 2.00 | 2.00 |
| Utility Line Locator ³ | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| Utility Worker ^{1,2,4} | 206 | 10 | 11 | 7 | 10.00 | 11.00 | 7.00 |
| Total | | 26 | 26 | 26 | 26.00 | 26.00 | 26.00 |

¹ Utility Crew Leader reclassified to I&I Utility Crew Leader FY 14

² Senior Utility Worker reclassified to Utility Worker FY 13, FY 14

³ Utility Line Locator reclassified to Operations Technician FY 13

⁴ Position reclassified FY14.

⁵ Position reclassified to Utility Services Supervisor FY14.

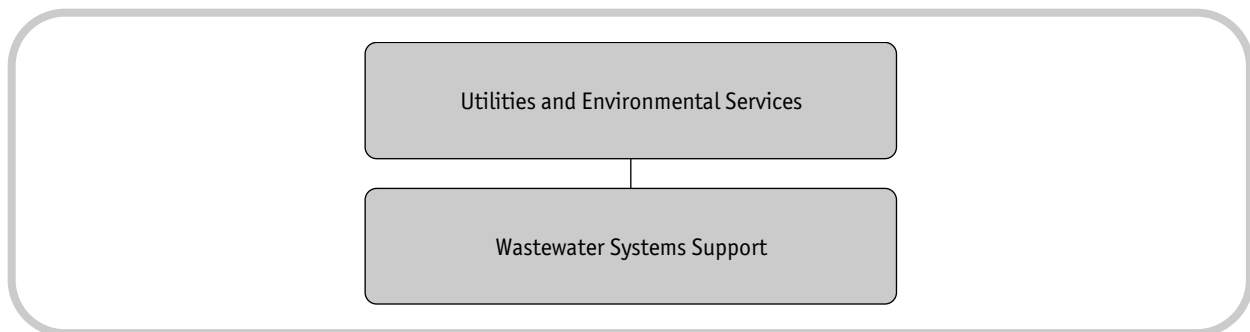
n/a denotes the position has been eliminated

Wastewater System Support

The Wastewater Systems Support Department is responsible for the operation, maintenance and repair of the City's Wastewater Collection System Lift Stations. Wastewater Systems Support is structured utilizing multiple water/wastewater maintenance crews. Reporting lines of authority and accountability are shown below.

Vision: Provide the highest quality treated effluent for irrigation, utility, recreation, aquatic habitat and future drinking water uses.

Mission: We are committed to provide our staff a stable work environment with equal opportunity for learning and personal growth. Innovation, trust, and professionalism are encouraged for improving the effectiveness of the department. Above all, each staff member will be provided the same concern, respect, and caring attitude within the department, which we are expected to support and communicate to each internal and external Utility Department customer.



Major Business Functions:

Wastewater Systems Support: This department maintains the mechanical and electrical equipment on the City's 12 lift stations and is under the direction of the Utility Support Superintendent. The lift stations are used to pump wastewater because variations in topography do not allow for gravity flow. The lift station maintenance program assures system reliability by performing routine inspections of the system's wastewater lift stations. These routine inspections include the maintenance and repair of pumps, motors, electrical control systems, and various control devices at each lift station. In order to maximize the system's reliability, Wastewater Systems Support maintains an emergency response team that is on call 24 hours a day, 365 days a year.

Key Customers:

Our key customers include the residents and/or businesses that are located in areas of the City that require lift stations.

Customer Expectations and Requirements:

Our customers expect their wastewater to be collected and treated as required by State Law to meet the public health and safety requirements.

FY 2011-12 Highlights:

- Continue to maintain and assure proper operations of the City's 12 lift stations.
- Upgrade SCADA system at all sites for better communication and control.
- Improved coordination and cleaning of lift stations with assistance from Wastewater Line Maintenance personnel.

FY 2012-13 Overview and Significant Changes

Plans for combining all departments in Utilities at one location will allow more flexibility in training and possibly allow for a reduction in staff and improve service.

- Continued cross training opportunities with other employees in the Utilities and Environmental Services Department.
- Evaluate possibility of combining lift station maintenance into Wastewater Line Maintenance Division's responsibilities.
- Continued reduction in number of equipment and vehicles.

FY 2013-14 Overview and Beyond:

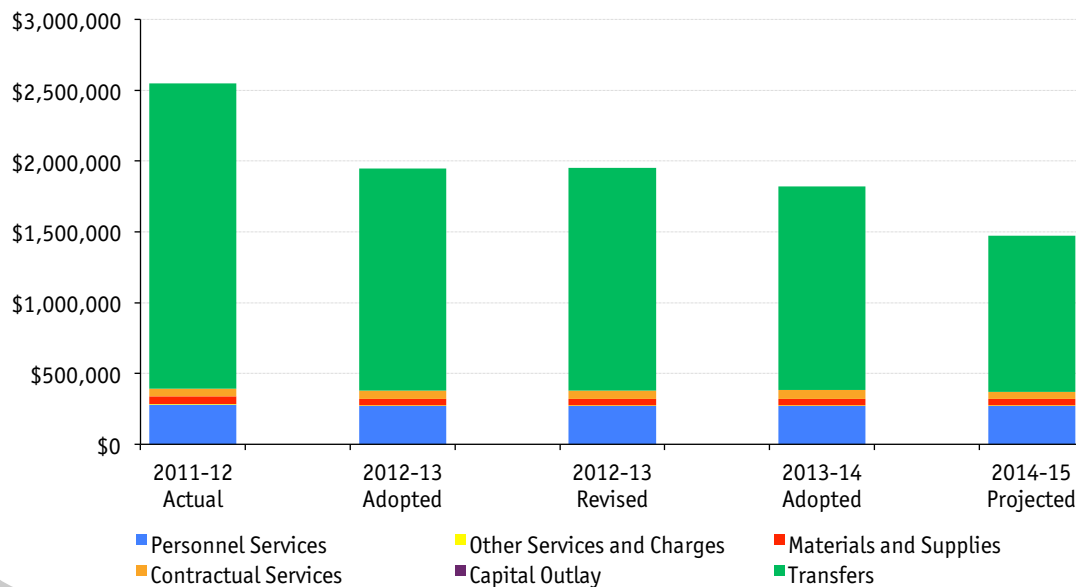
- Enact plan to consolidate all Utility Field Divisions into one central service center.
- Continue to upgrade SCADA system at all sites for better communication and control.
- Potentially combine staff in the Wastewater System Support Division with the Wastewater Line Maintenance Division.
- Provide dual license and certification opportunities for employees.

Water / Wastewater Utility Fund

Wastewater Systems Support

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$280,776 | \$270,889 | \$271,889 | \$269,060 | \$269,561 |
| <i>Contractual Services</i> | 58,125 | 60,854 | 60,854 | 61,105 | 48,836 |
| <i>Materials and Supplies</i> | 52,760 | 43,751 | 43,751 | 48,524 | 48,789 |
| <i>Other Services and Charges</i> | 2,997 | 4,000 | 4,000 | 4,000 | 4,000 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| <i>Transfers</i> | 2,154,019 | 1,569,019 | 1,569,019 | 1,439,019 | 1,099,189 |
| Total Expenditures: | \$2,548,677 | \$1,948,513 | \$1,949,513 | \$1,821,708 | \$1,470,375 |
| Expenditures per Capita: | \$24.90 | \$18.59 | \$18.60 | \$16.93 | \$13.27 |

Wastewater System Support - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|---------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Wastewater System Support | 5.6% | 4.9% | 4.9% | 4.5% | 3.6% | 3.1% | 3.2% | 3.1% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Superintendent - Utility Services ¹ | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| System Mechanic ¹ | 207 | 2 | 2 | 2 | 2.00 | 2.00 | 2.00 |
| Supervisor - Utility System Mechanic ¹ | 213 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 4 | 4 | 4 | 4.00 | 4.00 | 4.00 |

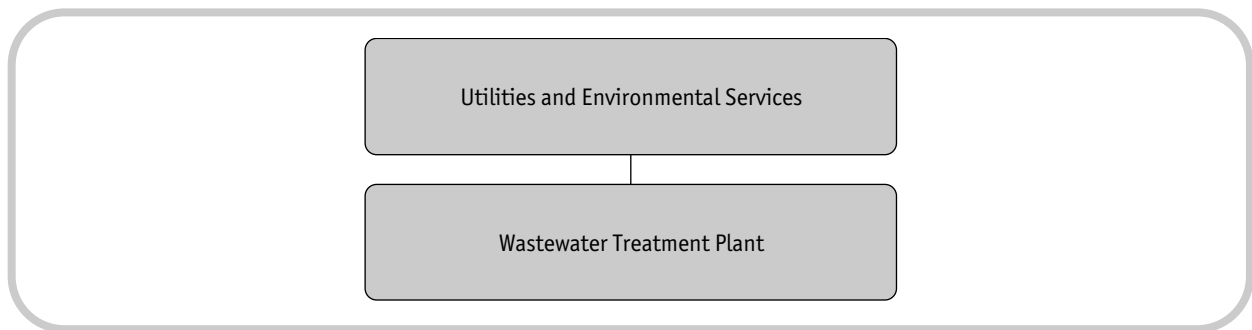
¹ Position reclassified FY14.

Wastewater Treatment Plant

The primary activity of the Wastewater Treatment Plant Division is the treatment of residential, commercial and industrial wastewater to a level that meets or exceeds state and federal regulations. This is accomplished by using sophisticated equipment, advanced treatment technologies and state certified wastewater treatment plant operators provided by the Brazos River Authority.

Vision: Provide the highest quality treated effluent for irrigation, utility, recreation, aquatic habitat and future drinking water uses.

Mission: We are committed to provide our staff a stable work environment with equal opportunity for learning and personal growth. Innovation, trust, and professionalism are encouraged for improving the effectiveness of the department. Above all, each staff member will be provided the same concern, respect, and caring attitude within the department which we are expected to support and communicate to each internal and external Utility Department customer.



Major Business Functions:

Wastewater Treatment Plant: The Wastewater Treatment Plant’s major function is to treat domestic sewerage. The operation is regional and includes customers from Williamson and Travis counties. Round Rock in partnership with the Cities of Austin and Cedar Park, purchased the Wastewater Treatment Plant from the Lower Colorado River Authority. Since the transaction with the LCRA, the City of Leander has purchased capacity in the regional wastewater system. The Brazos River Authority continues to operate and maintain the Wastewater Treatment Plant.

Key Customers:

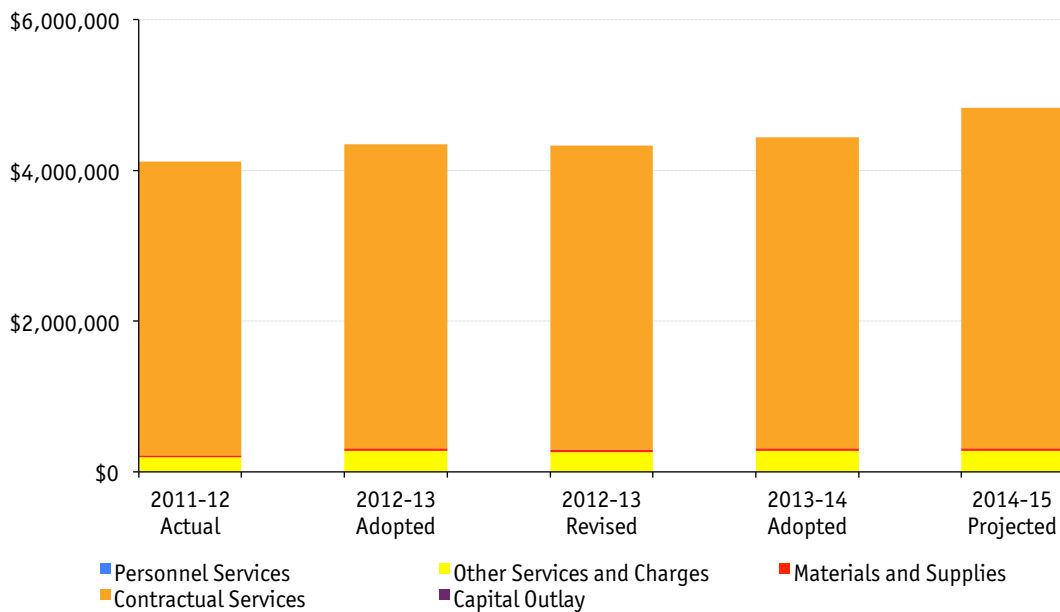
The Brushy Creek Regional Wastewater System provides wastewater collection and treatment to the Cities of Round Rock, Austin, Cedar Park and Leander. The customers in the Round Rock service area include all residential, commercial and industrial properties, as well as Municipal Utility Districts.

Customer Expectations and Requirements:

All customers expect proper collection and treatment of wastewater according to local, state and federal requirements. The City will cost effectively operate and maintain the wastewater system infrastructure in order to ensure competitive rates to its customers.

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|---------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| Personnel Services | \$0 | \$0 | \$0 | \$0 | \$0 |
| Contractual Services | 3,900,928 | 4,034,705 | 4,034,705 | 4,126,543 | 4,514,788 |
| Materials and Supplies | 25,979 | 34,037 | 34,037 | 35,324 | 35,324 |
| Other Services and Charges | 189,786 | 276,800 | 260,050 | 278,480 | 278,480 |
| Capital Outlay | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$4,116,693 | \$4,345,542 | \$4,328,792 | \$4,440,347 | \$4,828,592 |
| Expenditures per Capita: | \$40.22 | \$41.47 | \$41.31 | \$41.27 | \$43.58 |

Wastewater Treatment Plant - Expenditures by Category



Operating Efficiencies:

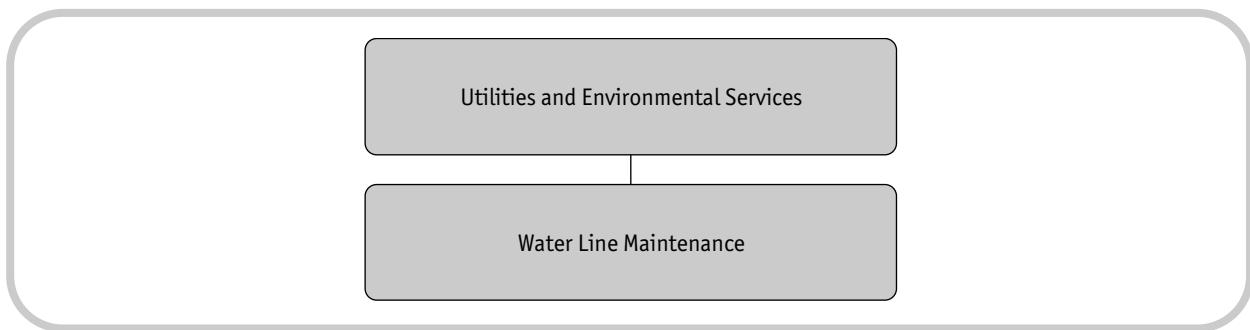
| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|----------------------------|-------------------------------------|-----------------|-----------------|-----------------|-------------------|--|-----------------|-----------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Wastewater Treatment Plant | 9.1% | 10.9% | 10.8% | 10.9% | 11.7% | 0.0% | 0.0% | 0.0% |

Water Line Maintenance

The Water Line Maintenance Division (WLM) maintains approximately 585 miles of water lines, 13,567 valves and 5,563 fire hydrants in the City's water distribution system. Water Line Maintenance uses multiple three-man maintenance crews and a three-man night crew under the direction of a Water Line Maintenance Superintendent who reports to the Utility Manager who reports to the Utility Director.

Vision: Provide all our customers with safe, adequate, reliable, and high quality water services.

Mission: We are committed to provide our staff a stable work environment with equal opportunity for learning and personal growth. Innovation, trust, and professionalism are encouraged for improving the effectiveness of the department. Above all, each staff member will be provided the same concern, respect, and caring attitude within the department, which we are expected to support and communicate to each internal and external Utility Department customer.



Major Business Functions:

Water Line Maintenance: This program operates 24 hours a day, 365 days a year ensuring that all residents living in Round Rock have safe drinking water and that the sanitary sewers are flowing properly. Water Line Maintenance crews repair water line breaks and service leaks, including flushing dead-end water mains in accordance with Texas Commission on Environmental Quality (TCEQ). Field crews also perform preventative maintenance on all valves, fire hydrants and existing water utility locations in accordance with State law, Texas Line Locate Bill, and "One Call," ensuring adequate pressure and fire protection. Water Line Maintenance has an evening shift crew who responds to after-hour calls and makes emergency water and wastewater repairs. This is an essential service that provides more accessibility to the citizens as well as saving the City money on overtime.

Key Customers:

Water Line Maintenance has both internal and external customers. Internal customers include all City Departments. Externally, the department responds to all Round Rock resident concerns, commercial/business entities, contractors, wholesale customers within the City's ETJ, as well as local, state and federal government agencies.

Customer Expectations and Requirements:

All customers require a prompt and professional response to their concerns, issues and/or questions regarding water and wastewater; efficient and effective preventative maintenance; and compliance with all State and Federal laws and regulations.

FY 2012-13 Highlights:

Due to a continuous drought this past year, the division dealt with an above average amount of main breaks and service leaks. This increase in workload forced our crews to spend more time responding to these repairs in order to keep our customers with water service.

- Repaired 31 main breaks and 54 service leaks.
- Disconnected potable water system at Old Setters Park and converted to reuse water system.
- Cut 7,000 feet of trench for Old Setters Park Christmas lights.
- Provided the Utility Support Division with additional help in conversion to AMR meters.

FY 2013-14 Overview and Significant Changes:

Evaluate possibility of hiring a contractor to conduct yearly leak detection or purchase the necessary equipment to do internal leak detection. Other examples of improvement would be:

- Provide assistance to the GIS staff by GPS'ing every valve and fire hydrant in our water distribution system.
- Use iPads for data collection on all fire hydrant and valve maintenance.
- Prioritize subdivisions for replacement of asbestos cement water lines.

FY 2014-15 Overview and Beyond:

Water Line Maintenance will need an additional Utility Crew in order to assist with the fire hydrant maintenance program. In the future we will:

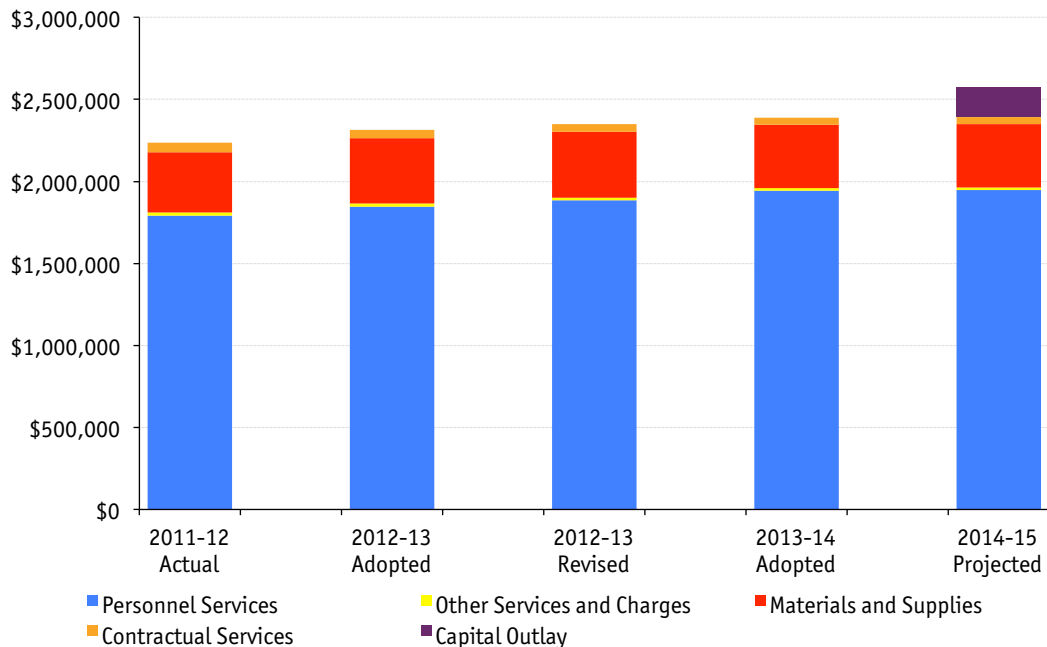
- Keep improving our maintenance programs by cross training, obtaining dual license and certifications.
- Continue to update mapping and provide hardware to assist field personal to be able to access utility maps.
- Enact a plan to consolidate all Utility Field Divisions into one central service center.

Water / Wastewater Utility Fund

Water Line Maintenance

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$1,792,188 | \$1,846,983 | \$1,883,983 | \$1,942,700 | \$1,946,688 |
| <i>Contractual Services</i> | 57,099 | 47,998 | 47,998 | 44,360 | 44,670 |
| <i>Materials and Supplies</i> | 368,571 | 400,873 | 400,873 | 384,307 | 384,307 |
| <i>Other Services and Charges</i> | 17,216 | 17,366 | 17,366 | 17,366 | 17,366 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 180,650 |
| Total Expenditures: | \$2,235,074 | \$2,313,220 | \$2,350,220 | \$2,388,733 | \$2,573,681 |
| Expenditures per Capita: | \$21.84 | \$22.07 | \$22.43 | \$22.20 | \$23.23 |

Water Line Maintenance - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|------------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Water Line Maintenance | 4.9% | 5.8% | 5.9% | 5.8% | 6.2% | 25.2% | 25.4% | 25.0% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Manager-Utility Services ² | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Utility Services Crew Leader ² | 210 | 9 | 9 | 9 | 9.00 | 9.00 | 9.00 |
| Utility Line Locator ³ | n/a | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Utility Services Technician ^{1,2} | 209 | 4 | 1 | 1 | 4.00 | 1.00 | 1.00 |
| Utility Service Worker ^{1,2} | 206 | 12 | 15 | 7 | 12.00 | 15.00 | 7.00 |
| Superintendent - Utility Services ² | 112 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| O & M Office Manager ³ | n/a | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Administrative Associate ⁴ | 206 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Parts- Inventory Specialist ² | 207 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Supervisor - Utility Services ⁴ | 213 | 0 | 0 | 2 | 0.00 | 0.00 | 2.00 |
| Water Line Maintenance Coordinator ³ | n/a | 2 | 2 | 0 | 2.00 | 2.00 | 0.00 |
| Total | | 32 | 32 | 32 | 32.00 | 32.00 | 32.00 |

¹ Senior Utility Technician reclassified to Utility Worker FY 13

² Position reclassified FY14.

³ Position eliminated FY14.

⁴ Position created FY14.

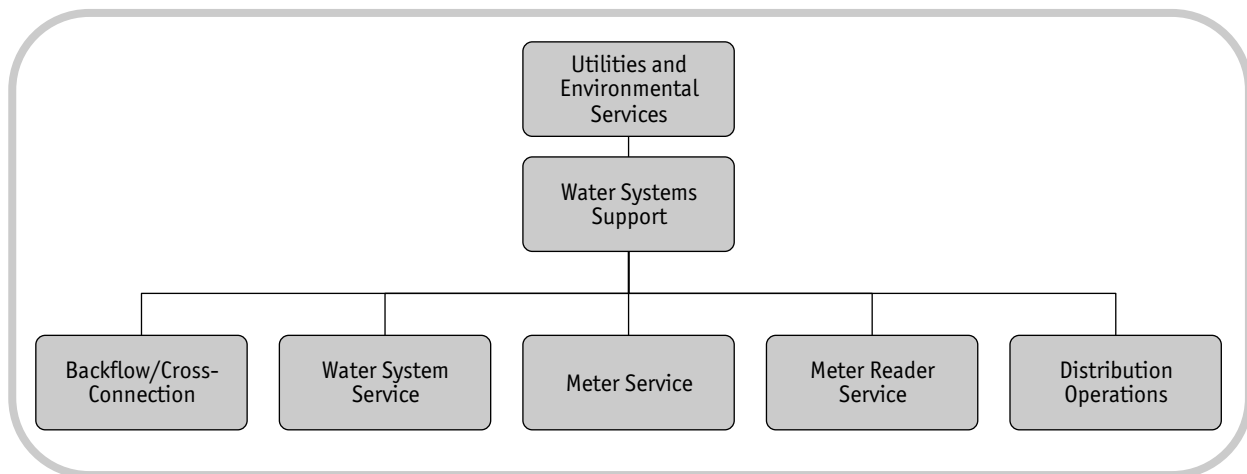
n/a denotes position has been eliminated

Water System Support

The Water Systems Support Division is responsible for the operation, maintenance, and repair of the City's water distribution system. Responsibilities are discharged through the utilization of multiple maintenance crews. Reporting lines of authority and accountability are shown below.

Vision: Provide customers with safe, adequate, reliable, and high quality water services.

Mission: We are committed to provide our staff a stable work environment with equal opportunity for learning and personal growth. Innovation, trust, and professionalism are encouraged for improving the effectiveness of the department. Above all, each staff member will be provided the same concern, respect, and caring attitude within the department which we are expected to support and communicate to each internal and external Utility Department customer.



Major Business Functions:

Water Systems Support consists of: a) Backflow/Cross-Connection; b) Water System Service; c) Meter Service; d) Meter Reader Service; and e) Distribution Operations. These components are under the direction of the Utility Support Superintendent whose position is in Wastewater Systems Support. The Water Systems Support Division closely monitors, maintains, stores, and distributes safe drinking water to the citizens of Round Rock by ensuring the accurate amount of raw water is delivered to the Water Treatment Plant for processing and the treated water is properly distributed.

Backflow/Cross-Connection: Personnel perform on-site inspections and update information on residential/commercial customers for required cross-connection device certification to keep the water safe for the public. This ensures safe and potable drinking water to the customers and maintains compliance with state regulations and the cross-connection policies defined by City ordinance.

Water System Service: Assures system reliability and safety through its Water System Equipment Maintenance program by performing routine inspections of 53 water distribution control sites (i.e. wells, storage tanks, booster pump stations, pressure reducing valves, etc.). Maintenance and repairs are performed on motors, pumps, electrical controls (i.e. solenoid valves, control panels, starters, etc.), and pressure control valves. In order to maximize the system's reliability, Water Systems Support maintains an emergency response team that is on call 24 hours a day, 365 days a year.

Meter Service: Ensures water use accountability by testing, repairing and replacing commercial/residential meters. This process maximizes meter performance and accuracy. It allows personnel to oversee all of the new commercial and residential meter installations. The service also maintains all wholesale fire hydrant meter accounts.

Meter Reader Service: Personnel accurately and efficiently read approximately 32,000 commercial and/or residential water meters monthly. Meters are re-read for inaccurate field readings and high/low consumption if flagged by the Utility Billing Department.

Distribution Operations: Personnel monitor and operate the water distribution system to ensure storage tank levels are adequate for water system pressure and fire protection. Distribution pumps are operated to provide adequate water supply and pressure.

Key Customers:

The Water Systems Support Division's customers are both internal and external. Internal customers include all City Departments. The external customers include all citizens of Round Rock, business owners, wholesale customers, educational institutions, government entities, etc. One of our main functions is to store water for fire protection and meet the daily demand of the City.

Customer Expectations and Requirements:

Our Customers expect clean, safe drinking water at their homes and/or businesses, and the availability of water for fire protection.

FY 2012-13 Highlights:

The Water Systems Support Division has focused on the conversion of reading water meters manually to an Automated Meter Reading (AMR) process. This division continues to provide our water customers with adequate water and system pressures in order to meet regulatory requirements. This year is another year of drought conditions that is requiring the city and public to increase water conservation efforts.

- AMR installations will reach approx. 95% completion (30,400 meters)
- Meter Reader staff reduced from 5 FTE's to 3 FTE's
- Reuse Water Plant in operation and reducing potable water use for irrigation

FY 2013-14 Overview and Significant Changes:

- AMR installations complete (approx. 32,000 meters)
- Begin analysis for transferring AMR to a fixed-network system.
- BCRUA waterline completed to Round Rock
- 1.25 MG Elevated Reuse Water Storage Tank and reuse waterline completed up to the Higher Education Center.

FY 2014-15 Overview and Beyond:

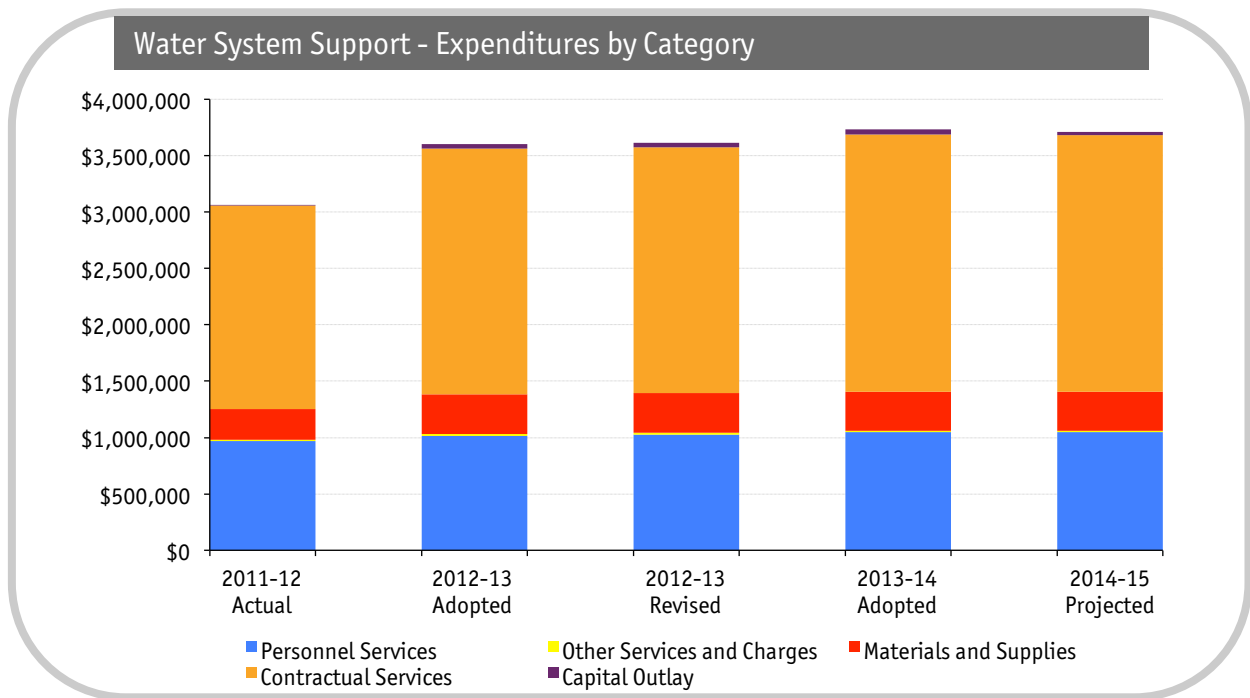
The BCRUA Water System will be available to provide Lake Travis water to Round Rock. Continue to supply the Public with adequate water and quality customer service.

- McNeil and Southeast pump station improvements
- WTP High Service pump improvements
- Lake Creek well site filtration improvements
- AMR Fixed Base Network completed

Water / Wastewater Utility Fund

Water Systems Support

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$968,684 | \$1,016,502 | \$1,026,502 | \$1,046,373 | \$1,048,718 |
| <i>Contractual Services</i> | 1,803,864 | 2,179,594 | 2,179,594 | 2,278,292 | 2,277,792 |
| <i>Materials and Supplies</i> | 273,509 | 352,662 | 352,662 | 349,550 | 345,010 |
| <i>Other Services and Charges</i> | 9,568 | 14,000 | 14,000 | 13,000 | 13,000 |
| <i>Capital Outlay</i> | 5,781 | 40,000 | 40,000 | 47,000 | 25,000 |
| Total Expenditures: | \$3,061,406 | \$3,602,758 | \$3,612,758 | \$3,734,215 | \$3,709,520 |
| Expenditures per Capita: | \$29.91 | \$34.38 | \$34.47 | \$34.70 | \$33.48 |



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|----------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Water System Support | 6.8% | 9.0% | 9.0% | 9.1% | 9.0% | 15.0% | 15.1% | 14.9% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Administrative Technician ^{1,4} | 205 | 1 | 1 | 2 | 1.00 | 1.00 | 2.00 |
| Meter Service Representative ⁵ | 206 | 4 | 4 | 3 | 4.00 | 4.00 | 3.00 |
| Supervisor - Meter Services ⁵ | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Meter Services Representative - Senior ⁶ | 206 | 5 | 5 | 5 | 5.00 | 5.00 | 5.00 |
| System Mechanic Crew Leader ³ | 210 | 1 | 2 | 2 | 1.00 | 2.00 | 2.00 |
| System Mechanic ^{2,6,7} | 207 | 6 | 5 | 3 | 6.00 | 5.00 | 3.00 |
| Systems Mechanic - Senior ⁷ | 209 | 0 | 0 | 2 | 0.00 | 0.00 | 2.00 |
| Water Distribution-Technician ⁶ | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 19 | 19 | 19 | 19.00 | 19.00 | 19.00 |

¹ Meter Reader reclassified to Admin Tech FY 14

² System Mechanic reclassified to Meter Shop Supervisor FY 13

³ Meter Shop Supervisor reclassified to System Mechanic Crew Leader FY 14

⁴ Administrative Technician II/III reclassified to Admin Tech FY14.

⁵ Meter Reader positions reclassified to Meter Services FY14.

⁶ Position was reclassified FY14.

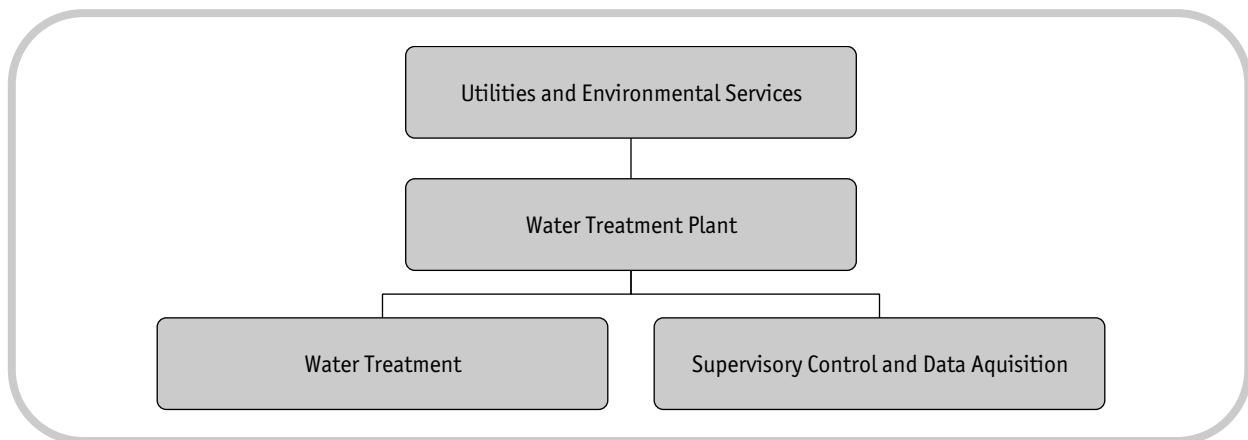
⁷ System Mechanic positions reclassified to Senior FY14.

Water Treatment Plant

The primary activity of the Water Treatment Plant Division is the treatment of surface and ground water sources to a level that meets or exceeds state and federal regulations. This is accomplished by utilizing sophisticated equipment, innovative treatment technologies and state certified waterworks operators. The Water Treatment Plant is also responsible for the operations of the computer system used to monitor and control the treatment and distribution of water and collection of wastewater.

Vision: To be recognized, respected leaders in the Water Treatment industry.

Mission: Provide the highest quality, best tasting drinking water of sufficient quantity, volume and pressure, for domestic use and fire protection in a cost conscious manner.



Major Business Functions:

Water Treatment: This program is responsible for the treatment and distribution of surface and ground water. The primary objective is to provide superior water quality, which meets or exceeds the applicable regulations, as efficiently as possible. This is accomplished by acquiring untreated raw water from Lake Georgetown and the Edward's Aquifer, adding treatment chemicals, providing filtration and disinfection, and ensuring accurate storage of the treated water for immediate and/or later usage. The surface water treatment plant can treat 52 million gallons of water per day, and the ground water treatment plant treats an average of 4.5 million gallons of water per day.

Supervisory Control and Data Acquisition (SCADA): The SCADA program maintains and operates the computerized automation system which controls plant operations, water distribution, and the wastewater lift stations. This system consists of field instruments and measuring devices, remote terminal units, programmable logic controllers, radios and human/machine interface devices. The SCADA system is essentially a collection of devices that allow the operator to control and monitor equipment. This automation optimizes operations and improves the quality of service.

Key Customers:

The Water Treatment Plant Division's external customers include every home, office, commercial business, industry, municipal utility district, regulatory agencies and any other individual or entity that receives treated water from the City. Internal customers include all City employees and departments for consumption, irrigation, recreation, fire protection and all other general water usage.

Customer Expectations and Requirements:

All customers expect a safe superior quality product delivered with adequate pressure in a cost conscious manner. This level of service is demanded 24 hours a day, 365 days a year. The customer also expects the department to ensure that an adequate water supply is available for the future.

FY 2012-13 Highlights:

In FY 2012-13, the Water Treatment Plant focused on optimizing treatment systems and improving safety. The division implemented several projects intended to achieve those goals. Listed below are the division highlights.

- Implemented the monitoring requirements for the Stage Two Disinfectant Byproduct Rule.
- Upgraded the SCADA system operating software, primary operating computer nodes and the system historian.
- Replaced 75% of the SCADA system Remote Terminal Units with newer more sophisticated technology.

FY 2013-14 Overview and Significant Changes:

Improving efficiencies, optimizing treatment and meeting new regulatory requirements continue to dominate the Water Treatment Plant activities. In particular, the division is focusing on the following.

- Improving asset management/tracking and planning for the systematic replacement of aging equipment.
- Replacing the remaining portion of the SCADA system Remote Terminal Units in the water distribution system.
- Replacing failing and obsolete flocculation motor controls installed in 1996 with newer more streamlined technology.

New Programs for FY 2013-14:

The Water Treatment Plant is proposing to add one new FTE for FY 2013-14. This additional position will make it possible to have more than one person working at the water plant at night and on the weekends. The additional staffing will also help cover the operation of the recently completed Reuse Facility.

FY 2014-15 Overview and Beyond:

In the upcoming years, the Water Treatment Plant Division will concentrate on activities geared toward maintaining and optimizing existing facilities as well as planning to meet the future needs of the City and its customers. The Water Treatment Plant Division will focus on the following activities in FY 2014-15 and beyond.

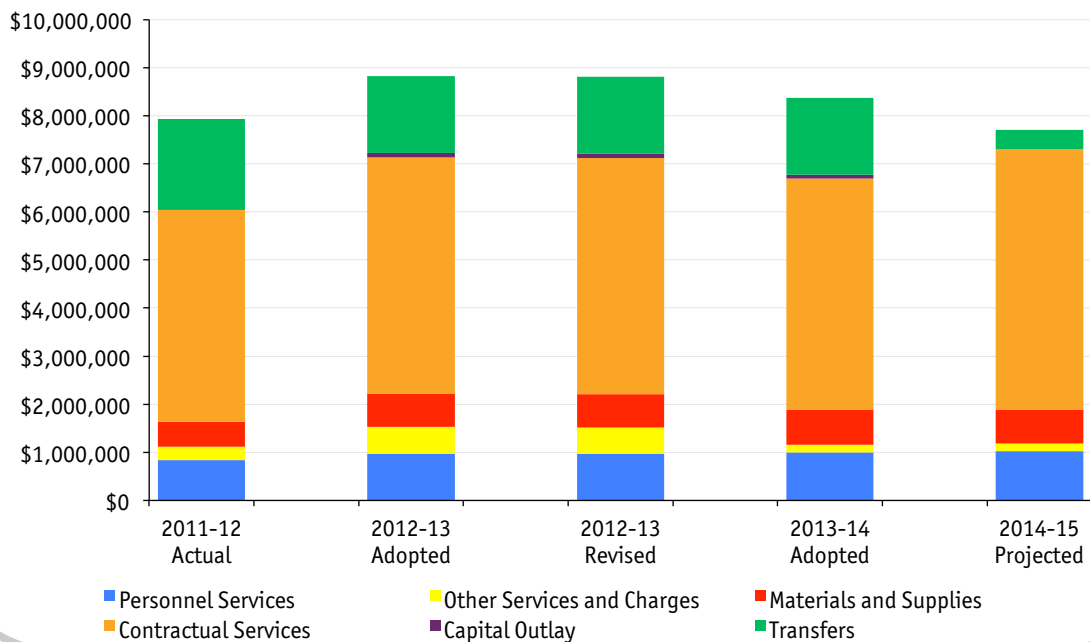
- Continue to monitor drought conditions and implement source water management strategies that ensure water is available for the future. (Develop a plan for maximizing groundwater, Brazos Basin water, and then Colorado Basin water).
- Continue to monitor the impact of new regulations that may result in the need to alter treatment techniques.
- As portions of the surface water treatment facilities begin to age, the division will focus on preventive maintenance and routine equipment change-outs.

Water / Wastewater Utility Fund

Water Treatment Plant

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$842,787 | \$970,287 | \$974,787 | \$1,003,942 | \$1,030,442 |
| <i>Contractual Services</i> | 4,408,107 | 4,905,719 | 4,905,719 | 4,810,079 | 5,414,485 |
| <i>Materials and Supplies</i> | 523,756 | 700,014 | 700,014 | 737,817 | 707,387 |
| <i>Other Services and Charges</i> | 273,618 | 557,615 | 540,865 | 147,800 | 149,950 |
| <i>Capital Outlay</i> | 6,114 | 99,278 | 99,278 | 75,000 | 0 |
| <i>Transfers</i> | 1,879,900 | 1,594,900 | 1,594,900 | 1,594,900 | 409,730 |
| Total Expenditures: | \$7,934,282 | \$8,827,813 | \$8,815,563 | \$8,369,538 | \$7,711,994 |
| Expenditures per Capita: | \$77.52 | \$84.23 | \$84.12 | \$77.78 | \$69.60 |

Water Treatment Plant - Expenditures by Category



Operating Efficiencies:

| | Expenditures as a % of Utility Fund | | | | | Authorized Personnel as a % of Utility Fund FTEs | | |
|-----------------------|-------------------------------------|--------------------|--------------------|--------------------|----------------------|--|--------------------|--------------------|
| | 2011-12 Actual | 2012-13 Adopted | 2012-13 Revised | 2013-14 Adopted | 2014-15 Projected | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Water Treatment Plant | 17.5% | 22.1% | 22.0% | 20.5% | 18.6% | 11.0% | 11.1% | 11.7% |

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Manager - Utility Services ² | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Water Plant Operator- ^{3,6} | 208 | 8 | 8 | 6 | 8.00 | 8.00 | 6.00 |
| Coordinator - Water Conservation | 109 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Water Plant Operator Trainee ⁴ | n/a | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Utility Systems Integrator | 111 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Water Plant Maintenance Technician ¹ | n/a | 1 | 0 | 0 | 1.00 | 0.00 | 0.00 |
| SCADA Technician ¹ | 210 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Supervisor - Water Plant ⁵ | 213 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Water Plant Operator - Senior ^{5,6} | 209 | 1 | 1 | 4 | 1.00 | 1.00 | 4.00 |
| Total | | 14 | 14 | 15 | 14.00 | 14.00 | 15.00 |

¹ Reclassified WTP Maintenance Tech to SCADA Tech FY 13

² Senior Utility Services Mgr. reclassified to Mgr.-Utility Services FY14.

³ Wtr Plt Operator I/II reclassified to Wtr Plt Operator FY14.

⁴ Wtr Plt Operator Trainee reclassified to Wtr Plt Operator FY14.

⁵ Wtr Plt Operator - Senior reclassified to Supervisor - Wtr Plt FY14.

⁶ Wtr Plt Operator reclassified to Wtr Plt - Senior FY14.

n/a denotes position has been eliminated



Capital Projects Funds

Capital Improvement Program

- General Government
- Transportation
- Water / Wastewater Utility

CIP - Project Cost Summary

Major CIP Projects Completed

Completed Project Highlights



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.

Capital Improvement Funds Expenditures

Capital Improvement Program (CIP)

The City of Round Rock has a capital plan separate from its annual operating budget which represents the funding plans for City construction and repair projects. A “capital project” is defined as the construction, reconstruction, acquisition, or installation of a physical public improvement with a value of \$50,000 or more and a useful life of at least five years. The City of Round Rock looks to the future for planning community growth and development and providing citizens with quality services and programs. With a growth rate recently ranked second-fastest in the nation, the City of Round Rock meets the challenges of growth by providing the needed capital improvements and infrastructure.

The City’s capital projects are paid for with self-funding - “pay as you go”, Type B sales tax or borrowed funds.

The projects funded are essential to the City’s future, reflecting how Round Rock will evolve in the coming years. Many of these projects have a significant impact on the City’s plan to stimulate growth in the local economy. These projects are “quality of life” improvements for the City as a whole.

Planning

Master planning involves assessing the needs of the community through citizen and customer surveys, researching technological innovation, demographic trend analysis and observation of other factors affecting the community. This assessment also determines the needs of each City department for its area of responsibility and makes informed assumptions concerning the community’s general needs for an ultimate growth scenario. This assessment combined with the City Council’s direction, provides a foundation for master planning. Some of the planning documents include:

General Plan

- Transportation Master Plan
- Water Master Plan
- Wastewater Master Plan
- Parks and Open Space Plan
- Citywide Trails Master Plan

These planning documents are updated every ten years with a major amendment at the five year midpoint. Updates and amendments are presented to the Council and the public through formal hearings.

The City of Round Rock Strategic Plan for 2013-2027 contains goals that directly affect the planning and prioritization of capital projects:

- A Family-Friendly Community that is Safe and Distinctive by Design
- Sports Capital and Major Medical and Educational Destination
- Authentic Downtown and choice of Great Neighborhoods

Capital Projects Funds

Capital Improvement Program

Project Selection

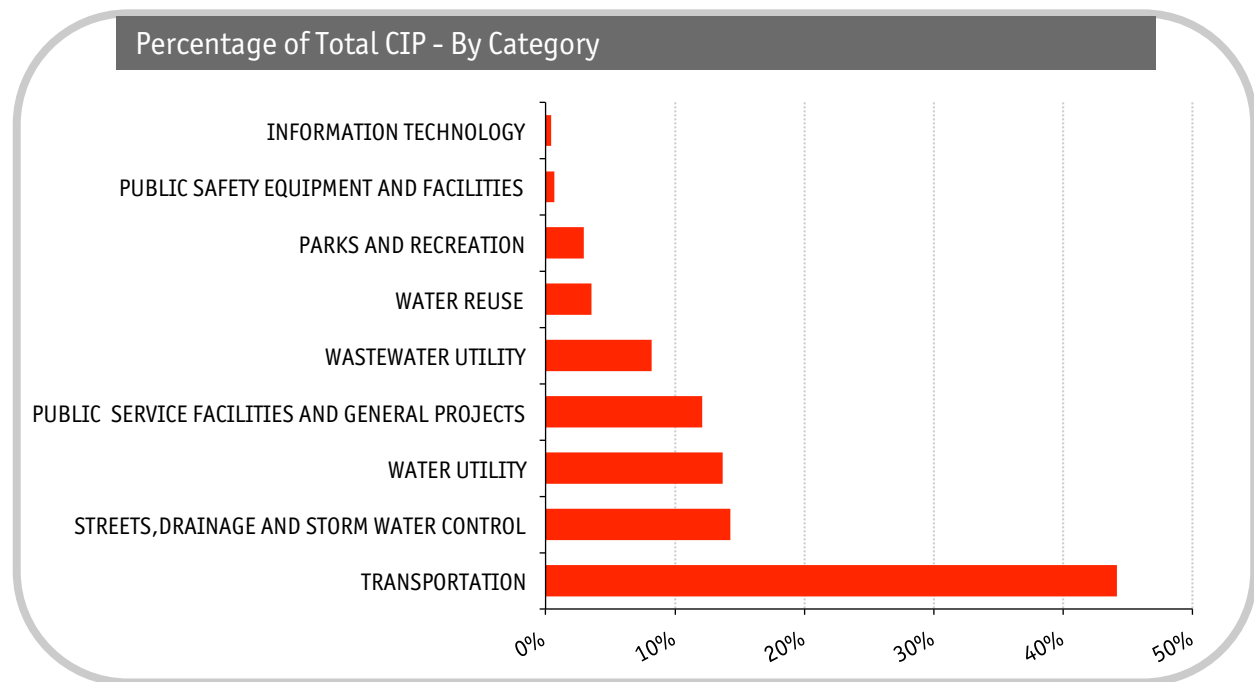
The master planning process and documents establish near term planning horizons for the initial ranking of Capital Improvement Projects. City staff determines the timing, cost, and priorities for each project to further refine ranking which is coordinated with the City Manager. This information is used to translate Council priorities into project priorities. The ranking process then begins a formal process which may include public hearings and consideration and approval by a board or citizen task force. Final priorities are approved by the City Council. In the case of a general obligation bond, project approval must be obtained from the voters.

One of the key elements in developing and coordinating capital projects is identifying the funding sources. This requires the review of potential internal and external funding sources, assessing the City's financial condition and the ability to service existing and new debt. The evaluation of the best source of funds needed for capital projects provides the foundation for the proposed project.

Using the prioritized list of needed improvements along with the assessment of sources and availability of funding, the capital improvement spending plan is prepared. The plan identifies the capital improvements that are programmed in the next fiscal year. The capital improvement spending plan is presented to Council for approval, and then used by City staff to execute projects.

The CIP project selections will vary from year to year due to changes in priority and needs of the City. With the annual review and planning process the ten year road map evolves to meet those needs and priority changes.

The total CIP plan for FY 2014 is \$199,134,386, allocated into categories as noted in the following chart.



Project Management

The City of Round Rock executes projects that meet the needs of the citizens within schedule and cost constraints. City staff uses a comprehensive method for managing projects which begins during the planning process and continues through project close out. Project management starts with establishing the project's; technical requirements, scope, cost estimate, scheduling and an annual operating cost estimate. Once a project has been executed City staff monitors at all levels for adjustments to scope, scheduling and cash flows. Cash flows determine the timing and size of project expenditures to assure the project's financial commitments.

Annual operating cost estimates and potential savings resulting from a capital project are developed during the planning process and used as an element in ranking project priority. Annual operating costs can include personnel, equipment, scheduled repairs, maintenance and utility usage. Annual savings can include a reduction in any of these costs. Future operating budgets will reflect these costs and savings in the year the budget is impacted.

Five-Year Capital Improvement Program Project Summary

CIP is a multiyear plan covering a minimum of five years that forecasts spending for all anticipated capital projects. The plan addresses repair and replacement of existing infrastructure, as well as the development or acquisition of new facilities, property, improvements, and capital equipment to accommodate future growth. As a planning tool, it enables the City to identify needed capital projects and coordinate facility financing, construction, operation, and scheduling. The CIP encompasses the following components.

General Government - These projects include; municipal facilities, parks and park improvements, sidewalks, landscaping, traffic signals, street, regional detention, drainage improvements, public safety capital equipment and facilities. Projects in this component are funded from resources such as property tax, sales tax, and the issuance of authorized bonds. Regional detention projects are primarily funded through developer fees.

Transportation - In August 1997, the Citizens of Round Rock authorized the adoption of an additional sales and use tax within the City at the rate of one-half of one percent, with the proceeds thereof to be used for arterial roadways and other related transportation system improvements. The Round Rock Transportation System Development Corporation (RRTSDC) and the City utilized the sales tax revenue to leverage other sources of funding and maximize the number of transportation projects in the City of Round Rock. In 2011, the citizens voted to approve expanding the use of funds for economic development while maintaining the transportation infrastructure efforts. As a result, the name of the corporation was changed to the Round Rock Economic Development and Transportation Corporation (RREDTC). This additional revenue is not part of the City's general operating budget but is budgeted and spent by a non-profit economic development corporation established expressly for the above purpose with the approval of the Round Rock City Council.

Water and Wastewater Utility - These projects are major water and wastewater repairs, replacements, and the development or acquisition of new facilities. Water and wastewater utility projects are funded from the sale of water and wastewater services, utility impact fees, and the issuance of revenue bonds.

| Project/Program Title | Total Project Cost | Type of Funding | Other Funding Source | Source of Other Funding |
|--|---------------------|--------------------|----------------------|-------------------------|
| General Government | | | | |
| 2010-2013 ITC Annual Program and Enterprise Licenses | \$752,220 | GSFC | | |
| 2011-2012 Facility Maintenance Program | 651,482 | GSFC | | |
| 2011-2013 PARD Repair and Replace Program | 1,173,573 | GSFC | | |
| 615 Palm Valley Municipal Office Campus | 5,108,557 | GOBD / GSFC | | |
| Bathing Beach | 1,000,000 | GSFC | | |
| Bowman Road Culvert Rehabilitation | 225,000 | GOBD / GSFC | | |
| Brush Recycle Restroom Building | 100,000 | GSFC | | |
| Brushy Creek East Gap Trail | 3,006,780 | GOBD/GSFC | \$2,769,500 | TxDOT / WMSON |
| Chisholm Parkway Extension | 2,330,112 | GOBD | | |
| City Gateway Entrances | 300,000 | GSFC | | |
| Clay Madsen Recreation Center Expansion | 575,919 | GOBD | | |
| Downtown Land and Parking Lots | 1,554,262 | GSFC | | |
| Fire Apparatus and Equipment | 743,326 | GSFC | | |
| Fire Station No. 8 Land Acquisition | 500,000 | GSFC | | |
| Intelligent Transportation System | 831,942 | | 831,942 | TxDOT |
| Intermodal Parking Facility Office Build Out | 180,000 | TRANSIT | | |
| IT Infrastructure-Document Management | 473,534 | GSFC | | |
| McConico Building Renovation | 1,122,685 | GOBD | | |
| Munis Financial System Implementation | 685,000 | GSFC | | |
| Neighborhood Revitalization | 1,849,166 | GOBD/GSFC | | |
| Public Service Safety Equipment | 116,490 | GSFC | | |
| Public Wi-Fi | 100,000 | GSFC | | |
| Rabb House Renovations | 2,700,000 | GOBD/GSFC | | |
| Railroad Quiet Zones | 300,000 | GSFC | | |
| Sports Complex | 21,580,808 | GSFC / HMCF / HMRB | | |
| Storm Water Master Plan Assessment | 394,849 | GSFC | | |
| Street Seal Coat Program | 8,533,741 | GSFC | | |
| Whitlow Way and Field Improvements | 300,000 | COBD | | |
| General Government Total | \$57,189,446 | | \$3,601,442 | |

Funding source acronyms:

COBD - 2007 CO Bonds
 GOBD - 2001, 2002, 2004, 2007 GO Bonds
 GSFC - General Self Finance Construction
 HMCF - Hotel Motel Construction Fund

HMRB - 2012 Hotel Motel Revenue Bonds
 TRANS - Economic Development & Transportation Corporation
 TxDOT - Texas Department of Transportation
 WMSON - Williamson County

Capital Projects Funds

CIP Project Cost Summary

| Project/Program Title | Total Project Cost | Type of Funding | Other Funding Source | Source of Other Funding |
|--|---------------------|-----------------|----------------------|-------------------------|
| Transportation | | | | |
| 2012-2016 Major Maintenance Program | \$9,533,257 | TRANS | | |
| Arterial Improvement Program | 2,275,210 | TRANS | | |
| Arterial Sidewalks | 300,000 | TRANS | | |
| Chisholm Trail Continuation & Reconstruction | 8,881,493 | GOBD/TRANS | | |
| Chisholm Trail Phase 3 | 4,600,000 | TRANS | | |
| Creekbend Blvd. | 7,830,967 | TRANS / GOBD | | |
| Cypress Blvd. | 1,000,000 | TRANS | | |
| FM 3406/Old Settlers Blvd. Intersection Improvements | 2,410,000 | GOBD/TRANS | | |
| FM1460 from University | 356,500 | TRANS | | |
| Gattis School Rd. Widening | 500,000 | TRANS | | |
| IH35 Ramp Reversal & Frontage Roads | 8,500,000 | TRANS | | |
| La Frontera Streets | 3,366,743 | TRANS | | |
| Major Bridge Maintenance | 500,000 | TRANS | | |
| Mays Street | 2,000,000 | TRANS | | |
| RM 620 | 12,000,000 | TRANS | \$4,000,000 | WMSON |
| Seton Parkway | 2,000,000 | TRANS | | |
| South Mays at Gattis School Rd. | 1,169,762 | TRANS | | |
| Southwest Downtown Main St. Phase 4 | 12,000,000 | TRANS | | |
| Traffic Management Center | 350,000 | TRANS | | |
| Traffic Signals | 5,900,000 | TRANS | | |
| Transportation Consulting | 796,293 | TRANS | | |
| University Blvd-6 Lane Design | 550,000 | TRANS | | |
| Transportation Total | \$86,820,225 | | \$4,000,000 | |

Funding source acronyms:

COBD - 2007 CO Bonds
 GOBD - 2001, 2002, 2004, 2007 GO Bonds
 GSFC - General Self Finance Construction
 HMCF - Hotel Motel Construction Fund

HMRB - 2012 Hotel Motel Revenue Bonds
 TRANS - Economic Development & Transportation Corporation
 TxDOT - Texas Department of Transportation
 WMSON - Williamson County

| Project/Program Title | Total Project Cost | Type of Funding | Other Funding Source | Source of Other Funding |
|--|---------------------------|------------------------|-----------------------------|--------------------------------|
| Water / Wastewater Utility | | | | |
| 12" Creek Bend Waterline | \$620,000 | UCF | | |
| 2013-2015 WW Collection System Inspection & Rehabilitation Program | 6,566,250 | UCF | | |
| 36" University Blvd. Waterline, Phase 1 | 2,922,450 | UCF | | |
| Asbestos Pipe Replacement Program | 2,400,000 | UCF | | |
| Automated Meter Reading Replacement Program | 4,200,000 | UCF | | |
| Chandler Creek 1 Upper Wastewater Improvements | 2,050,200 | UCF | | |
| CR112 24" Waterline | 1,500,000 | UCF | | |
| FM 1460 Waterline | 650,000 | UCF | | |
| Groundwater Filtration, Phase 1 | 3,000,000 | UCF | | |
| Lake Creek 2 & 3 Wastewater Improvements | 2,059,150 | UCF | | |
| Mays Street Waterline Improvements | 110,000 | UCF | | |
| McNutt Creek Segment C3 & C9 Wastewater Interceptor | 3,284,340 | UCF | | |
| Parcel 150 36" Waterline | 2,955,650 | UCF | | |
| Regional Water Transmission Line-Segment 3 | 8,400,000 | UCF | | |
| Remote Terminal Unit installation & Enhancements | 500,000 | UCF | | |
| RM 1431 (Texas Crushed Stone) Waterline Improvements | 586,000 | UCF | | |
| Somerset 8" Waterline | 128,000 | UCF | | |
| Various Annexation Utility Improvements-Citywide | 2,565,000 | UCF | | |
| Various Ground & Elevated Storage Tank Improvements | 250,000 | UCF | | |
| Various Pump Station Improvements-Citywide | 2,068,000 | UCF | | |
| Various Water Reuse Facility & Line Improvements | 4,590,675 | UCF | | |
| Water Reuse Line & Elevated Storage Tank Improvements (Phase 4B) | 3,719,000 | UCF | | |
| Water / Wastewater Utility Total | \$55,124,715 | | \$0 | |
| Total Capital Projects | \$199,134,386 | | \$7,601,442 | |

Funding source acronyms:

COBD - 2007 CO Bonds
 GOBD - 2001, 2002, 2004, 2007 GO Bonds
 GSFC - General Self Finance Construction
 HMCf - Hotel Motel Construction Fund

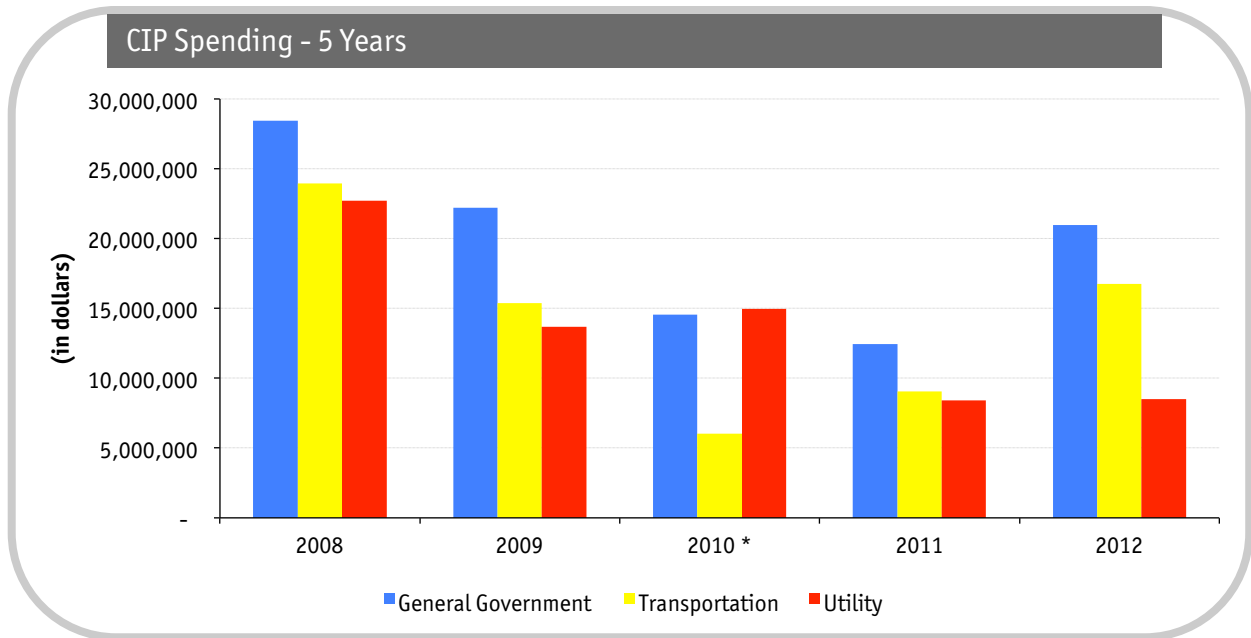
HMRB - 2012 Hotel Motel Revenue Bonds
 TRANS - Economic Development & Transportation Corporation
 TxDOT - Texas Department of Transportation
 WMSON - Williamson County

Capital Projects Funds

Major CIP Projects Completed

Major Capital Improvement Projects Completed

The chart below shows the CIP spending by category for the last 5 years. There are examples following of CIP projects that were completed in these years. Each of these examples includes a project description, completion date and history of expenditures with funding sources.



* FY2010 – Excludes purchase of Wastewater Treatment plant from LCRA

Project Title: Old Settlers Park Sports Complex

Location: 3300 Palm Valley, Round Rock, TX

Year Completed: 2009

Project Description:

In 2007 the Round Rock City Council approved the issuance of \$12.5 million in certificates of obligation to help fund \$18.2 million of improvements to Old Settlers Park. The improvements to the park include major renovations to the existing 20 baseball fields and construction of a 5-field softball complex.

History of Expenditures:

Sources of Funding: General Self Finance Construction Fund, CO Bonds, Park Development Fund

| Year | Amount | Annual Operating Budget | |
|--------------|---------------------|-------------------------|-----------|
| 2007 | \$813,293 | Starting in: 2010 | \$250,000 |
| 2008 | 11,526,984 | | |
| 2009 | 5,429,020 | | |
| 2010 | 40,914 | | |
| Total | \$17,810,211 | | |



Capital Projects Funds

Completed Project Highlights

Project Title: 1431 Water Tower
Location: Vista Oaks Drive & FM 1431, Round Rock, TX
Year Completed: 2010

Project Description:

The 2.0 Million Gallon (MG) Elevated Water Storage Tank was designed and built to provide an adequate water supply and pressure to existing and future City of Round Rock potable water customers. In addition, the tank will allow the City to efficiently manage and deliver water from the Brushy Creek Regional Utility Authority (BCRUA) water treatment plant to the City's water distribution system.

History of Expenditures:

Sources of Funding: Utility Construction Fund

| <u>Year</u> | <u>Amount</u> | <u>Annual Operating Budget</u> | |
|--------------|--------------------|--------------------------------|-------|
| 2008 | \$225,800 | Starting in: 2011 | \$650 |
| 2009 | 3,560,700 | | |
| 2010 | 796,500 | | |
| Total | \$4,583,000 | | |



Project Title: Intermodal Parking Facility
Location: 300 W. Bagdad Ave, Round Rock, TX
Year Completed: 2011

Project Description:

The 56,661 square-foot, two-story facility includes 110 parking spaces, a bus loading/unloading area, a transit pavilion, bike racks, lockers and pedestrian amenities. The facility will serve as the City’s public transportation hub and as the beginning and ending points for one of the Peak Hour Express Bus Service routes. The facility provides a safe connection for pedestrians, bicyclists, automobiles and transit vehicles as well as provides public parking for visitors to southwest downtown Round Rock. Funding for the facility was provided from 2002 City of Round Rock General Obligation Bonds, the U.S. Department of Transportation through the American Recovery and Reinvestment Act of 2009, and the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users.

History of Expenditures:

Sources of Funding: General Self Finance Construction Fund, GO Bonds, American Recovery and Reinvestment Act, Grants

| <u>Year</u> | <u>Amount</u> | <u>Annual Operating Budget</u> | |
|--------------|--------------------|--------------------------------|----------|
| 2009 | \$327,114 | Starting in: 2012 | \$45,000 |
| 2010 | 4,010,977 | | |
| 2011 | 994,248 | | |
| 2012 | 788 | | |
| Total | \$5,333,127 | | |



Capital Projects Funds

Completed Project Highlights

Project Title: Play for All Abilities Park

Location: 151 North A.W. Grimes Boulevard, Round Rock, TX

Year Completed: 2012

Project Description:

City Council added the Play for All Abilities Park as a high priority project in the Parks Master Plan after being approached by citizens and organizations in Round Rock about installing an adaptive swing for children with autism and the great need expressed in an earlier playground grant process. With these requests in mind, the City began development of a park plan that would provide ALL children, regardless of ability, with an opportunity to play and develop skills in a fun, outdoor environment. City General Self Finance Construction (GSFC) and Park Development funds were used to complete this project. Contributions from local organizations and companies added to the success of this project.

History of Expenditures:

Sources of Funding: General Self Finance Construction Fund, Park Development Fund, Donations

| <u>Year</u> | <u>Amount</u> | <u>Annual Operating Budget</u> | |
|--------------|------------------|--------------------------------|----------|
| 2011 | \$18,230 | Starting in: 2013 | \$65,500 |
| 2012 | 732,483 | | |
| Total | \$750,713 | | |



Project Title: Arteral A/ Kenny Fort Blvd.
Location: Kenny Fort Boulevard, Round Rock, TX
Year Completed: 2013

Project Description:

Construction of a Union Pacific Railroad (RPRR) bridge and a six-lane roadway segment under US 79 and the UPRR track, and a bridge over Brushy Creek. Improve mobility by providing an alternate north and south route for east Round Rock. This project was completed under budget and ahead of schedule.

History of Expenditures:

Sources of Funding: Type B Fund, Williamson County and Texas State Highway Fund.

| Year | Amount | Annual Operating Budget | |
|--------------|---------------------|-------------------------|----------|
| 2011 | \$1,910,920 | Starting in: 2014 | \$84,000 |
| 2012 | 8,360,890 | | |
| 2013 | 10,281,025 | | |
| Total | \$20,552,835 | | |



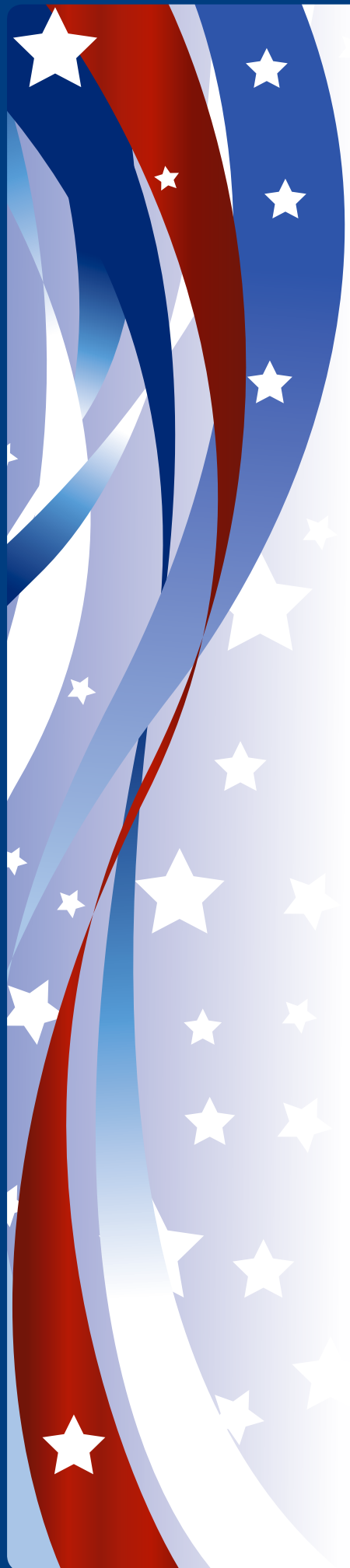
27 June, 2012



27 June, 2013







Special Revenue Funds

Drainage Fund Revenue & Expenditures
Hotel Occupancy Tax Fund (H.O.T.) Fund
Revenue & Expenditures
H.O.T. Sports & Community Venue
(H.O.T. S&CV) Fund Revenue & Expenditures
Law Enforcement Fund Revenue & Expenditures
Library Fund Revenue & Expenditures
Municipal Court Fund Revenue & Expenditures
Public, Educational, Government (PEG)
Access Fund Revenue & Expenditures
Tree Replacement Fund Revenue & Expenditures
Utility Impact Fees Fund Revenue & Expenditures





Drainage Fund – Program Description

This Fund administrates all aspects of the City's Storm Water Program including planning, engineering, programs, operations and maintenance associated with storm water drainage, floodplain management and water quality management. The Drainage Fund collects fees based on a property's impact to the City's drainage system.

Special Revenue Funds

Drainage Fund Summaries

Summary of Revenues and Expenditures:

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 | 2014-15 |
|----------------------------|--------------|----------------|----------------|---------------------|------------------|
| Revenues | Actual | Adopted Budget | Revised Budget | Adopted Budget | Projected Budget |
| Drainage Fee - Residential | \$ 912,980 | \$ 844,000 | \$ 844,000 | \$ 912,980 | \$ 988,088 |
| Drainage Fee - Commercial | 1,015,106 | 945,000 | 945,000 | 1,275,108 | 1,200,000 |
| Total Revenues | \$ 1,928,086 | \$ 1,789,000 | \$ 1,789,000 | \$ 2,188,088 | \$ 2,188,088 |
| Expenditures | | | | | |
| Personnel Services | \$ 913,274 | \$ 1,087,995 | \$ 1,087,995 | \$ 1,088,010 | \$ 1,090,244 |
| Contractual Services | 147,058 | 382,777 | 382,777 | 502,755 | 434,252 |
| Materials and Supplies | 158,117 | 117,121 | 117,121 | 156,529 | 138,474 |
| Other Services and Charges | 11,005 | 24,875 | 24,875 | 36,875 | 35,375 |
| Capital Outlay | 82,867 | 36,000 | 36,000 | 258,000 | 0 |
| Transfers | 0 | 76,350 | 76,350 | 138,175 | 200,000 |
| Total Expenditures | \$ 1,312,321 | \$ 1,725,118 | \$ 1,725,118 | \$ 2,180,344 | \$ 1,898,345 |
| Net Change in Operations | \$ 615,765 | \$ 63,882 | \$ 63,882 | \$ 7,744 | \$ 289,743 |

Drainage Fund

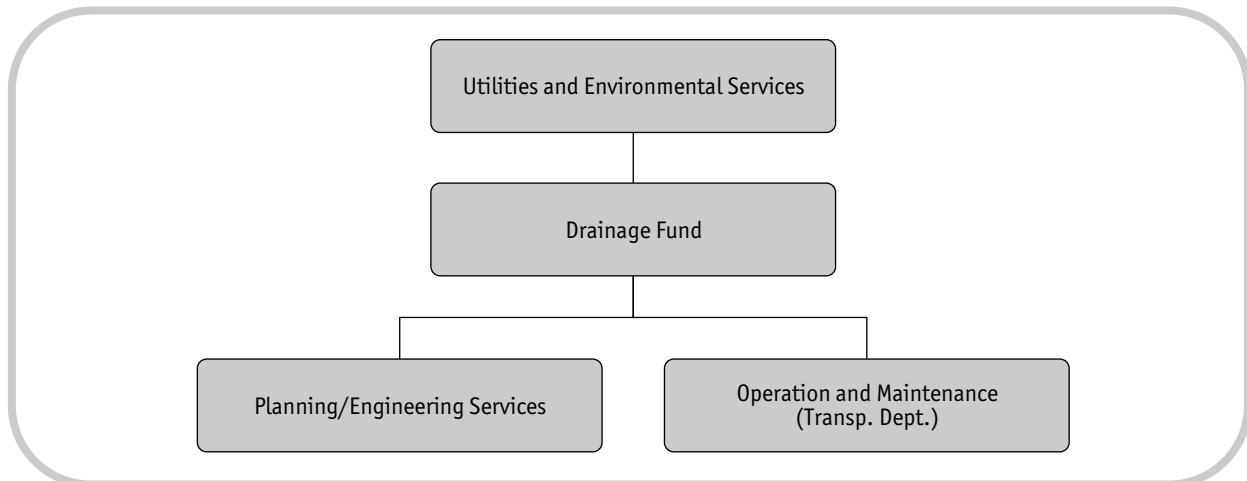
The Drainage Utility fee funds all aspects of the Storm Water Program including planning, engineering, programs, operations and maintenance associated with storm water drainage, floodplain management and water quality management. The Drainage Fund is composed of two divisions: Engineering Services and Operations and Maintenance.

Vision: The Storm Water Program strives to be a premier organization that values innovation, trust, teamwork and professionalism.

We will:

- Accept the challenge of change and be committed to continually enhancing the safety, environment, quality of life and economic vitality of our community
- Be accountable for our performance and our organization's success, and be recognized for our achievements
- Be committed to provide our employees a stable work environment with equal opportunity for learning and personal growth
- Be respectful of each other and the internal and external customers we support

Mission: The Storm Water Program's mission is to plan, design, construct, operate and maintain cost-effective, safe, reliable and sustainable City storm water infrastructure and facilities to protect the welfare of our citizens and meet the needs of the community.



Major Business Functions:

Planning/Engineering Services Division: Administers the planning, engineering and project management efforts for storm water activities in the City. Staff also provides technical engineering assistance to City Departments and the public regarding floodplain management, drainage and water quality.

The Engineering Services staff cultivates and maintains relationships encouraging cooperation and collaboration with local jurisdictions, state and federal entities to address regional storm water management and water quality compliance issues.

Operation and Maintenance Division: Maintains all City-owned storm water infrastructure including inlets, storm drains, channels and water quality ponds. The operation and maintenance staff are responsible for ensuring continued conveyance to avoid localized and regional flooding.

The Operation and Maintenance staff is also responsible for continued maintenance of water quality infrastructure to ensure cleanliness of storm water draining to creeks and regulatory compliance.

Special Revenue Funds

Drainage

Key Customers:

The Storm Water Program staff serves both internal and external customers. Key customers include the citizens of Round Rock, the City Council, the City Manager's office and other City Departments involved in all aspects of the overall operations of the City including: planning, building, maintaining and operating the City's storm water drainage and water quality infrastructure.

Customers also include developers, business owners, local, state and federal agencies.

Customer Expectations and Requirements:

Customers expect a prompt, professional and accurate response to their requests for information or service. If the customer is asking for an explanation or clarification about a City process or regulation, the customer expects City staff to be knowledgeable about processes and regulations. The customer's expectation is to be treated with courtesy and respect by staff members and wants his or her issue resolved as efficiently as possible within a reasonable timeframe.

Internal customers/employees expect other staff members to provide timely and accurate responses to their questions in a professional manner. Internal customers should be provided prompt and accurate information while being mindful that as an organization staff needs to work collaboratively to serve our external customers.

FY 2012-13 Highlights:

- The City renewed the Texas Commission on Environmental Quality (TCEQ) mandated TPDES small MS4 permit. The new 5 year permit requires an increased commitment of resources, regulation and monitoring to maintain compliance with the state and federal water quality programs.
- The drainage portion of the Storm Water Master Plan was completed. It provides the first ever comprehensive assessment of storm water infrastructure through modeling, field operations and analysis. The prioritized projects as a result of the infrastructure assessment and modeling analysis in the Storm Water Master Plan created a new (and as of yet) unfunded demand for funding a storm water Capital Improvement Program (CIP). Staff made recommendations regarding funding options for the drainage CIP.
- The City coordinated with other communities in the Brushy Creek drainage basin in an effort led by the UBWCID (Upper Brushy Creek Water Control and Improvement District) to update and modernize area floodplain planning models. This effort will also allow for future updating of the FEMA Risk maps for the portion of Round Rock within Williamson County.
- Staff developed a 5 year cash flow and prioritization for storm water operations and capital expenditures. Funding and expenditure policies will accompany a needs assessment regarding future drainage fee adjustments.

FY 2013-14 Overview and Significant Changes:

- Staff completed the drainage portion of the Storm water Master Plan which involves documenting the program policy and guidelines regarding Hazard Mitigation, Floodplain Management, Detention, etc.
- Operations and Maintenance is experiencing increasing pressure to raise the maintenance level of service. Furthermore, the larger flow volumes and higher velocities due to upstream development create an increased demand for services from the Operations and Maintenance group requiring additional and/or updated equipment to maximize staff efficiencies. Additional contract labor, temporary workers and full time employees may also be required to meet this need.
- The continually increasing water quality requirements stemming from the EPA's administration of the Clean Water Act and the TCEQ's administration of the TPDES MS4 program, the Edwards Aquifer Recharge Zone regulations are driving the demand increase of resources and staffing to maintain compliance.
- The adjustment in the drainage utility fee will allow for partial funding of the drainage CIP. Staff will work on accomplishing as many of the high priority projects as is possible with the given funding.

FY 2014-15 Overview and Beyond:

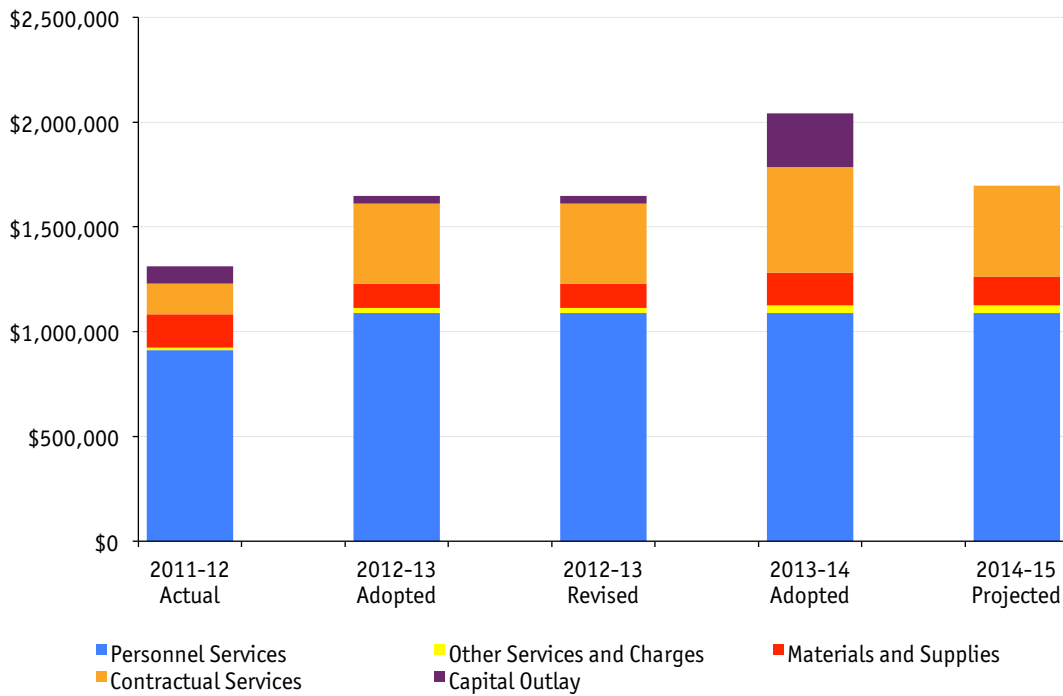
- The City will work with other communities in the Brushy Creek drainage basin in an effort led by the UBWCID (Upper Brushy Creek Water Control and Improvement District) to update the FEMA Risk maps for the portion of Round Rock within Williamson County.
- Staff will continue to work with partner organizations and pursue grants in an effort to further the completion of the drainage CIP.
- Localized projects including: neighborhood drainage, aging infrastructure and obstructions will be developed then prioritized utilizing historical knowledge, complaint history and in-pipe camera work.
- Water quality permits will continue to demand additional staff time resulting in at least one other employee dedicated to MS4 compliance.

Special Revenue Funds

Drainage

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|----------------------------|--------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| Personnel Services | \$913,274 | \$1,087,995 | \$1,087,995 | \$1,088,010 | \$1,090,244 |
| Contractual Services | 147,058 | 382,777 | 382,777 | 502,755 | 434,252 |
| Materials and Supplies | 158,117 | 117,121 | 117,121 | 156,529 | 138,474 |
| Other Services and Charges | 11,005 | 24,875 | 24,875 | 36,875 | 35,375 |
| Capital Outlay | 82,867 | 36,000 | 36,000 | 258,000 | 0 |
| Transfers | 0 | 76,350 | 76,350 | 138,175 | 200,000 |
| Total Expenditures: | \$1,312,321 | \$1,725,118 | \$1,725,118 | \$2,180,344 | \$1,898,345 |
| Expenditures per Capita: | \$12.82 | \$16.46 | \$16.46 | \$20.26 | \$17.13 |

Drainage Fund - Expenditures by Category



| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|--|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Engineer Technician - Senior ⁴ | 210 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Drainage/EO II ¹ | n/a | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Manager-Storm Water ⁴ | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Equipment Operator ^{1,2,4,5} | 206 | 9 | 9 | 5 | 9.00 | 9.00 | 5.00 |
| Street Maintenance Worker ^{4,5} | 204 | 0 | 0 | 3 | 0.00 | 0.00 | 3.00 |
| Project Manager Senior ⁴ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Storm Water Technician ^{3,4} | 207 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Storm Water Technician - Senior ⁴ | 209 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Transportation Crew Leader ^{2,4} | 211 | 1 | 1 | 4 | 1.00 | 1.00 | 4.00 |
| Street Foreman ² | n/a | 1 | 1 | 0 | 1.00 | 1.00 | 0.00 |
| Supervisor-Transportation ⁴ | 10 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 17 | 18 | 18 | 17.00 | 18.00 | 18.00 |

¹ Position reclassified to Equipment Operator II/III FY 14

² Position reclassified to Drainage Crew Leader FY 14

³ Position moved from Transportation Administration FY13

⁴ Position reclassified FY14.

⁵ Equipment Operator II reclassified to Street Maint Worker FY14.

n/a denotes position has been eliminated



Hotel Occupancy Tax Funds –

The Hotel Occupancy Tax is made up of two programs. The Hotel Occupancy Tax rate of 7% is used to promote tourism and the convention and hotel industry. Another 2% is used to promote the Sports Center and the programming of the center.

These are explained in more detail in the following Hotel Occupancy Tax pages.



Hotel Occupancy Tax Funds – Program Description

The Hotel Occupancy Tax rate is 7% and is collected to be used to promote tourism and the convention and hotel industry. This includes the Convention and Visitor's Bureau (CVB) which administers and manages the City's tourism efforts and long-range tourism plans. This Hotel Occupancy Tax Fund budget includes funding for the CVB as well as continued funding for tourism events and debt service associated with the Dell Diamond/Convention Center complex.

Special Revenue Funds

Hotel Occupancy Tax (H.O.T.)

Summary of Revenues and Expenditures:

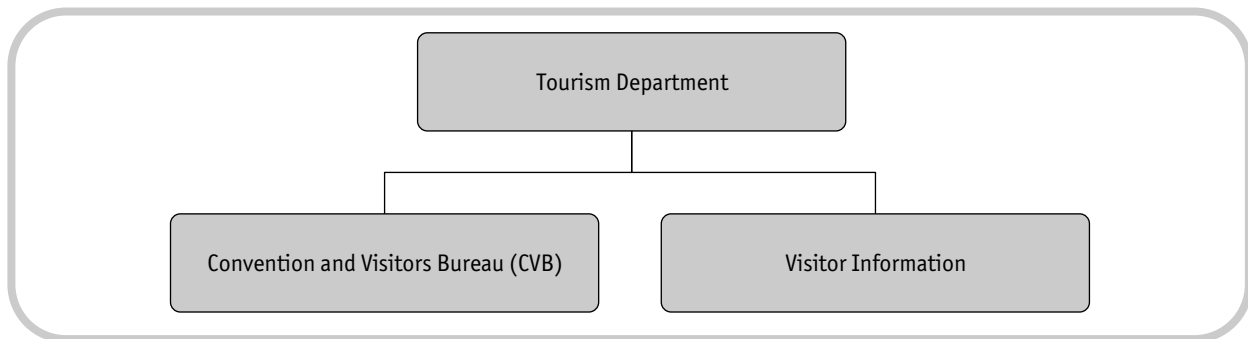
| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget |
|---|-------------------|------------------------------|------------------------------|------------------------------|
| Revenues | | | | |
| Hotel-Motel Occupancy Tax | \$2,744,497 | \$3,000,000 | \$3,050,000 | \$3,100,000 |
| Interest Income | 26,665 | 25,000 | 25,000 | 25,000 |
| Contributions | 0 | 0 | 50,000 | 0 |
| Total Revenues | \$2,771,162 | \$3,025,000 | \$3,125,000 | \$3,125,000 |
| Expenditures | | | | |
| Multi-Purpose Stadium/Convention Facility | \$1,217,690 | \$1,157,000 | \$1,257,000 | \$1,159,240 |
| Event & Tourism Funding | 25,356 | 25,500 | 25,500 | 25,500 |
| Convention & Visitors Bureau | 566,591 | 722,464 | 722,464 | 716,322 |
| Capital Projects | 656,964 | 1,120,036 | 1,120,036 | 423,000 |
| Transfer to Sports Center | 0 | 0 | 0 | 590,000 |
| Total Expenditures | \$2,466,601 | \$3,025,000 | \$3,125,000 | \$2,914,062 |
| Net Change in Operations | \$304,561 | \$ 0 | \$ 0 | \$210,938 |

Convention & Visitors Bureau

The function of this department is to implement the City's long-term Tourism Plan and represent the City in all other functions related to tourism and the Convention and Visitors Bureau (CVB). The CVB is the designated sales and marketing department for the City of Round Rock (designated DMO - "Destination Marketing Organization"). The tourism department's mission aligns with the strategic plan by directly contributing to the economic vitality and enhancing the quality of life in Round Rock.

Vision: To help promote Round Rock as the city of choice for tourists and citizens.

Mission: To Promote Economic Diversity by Developing the Tourism Industry in Round Rock



Major Business Functions:

Convention & Visitors Bureau: The purpose of this program is to implement a pro-active, aggressive marketing and sales campaign for the City. The primary goal of this program is to increase occupancy at local lodging facilities and to increase tourism spending in Round Rock.

Visitor Information: The Convention and Visitors Bureau is responsible for providing brochures and maps upon request to local residents and out of town guests. The CVB is the sole City Department that is designated to respond to correspondence requests by distributing literature about Round Rock. Our goal is to promote Round Rock's attractions, sports facilities, lodging and dining businesses, and local retail establishments.

Key Customers:

The Convention and Visitors Bureau has both internal and external customers. Internal customers include the City Council and all City employees. We also serve the citizens of Round Rock, visitors to Round Rock, organizations, participants, and spectators who come for events.

Customer Expectations and Requirements:

Our customers expect a professional, results driven department that provides first-class representation of the City of Round Rock. Exceeding our customer's expectations would allow us to accomplish our mission of economic diversity and offer our visitors and citizens the best experience possible in the Sports Capital of Texas.

FY 2012-13 Highlights:

The CVB continued to bring quality events to the City and encouraged these visitors to support local businesses.

The CVB also continued the education of citizens on the benefits of tourism.

- Launch of redesigned website w/live camera feed of Round Rock Sports Center construction.
- First year, new event – Hero Rush was the largest event hosted in the United States with 1,700 participants.
- Pre-booked Round Rock Sports Center weekends through July.
- Round Rock Sports Center broke ground.
- Economic Activity from CVB events in 2012 totaled over \$9.8 million.
- Sports Events Magazine 2013 Readers' Choice Award, Round Rock CVB.

FY 2013-14 Overview and Significant Changes:

The CVB is focusing on implementing the recommendations submitted in the 2008 Updated Tourism Plan.

- Develop indoor sports venue.
- Continue to develop contacts to build clientele for indoor sports venue.
- Continue marketing plan and public relations campaign and aggressively recruit tournaments to Round Rock.
- Continue to market and grow outdoor events.

FY 2014-15 Overview and Beyond:

The CVB will continue to use sales and marketing efforts to find new ways to promote Round Rock.

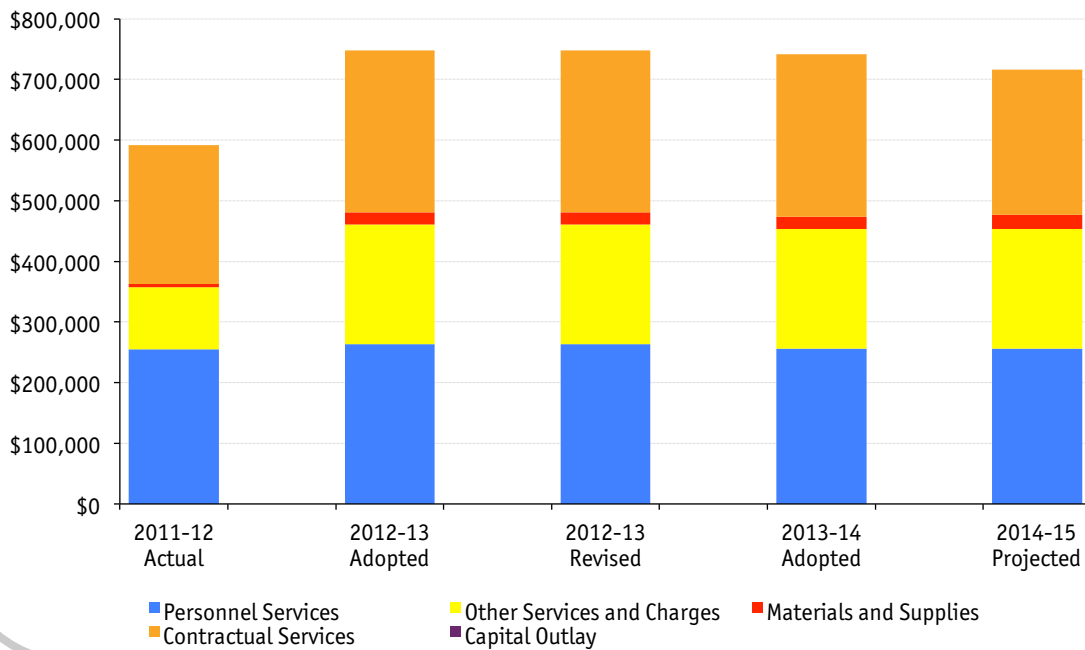
- Continue to develop and grow relationships with sports events groups.
- Continue education of citizens on the benefits of tourism.
- Continue to be the designated City department for visitor's information.

Special Revenue Funds

H.O.T. Convention & Visitors Bureau

| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|-------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$ 255,294 | \$ 263,496 | \$ 263,496 | \$ 256,231 | \$ 256,614 |
| <i>Contractual Services</i> | 229,397 | 266,743 | 266,743 | 267,821 | 240,321 |
| <i>Materials and Supplies</i> | 5,556 | 20,725 | 20,725 | 20,770 | 22,770 |
| <i>Other Services and Charges</i> | 101,700 | 197,000 | 197,000 | 197,000 | 197,000 |
| <i>Capital Outlay</i> | 0 | 0 | 0 | 0 | 0 |
| Total Expenditures: | \$ 591,947 | \$ 747,964 | \$ 747,964 | \$ 741,822 | \$ 716,705 |
| Expenditures per Capita: | \$ 5.78 | \$ 7.14 | \$ 7.14 | \$ 6.89 | \$ 6.47 |

Convention and Visitors Bureau - Expenditures by Category



| Authorized Personnel | Grade | Positions | | | Full Time Equivalent | | |
|---|-------|-------------------|--------------------|--------------------|----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Director CVB | EX | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Coordinator - Marketing and Events ¹ | 110 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Admin Assistant ¹ | 208 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Total | | 3 | 3 | 3 | 3.00 | 3.00 | 3.00 |

¹ Position reclassified FY14.



Hotel Occupancy Tax Funds – Program Description

In November 2011, the citizens voted to increase the Hotel Occupancy Tax rate by 2% to support a Sports & Community Venue project. This will be a multi-purpose facility that will attract overnight stays and generate tourism revenue for the City of Round Rock.

Summary of Revenues and Expenditures:

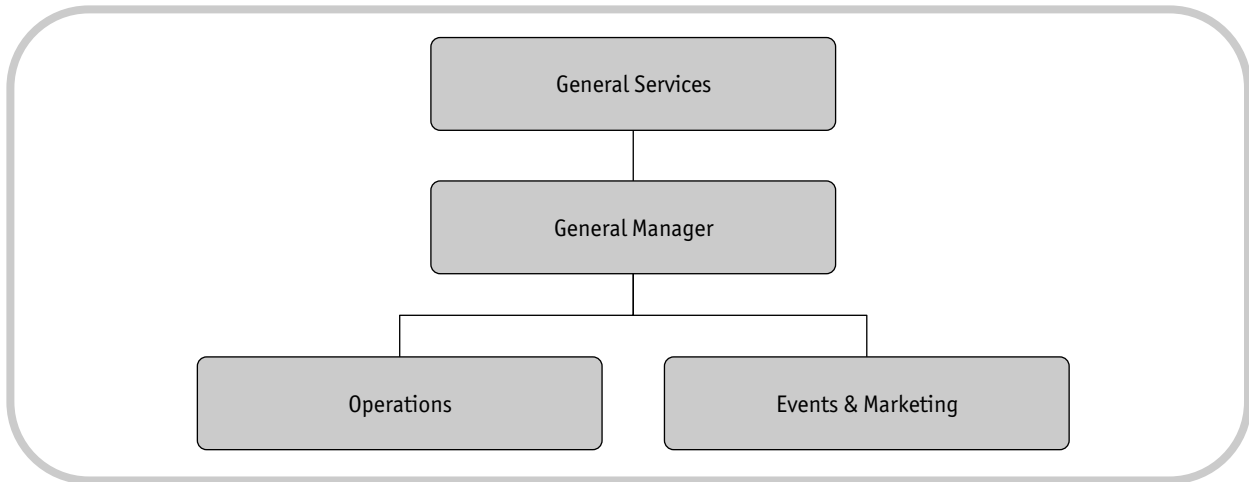
| | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget |
|---------------------------------|-------------------|------------------------------|------------------------------|------------------------------|
| Revenues | | | | |
| Hotel-Motel Occupancy Tax | \$508,046 | \$850,000 | \$850,000 | \$867,000 |
| Interest Income | 107 | 0 | 5,000 | 2,500 |
| Event Revenue | 0 | 0 | 0 | 706,000 |
| Transfer Received | 0 | 0 | 0 | 590,000 |
| Total Revenues | \$508,153 | \$850,000 | \$855,000 | \$2,165,500 |
| Expenditures | | | | |
| Sports Center Operations | \$0 | \$170,000 | \$447,900 | \$1,790,445 |
| Debt Service | 0 | 592,000 | 592,000 | 365,500 |
| Total Expenditures | \$0 | \$762,000 | \$1,039,900 | \$2,155,945 |
| Net Change in Operations | \$508,153 | \$88,000 | (\$184,900) | \$9,555 |

Sports Center

The Round Rock Sports Center is the superior youth sports complex in Texas. The facility is projected to host over 70,000 players and 120,000 spectators annually for tournaments, league sports and club sports. Focused on national and regional sporting events, the RRSC provides a premier players environment with spectator comfort and family entertainment opportunities. The center features a multi-sport building, outdoor pavilion and plaza and ample parking for buses and over 500 cars.

Vision: To help promote Round Rock Sports Center as a keystone to the sports tourism marketing program of Round Rock.

Mission: To Promote Economic Diversity by Developing the Tourism Industry in Round Rock.



Major Business Functions:

Operations: This team is in charge of the overall operations of the center. This includes maintenance of the center and the supplies needed to operate. The scheduling and support of all the events will be coordinated by this team. Since the center is designed to support many different sports, this makes the operations a very diverse challenge.

Events and Marketing: This group will provide the local programming within the facility as well as promote the center itself and the variety of resources available. The center will have sponsorship available through naming of the buildings as well as advertising throughout the center. All concessions will be managed and promoted through this group.

Key Customers:

The Sports Center has both internal and external customers. Internal customers include the City Council and all City employees. We also serve the citizens of Round Rock, visitors to Round Rock, organizations, participants, and spectators who come for events.

Customer Expectations and Requirements:

Our customers expect a professional, results driven department that provides first-class representation of the City of Round Rock. Exceeding our customer's expectations would allow us to support the goal of Round Rock providing economic diversity and to offer our visitors and citizens the best experience possible.

FY 2012-13 Highlights:

The Sports Center started construction with the target completion date of December, 2013. Staffing and coordination of this build out was the focus of the 2012-13 efforts.

FY 2013-14 Overview and Significant Changes:

The Sports Center staff will be focused on training of personnel and the overall operations of the center. This will involve the promotion of the center through sponsorship and events. The goal of the Sports Center is to provide a quality experience for all the participants, guests and vendors at each of the events they attend.

FY 2014-15 Overview and Beyond:

The Sports Center will use sales and marketing efforts to find new ways to promote.

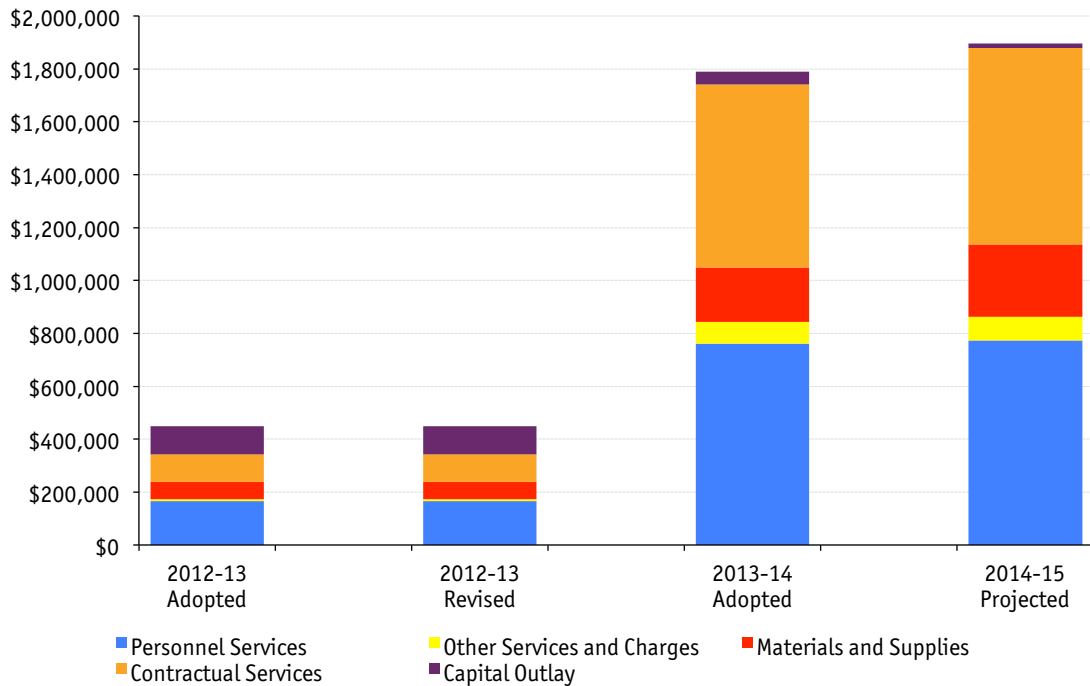
- Develop and grow relationships with sports events groups.
- Continue to define and promote sponsorships.
- Provide the highest quality experience to everyone visiting the Center.

Special Revenue Funds

H.O.T. Sports & Community Venue

| | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget | 2014-15 Projected Budget |
|-----------------------------------|------------------------------|------------------------------|------------------------------|--------------------------------|
| <i>Personnel Services</i> | \$115,113 | \$165,600 | \$761,708 | \$772,880 |
| <i>Contractual Services</i> | 54,887 | 104,400 | 690,605 | 744,363 |
| <i>Materials and Supplies</i> | 0 | 65,600 | 206,717 | 272,141 |
| <i>Other Services and Charges</i> | 0 | 7,500 | 81,327 | 89,941 |
| <i>Capital Outlay</i> | 0 | 104,800 | 50,088 | 17,588 |
| Total Expenditures: | \$170,000 | \$447,900 | \$1,790,445 | \$1,896,913 |
| Expenditures per Capita: | \$1.62 | \$4.27 | \$16.64 | \$17.12 |

Sports Center - Expenditures by Category



Note: Fund established in FY2013

| Authorized Personnel | Grade | Positions | | | Full Time Equivalents | | |
|---|-------|-------------------|--------------------|--------------------|-----------------------|--------------------|--------------------|
| | | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted | 2011-12 Actual | 2012-13 Adopted | 2013-14 Adopted |
| Manager-Sports Center ¹ | 113 | 1 | 1 | 1 | 1.00 | 1.00 | 1.00 |
| Operations Coordinator ² | 110 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Events Coordinator ² | 110 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Administrative Assistant ² | 208 | 0 | 1 | 1 | 0.00 | 1.00 | 1.00 |
| Assistant Operations Coordinator ³ | 104 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Concessions Coordinator ³ | 104 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Maintenance Tech ³ | 206 | 0 | 0 | 1 | 0.00 | 0.00 | 1.00 |
| Custodian P/T ³ | 203 | 0 | 0 | 4 | 0.00 | 0.00 | 2.00 |
| Total | | 1 | 4 | 11 | 1.00 | 4.00 | 9.00 |

¹ New Department FY12

² Positions added during mid-year revision FY13

³ Positions added for venue opening FY14

⁴ Position reclassified FY14.



Law Enforcement Fund – Program Description

This fund accounts for the forfeiture of contraband gained from the commission of criminal activity. Proceeds from fund are to be used for law enforcement purposes.

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 |
|---------------------------------|-------------------|---------------------------|---------------------------|---------------------------|
| Revenues | Actual | Adopted Budget | Revised Budget | Adopted Budget |
| Interest Income | \$275 | \$265 | \$265 | \$418 |
| Proceeds - Sale of Assets | 8,333 | 0 | 0 | 0 |
| State & Local Funds | 15,696 | 0 | 0 | 0 |
| Federal Funds | 474,915 | 0 | 0 | 0 |
| Total Revenues | \$499,219 | \$265 | \$265 | \$418 |
| Expenditures | | | | |
| Local | \$320,264 | \$67,000 | \$67,000 | \$19,000 |
| Federal | 235,867 | 382,500 | 382,500 | 671,000 |
| Total Expenditures | \$556,131 | \$449,500 | \$449,500 | \$690,000 |
| Net Change in Operations | (\$56,912) | (\$449,235) | (\$449,235) | (\$689,582) |



Library Fund – Program Description

This fund accepts donations by patrons of the library for various books and videos to be purchased and housed in the Library.

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 |
|---------------------------------|------------------|----------------|----------------|------------------|
| Revenues | Actual | Adopted | Revised | Adopted |
| | | Budget | Budget | Budget |
| Interest Income | \$308 | \$100 | \$100 | \$10 |
| Library Donations | 953 | 800 | 800 | 4,000 |
| Total Revenues | \$1,261 | \$900 | \$900 | \$4,010 |
| Expenditures | | | | |
| Books & Materials | \$6,000 | \$800 | \$800 | \$5,550 |
| Total Expenditures | \$6,000 | \$800 | \$800 | \$5,550 |
| Net Change in Operations | (\$4,739) | \$100 | \$100 | (\$1,540) |



Municipal Court Fund – Program Description

This fund accounts for fees collected on conviction of certain Municipal Court offenses and is intended to enhance the safety of children, provided the technology for processing court cases, create a security plan for the courtroom and established a Juvenile Case Manager program.

| Revenues | 2011-12 Actual | 2012-13 Adopted Budget | 2012-13 Revised Budget | 2013-14 Adopted Budget |
|--|---------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| Child Safety Fines | \$16,022 | \$20,000 | \$20,000 | \$20,000 |
| Child Safety Fines Interest Income | 61 | 55 | 55 | 55 |
| Technology Fees | 45,231 | 40,000 | 40,000 | 40,000 |
| Technology Fees Interest Income | 223 | 195 | 195 | 195 |
| Security Fees | 33,923 | 24,000 | 24,000 | 30,000 |
| Security Fees Interest Income | 56 | 50 | 50 | 50 |
| Juvenile Case Manager Fees | 0 | 0 | 0 | 37,500 |
| JCM Fees Interest Income | 0 | 0 | 0 | 0 |
| Total Revenues | \$95,516 | \$84,300 | \$84,300 | \$127,800 |
| Expenditures | | | | |
| Child Safety Fines | \$119,500 | \$20,000 | \$20,000 | \$0 |
| Technology Fees | 11,941 | 26,992 | 26,992 | 40,705 |
| Security Fees | 35,205 | 36,300 | 36,300 | 36,300 |
| Juvenile Case Manager - Transfer to GF | 0 | 0 | 0 | 30,000 |
| Total Expenditures | \$166,646 | \$83,292 | \$83,292 | \$107,005 |
| Net Change in Operations | (\$71,130) | \$1,008 | \$1,008 | \$20,795 |



Public, Educational, and Government Access (PEG) Fund – Program Description

This fund accounts for cable franchise fees which are used for public, educational, and government access purposes consistent with state and federal law.

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 |
|---------------------------------|-------------------|------------------|-------------------|--------------------|
| Revenues | Actual | Adopted | Revised | Adopted |
| | | Budget | Budget | Budget |
| Interest Income | \$945 | \$1,000 | \$1,000 | \$1,000 |
| PEG Fees | 0 | 250,000 | 250,000 | 240,000 |
| Transfers | 237,946 | 0 | 0 | 0 |
| Total Revenues | \$238,891 | \$251,000 | \$251,000 | \$241,000 |
| Expenditures | | | | |
| PEG Expenses | \$280,411 | \$250,000 | \$350,000 | \$450,000 |
| Total Expenditures | \$280,411 | \$250,000 | \$350,000 | \$450,000 |
| Net Change in Operations | (\$41,520) | \$1,000 | (\$99,000) | (\$209,000) |



Tree Replacement Fund – Program Description

This fund accounts for developer contributions used solely for the purpose of purchasing and planting trees in public rights-of-way, public parkland or any other City-owned property.

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 |
|---------------------------------|--------------------|---------------------------|---------------------------|---------------------------|
| Revenues | Actual | Adopted Budget | Revised Budget | Adopted Budget |
| Tree Replacement Fees | \$0 | \$25,000 | \$25,000 | \$25,000 |
| Donations/Contributions | 6,230 | 500 | 500 | 500 |
| Interest Income | 369 | 340 | 340 | 340 |
| Total Revenues | \$6,599 | \$25,840 | \$25,840 | \$25,840 |
| Expenditures | | | | |
| Tree Replacement | \$300,606 | \$227,000 | \$227,000 | \$248,100 |
| Total Expenditures | \$300,606 | \$227,000 | \$227,000 | \$248,100 |
| Net Change in Operations | (\$294,007) | (\$201,160) | (\$201,160) | (\$222,260) |

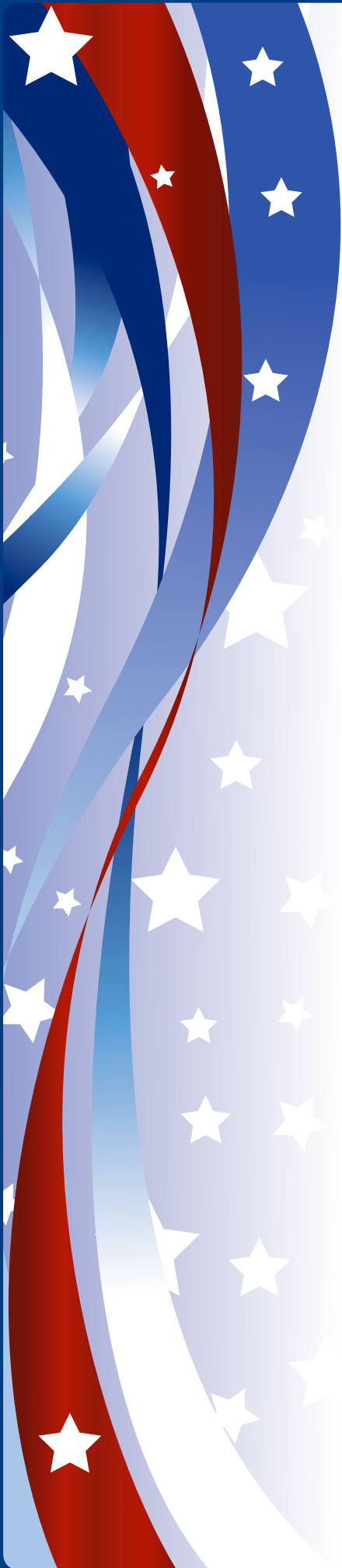


Utility Impact Fees Fund – Program Description

The Utility Impact Fees are additional and supplemental to any other requirements imposed by the City for development of land or the issuance of building permits. These fees assure the provision of adequate public facilities to serve new development in the City by requiring each development to pay its pro rata share of the costs of improvements necessitated by and attributable to such new development.

| | 2011-12 | 2012-13 | 2012-13 | 2013-14 | 2014-15 |
|---------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Adopted | Revised | Adopted | Projected |
| Revenues | Actual | Budget | Budget | Budget | Budget |
| Interest Income | \$8,241 | \$8,000 | \$8,000 | \$3,000 | \$3,000 |
| Water Impact Fees | 2,685,030 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 |
| Commercial Water Impact Fees | 364,718 | 400,000 | 400,000 | 500,000 | 500,000 |
| Sewer Impact Fees | 1,083,701 | 750,000 | 750,000 | 800,000 | 800,000 |
| Commercial Sewer Impact Fees | 137,224 | 125,000 | 125,000 | 150,000 | 150,000 |
| Total Revenues | \$4,278,914 | \$3,283,000 | \$3,283,000 | \$3,453,000 | \$3,453,000 |
| Expenditures | | | | | |
| Capital Projects | \$2,582,090 | \$2,900,000 | \$2,900,000 | \$2,900,000 | \$2,900,000 |
| Total Expenditures | \$2,582,090 | \$2,900,000 | \$2,900,000 | \$2,900,000 | \$2,900,000 |
| Net Change in Operations | \$1,696,824 | \$383,000 | \$383,000 | \$553,000 | \$553,000 |





Debt Schedules

- Schedule of Bonded Debt by Purpose
- Schedule of General Obligation - Debt Service
- Schedule of Revenue Bonds - Debt Service
& Hotel Occupancy Tax
- General Obligation Bonds Payment Schedule
- Revenue Bonds Payment Schedule



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.



Debt Schedules

Debt Schedules

Bonded Debt by Purpose

Purpose of Bonds Issued

| ISSUE | AMOUNT ISSUED | ENGINEERING & DEV. SERVICES | POLICE |
|-----------------------------------|------------------|---|----------------------------------|
| 2013 G. O. Refunding Bonds | \$8,615,000 | --- | --- |
| 2012 H.O.T. Revenue Bonds | 7,715,000 | --- | --- |
| 2011 G. O. Refunding Bonds | 19,580,000 | Streets, Bridges and Sidewalks | Construct, Improve Facilities |
| 2010 G. O. Refunding Bonds | 18,575,000 | Streets, Bridges, Sidewalks/Building Expansion | Construct, Improve Facilities |
| 2009 Utility System Revenue Bonds | 78,785,000 | --- | --- |
| 2007 Comb. Tax/Rev C.O. | 14,915,000 | --- | --- |
| 2007 G.O. & Refunding Bonds | 44,770,000 | Streets, Bridges and Sidewalks | --- |
| 2007 H.O.T. Rev. Refunding Bonds | 8,920,000 | --- | --- |
| 2006 Utility System Revenue Bonds | 12,000,000 | --- | --- |
| 2005 G. O. Refunding Bonds | 19,915,000 | Street, Drainage, Mtn. Facility | --- |

| | FIRE | PARKS | WATER/ WASTEWATER | OTHER |
|-------------------------------|-------------|-------------------|------------------------------|-------------------------------------|
| | --- | --- | --- | Partial Refund |
| | --- | --- | --- | Multi-purpose Sports Facility |
| Construct, Improve Facilities | | --- | --- | Partial Refund |
| Construct, Improve Facilities | | --- | --- | Partial Refund |
| | --- | --- | Regional Wastewater System | --- |
| | --- | Park Improvements | --- | --- |
| Engine Replacement | | Recreation Center | --- | Municipal Complex/Partial Refunding |
| | --- | --- | --- | Convention Ctr. |
| | --- | --- | Regional Water Project | --- |
| Trucks, Station Const. | | --- | --- | Partial Refund |

Debt Schedules

General Obligation Debt Service

Schedule of General Obligation Debt Service

Fiscal Year 2013-14

| ISSUE | Interest Rates/% | Date of Issue | Date of Maturity | Amount Issued (Net of Refunding) | 10/1/13 Amount O/S (Net of Refunding) |
|-------------------------------|-------------------------|----------------------|-------------------------|---|--|
| 2013 G. O. Refunding Bonds | 0.50 - 2.00 | 21-May-13 | 15-Aug-24 | \$8,615,000 | \$8,465,000 |
| 2011 G. O. Refunding Bonds | 2.00 - 5.00 | 15-Nov-11 | 15-Aug-27 | 19,580,000 | 18,445,000 |
| 2010 G. O. Refunding Bonds | 2.00 - 4.00 | 15-Aug-10 | 15-Aug-27 | 18,575,000 | 17,950,000 |
| 2007 Comb. Tax/Rev C.O. | 4.00 - 4.63 | 01-Jul-07 | 15-Aug-27 | 14,915,000 | 11,770,000 |
| 2007 G.O. & Refunding Bonds # | 4.00 - 5.00 | 01-Jul-07 | 15-Aug-32 | 44,770,000 | 38,525,000 |
| 2005 G. O. Refunding Bonds | 2.25 - 5.00 | 15-Jan-05 | 15-Aug-22 | 19,915,000 | 12,955,000 |
| Capital Leases | Var. | Var. | Var. | 5,322,023 | 2,147,272 |
| TOTAL | | | | \$131,692,023 | \$110,257,272 |

| ISSUE | 2013-2014 Principal | 2013-2014 Interest | Total Principal & Interest |
|-------------------------------|--------------------------------|---|---|
| 2013 G. O. Refunding Bonds | \$850,000 | \$100,733 | \$950,733 |
| 2011 G. O. Refunding Bonds | 1,535,000 | 623,075 | 2,158,075 |
| 2010 G. O. Refunding Bonds | 1,165,000 | 666,212 | 1,831,212 |
| 2007 Comb. Tax/Rev C.O. | 635,000 | 516,031 | 1,151,031 |
| 2007 G.O. & Refunding Bonds # | 1,245,000 | 1,847,485 | 3,092,485 |
| 2005 G. O. Refunding Bonds | 1,555,000 | 643,738 | 2,198,738 |
| Capital Leases | 1,331,292 | 58,903 | 1,390,195 |
| TOTAL | \$8,316,292 | \$4,456,177 | 12,772,469 |
| | | Less amount funded by UTILITY FUND | 0 |
| | | # Less self-supporting GOLF FUND | (452,864) |
| | | Add paying agent fees | 9,000 |
| | | Total to be paid from PROPERTY TAXES | \$12,328,605 |

Debt Schedules

Revenue Bonds – Debt Service & H.O.T.

Schedule of Revenue Bonds Debt Service

Fiscal Year 2013-14

| Issue | Interest Rates/% | Date of Issue | Date of Maturity | Amount Issued | 10/1/13 Amount O/S (Net of Refunding) |
|-----------------------------------|-------------------------|----------------------|-------------------------|----------------------|--|
| 2009 Utility System Revenue Bonds | 2.00 - 5.00 | 08-Dec-09 | 01-Aug-39 | \$78,785,000 | \$74,365,000 |
| 2006 Utility System Revenue Bonds | 2.20 - 2.95 | various | 01-Aug-26 | 12,000,000 | 9,260,000 |
| TOTAL | | | | \$90,785,000 | \$83,625,000 |

Schedule of Hotel Occupancy Tax (H.O.T.) Revenue Bonds

Fiscal Year 2013-14

| Issue | Interest Rates/% | Date of Issue | Date of Maturity | Amount Issued | 10/1/13 Amount O/S (Net of Refunding) |
|---|-------------------------|----------------------|-------------------------|----------------------|--|
| Hotel Occupancy Tax Rev. Bonds Series 2012 | 2.00 - 4.00 | 28-Feb-12 | 01-Dec-37 | \$7,715,000 | \$7,715,000 |
| Hotel Occupancy Tax Rev. Refunding Bonds Series 2007 | 4.00 - 4.50 | 15-Jan-07 | 01-Dec-24 | 8,920,000 | 6,630,000 |
| TOTAL-Funded by Hotel Occupancy Tax Revenues | | | | \$16,635,000 | \$14,345,000 |

| Issue | 2013-2014 Principal | 2013-2014 Interest | Total Principal & Interest |
|-----------------------------------|--------------------------------|-------------------------------|---|
| 2009 Utility System Revenue Bonds | \$1,995,000 | \$3,494,413 | \$5,489,413 |
| 2006 Utility System Revenue Bonds | 605,000 | 253,220 | 858,220 |
| TOTAL | \$2,600,000 | \$3,747,633 | \$6,347,633 |

| Issue | 2013-2014 Principal | 2013-2014 Interest | Total Principal & Interest |
|---|--------------------------------|-------------------------------|---|
| Hotel Occupancy Tax Rev. Bonds Series 2012 | \$110,000 | \$255,430 | \$365,430 |
| Hotel Occupancy Tax Rev. Refunding Bonds Series 2007 | 440,000 | 268,036 | 708,036 |
| TOTAL-Funded by Hotel Occupancy Tax Revenues | \$550,000 | \$523,466 | \$1,073,466 |

Debt Schedules

General Obligation Bonds Payment

2013-14 General Obligation Bonds Payment Schedule

| 2013-2014 | | Leases | 2005 G.O. Refunding | 2007 G.O. & Refunding (#) |
|-----------|---|-------------|---------------------------|---------------------------------|
| Oct | P | | | |
| | I | | | |
| Nov | P | | | |
| | I | | | |
| Dec | P | | | |
| | I | | | |
| Jan | P | | | |
| | I | | | |
| Feb | P | | | |
| | I | | \$321,869 | \$923,743 |
| Mar | P | \$665,646 | | |
| | I | 29,452 | | |
| Apr | P | | | |
| | I | | | |
| May | P | | | |
| | I | | | |
| Jun | P | | | |
| | I | | | |
| Jul | P | | | |
| | I | | | |
| Aug | P | | 1,555,000 | 1,245,000 |
| | I | | 321,869 | 923,742 |
| Sep | P | 665,646 | | |
| | I | 29,452 | | |
| Total | | \$1,390,196 | \$2,198,738 | \$3,092,485 |

| | Leases | G.O. Debt | Utility Supported Debt | Golf Supported Debt | Total |
|----------------------------|-------------|--------------|------------------------------|---------------------------|--------------|
| Total Payments - December | | | | | |
| Total Payments - January | | | | | |
| Total Payments - February | | \$2,092,205 | \$0 | \$106,432 | \$2,198,637 |
| Total Payments - March | \$695,098 | | | | 695,098 |
| Total Payments - June | | | | | |
| Total Payments - July | | | | | |
| Total Payments - August | | 8,837,205 | 0 | 346,432 | 9,183,637 |
| Total Payments - September | 695,098 | | | | 695,098 |
| Total - All Payments | \$1,390,196 | \$10,929,410 | \$0 | \$452,864 | \$12,772,470 |

(#) Includes golf supported debt

Debt Schedules

General Obligation Bonds Payment

| 2013-2014 | | 2007 Tax/Rev C.O. | 2010 G.O. Refunding | 2011 G.O. Refunding | 2013 G.O. Refunding |
|------------------|---|----------------------------------|------------------------------------|------------------------------------|------------------------------------|
| Oct | P | | | | |
| | I | | | | |
| Nov | P | | | | |
| | I | | | | |
| Dec | P | | | | |
| | I | | | | |
| Jan | P | | | | |
| | I | | | | |
| Feb | P | | | | |
| | I | \$258,016 | \$333,106 | \$311,537 | \$50,366 |
| Mar | P | | | | |
| | I | | | | |
| Apr | P | | | | |
| | I | | | | |
| May | P | | | | |
| | I | | | | |
| Jun | P | | | | |
| | I | | | | |
| Jul | P | | | | |
| | I | | | | |
| Aug | P | 635,000 | 1,165,000 | 1,535,000 | 850,000 |
| | I | 258,015 | 333,106 | 311,538 | 50,367 |
| Sep | P | | | | |
| | I | | | | |
| Total | | \$1,151,031 | \$1,831,212 | \$2,158,075 | \$950,733 |

Debt Schedules

Revenue Bond Payment

2013-14 Revenue Bonds Payment Schedule

| 2013-2014 | | 2006 Utility Rev. Bonds | 2009 Utility Rev. Bonds | 2007 H.O.T. Rev. Bonds | 2012 H.O.T. Rev. Bonds |
|--------------|--------|-------------------------------|-------------------------------|------------------------------|------------------------------|
| Oct | P I | | | | |
| Nov | P I | | | | |
| Dec | P I | | | \$440,000 138,418 | \$110,000 128,265 |
| Jan | P I | | | | |
| Feb | P I | \$126,610 | \$1,747,207 | | |
| Mar | P I | | | | |
| Apr | P I | | | | |
| May | P I | | | | |
| Jun | P I | | | 129,618 | 127,165 |
| Jul | P I | | | | |
| Aug | P I | 605,000 126,610 | 1,995,000 1,747,206 | | |
| Sep | P I | | | | |
| Total | | \$858,220 | \$5,489,413 | \$708,036 | \$365,430 |

| | Utility Supported Debt | H.O.T. Rev. Supported Debt | Total |
|-----------------------------|------------------------------|----------------------------------|--------------------|
| Total Payments - December | | \$816,683 | \$816,683 |
| Total Payments - February | \$1,873,817 | | 1,873,817 |
| Total Payments - June | | 256,783 | 256,783 |
| Total Payments - August | 4,473,816 | | 4,473,816 |
| Total - All Payments | \$6,347,633 | \$1,073,466 | \$7,421,099 |



Tax Information & Levy

Property Tax Rates Definitions
Property Tax Summary
Property Tax Analysis
Actual & Taxable Assessed Value of Property
Property Tax & Debt Summary
Property Tax Rates
- Direct and Overlapping Governments
Principal Property Taxpayers
Property Tax Levies and Collections



ROUND ROCK, TEXAS
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Property Tax Rate Definitions

The total tax rate adopted annually by the City Council is used for General Fund operations and Debt service. Under state law, six separate tax rates are calculated by the City's tax assessor/collector.

The Proposed Tax Rate

This is the rate considered by the City Council for adoption and which is determined to be necessary to fund operations and pay principal and interest on outstanding debt (debt service).

The Effective Tax Rate

If adopted, this rate would provide the same amount of revenue collected last year from properties on the tax roll last year. This rate calculation requires the taxing entity to account for changes in the value of existing properties, but this rate calculation, however, is not affected by new properties.

The Maintenance and Operations Rate

This rate is one of two component rates that make up the total tax rate. Revenue generated by this rate is used to fund general operations of the City.

The Debt Service Rate

This rate is the second of two component rates that make up the total tax rate. This rate is set by law in an amount sufficient to generate enough revenue with which to pay the City's maturing general obligation debt.

The Rollback Rate

Under the Rollback Rate calculation, the Maintenance and Operations component exceeds the Maintenance and Operations component of the Effective Tax Rate by 8%. This rate is the rollback rate. An adopted tax increase beyond 8% is subject to being "rolled back" by the electorate to the rollback rate.

Sales Tax Adjustment Rate

A voter-authorized additional 1/2 cent sales tax generates revenue for the City's General Fund which, by law, must directly and proportionately reduce the property tax rate. This sales tax adjustment rate is calculated by the tax assessor as a reduction to the overall property tax rate.

Tax Information and Levy

Property Tax Summary

Property Tax Summary

Tax Levies, Rates and Collections for Twenty-Three Years (Real & Personal Property)

| YEAR | | BASES AND RATE | | | TAXABLE VALUE | TAX LEVY |
|------|--------|----------------|---|---------|---------------|------------|
| | | | | | \$ | \$ |
| 1991 | - 1992 | 100% | @ | 0.62479 | 864,708,918 | 5,402,615 |
| 1992 | - 1993 | 100% | @ | 0.62459 | 913,079,155 | 5,703,001 |
| 1993 | - 1994 | 100% | @ | 0.56924 | 1,090,306,343 | 6,206,479 |
| 1994 | - 1995 | 100% | @ | 0.48896 | 1,380,376,965 | 6,749,505 |
| 1995 | - 1996 | 100% | @ | 0.42635 | 1,673,266,815 | 7,133,973 |
| 1996 | - 1997 | 100% | @ | 0.39880 | 1,961,647,818 | 7,823,051 |
| 1997 | - 1998 | 100% | @ | 0.37707 | 2,314,286,302 | 8,726,410 |
| 1998 | - 1999 | 100% | @ | 0.38500 | 2,540,922,164 | 9,782,550 |
| 1999 | - 2000 | 100% | @ | 0.36295 | 2,965,017,390 | 10,761,531 |
| 2000 | - 2001 | 100% | @ | 0.33031 | 3,678,007,528 | 12,148,827 |
| 2001 | - 2002 | 100% | @ | 0.32207 | 4,446,753,347 | 14,321,659 |
| 2002 | - 2003 | 100% | @ | 0.34220 | 4,978,982,250 | 17,038,077 |
| 2003 | - 2004 | 100% | @ | 0.35715 | 5,071,176,374 | 18,349,189 |
| 2004 | - 2005 | 100% | @ | 0.37972 | 5,251,484,692 | 19,940,938 |
| 2005 | - 2006 | 100% | @ | 0.37105 | 5,667,029,945 | 21,027,515 |
| 2006 | - 2007 | 100% | @ | 0.37105 | 6,356,956,240 | 23,587,486 |
| 2007 | - 2008 | 100% | @ | 0.36522 | 7,417,279,787 | 27,089,389 |
| 2008 | - 2009 | 100% | @ | 0.36522 | 8,121,902,884 | 29,662,814 |
| 2009 | - 2010 | 100% | @ | 0.39661 | 8,206,161,568 | 32,546,457 |
| 2010 | - 2011 | 100% | @ | 0.41728 | 7,893,143,364 | 32,936,509 |
| 2011 | - 2012 | 100% | @ | 0.42321 | 8,004,285,176 | 33,874,935 |
| 2012 | - 2013 | 100% | @ | 0.42035 | 8,238,143,748 | 34,629,318 |
| 2013 | - 2014 | 100% | @ | 0.41949 | 8,624,749,167 | 36,179,960 |

Property Tax Analysis – Fiscal Year 2013-14

| | |
|--|-----------|
| Average Residential Property Value (2013-2014) | \$181,526 |
| Last Year's Effective Tax Rate (*) | \$0.42035 |
| Last Year's Rollback Tax Rate | \$0.44810 |
| Last Year's Adopted Tax Rate | \$0.42035 |
| | |
| This Year's Effective Tax Rate (*) | \$0.40749 |
| This Year's Rollback Tax Rate | \$0.45070 |
| This Year's Proposed Tax Rate | \$0.41949 |

Tax Levy:

| | Maintenance & Operations | Debt | Total Rate & Levy |
|---|---|-----------------|----------------------------------|
| Taxable Value | \$8,624,749,167 | \$8,624,749,167 | \$8,624,749,167 |
| x Maint & Operations Rate / 100 | 0.28003 | | |
| x Debt Rate / 100 | | 0.13946 | 0.41949 |
| Total Levy | \$24,151,885 | \$12,028,075 | \$36,179,960 |
| x Collection Rate (rounded estimate) | 0.99 | 0.99 | 0.99 |
| = Estimated Tax Revenue | \$23,900,000 | \$11,927,000 | \$35,827,000 |

(*) All tax rate figures are net of the sales tax gain rate (discussed in the budget message)

Tax Information and Levy

Actual & Taxable Assessed Value of Property

Actual and Taxable Assessed Value of Property

Last Ten Fiscal Years

| Fiscal Year | Actual Assessed Value | | | Less: Tax Exempt Property | Total Taxable Assessed Valuation | Total Direct Tax Rate |
|-------------|-----------------------|-------------------|---------------|---------------------------|----------------------------------|-----------------------|
| | Real Property | Personal Property | Total | | | |
| | \$ | \$ | \$ | \$ | \$ | \$ |
| 2003 | 4,536,275,722 | 832,454,143 | 5,368,729,865 | 309,696,551 | 5,059,033,314 | 0.3422 |
| 2004 | 4,603,800,464 | 786,481,840 | 5,390,282,304 | 250,319,487 | 5,139,962,817 | 0.3572 |
| 2005 | 4,794,995,393 | 726,483,588 | 5,521,478,981 | 190,331,290 | 5,331,147,691 | 0.3797 |
| 2006 | 5,199,431,315 | 749,984,473 | 5,949,415,788 | 175,933,206 | 5,773,482,582 | 0.3711 |
| 2007 | 5,929,650,836 | 783,793,471 | 6,713,444,307 | 198,475,704 | 6,514,968,603 | 0.3711 |
| 2008 | 6,954,733,744 | 852,374,495 | 7,807,108,239 | 303,674,692 | 7,503,433,547 | 0.3652 |
| 2009 | 7,623,482,042 | 957,142,673 | 8,580,624,715 | 386,156,997 | 8,194,467,718 | 0.3652 |
| 2010 | 7,843,041,122 | 880,412,269 | 8,723,453,391 | 401,156,676 | 8,322,296,715 | 0.3966 |
| 2011 | 7,581,182,068 | 831,489,169 | 8,412,671,237 | 395,522,799 | 8,017,148,438 | 0.4173 |
| 2012 | 7,654,036,144 | 850,976,608 | 8,505,012,752 | 408,354,355 | 8,096,658,397 | 0.4203 |

Source: Williamson Central Appraisal District

Note - Property in the City is assessed each year. Property is assessed at actual value; therefore, the assessed values are equal to actual value. Tax rates are per \$100 of assessed value.

Property Tax and Debt Summary**City of Round Rock
Analysis of Debt Issuance
September 2013**

Current Tax Base \$8,624,749,167
(Total taxable value of all property, net of exemptions)

| | | |
|-------------------------------------|---------------|---------------------------|
| Adopted City Tax Rate | 41.949 | Cents per \$100 valuation |
| Operations Tax Rate | 28.003 | Cents |
| Debt Service Tax Rate (G.O.) | 13.946 | Cents |
| Total Tax Rate | 41.949 | Cents |

Average Residential Property Value \$181,526

GENERAL OBLIGATION BONDS CURRENTLY OUTSTANDING:

| | |
|-------------------------|---------------|
| General Obligation Debt | \$108,110,000 |
|-------------------------|---------------|

Maturities through the year 2032

REVENUE BONDS CURRENTLY OUTSTANDING:

| | |
|--|---------------------|
| Revenue Debt (Waterworks and Sewer Utility System) | \$83,625,000 |
| Revenue Debt (Hotel Occupancy Tax) | 14,345,000 |
| Total | \$97,970,000 |

Maturities through the year 2039

| | |
|-------------------------------|----------------------|
| TOTAL OUTSTANDING DEBT | \$206,080,000 |
|-------------------------------|----------------------|

Tax Information and Levy

Property Tax Rates – Direct & Overlapping Governments

Property Tax Rates – Direct and Overlapping

PER \$100 OF ASSESSED VALUE

LAST TEN FISCAL YEARS

| Fiscal Year | City Direct Rates | | | Overlapping Rates | | | Total Direct and Overlapping Rates |
|-------------|-------------------|-------------------|--------------|--|-------------------|-------------------|------------------------------------|
| | Operating Rate | Debt Service Rate | Total Direct | Round Rock Independent School District | Williamson County | Special Districts | |
| | \$ | \$ | \$ | \$ | \$ | \$ | \$ |
| 2003 | 0.1941 | 0.1481 | 0.3422 | 1.7924 | 0.4154 | 0 | 2.5500 |
| 2004 | 0.2018 | 0.1553 | 0.3571 | 1.8643 | 0.4482 | 0.0200 | 2.6896 |
| 2005 | 0.2092 | 0.1705 | 0.3797 | 1.8572 | 0.4789 | 0.0200 | 2.7358 |
| 2006 | 0.2089 | 0.1622 | 0.3711 | 1.8334 | 0.4997 | 0.0200 | 2.7242 |
| 2007 | 0.2164 | 0.1547 | 0.3711 | 1.6406 | 0.4997 | 0.0200 | 2.5314 |
| 2008 | 0.1960 | 0.1692 | 0.3652 | 1.3238 | 0.4891 | 0.0200 | 2.1981 |
| 2009 | 0.2171 | 0.1481 | 0.3652 | 1.3324 | 0.4683 | 0.1154 | 2.2813 |
| 2010 | 0.2491 | 0.1475 | 0.3966 | 1.3800 | 0.4900 | 0.1146 | 2.3812 |
| 2011 | 0.2665 | 0.1508 | 0.4173 | 1.3800 | 0.4900 | 0.1151 | 2.4024 |
| 2012 | 0.2751 | 0.1481 | 0.4232 | 1.3350 | 0.4877 | 0.1148 | 2.3607 |

Source: Williamson County Tax Office

Principal Property Tax Payers**Current Year and Nine Years Ago**

| Name of Taxpayer | 2012 | | | 2003 | | |
|-------------------------------------|------------------------|------|-----------------------------------|------------------------|------|-----------------------------------|
| | Net Assessed Valuation | Rank | % of Total Net Assessed Valuation | Net Assessed Valuation | Rank | % of Total Net Assessed Valuation |
| Dell Computer Holdings, LP | \$155,280,931 | 1 | 1.918 % | \$211,653,232 | 1 | 4.184% |
| CPG Round Rock, LP | 130,431,679 | 2 | 1.611 | - | | - |
| Baltgem Development Corp. | 75,531,830 | 3 | 0.933 | - | | - |
| Columbia/St. David Healthcare | 61,513,369 | 4 | 0.760 | - | | - |
| Dell Computer Corp. | 57,801,317 | 5 | 0.714 | 61,552,844 | 3 | 1.217 |
| CMF 15 Portfolio, LLC | 43,928,400 | 6 | 0.543 | - | | - |
| Inland American University Oaks, LP | 39,479,777 | 7 | 0.488 | - | | - |
| Oncor Electric Delivery Co. | 39,320,400 | 8 | 0.486 | 34,207,020 | 5 | 0.676 |
| Round Rock Luxury Apartments. LTD | 32,000,000 | 9 | 0.395 | - | | - |
| FST La Frontera, LLC | 29,914,916 | 10 | 0.369 | - | | - |
| DDR DB Development Ventures | - | | - | 65,675,000 | 2 | 1.298 |
| DuPont Photo Mask | - | | - | 53,246,169 | 4 | 1.052 |
| Limestone Ranch, LLP | - | | - | 30,712,160 | 6 | 0.607 |
| Southwestern Bell Telephone | - | | - | 26,284,760 | 7 | 0.520 |
| La Frontera Multi-Family | - | | - | 22,227,016 | 8 | 0.439 |
| Lodge at Warner Ranch. LP | - | | - | 22,220,333 | 9 | 0.439 |
| Sysco Food Services, Inc. | - | | - | 21,790,617 | 10 | 0.431 |
| | 665,202,619 | | 8.217 % | 549,569,151 | | 10.863% |

Source: Williamson Central Appraisal District

Tax Information and Levy

Property Tax Levies & Collections

Property Tax Levies and Collections

Last Ten Years

| Fiscal Year | Taxes Levied for the Fiscal Year | Collected within the Fiscal Year of the Levy | | Collections in Subsequent Years | Total Collections to Date | |
|-------------|----------------------------------|--|--------------------|---------------------------------|---------------------------|--------------------|
| | | Amount | Percentage Of Levy | | Amount | Percentage Of Levy |
| | \$ | \$ | % | \$ | \$ | % |
| 2003 | 17,293,085 | 17,129,231 | 99.05 | 149,736 | 17,278,967 | 99.92 |
| 2004 | 18,305,148 | 18,117,224 | 98.97 | 167,256 | 18,284,480 | 99.89 |
| 2005 | 20,296,494 | 20,103,093 | 99.05 | 177,297 | 20,280,390 | 99.92 |
| 2006 | 21,432,170 | 21,260,880 | 99.20 | 157,504 | 21,418,384 | 99.94 |
| 2007 | 24,021,447 | 23,862,022 | 99.34 | 145,027 | 24,007,049 | 99.94 |
| 2008 | 27,217,540 | 27,194,031 | 99.91 | 5,510 | 27,199,541 | 99.93 |
| 2009 | 29,907,316 | 29,745,017 | 99.46 | 131,859 | 29,876,876 | 99.90 |
| 2010 | 32,966,325 | 32,822,350 | 99.56 | 105,357 | 32,927,707 | 99.88 |
| 2011 | 33,449,316 | 33,330,239 | 99.64 | 73,706 | 33,403,945 | 99.86 |
| 2012 | 34,265,868 | 34,161,567 | 99.70 | - | 34,161,567 | 99.70 |

Personnel Summary

- Authorized Personnel by Fund
- Pay & Classification by Range
- Pay & Classification - Public Safety



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.

Authorized Personnel

| | Positions | | | Full Time Equivalents | | |
|--|------------|------------|------------|-----------------------|---------------|---------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12 | 2012-13 | 2013-14 |
| | Actual | Approved | Proposed | Actual | Approved | Proposed |
| General Fund | | | | | | |
| Administration ¹ | 13 | 14 | 14 | 13.00 | 14.00 | 14.00 |
| Finance ² | 45 | 43 | 43 | 43.00 | 41.00 | 41.00 |
| Fire ¹ | 129 | 130 | 137 | 129.00 | 130.00 | 137.00 |
| Fiscal Support Services | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| General Services ¹ | 28 | 34 | 38 | 28.00 | 32.50 | 36.50 |
| Human Resources | 11 | 11 | 11 | 10.75 | 10.75 | 10.75 |
| Information Technology | 22 | 22 | 22 | 22.00 | 22.00 | 22.00 |
| Legal Services | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| Library ² | 38 | 37 | 38 | 30.50 | 29.50 | 30.50 |
| Parks & Recreation ² | 107 | 103 | 103 | 93.75 | 91.25 | 91.25 |
| Planning & Development Services ² | 40 | 34 | 34 | 39.75 | 33.75 | 33.75 |
| Police ^{1,3} | 221 | 224 | 223 | 218.50 | 221.00 | 221.00 |
| Recycling Services ⁴ | 3 | 3 | 3 | 2.75 | 2.75 | 2.75 |
| Transportation ² | 47 | 52 | 52 | 47.00 | 52.00 | 52.00 |
| Total | 704 | 707 | 718 | 678.00 | 680.50 | 692.50 |

FTE change from FY13 to FY14: 12.00

¹ New Program FY13

² Reorganization of positions FY13

³ Position decrease due to combining 2 part time positions into 1 full-time position FY14

⁴ New department created from division of Transportation FY13

| | Positions | | | Full Time Equivalents | | |
|----------------------|-----------|-----------|-----------|-----------------------|--------------|--------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12 | 2012-13 | 2013-14 |
| | Actual | Adopted | Adopted | Actual | Adopted | Adopted |
| Drainage Fund | | | | | | |
| Drainage * | 17 | 18 | 18 | 17.00 | 18.00 | 18.00 |
| Total | 17 | 18 | 18 | 17.00 | 18.00 | 18.00 |

* Reorganization of positions FY13

FTE change from FY13 to FY14: 0.00

Personnel Schedules

Authorized Personnel by Fund

Authorized Personnel

| | Positions | | | Full Time Equivalents | | |
|------------------------------------|------------|------------|------------|-----------------------|---------------|---------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12 | 2012-13 | 2013-14 |
| | Actual | Adopted | Adopted | Actual | Adopted | Adopted |
| Utility Fund | | | | | | |
| Utilities - Administration * | 11 | 10 | 10 | 11.00 | 10.00 | 10.00 |
| Utilities - Billings & Collections | 16 | 16 | 17 | 15.00 | 15.00 | 15.75 |
| Utilities - Environmental Services | 6 | 6 | 6 | 6.00 | 6.00 | 6.00 |
| Utilities - Transfers | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| Wastewater Line Maintenance | 26 | 26 | 26 | 26.00 | 26.00 | 26.00 |
| Wastewater Systems Support | 4 | 4 | 4 | 4.00 | 4.00 | 4.00 |
| Wastewater Treatment Plant | 0 | 0 | 0 | 0.00 | 0.00 | 0.00 |
| Water Line Maintenance | 32 | 32 | 32 | 32.00 | 32.00 | 32.00 |
| Water Systems Support | 19 | 19 | 19 | 19.00 | 19.00 | 19.00 |
| Water Treatment Plant | 14 | 14 | 15 | 14.00 | 14.00 | 15.00 |
| Total | 128 | 127 | 129 | 127.00 | 126.00 | 127.75 |

* Reorganization of positions FY13

FTE change from FY13 to FY14: 1.75

| | Positions | | | Full Time Equivalents | | |
|---------------------------------|-----------|----------|-----------|-----------------------|-------------|--------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12 | 2012-13 | 2013-14 |
| | Actual | Adopted | Adopted | Actual | Adopted | Adopted |
| HOT Funds | | | | | | |
| Convention & Visitors Bureau | 3 | 3 | 3 | 3.00 | 3.00 | 3.00 |
| Sports Venue ^{*,1,^,*} | 1 | 4 | 11 | 1.00 | 4.00 | 9.00 |
| Total | 4 | 7 | 14 | 4.00 | 7.00 | 12.00 |

[†] New fund approved by voters November 2011

¹ Sports Center Director added mid-year FY12

[^] Positions added during mid-year revision FY13

⁺ Staffing for venue opening FY14

FTE change from FY13 to FY14: 5.00

Authorized Personnel

| | Positions | | | Full Time Equivalents | | |
|-------------------------------------|------------|------------|------------|-----------------------|---------------|---------------|
| | 2011-12 | 2012-13 | 2013-14 | 2011-12 | 2012-13 | 2013-14 |
| | Actual | Adopted | Adopted | Actual | Adopted | Adopted |
| Authorized Personnel Summary | | | | | | |
| General Fund | 704 | 707 | 718 | 678.00 | 680.50 | 692.50 |
| Drainage Fund | 17 | 18 | 18 | 17.00 | 18.00 | 18.00 |
| Utility Fund | 128 | 127 | 129 | 127.00 | 126.00 | 127.75 |
| Hotel Occupancy Tax Fund | 4 | 7 | 14 | 4.00 | 7.00 | 12.00 |
| Total | 853 | 859 | 879 | 826.00 | 831.50 | 850.25 |

FTE change from FY13 to FY14:

18.75

Personnel Schedules

Pay & Classification by Grade

Grade & Pay

| Grade | Pay Cycle | Pay Range | |
|-------------------|-----------|-----------|-----------|
| | | Min | Max |
| Executive | | | |
| EX | Annual | \$0 | \$205,920 |
| Exempt | | | |
| 104 | Annual | \$36,198 | \$54,298 |
| 105 | Annual | \$38,008 | \$57,012 |
| 106 | Annual | \$39,909 | \$59,863 |
| 107 | Annual | \$42,303 | \$63,455 |
| 108 | Annual | \$44,841 | \$67,262 |
| 109 | Annual | \$47,532 | \$71,298 |
| 110 | Annual | \$50,384 | \$75,576 |
| 111 | Annual | \$53,407 | \$80,110 |
| 112 | Annual | \$57,145 | \$85,718 |
| 113 | Annual | \$64,003 | \$96,004 |
| 114 | Annual | \$75,523 | \$113,285 |
| Non-Exempt | | | |
| 201 | Annual | \$22,880 | \$34,320 |
| 202 | Annual | \$23,795 | \$35,693 |
| 203 | Annual | \$24,747 | \$37,121 |
| 204 | Annual | \$25,737 | \$38,605 |
| 205 | Annual | \$27,024 | \$40,536 |
| 206 | Annual | \$28,375 | \$42,562 |
| 207 | Annual | \$29,794 | \$44,690 |
| 208 | Annual | \$31,283 | \$46,925 |
| 209 | Annual | \$33,160 | \$49,741 |
| 210 | Annual | \$35,150 | \$52,725 |
| 211 | Annual | \$37,610 | \$56,416 |
| 212 | Annual | \$40,619 | \$60,929 |
| 213 | Annual | \$44,275 | \$66,413 |
| 214 | Annual | \$48,260 | \$72,390 |

Civil Service Pay Structure

Police Department

| | | |
|------------|-----------|------------|
| Officer | \$ 47,670 | \$ 70,947 |
| Sergeant | \$ 66,648 | \$ 84,965 |
| Lieutenant | \$ 81,137 | \$ 98,161 |
| Captain | \$ 93,071 | \$ 113,453 |

Fire Department

| | | |
|-----------------|-----------|-----------|
| Firefighter | \$ 41,276 | \$ 63,252 |
| Driver | \$ 54,815 | \$ 69,581 |
| Lieutenant | \$ 62,119 | \$ 76,539 |
| Captain | \$ 70,400 | \$ 84,189 |
| Battalion Chief | \$ 82,040 | \$ 95,217 |

Shift Firefighters are annualized at 2912 hours.

Non-shift Firefighters are annualized at 2080 hours.



Strategic Plan

City of Round Rock Strategic Plan
Strategic Plan Measures



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.

Strategic Planning of the City

The City views its planning and operations in a strategic manner. This planning approach involves making assumptions about the community's needs for the next ten years. Planning for the Water/Wastewater Utility extends to twenty years for infrastructure needs and well beyond thirty years for water reserves. These needs are identified through citizen and customer surveys, open house forums, research into technological innovation, demographic trend analysis, and observation of other factors affecting the community.

The Round Rock City Council recently reviewed and updated the strategic plan which is designed to help achieve the City's long-range vision. Each year the Council will review the vision and recommit to a five year strategic plan. The Plan identifies the City's underlying principles on which decisions should be based and includes specific goals to be achieved. Each goal is further defined by a set of objectives and short term actions to be taken to achieve these associated goals.

The Plan was developed to reinforce long term planning for both day to day operations and capital projects. The City Council enlisted staff assistance via department directors in developing the plan. This team approach is critical to success as it allows staff to better understand the City Council's goals and vision for the City. In turn, staff can more successfully plan City projects and budgets to achieve the shared vision.

2013-2027 Goals

- Financially Sound City Providing High Value Services
- City Infrastructure Water: Today and for Tomorrow
- Great Community to Live
- "The Sports Capital of Texas" for Tourism and Residents
- Authentic Downtown – Exciting Community Destination
- Sustainable Neighborhoods – Old and New

Performance Measurement

The City believes that it is important to not only plan for and provide adequate levels of quality service, but to also provide a means of measuring and reporting the results of our efforts. As more information is provided about how we are performing, the City Council, the public, the staff and others can determine the value of programs and opportunities for improvements.

This document attempts to provide basic measurement data regarding changes in funding for the various departments. The manner in which this measurement data is presented is a standard bar chart reflecting changes in costs and comparative expenditures per capita for the current and most recent fiscal years. The mission of every department incorporates a variety of complex operations, making it difficult to provide a single or even a few statistical measures. However, the per capita funding comparisons provide a broad view of changes in level of service for the departments.

With regard to the fiscal year ending on September 30, 2013, the City anticipates another solid performance in terms of financial condition and quality of service provided. Financial policies will be met and the City's strong financial position will be maintained. Substantial progress has been made toward goals set last year by the City and its departments and levels of service have continued to meet the demands of a growing community.

Strategic Plan 2012 - 2027

In 2012, the City Council and City management reviewed and adopted a new multi-year strategic plan for the City. This new plan includes goals to be accomplished and guidelines to be followed to reach the vision for the City by 2027.

The vision outlined for 2027 is for Round Rock to be:

- A Family-Friendly Community that is Safe and Distinctive by Design
- The Sports Capital and Major Medical and Educational Destination
- An Authentic Downtown and choice of Great Neighborhoods

For each of these components of the vision, these are the Guiding Principles identified for each.

A. Family-Friendly Community

- Sense of community identity and pride
- Strong community events, festivals and activities
- Top quality schools and educational programs
- Strong presence of faith institutions
- Strong cultural arts: residents engaged or participating in programs and activities

B. Safe

- Recognition as the safest community in the U.S.A.
- No tolerance for criminal activities
- Low crime rate: Part I and Part II
- Timely response to emergency calls for service
- No visual blight – attractive and safe appearance

C. Distinctive By Design

- Distinctive gateways and entrances – “You know that you are entering Round Rock”
- City facilities and landscaping reflective of local character
- New developments and major buildings designed for attractiveness
- Preservation of Round Rock’s historic character
- Native water-wise landscaping in public and private areas

D. The Sports Capital

- Round Rock expanded brand as: “The Sports Capital”
- First class sports facilities and fields: state of the art and well-maintained
- Balance field facilities use: recreation, tournaments, tourism
- Multi-use fields and facilities for practice and games
- Attraction of regional and national tournaments

E. Major Medical And Educational Destination

- World class hospitals and medical facilities
- Medical research, biotech, and technology businesses
- Attraction of medical related businesses, including small scale trial manufacturing and medical tech manufacturing
- Technology incubator supporting opportunities to start and grow a business
- Expanded educational institutions: Austin Community College, Texas A & M Medical School, Texas State, Trade and Technical Educational Schools

F. Authentic Downtown

- Reputation as an exciting, “trendy” place to go and hang out
- Variety of entertainment venues and activities, restaurants, bars and live music

- Public trail access and use of Brushy Creek
- River walk with public spaces and commercial businesses
- Preservation of historic buildings and character

G. Choice Of Great Neighborhoods

- Range of housing choices: town homes, patio homes, upscale homes, and starter homes
- Easy access to open spaces, neighborhoods, recreation and leisure facilities and activities
- Well-maintained modernized housing stock
- High-end housing in executive neighborhoods
- Active home-owner associations and organizations for socializing and sharing responsibility for the neighborhood

Goals have been established and prioritized through 2018 with target areas assigned to department directors. These goals and objectives are reviewed annually to evaluate accomplishments and modify as necessary. This annual review will allow for changes to happen in a timely manner and as needed in order to ensure that they are still valid and meaningful.

Each of the target areas are classified by the actions required to accomplish the task, along with a priority level. The classifications are Policy and Management. Policy requires direction from the City Manager and/or City Council and might need department involvement for information. The Management classification requires action from the department level and will have a set deliverables.

Strategic Plan Measures

Policy Agenda - Top Priority Projects

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|---|--|--------|------------------|---------------|-----------|---------------|-----------|
| Financial Management Policy Review | | Goal 1 | Finance | | | | |
| Milestones: | Council Presentation | | | 8/13 | X | | |
| | Council: Decision | | | 8/13 | X | | |
| | Link Public Education to Bond Package | | | 8/13 - 11/13 | X | | |
| Status: | <i>Council discussion during budget retreat.</i> | | | | | | |
| Round Rock Sports Center: Operation Plan | | Goal 4 | General Services | | | | |
| Milestones: | Council Decision: Management | | | 5/13 | X | | |
| | Fee Structure: Proposal | | | 6/13 | X | | |
| | Council Decision: Fee Structure | | | 6/13 | X | | |
| | Opening Ceremony | | | 1/14 | | | |
| Status: | <i>An RFP was advertised for the overall management of the RRSC. After a review of the proposals, staff recommended that the City run and operate the RRSC instead of a third party operator. In May, staff outlined the fees and FTE's required to manage the facility and the overall budget. The fees are market driven and are priced in the middle to the top-half as compared to similar facilities around the area.</i> | | | | | | |
| Bond Package | | Goal 1 | Finance | | | | |
| Milestones: | Committee Formation | | | 3/13 | X | | |
| | Project List: Review | | | 3/13 | X | | |
| | Committee Recommendation | | | 6/13 | X | | |
| | Council Decision: Bond Package | | | 8/13 - 11/13 | X | | |
| | Public Information | | | 8/13 | X | | |
| | Election | | | 11/13 | | | |
| Status: | <i>Council discussion during budget retreat; election must be called before August 26</i> | | | | | | |

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|---|--|--------|----------------|---------------|-----------|---------------|-----------|
| Creek Bend Extension | | Goal 2 | Transportation | | | | |
| Milestones: | Complete Engineering Investigation | | | 6/13 | | | |
| Status: | Council Decision: Direction & Funding <i>Project is pending due to additional GEO investigation; plans are 90% complete.</i> | | | 6/13 | | | |
| New University Buildings (Texas A&M and Texas State) | | | | | | | |
| Milestones | Lobby for Funding | Goal 5 | Mayor | Ongoing | | | |
| Status | <i>Funding not appropriated during regular or special legislative sessions. Continue to work with legislators for the upcoming 84th Legislative Session in 2015.</i> | | | | | | |
| Economic Development: Executed Deals and Agreements | | Goal 5 | City Manager | | | | |
| Milestones | Waiting Prospective Announcements | | | Ongoing | | | |
| | Finalize Development Agreement | | | Ongoing | | | |
| Status | Council Decision: Development Agreement <i>Continue to approve tax abatement and 380 agreements for several companies including ClearCorrect and Gypsum</i> | | | Ongoing | | | |

Policy Agenda - High Priority Projects

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|--|--|--------|------------|---------------|-----------|---------------|-----------|
| Brushy Creek Regional Utility Authority - Phase 2 | | Goal 2 | Utility | | | | |
| Milestones: | Purchase Deep Water Intake Site Begin Preliminary Engineering for Water Line Route Analysis | | | 12/13 | | | |
| Status: | <i>Council Presentation scheduled for August 22; Completed Chapter 26 Public Hearing on July 17th in order to acquire existing Travis County parkland (Site 8) for use as a future BCRUA pump station. Appraisal of this property is underway and is scheduled to be completed by August 15th. BCRUA and respective cities to approve fee simple purchase offer prior to LCRA Board meeting on September 18th. Offer accepted and close on the property anticipated by October 30th. Conducting appraisal of Deep Water Intake (Site 4) and anticipating purchase of site by March 2014. If condemnation is necessary, purchase site by July 2014.</i> | | | | | 10/14 | |
| Heritage Trail Land Acquisition | | Goal 3 | PARD | | | | |
| Milestones: | Council Presentation: Scope and Phasing | | | 6/13 | X | | |
| | Council Decision: Funding (Bond Package) | | | 8/13 | X | | |
| Status: | <i>This project is included as part of the bond package; Council discussion scheduled during budget retreat in August.</i> | | | | | | |
| Bathing Beach Park Comprehensive Plan | | | PARD | | | | |
| Milestones: | Council Decision: Property Acquisition (Historic Cantina) | | | 4/13 | X | | |
| | Council Presentation: Concept Plan | | | 6/13 | X | | |
| | Council Decision: Plan | | | 6/13 | X | | |
| Status: | Council Decision: Bond Package <i>Open House scheduled for August 12, 2013</i> | | | 8/13 | X | | |

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|---|--|--------|--------------------|---------------|-----------|---------------|-----------|
| Arterial H / Sam Bass Road / 1431 Corridor | Coordinate Regional Efforts - New Hope, Utility Line, Transportation | Goal 2 | Trans/Utility/Plng | 5/13 | X | | |
| Milestones: | Work with County for Bond Funding | | | | | 1/14 | |
| Status: | <i>Acquired necessary ROW east of CR 175; no plans for west of CR 175 anticipating that Williamson County plans to build the road as part of the County bond package. Utilities have attempted to acquire water line that extends from RM 1431 northward on CR 175 from Williamson County. This will help to provide a looped system to Palmer Tract located along Arterial H. Future water line to be connected to Segment 3 treated water line coming from BCRUA treatment plant will be built that extends along the future Arterial H roadway.</i> | | | | | | |
| Southeast Downtown Infrastructure | | Goal 3 | Transportation | | | | |
| Milestones | Define Engineering Scope | | | 4/13 | X | | |
| | RFP Master Plan | | | 8/13 | X | | |
| | Council Decision: Master Plan & Funding | | | 9/13 | | | |
| Status: | <i>Proposed plan was provided to the Council earlier this year; pending funding decisions and direction before moving to hard plan design.</i> | | | | | | |
| Vision Master Plan for Brushy Creek | | Goal 5 | PARD | | | | |
| Milestones | Review Current Plans | | | 5/13 | X | | |
| | Develop Conceptual Plan/Vision | | | 7/13 | X | | |
| | Council Presentation: Direction & Bond Package | | | 8/13 | X | | |
| Status: | <i>This project is included as part of the bond package; Council discussion scheduled during budget retreat in August.</i> | | | | | | |

Strategic Plan

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|--|---|--------|------------|---------------|-----------|---------------|-----------|
| Freeman Tract Development (Upscale Homes) | | Goal 5 | Planning | | | | |
| Milestones | Working with Builder | | | 8/13 | X | | |
| | Complete PUD with Agreement | | | 9/13 | X | | |
| | Council Decision: PUD, Development Agreement (Road and Trail) | | | 9/13 | X | | |
| Status: | <i>COMPLETE - PUD Approved & Development Agreement Executed</i> | | | | | | |

Management Agenda - Top Priority Projects

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|--|--|--------|------------|---------------|-----------|---------------|-----------|
| Development Services and Processes Review and Report | | Goal 1 | Planning | | | | |
| Milestones | DSO Manager: Hiring | | | 9/13 | X | | |
| | Council Presentation | | | 11/13 | | | |
| Status: | Implement Changes <i>Aniel Naik hired as DSO Manager; Council presentation scheduled for November 2013.</i> | | | | | | |
| Library Master Plan | | Goal 1 | Library | | | | |
| Milestones: | Explore Options for Library | | | 5/13 | X | | |
| | Complete Master Plan | | | 5/13 | X | | |
| | Council Presentation: Final Report | | | 6/13 | X | | |
| Status: | Council Decision: Project <i>Master Plan is complete as of July 2013.</i> | | | | | | |
| Brushy Creek Trail System ROW Acquisition (Kenney Fort to Rabb House) | | Goal 3 | PARD | | | | |
| Milestones | Condemnation Completion | | | 5/13 | X | | |
| | Council: Award Construction Contract | | | 7/13 | | | |
| Status: | <i>Possession and Use Agreement approved by Council in June 2013.</i> | | | | | | |

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|---------------------------------|--|---------------|-------------------------------------|---------------|-----------|---------------|-----------|
| Parking Lots Development | | <i>Goal 3</i> | Transportation/ General Services | | | | |
| Milestones | Demolish Buildings (3) Construction Temporary Parking Lots | | | 4/13 | X | | |
| | - 304 Bagdad | | | | | | X |
| | - Liberty | | | | | | |
| Status: | Permanent Design <i>Waiting on TCEQ guidance for Liberty parking lot. The current plan is to bid the construction before the end of the year.</i> | | | 13-Dec | | | |

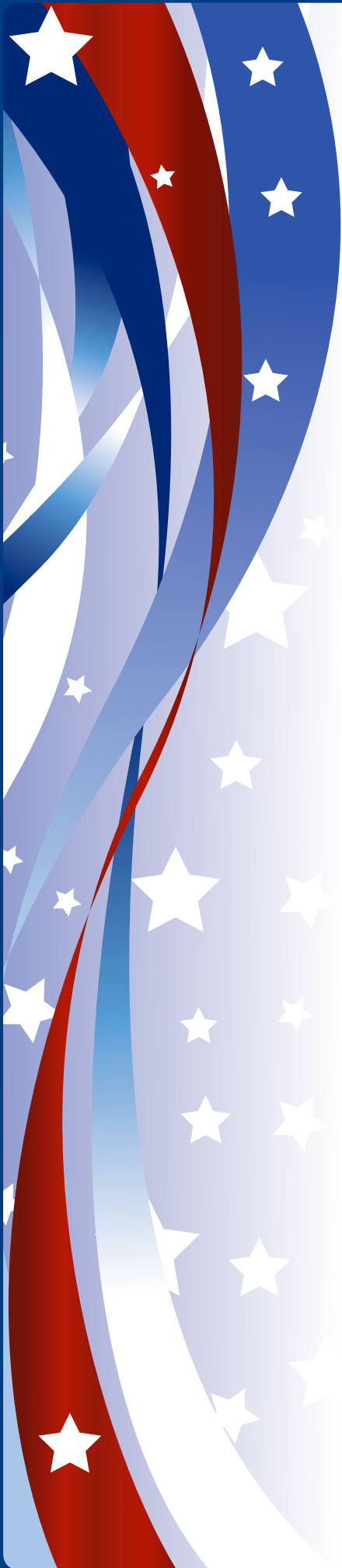
| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|--|--|--------|------------|---------------|-----------|---------------|-----------|
| Neighborhood Revitalization Program | | Goal 6 | ACM | | | | |
| Milestones: | A. Mesa Ridge | | | | | | |
| | 1. Complete LMI Survey | | | 5/13 | X | | |
| | 2. Create Neighborhood Association | | | 10/13 | X | | |
| | 3. Develop Plan for Projects | | | 12/13 | | | |
| | B. Future Neighborhoods | | | | | | |
| | 1. Identify Future LMI Surveys | | | 6/13 | X | | |
| | 2. Complete Survey | | | 12/13 | | | |
| | C. Neighborhood Associations Conference | | | 8/13 | X | | |
| Status: | <p><i>An LMI Survey was conducted however; the results were inconclusive due to the fact that while many residents sent back the surveys most did so without filling out all of the necessary information. The Mesa Ridge Neighborhood formed their association on December 2012. Mesa Ridge has already begun planning and implementing their new programs under the leadership of Mr. Ed Harding. These plans include forming their neighborhood watch and holding a "get to know your neighbor" block party. Other plans include the creation of neighborhood entry features and replacing the sign on Provident and US 79. The next neighborhood targeted for the LMI survey is the Chisholm Valley area. The first annual Neighborhood Conference is scheduled for Saturday, October 5 at the United Heritage Center.</i></p> | | | | | | |

Management Agenda - High Priority Projects

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|--|---|--------|------------------|---------------|-----------|---------------|-----------|
| Development of Facility Maintenance Long Range Plan | | Goal 1 | General Services | | | | |
| Milestones: | Council Budget Decision: Staffing | | | 8/13 | | | |
| | Complete 20-Year Plan | | | 10/13 | | | |
| | Council Presentation | | | | | 1/14 | |
| Status: | Council Budget Decision: Staffing <i>Currently working on the contract with Texas Association of School Boards for our Facility Assessment in order to complete the 20-year plan.</i> | | | | | 8/14 | |
| Fire Staffing Model/ICMA Report | | Goal 1 | Fire | | | | |
| Milestones: | Council Presentation: Report | | | 3/13 | X | | |
| | Council Decision: Overall Direction EMS Facilities (Bond Package) | | | 8/13 | | | |
| Status: | <i>The overall direction from Council was to integrate with WilCo EMS to determine what response programs should be followed related to what the RRFD will respond to and what WilCo EMS will respond to. We are currently conducting testing of tiered response model throughout Williamson County and will use that feedback to make a final response matrix.</i> | | | | | | |
| Road Infrastructure Plan for Northeast Round Rock | | Goal 2 | Transportation | | | | |
| Milestones: | Participate County Master Plan | | | 12/13 | | | |
| | Council Presentation: Plan Review | | | 12/13 | | | |
| Status: | <i>Continue to coordinate with Williamson County and private developers; County's proposed bond program will address this need.</i> | | | | | | |

Strategic Plan

| Target Area | Action | Goal | Department | 2013 Due Date | 2013 Done | 2014 Due Date | 2014 Done |
|---|--|--------|----------------|---------------|-----------|---------------|-----------|
| Utility Infrastructure Plan for Northeast Round Rock | | Goal 2 | Utility | | | | |
| Milestones: | Contract with Engineering Firms for Design Work | | | 5/13 | | | |
| Status: | Complete Design and Easement Acquisition | | | | | 4/14 | |
| | <i>Currently have several design contracts underway to serve the Northeast with utilities. The projects include: a water line from AW Grimes extending eastward on University Blvd. (CP&Y); Arterial A best-fit analysis for roadway alignment (HDR); water line from CR112 extending northward to University Blvd. (LAN); wastewater line through the north end of Paloma Lake MUD (Randall Jones Eng.); and a wastewater line extending northward from CR112 to University Blvd. (K.Friese). In addition, several projects are underway to extend reuse water to the northeast. These include: Phase 2 reuse line that extends northward from Old Settlers Park to AW Grimes and CR112; Phase 4A reuse line along College Park; and an Elevated Storage Tank near the HEC. These projects are anticipated to be complete in Summer 2014.</i> | | | | | | |
| Wayfinding Signage (Citywide) | | Goal 4 | Transportation | | | | |
| Milestones: | Proposal/ Design | | | 6/13 | X | | |
| | Council Presentation: | | | 7/13 | X | | |
| | Recommendation | | | 8/13 | | | |
| Status: | Council Decision: Direction & Funding | | | | | | |
| | <i>Transportation and CVB are working together to define funding opportunities.</i> | | | | | | |



Financial Statistics

Statistics as of September 30, 2012



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.



Financial Statistics

Financial Statistics

Fund Balances of Governmental Funds

Fund Balance of Governmental Funds

Last Ten Years

(Modified Accrual Basis of Accounting)

| | 2003 | 2004 | 2005 | 2006 |
|---------------------------------|----------------------|----------------------|-----------------------|----------------------|
| Non-spendable- | | | | |
| Inventories, prepaids and other | \$146,600 | \$123,200 | \$100,000 | \$0 |
| Restricted for- | | | | |
| Public safety | 241,226 | 291,713 | 520,145 | 697,587 |
| Debt service | 2,304,816 | 2,338,493 | 2,600,354 | 3,200,508 |
| Authorized construction | 75,659,215 | 89,938,067 | 79,063,821 | 71,499,038 |
| Hotel-motel tax | 2,014,840 | 2,377,007 | 2,638,467 | 3,566,831 |
| Community development | 19,625 | 19,181 | 19,181 | 19,181 |
| Committed to- | | | | |
| Authorized construction | 16,086,663 | 16,511,045 | 23,915,700 | 29,813,838 |
| Drainage | 0 | 0 | 0 | 0 |
| Public education | 9,434 | 0 | 0 | 0 |
| Landscape improvements | 22,825 | 28,125 | 33,128 | 66,802 |
| Annexation fees | 428,435 | 536,461 | 1,007,685 | 60,335 |
| Library | 13,208 | 14,127 | 14,551 | 14,269 |
| Unassigned | 25,204,136 | 26,759,957 | 28,705,017 | 32,472,684 |
| Total governmental funds | \$122,151,023 | \$138,937,376 | \$ 138,618,049 | \$141,411,073 |

| 2007 | 2008 | 2009 | 2010 | 2011 | 2012 |
|---------------|---------------|---------------|---------------|---------------|---------------|
| \$0 | \$0 | \$0 | \$0 | \$288,758 | \$321,044 |
| 564,391 | 521,354 | 497,243 | 862,273 | 1,024,405 | 2,301,340 |
| 3,058,395 | 3,431,063 | 2,887,281 | 1,944,761 | 2,238,270 | 83,684,508 |
| 115,324,128 | 106,064,681 | 91,521,069 | 90,105,139 | 90,281,425 | 8,927,441 |
| 4,457,721 | 6,375,196 | 7,005,856 | 7,314,509 | 8,114,729 | 937,105 |
| 19,181 | 0 | 0 | 0 | 0 | 0 |
| 32,755,858 | 35,210,559 | 32,584,099 | 35,739,591 | 37,731,396 | 37,645,374 |
| 0 | 0 | 0 | 0 | 573,809 | 1,179,777 |
| 0 | 0 | 0 | 0 | 862,066 | 872,484 |
| 388,111 | 788,440 | 574,093 | 571,705 | 542,779 | 248,771 |
| 62,340 | 158,190 | 158,886 | 158,990 | 39,163 | 39,190 |
| 14,815 | 15,164 | 14,302 | 11,176 | 11,239 | 6,625 |
| 34,340,001 | 40,685,364 | 39,497,430 | 40,287,116 | 40,253,360 | 40,926,887 |
| \$190,984,941 | \$193,250,011 | \$174,740,259 | \$176,995,260 | \$181,961,399 | \$177,090,546 |

Financial Statistics

Taxable Sales by Category

Taxable Sales by Category

Last Ten Calendar Years

| Year | Retail Trade | Wholesale Trade | Services | Manufacturing | Utilities |
|------|-------------------------|-------------------------|------------------------|----------------------|----------------------|
| 2002 | \$1,002,120,502 | \$ 719,007,415 | \$216,666,201 | \$ 22,555,006 | \$33,689,361 |
| 2003 | 1,085,691,925 | 876,997,596 | 229,692,253 | 13,208,185 | 24,017,807 |
| 2004 | 1,125,319,697 | 924,947,365 | 245,301,178 | 19,188,881 | 13,748,708 |
| 2005 | 1,022,046,302 | 1,164,889,028 | 271,930,962 | 23,056,605 | 13,835,732 |
| 2006 | 1,204,017,407 | 1,286,347,769 | 284,232,565 | 20,117,751 | 15,736,435 |
| 2007 | 1,145,110,919 | 1,591,303,790 | 304,759,747 | 27,335,653 | 18,904,449 |
| 2008 | 1,169,341,432 | 1,437,462,827 | 331,204,107 | 20,237,658 | 16,645,969 |
| 2009 | 1,103,261,058 | 1,228,096,597 | 323,957,885 | 18,637,403 | 16,313,752 |
| 2010 | 1,076,705,717 | 1,364,186,925 | 331,286,488 | 20,916,060 | 17,788,882 |
| 2011 | 1,105,890,841 | 1,364,773,284 | 345,856,874 | 20,631,380 | 20,631,380 |
| | <u>\$11,039,505,800</u> | <u>\$11,958,012,596</u> | <u>\$2,884,888,260</u> | <u>\$205,884,582</u> | <u>\$191,312,475</u> |

Source: Texas Comptroller of Public Accounts

Note: Sales information is not available on a fiscal year basis.

Due to State of Texas confidentiality issues, names of sales tax payers are not available. The categories presented provide alternative information indicative of sales tax revenue sources.

| Construction | Finance, Insurance | Other | Total | City Direct Sales Tax Rate | State Sales Tax Rate | Overlapping |
|-----------------------|-----------------------|-----------------------|-------------------------|----------------------------------|----------------------------|-------------|
| \$13,971,691 | \$3,994,116 | \$1,247,650 | \$2,013,251,942 | 2.0% | 6.25% | 8.25% |
| 16,269,980 | 1,074,384 | 4,100,156 | 2,251,052,286 | 2.0% | 6.25% | 8.25% |
| 16,760,649 | 1,189,215 | 2,977,318 | 2,349,433,011 | 2.0% | 6.25% | 8.25% |
| 26,144,588 | 1,323,272 | 180,746,146 | 2,703,972,635 | 2.0% | 6.25% | 8.25% |
| 26,619,939 | 1,410,360 | 62,544,068 | 2,901,026,294 | 2.0% | 6.25% | 8.25% |
| 43,424,237 | 1,782,201 | 0 | 3,132,620,996 | 2.0% | 6.25% | 8.25% |
| 43,124,472 | 1,674,015 | 0 | 3,019,690,480 | 2.0% | 6.25% | 8.25% |
| 30,415,221 | 2,198,134 | 0 | 2,722,880,050 | 2.0% | 6.25% | 8.25% |
| 46,025,144 | 2,114,837 | 0 | 2,859,024,053 | 2.0% | 6.25% | 8.25% |
| 61,424,806 | 2,996,141 | 0 | 2,922,204,706 | 2.0% | 6.25% | 8.25% |
| <u>\$ 324,180,727</u> | <u>\$ 19,756,675</u> | <u>\$ 251,615,338</u> | <u>\$26,875,156,453</u> | | | |

Financial Statistics

Hotel Taxpayers/H.O.T. Collection History

Hotel Taxpayers September 30, 2012

| Taxpayer | Hotel Motel Occupancy Tax | Hotel Motel Occupancy Venue Tax | Total Collections Year Ended September 30, 2012 | Number of Rooms | % of Total Collections |
|------------------------------|------------------------------|---------------------------------------|--|-----------------------|------------------------------|
| La Quinta Inn | \$106,517 | \$19,794 | \$126,311 | 116 | 3.9% |
| Courtyard by Marriott | 135,226 | 26,056 | 161,282 | 113 | 5.0% |
| Red Roof Inn | 78,697 | 15,336 | 94,033 | 100 | 2.9% |
| Baymont Inn | 93,026 | 16,476 | 109,502 | 86 | 3.4% |
| Best Western Executive Inn | 68,218 | 12,900 | 81,118 | 69 | 2.5% |
| Days Inn & Suites | 46,597 | 8,074 | 54,671 | 48 | 1.7% |
| Round Rock Inn | 31,391 | 6,123 | 37,514 | 59 | 1.2% |
| Extended Stay America # 6030 | 51,405 | 10,072 | 61,477 | 138 | 1.9% |
| Wingate Inn | 121,203 | 8,378 | 129,581 | 100 | 4.0% |
| Holiday Inn Express | 100,705 | 20,145 | 120,850 | 91 | 3.7% |
| Residence Inn | 152,061 | 27,030 | 179,091 | 96 | 5.5% |
| Hilton Garden Inn | 190,738 | 35,675 | 226,413 | 122 | 7.0% |
| Comfort Suites | 57,332 | 10,922 | 68,254 | 63 | 2.1% |
| Springhill Suites | 132,875 | 24,573 | 157,448 | 104 | 4.8% |
| Hampton Inn | 179,401 | 33,996 | 213,397 | 93 | 6.6% |
| Staybridge Suites | 84,593 | 18,016 | 102,609 | 81 | 3.2% |
| Austin Marriott North | 530,486 | 99,444 | 629,930 | 295 | 19.4% |
| Candlewood Suites | 47,305 | 9,812 | 57,117 | 98 | 1.8% |
| Extended Stay America # 6197 | 46,420 | 8,889 | 55,309 | 104 | 1.7% |
| Country Inn & Suites | 46,797 | 9,209 | 56,006 | 63 | 1.7% |
| Value Place | 19,168 | 3,457 | 22,625 | 121 | 0.7% |
| Sleep Inn | 70,788 | 14,552 | 85,340 | 74 | 2.6% |
| Homewood Suites | 228,873 | 43,785 | 272,658 | 115 | 8.4% |
| Holiday Inn | 124,674 | 25,333 | 150,007 | 124 | 5% |
| | \$2,744,496 | \$508,047 | \$3,252,543 | 2,473 | 100% |

Hotel Occupancy Tax Collection History by Quarter
Last Ten Years

| Fiscal Year | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
|--------------------|--------------------|--------------------|--------------------|---------------------|--------------|
| Ended | Oct. – Dec. | Jan. – Mar. | Apr. – June | July – Sept. | |
| 9-30 | | | | | |
| | \$ | \$ | \$ | \$ | \$ |
| 2003 | 401,794 | 367,136 | 410,803 | 439,011 | 1,618,744 |
| 2004 | 406,795 | 352,486 | 414,176 | 476,691 | 1,650,148 |
| 2005 | 448,789 | 401,171 | 476,219 | 578,870 | 1,905,049 |
| 2006 | 521,640 | 459,601 | 578,711 | 708,667 | 2,268,619 |
| 2007 | 594,826 | 695,452 | 729,813 | 818,598 | 2,838,689 |
| 2008 | 669,345 | 749,897 | 806,813 | 713,297 | 2,939,352 |
| 2009 | 609,608 | 589,006 | 640,846 | 554,685 | 2,394,145 |
| 2010 | 504,062 | 582,719 | 661,356 | 543,189 | 2,291,326 |
| 2011 | 684,430 | 678,090 | 716,629 | 604,897 | 2,684,046 |
| 2012 | 610,280 | 835,331 | 1,004,705 | 802,227 | 3,252,543 |

Financial Statistics

Computation of Direct & Overlapping Debt

Computation of Direct and Overlapping Debt

September 30, 2012

| Name of Governmental Unit | Total General Debt Outstanding | | Estimated Percentage Applicable to City of Round Rock | | Estimated Amount Applicable to City of Round Rock |
|--|---|----|--|-----|--|
| Debt repaid with property taxes- | | | | | |
| Round Rock Independent School District | \$705,365,000 | ** | 39.72% | *** | \$ 280,170,978 |
| Williamson County | 763,887,486 | * | 21.89% | *** | 167,214,971 |
| Austin Community College | 89,903,659 | ** | 6.93% | *** | 6,230,324 |
| Georgetown ISD | 209,135,000 | ** | 0.23% | *** | 481,011 |
| Travis County Healthcare District | 15,070,000 | ** | 0.27% | *** | 40,689 |
| Travis County | 614,487,432 | * | 0.27% | *** | 1,659,116 |
| Subtotal, overlapping debt | | | | | 455,797,088 |
| City direct debt | | | | | 176,136,173 |
| Total direct and overlapping debt | | | | | \$ 631,933,261 |

* Gross Debt as of 9-30-12

** Gross Debt as of 10-31-12

*** The percentage of overlapping debt applicable is estimated using taxable assessed property values.

Source: City of Round Rock Finance Department and Texas Municipal Reports

Note: Overlapping governments are those that coincide, at least in part, with the geographic boundaries of the City. This schedule estimates the portion of the outstanding debt of those overlapping governments that is borne by the residents and businesses of the City of Round Rock. This process recognizes that, when considering the government's ability to issue and repay long-term debt, the entire debt burden borne by the residents and businesses should be taken into account. However, this does not imply that every taxpayer is a resident, and therefore responsible for repaying the debt, of each overlapping government.



Financial Statistics

Legal Debt Margin Information

Legal Debt Margin Information

Last Ten Fiscal Years

| | 2003 | 2004 | 2005 | 2006 |
|---|----------------|----------------|----------------|-----------------|
| Debt limit | \$ 906,860,750 | \$ 921,367,824 | \$ 955,638,811 | \$1,034,929,880 |
| Total net debt applicable to limit | 80,541,088 | 97,029,376 | 93,578,032 | 89,029,039 |
| Legal debt margin | \$ 826,319,662 | \$ 824,338,448 | \$ 862,060,779 | \$ 945,900,841 |
| Total net debt applicable to the limit as a percentage of debt limit | 8.88% | 10.53% | 9.79% | 8.60% |

Legal Debt Margin Calculation for Fiscal Year 2012

| | | |
|---|--------------------|-------------------------|
| Total assessed value | | <u>\$ 8,096,658,397</u> |
| Debt limit - Maximum serviceable at permitted allocation of \$1.50 per \$100 of assessed value | | \$ 1,451,372,470 |
| Amount of debt applicable to debt limit: | | |
| Total General Obligation Debt | \$ 113,965,000 | |
| Less: | | |
| Amount available in Debt Service Fund | (2,301,340) | |
| Amounts considered self-supporting | <u>(4,095,000)</u> | |
| Total net debt applicable to debt limit | | <u>107,568,660</u> |
| Legal debt margin | | <u>\$1,343,803,810</u> |

There is no direct debt limitation in the City Charter or under state law. The City operates under a Home Rule Charter (Article XI, Section 5, Texas Constitution), approved by voters in August, 1977, that limits the maximum tax rate, for all City purposes, to \$2.50 per \$100 assessed valuation.

Administratively, the Attorney General of the State of Texas will permit allocation of \$1.50 of the \$2.50 maximum tax rate for general obligation debt service.

Assuming the maximum tax rate for debt service of \$1.50 on January 1, 2011, assessed valuation of \$8,096,658,397 at 100% collection tax revenue of \$121,449,876 would be produced. This revenue could service the debt on \$1,451,372,470 issued as 20-year serial bonds, at 5.50% (with level debt service payment).

| 2007 | 2008 | 2009 | 2010 | 2011 | 2012 |
|------------------|------------------|------------------|------------------|------------------|------------------|
| \$ 1,167,845,500 | \$ 1,345,033,513 | \$ 1,468,905,352 | \$ 1,491,819,433 | \$ 1,437,119,854 | \$ 1,451,372,470 |
| 132,491,172 | 126,786,525 | 122,512,295 | 117,540,239 | 112,406,730 | 107,568,660 |
| \$ 1,035,354,328 | \$ 1,218,246,988 | \$ 1,346,393,057 | \$ 1,374,279,194 | \$ 1,324,713,124 | \$ 1,343,803,810 |
| 11.34% | 9.43% | 8.34% | 7.88% | 7.82% | 7.41% |

Financial Statistics

Schedule of Revenue Bond Coverage

Schedule of Revenue Bond Coverage

Last Ten Fiscal Years

| Fiscal Year | Gross Revenue ⁽¹⁾ | Direct Operating Expenses ⁽²⁾ | Net Revenue Available for Debt Service | Debt Service Requirements Principal and Interest | Revenue Bond Coverage |
|-------------|------------------------------|--|--|--|-----------------------|
| | \$ | \$ | \$ | \$ | |
| 2003 | 24,895,722 | 15,328,369 | 9,567,353 | 1,392,358 | 6.87 |
| 2004 | 25,583,013 | 16,467,400 | 9,115,613 | 1,279,975 | 7.12 |
| 2005 | 28,197,344 | 18,452,453 | 9,744,891 | 1,016,058 | 9.59 |
| 2006 | 32,985,447 | 20,962,289 | 12,023,158 | 284,310 | 42.29 |
| 2007 | 31,921,522 | 21,369,755 | 10,551,767 | 5,966 | 1768.65 |
| 2008 | 36,629,189 | 24,736,819 | 11,892,370 | 153,666 | 77.39 |
| 2009 | 39,095,039 | 27,356,759 | 11,738,280 | 568,400 | 20.65 |
| 2010 | 39,240,390 | 22,243,281 | 16,997,109 | 4,007,882 | 4.24 |
| 2011 | 46,215,387 | 21,880,865 | 24,334,522 | 5,081,298 | 4.79 |
| 2012 | 44,098,633 | 21,274,247 | 22,824,386 | 6,101,113 | 3.74 |

Sources:

⁽¹⁾ Water and Sewer Fund operating and non-operating revenues.

⁽²⁾ Water and Sewer Fund operating expenses, excluding depreciation.

Demographic and Economic Statistics**Last Ten Years**

| Fiscal Year | Population ⁽¹⁾ | Personal Income | Per Capita Income ⁽²⁾ | Median Age ⁽³⁾ | School Enrollment ⁽⁴⁾ | Unemployment Rate ⁽³⁾ |
|--------------------|----------------------------------|------------------------|---|----------------------------------|---|---|
| | | \$ | \$ | | | % |
| 2003 | 77,600 | 2,090,544,000 | 26,940 | 31.2 | 35,579 | 4.7 |
| 2004 | 81,825 | 2,207,556,675 | 26,979 | 30.6 | 36,608 | 3.1 |
| 2005 | 86,000 | 2,423,308,000 | 28,178 | 30.5 | 37,909 | 3.7 |
| 2006 | 89,800 | 2,581,211,200 | 28,744 | 30.6 | 39,112 | 3.3 |
| 2007 | 92,500 | 2,686,755,000 | 29,046 | 31.0 | 40,342 | 3.5 |
| 2008 | 96,200 | 2,831,069,800 | 29,429 | 31.2 | 41,489 | 4.2 |
| 2009 | 99,500 | 2,971,866,000 | 29,868 | 31.2 | 41,867 | 6.4 |
| 2010 | 100,800 | 3,066,033,600 | 30,417 | 31.4 | 42,683 | 6.2 |
| 2011 | 101,500 | 3,122,749,000 | 30,766 | 31.6 | 44,331 | 6.1 |
| 2012 | 102,515 | 3,190,164,285 | 31,119 | 32.0 | 45,000 | 5.9 |

Sources:

⁽¹⁾ Planning Department, City of Round Rock

⁽²⁾ Texas Workforce Commission; data is for Williamson County, which is representative of the City; data for City not available.

⁽³⁾ Round Rock Chamber of Commerce

⁽⁴⁾ Round Rock Independent School District

Financial Statistics

Principal Employers

Principal Employers

Current Year and Nine Years Ago

| Name of Employer | 2012 | | | 2003 | | |
|---|---------------|------|----------------------------|---------------|------|----------------------------|
| | Employees | Rank | % of Total City Employment | Employees | Rank | % of Total City Employment |
| Dell, Inc. | 10,000 | 1 | 19.47 | 9,400 | 1 | 25.32 |
| Round Rock Independent School District | 5,750 | 2 | 11.20 | 4,600 | 2 | 12.39 |
| Sears TeleServe | 1,500 | 3 | 2.92 | 1,100 | 3 | 2.96 |
| City of Round Rock, Texas | 824 | 4 | 1.60 | 655 | 5 | 1.76 |
| Emerson Process Management | 750 | 5 | 1.46 | | | |
| Scott & White University Medical Campus | 750 | 5 | 1.46 | | | |
| Round Rock Medical Center | 689 | 7 | 1.34 | 575 | 8 | 1.55 |
| Dresser Wayne, Inc. | 633 | 8 | 1.23 | 500 | 10 | 1.35 |
| Texas Guaranteed Student Loan | 625 | 9 | 1.22 | 550 | 9 | 1.48 |
| Seton Williamson Medical Center | 450 | 10 | 0.88 | | | |
| Michael Angelo's Gourmet Foods | | | | 700 | 4 | 1.89 |
| Farmer's Insurance | | | | 650 | 6 | 1.75 |
| TECO/Westinghouse | | | | 650 | 6 | 1.75 |
| Total | 21,971 | | 42.79 | 19,380 | | 52.20 |

Source: Round Rock Chamber of Commerce

Top Ten Water Customers

September 30, 2012

| Name of Customer | Type of Business | Water Consumption in Gallons | % of Total Water Consumption in Gallons |
|---|----------------------------|-------------------------------------|--|
| Fern Bluff Municipal Utility District | Municipal Utility District | 335,326,000 | 5.249 % |
| Williamson County Municipal Utility District # 10 | Municipal Utility District | 227,856,500 | 3.567 |
| Williamson County Municipal Utility District # 11 | Municipal Utility District | 223,955,100 | 3.506 |
| Chandler Creek Municipal Utility District | Municipal Utility District | 176,737,600 | 2.767 |
| Vista Oaks Municipal Utility District | Municipal Utility District | 150,688,600 | 2.359 |
| Dell, Inc. | Electronic Equipment | 130,416,300 | 2.042 |
| Round Rock Independent School District | Public Schools | 88,579,800 | 1.387 |
| Walsh Ranch Municipal Utility District | Municipal Utility District | 58,265,400 | 0.912 |
| Michael Angelo's | Food Production | 57,365,000 | 0.898 |
| Aquasource--Tonkawa Springs | Water Service Provider | 52,320,900 | 0.819 |
| | | 1,501,511,200 | 23.505 % |

Source: City of Round Rock Finance Department

Financial Statistics

Operating Indicators

Operating Indicators

Last Ten Fiscal Years

| | 2003 | 2004 | 2005 | 2006 |
|--|-------------|-------------|-------------|-------------|
| Function: | | | | |
| Police- | | | | |
| Arrests | 1,684 | 2,625 | 3,592 | 4,013 |
| Accident reports | 2,360 | 2,669 | 1,942 | 1,907 |
| Index crimes reported | 1,813 | 1,679 | 2,326 | 2,072 |
| Crimes per thousand residents | 25.50 | 21.00 | 28.30 | 22.80 |
| Officers per thousand of population | 1.49 | 1.49 | 1.50 | 1.50 |
| Fire- | | | | |
| Incident volume | 5,076 | 5,219 | 6,709 | 6,430 |
| Priority calls answered | 3,787 | 3,959 | 5,376 | 4,977 |
| Non-emergency calls answered | 1,289 | 1,260 | 1,333 | 1,453 |
| Priority calls-response less than 6 minutes | 73% | 78% | 76% | 73% |
| Public Works- | | | | |
| Street- | | | | |
| Street resurfacing (lane miles) | 84 | 72 | 71 | 124 |
| Potholes repaired annually | 3,000 | 3,223 | 3,223 | 4,000 |
| Signals maintained | 36 | 36 | 42 | 52 |
| Shop- | | | | |
| Work orders | 4,485 | 4,650 | 4,468 | 4,118 |
| Number of departments served | 13 | 15 | 15 | 18 |
| Culture and Recreation- | | | | |
| Library- | | | | |
| Number of items circulated | 545,921 | 648,609 | 647,343 | 671,816 |
| Number of card holders | 40,532 | 42,321 | 41,448 | 42,792 |
| Number of library visits | 258,017 | 288,100 | 298,996 | 302,900 |
| Parks & Recreation- | | | | |
| Total park acres maintained | 1,573 | 1,532 | 1,532 | 1,547 |
| Number of athletic fields maintained | 38 | 38 | 38 | 38 |
| Number of trees planted | 238 | 350 | 325 | 843 |
| Number of recreation enrollees | 314,814 | 330,036 | 359,128 | 372,998 |
| Water- | | | | |
| New connections | 1,172 | 1,578 | 1,228 | 1,549 |
| Line maintenance work orders | 3,810 | 5,938 | 5,434 | 4,242 |
| Average monthly consumption | 447,000,000 | 439,000,000 | 474,500,000 | 553,600,000 |
| Sewer- | | | | |
| Average monthly treatment | 263,416,666 | 286,166,666 | 287,948,166 | 303,103,333 |
| Line feet reviewed for infiltration & inflow | 110,236 | 142,817 | 163,143 | 181,316 |

Source: City of Round Rock Finance Department
N/A - Data not available

| 2007 | 2008 | 2009 | 2010 | 2011 | 2012 |
|-------------|-------------|-------------|-------------|-------------|-------------|
| 3,754 | 3,749 | 3,908 | 4,328 | 4,521 | 6,367 |
| 1,990 | 1,997 | 1,646 | 1,877 | 2,747 | 2,767 |
| 2,512 | 2,449 | 2,960 | 3,154 | 3,154 | 2,559 |
| 27.90 | 30.10 | 30.30 | 31.30 | 31.30 | 25.42 |
| 1.46 | 1.52 | 1.52 | 1.48 | 1.48 | 1.49 |
| 7,463 | 7,690 | 8,000 | 8,500 | 8,141 | 8,350 |
| 4,592 | 5,656 | 5,800 | 6,000 | 5,916 | 3,475 |
| 2,871 | 2,034 | 2,200 | 2,500 | 2,225 | 4,875 |
| 73% | 64% | 65% | 65% | 65% | 60% |
| 130 | 135 | 137 | 137 | 45 | 60 |
| 4,000 | 4,000 | 4,000 | 4,000 | 4,000 | 400 |
| 57 | 59 | 59 | 59 | 68 | 76 |
| 5,418 | 5,618 | 6,330 | 6,930 | 4,609 | 5,336 |
| 18 | 19 | 19 | 19 | 19 | 19 |
| 725,370 | 762,926 | 780,000 | 810,000 | 854,000 | 903,219 |
| 44,820 | 49,829 | 51,000 | 53,000 | 59,000 | 55,336 |
| 306,000 | 311,000 | 310,000 | 320,000 | 292,000 | 294,768 |
| 1,610 | 1,610 | 1,757 | 1,780 | 1,935 | 2,035 |
| 38 | 38 | 43 | 45 | 46 | 49 |
| 500 | 818 | 877 | 350 | 345 | 558 |
| 371,201 | 402,548 | 401,000 | 401,000 | 420,000 | 420,000 |
| 1,181 | 572 | 254 | 356 | 335 | 313 |
| 4,400 | 4,400 | 4,226 | 4,044 | 3,185 | 3,279 |
| 432,101,000 | 552,610,000 | 589,159,550 | 466,096,342 | 655,615,475 | 560,281,041 |
| 298,038,200 | 296,208,875 | 301,433,205 | 327,351,600 | 475,482,100 | 457,967,400 |
| 152,515 | 161,291 | 156,934 | 114,176 | 317,789 | 361,784 |

Financial Statistics

Capital Asset Statistics

Capital Assets Statistics

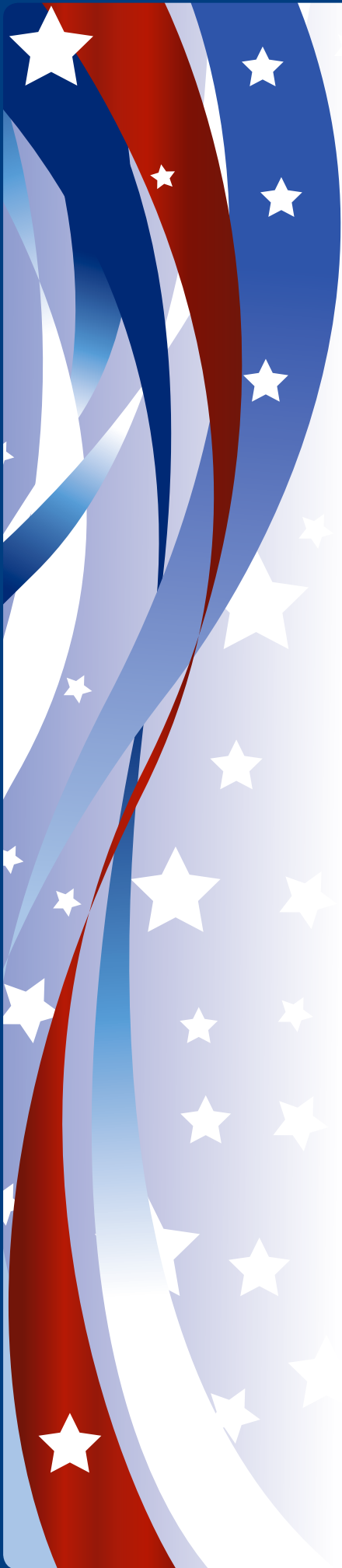
Last Ten Fiscal Years

| | 2003 | 2004 | 2005 | 2006 |
|--|---------|---------|---------|---------|
| Function: | | | | |
| Police- | | | | |
| Number of stations | 1 | 1 | 1 | 1 |
| Number of patrol units | 120 | 126 | 136 | 142 |
| Fire- | | | | |
| Number of stations | 5 | 5 | 6 | 6 |
| Number of fire trucks | 8 | 9 | 10 | 10 |
| Public Works- | | | | |
| Street- | | | | |
| Lane miles | 220 | 266 | 898 | 997 |
| Drainage miles | 92 | 92 | 92 | 92 |
| Number of street lights | 4,610 | 4,656 | 4,702 | 4,796 |
| Number of traffic signals | 36 | 36 | 42 | 52 |
| Shop- | | | | |
| Repair facilities | 1 | 1 | 1 | 1 |
| Number of bays | 19 | 19 | 19 | 19 |
| Culture and Recreation- | | | | |
| Library- | | | | |
| Number of library books | 134,899 | 150,436 | 160,559 | 133,111 |
| Number of library facilities | 1 | 1 | 1 | 1 |
| Parks & Recreation- | | | | |
| Total park acres | 1,573 | 1,532 | 1,532 | 1,547 |
| Number of athletic fields | 38 | 38 | 38 | 38 |
| Number of parks | 72 | 70 | 52 | 52 |
| Number of tennis courts | 9 | 21 | 23 | 23 |
| Number of pools | 4 | 4 | 4 | 5 |
| Water- | | | | |
| Number of miles of water lines | 394 | 394 | 425 | 458 |
| Pumping stations | 14 | 14 | 14 | 14 |
| Tanks | 18 | 18 | 18 | 18 |
| Treatment capacity (millions of gallons/day) | 48 | 48 | 48 | 48 |
| Sewer- | | | | |
| Number of miles of sewer mains | 242 | 242 | 355 | 365 |
| Wastewater lift stations | 12 | 12 | 11 | 11 |

Source: City of Round Rock Finance Department

| 2007 | 2008 | 2009 | 2010 | 2011 | 2012 |
|---------|---------|---------|---------|---------|---------|
| 1 | 1 | 1 | 1 | 1 | 1 |
| 186 | 189 | 195 | 199 | 199 | 199 |
| 6 | 7 | 7 | 7 | 7 | 7 |
| 11 | 11 | 14 | 15 | 16 | 16 |
| 1,036 | 1,124 | 1,124 | 1,137 | 1,200 | 1,200 |
| 92 | 100 | 100 | 100 | 100 | 100 |
| 5,034 | 5,075 | 5,103 | 5,104 | 5,119 | 5,312 |
| 57 | 59 | 59 | 59 | 68 | 76 |
| 1 | 1 | 1 | 1 | 1 | 1 |
| 19 | 19 | 19 | 19 | 19 | 19 |
| 147,000 | 180,397 | 185,000 | 185,000 | 183,000 | 195,000 |
| 1 | 1 | 1 | 1 | 1 | 1 |
| 1,610 | 1,610 | 1,855 | 2,119 | 2,274 | 2,035 |
| 38 | 38 | 44 | 46 | 47 | 49 |
| 53 | 53 | 60 | 84 | 85 | 62 |
| 23 | 23 | 23 | 23 | 23 | 23 |
| 5 | 5 | 5 | 5 | 4 | 4 |
| 481 | 487 | 503 | 591 | 584 | 585 |
| 14 | 14 | 15 | 15 | 15 | 15 |
| 18 | 18 | 19 | 20 | 20 | 20 |
| 48 | 48 | 48 | 52 | 52 | 52 |
| 376 | 392 | 400 | 417 | 422 | 422 |
| 11 | 12 | 12 | 12 | 12 | 12 |





Appendix

- Budget Calendar
- Home Rule Charter
- Ordinances
- Glossary
- Acronyms



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.

Budget Calendar 2013

City of Round Rock

| JANUARY | | | | | | |
|---------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | 31 | | | |

January-February
Develop Budget Materials

February
1 Budget materials distributed
22 Budget Kick Off Meetings

| FEBRUARY | | | | | | |
|----------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | | | |

| MARCH | | | | | | |
|-------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | 31 |

March
8 Personnel Worksheets, Capital Outlay

April
29 New Programs documents due
Strategic Budget & 10 Year Plan documents due

| APRIL | | | | | | |
|-------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | | | | | |

| MAY | | | | | | |
|-----|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | 1 | 2 | 3 | 4 | 5 |
| 6 | 7 | 8 | 9 | 10 | 11 | 12 |
| 13 | 14 | 15 | 16 | 17 | 18 | 19 |
| 20 | 21 | 22 | 23 | 24 | 25 | 26 |
| 27 | 28 | 29 | 30 | 31 | | |

May
3 Budget Worksheets due

| JUNE | | | | | | |
|------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | | | | 1 | 2 |
| 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 17 | 18 | 19 | 20 | 21 | 22 | 23 |
| 24 | 25 | 26 | 27 | 28 | 29 | 30 |

| JULY | | | | | | |
|------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| 8 | 9 | 10 | 11 | 12 | 13 | 14 |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| 22 | 23 | 24 | 25 | 26 | 27 | 28 |
| 29 | 30 | 31 | | | | |

July
25 Tax roll certification by Chief Appraiser
31 City Manager's Proposed Budget

Mid-August
-- Publication of Tax Rates (Effective,

| AUGUST | | | | | | |
|--------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | | 1 | 2 | 3 | 4 |
| 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 12 | 13 | 14 | 15 | 16 | 17 | 18 |
| 19 | 20 | 21 | 22 | 23 | 24 | 25 |
| 26 | 27 | 28 | 29 | 30 | 31 | |

| SEPTEMBER | | | | | | |
|-----------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | | | | | 1 |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | | | | | | |

September
5 Special Called Council meeting - Truth in
12 Proposed Budget to Council for Approval
Proposed Tax Rate to Council for Approval
Public hearings

26 Proposed Budget to Council for Approval
Proposed Tax Rate to Council for Approval
Public hearings
Budget Adopted by Council
Tax Rate Adopted by Council

| OCTOBER | | | | | | |
|---------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | 1 | 2 | 3 | 4 | 5 | 6 |
| 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| 14 | 15 | 16 | 17 | 18 | 19 | 20 |
| 21 | 22 | 23 | 24 | 25 | 26 | 27 |
| 28 | 29 | 30 | 31 | | | |

| NOVEMBER | | | | | | |
|----------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | | | 1 | 2 | 3 |
| 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 11 | 12 | 13 | 14 | 15 | 16 | 17 |
| 18 | 19 | 20 | 21 | 22 | 23 | 24 |
| 25 | 26 | 27 | 28 | 29 | 30 | |

October
1 Budget becomes effective

| DECEMBER | | | | | | |
|----------|----|----|----|----|----|----|
| M | T | W | T | F | S | S |
| | | | | | | 1 |
| 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| 23 | 24 | 25 | 26 | 27 | 28 | 29 |
| 30 | 31 | | | | | |

ARTICLE 8

FINANCIAL ADMINISTRATION

SECTION 8.01 FISCAL YEAR

The fiscal year of the City shall begin on the first day of each October and end on the last day of September of the succeeding year. All funds collected by the City during any fiscal year, including both current and delinquent revenues, shall belong to such fiscal year and, except for funds derived to pay interest and create a sinking fund on the bonded indebtedness of the City, may be applied to the payment of expenses incurred during such fiscal year, except as provided in this Charter. Any revenues uncollected at the end of any fiscal year, and any unencumbered funds actually on hand, shall become resources of the next succeeding fiscal year.

SECTION 8.02 PUBLIC RECORD

Copies of the budget adopted shall be public records and shall be made available to the public for inspection upon request.

SECTION 8.03 ANNUAL BUDGET

(a) Content.

The budget shall provide a complete financial plan of all City funds and activities and, except as required by law or this Charter, shall be in such form as the City Manager deems desirable or the City Council may require. A budget message explaining the budget both in fiscal terms and in terms of the work programs shall be submitted with the budget. It shall outline the proposed financial policies of the City for the ensuing fiscal year, describe the important features of the budget, indicate any major changes, from the current year in financial policies, expenditures, and revenues, with reasons for such changes. It shall also summarize the City's debt position and include such other material as the City Manager deems desirable. The budget shall begin with a clear general summary of its contents and shall show in detail all estimated income, indicating the proposed property tax levy, and all proposed expenditures, including debt service, for the ensuing fiscal year. The proposed budget expenditures shall not exceed the total of estimated income. The budget shall be so arranged as to show comparative figures for actual and estimated income and expenditures of the current fiscal year and actual income and expenditures of the preceding fiscal year, compared to the estimate for the budgeted year. It shall include in separate sections:

- (1) an itemized estimate of the expense of conducting each department, division, and office;

- (2) reasons for proposed increases or decreases of such items of expenditure compared with the current fiscal year;
 - (3) a separate schedule for each department, indicating tasks to be accomplished by the department during the year, and additional desirable tasks to be accomplished, if possible;
 - (4) a statement of the total probable income of the City from taxes for the period covered by the estimate;
 - (5) tax levies, rates, and collections for the preceding five years;
 - (6) an itemization of all anticipated revenue from sources other than the tax levy;
 - (7) the amount required for interest on the City's debts, for sinking fund and for maturing serial bonds;
 - (8) the total amount of outstanding City debts, with a schedule of maturities on bond issue;
 - (9) anticipated net surplus or deficit for the ensuing fiscal year of each utility owned or operated by the City and the proposed method of its disposition (subsidiary budgets for each such utility giving detailed income and expenditure information shall be attached as appendices to the budget);
 - (10) a Capital Improvement Program, which may be revised and extended each year to indicate capital improvements pending or in process of construction or acquisition, and shall include the following items:
 - i. a summary of proposed programs;
 - ii. a list of all capital improvements which are proposed to be undertaken during the five (5) fiscal years next ensuing, with appropriate supporting information as to the necessity for such improvements;
 - iii. cost estimates, method of financing and recommended time schedules for each such improvement; and
 - iv. the estimated annual cost of operating and maintaining the facilities to be constructed or acquired; and
 - (11) such other information as may be required by the City Council.
- (b) Submission.

On or before the first day of August of each year, the City Manager shall submit to the City Council a proposed budget and an accompanying message. The City Council shall review the proposed budget and revise same as deemed appropriate prior to general circulation for public hearing.

(c) Public Notice and Hearing.

The City Council shall post in the City Hall a general summary of the proposed budget and a notice stating:

- (1) the times and places where copies of the message and budget are available for inspection by the public; and
- (2) the time and place, not less than two (2) weeks after such publication, for a public hearing on the budget.

(d) Amendment Before Adoption.

After the hearing, the City Council may adopt the budget with or without amendment. In amending the budget, it may add or increase programs or amounts and may delete or decrease any programs or amounts, except expenditures required by law or for debt service or for estimated cash deficit, provided that no amendment to the budget shall increase the authorized expenditures to an amount greater than the total of estimated income plus funds available from prior years.

(e) Adoption.

The budget shall be finally adopted not later than the final day of the last month of the fiscal year. Adoption of the budget shall constitute a levy of the property tax therein proposed. Should the City Council take no final action on or prior to such day the budget, as submitted, together with its proposed tax levy, shall be deemed to have been finally adopted by the City Council. No budget shall be adopted or appropriations made unless the total of estimated revenues, income and funds available shall be equal to or in excess of such budget or appropriations, except as otherwise provided in this Article.

(Charter amendment approved by voters January 20, 1996; May 10, 2008)

SECTION 8.04 ADMINISTRATION OF BUDGET

(a) Payments and Obligations Prohibited.

No payment shall be made or obligation incurred against any allotment or appropriation except in accordance with appropriations duly made and unless the City Manager or designee first certifies that there is a sufficient unencumbered balance in such allotment or appropriations and that sufficient funds therefrom are or will be available to cover the claim or meet the obligation when it becomes due and payable. Any authorization of payment or incurring of obligation in violation of the provisions of this Charter shall be void and any payment so made illegal. Such action shall be the cause for removal of any officer who knowingly authorized or made such payment or incurred such obligations, and such officer shall also be liable to the City for any amount so paid. However, this prohibition shall not be construed to prevent the making or authorizing of payments or making of contracts for capital improvements to be financed wholly or partly by

the issuance of bonds, time warrants, certificates of indebtedness, or certificates of obligation, or to prevent the making of any contract or lease providing for payments beyond the end of the fiscal year, provided that such action is made or approved by ordinance.

(b) Financial Reports.

The City Manager shall submit to the City Council at least quarterly the financial condition of the City by budget item, budget estimate versus accruals for the fiscal year to date. The financial records of the City will be maintained on an accrual basis to support this type of financial management.

(Charter amendment approved by voters January 20, 1996; May 6, 2000)

SECTION 8.05 EMERGENCY APPROPRIATIONS

At any time in any fiscal year, the City Council may, pursuant to this section, make emergency appropriations to meet a pressing need for public expenditure, for other than regular or recurring requirements, to protect the public health, safety or welfare. Such appropriation shall be by ordinance adopted by the favorable votes of five (5) or more of the City Council members qualified and serving, and shall be made only upon recommendation of the City Manager. The total amount of all emergency appropriations made in any fiscal year shall not exceed the amount allowed by state law.

(Charter amendment approved by voters January 20, 1996)

SECTION 8.06 BORROWING TO MEET EMERGENCY APPROPRIATIONS

In the absence of unappropriated available revenues or other funds to meet emergency appropriations provided for under the preceding Section 8.05, the City Council may by resolution, authorize the borrowing of money to meet such deficit as provided by law.

(Charter amendment approved by voters January 20, 1996)

SECTION 8.07 BORROWING IN ANTICIPATION OF PROPERTY TAXES

In any fiscal year, in anticipation of the collection of the ad valorem property tax for such year, whether levied or to be levied in such year, the City Council may by resolution authorize the borrowing of money, not to exceed in any fiscal year an amount equal to ten percent (10%) of the budget for that fiscal year. Such borrowing shall be by the issuance of negotiable notes of the City, each of which shall be designated, "Tax Anticipation Note for the Year ___" (stating the tax year). Such notes shall mature and be payable not later than the end of the fiscal year in which issued.

(Charter amendment approved by voters January 20, 1996)

SECTION 8.08 DEPOSITORY

All monies received by any person, department or agency of the City for or in connection with affairs of the City shall be deposited promptly in the City depository or depositories, which shall be designated by the City Council in accordance with such regulations and subject to such requirements as to security for deposits and interest thereon as may be established by ordinance. All checks, vouchers, or warrants for the withdrawal of money from the City depositories shall be signed by the Mayor or City Manager and countersigned by an authorized designee, as approved by City Council ordinance. Provided, that the City Council, under such regulations and limitations as it may prescribe, may by ordinance authorized the use of machine-imprinted facsimile signatures of said Mayor and City Manager and authorized designee on such checks, vouchers and warrants.

(Charter amendment approved by voters January 20, 1996; May 15, 2004)

SECTION 8.09 PURCHASE PROCEDURE

All purchases made and contracts executed by the City shall be pursuant to a requisition from the head of the office, department or agency whose appropriation will be charged and no contract order shall be binding upon the City unless the City Manager certifies that there is to the credit of such office, department or agency a sufficient unencumbered appropriation and allotment balance to pay for the supplies, materials, equipment or contractual services for which the contract or order is to be issued.

(Charter amendment approved by voters November 6, 1979; April 5, 1986)

SECTION 8.10 INDEPENDENT AUDIT

At the close of each fiscal year, and at such other times as it may be deemed necessary, the Council shall cause an independent audit to be made of all accounts of the City by a certified public accountant. The certified public accountant so selected shall have no personal interest, directly or indirectly, in the financial affairs of the City or any of its officers. Upon completion of the audit, a copy of the audited annual financial report shall be placed in the public library and placed on file in the City Secretary's office as public record.

(Charter amendment approved by voters January 20, 1996; May 15, 2004; May 10, 2008)

ORDINANCE NO. G-13-09-26-G1

AN ORDINANCE APPROVING AND ADOPTING A BUDGET FOR THE CITY OF ROUND ROCK, TEXAS, FOR THE FISCAL YEAR BEGINNING OCTOBER 1, 2013, AND ENDING SEPTEMBER 30, 2014, DIRECTING THE CITY CLERK TO FILE A TRUE COPY OF THE BUDGET WITH THE COUNTY CLERKS OF WILLIAMSON AND TRAVIS COUNTIES, TEXAS.

WHEREAS, the City Manager of the City of Round Rock, Texas, has heretofore submitted, in accordance with the state law and the City's Charter, a budget for said City, for the fiscal year beginning October 1, 2013, and ending September 30, 2014; and

WHEREAS, proper and timely notice that public hearings on such budget would be held on September 12, 2013, and September 26, 2013, was given and made in accordance with the law and within the time limits set forth by law; and

WHEREAS, such public hearings were held in accordance with law on September 12, 2013, and September 26, 2013, prior to final adoption of this ordinance; Now Therefore

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF ROUND ROCK, TEXAS, THAT:

The budget submitted by the City Manager for the fiscal year beginning October 1, 2013 and ending September 30, 2014, is hereby in all things approved and adopted and it shall be effective as of October 1, 2013.

In accordance with §102.008(1), Local Government Code, the Director of Finance is directed to file with the City Clerk a true copy of the final budget as adopted by the City Council, and the City Clerk is directed to certify as a true copy said budget and file it with this ordinance in the official records of the City.

In accordance with §102.008(2), Local Government Code, the Director of Finance is directed to take action to ensure that a copy of the budget is posted on the City's website.

In accordance with §102.009(d), Local Government Code, the City Clerk is directed to file a certified copy of this ordinance along with a true copy of the budget with the County Clerk of Williamson County and the County Clerk of Travis County.

The City Council hereby finds and declares that written notice of the date, hour, place and subject of the meeting at which this Ordinance was adopted was posted and that such meeting was open to the public as required by law at all times during which this Ordinance and the subject matter hereof were discussed, considered and formally acted upon, all as required by the Open Meetings Act, Chapter 551, Texas Government Code, as amended.

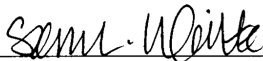
READ and **APPROVED** on first reading this the 12th day of September, 2013.

READ, APPROVED and **ADOPTED** on second reading this the 26th day of September, 2013.



ALAN MCGRAW, Mayor
City of Round Rock, Texas

ATTEST:



SARA L. WHITE, City Clerk

ORDINANCE NO. 13-09-26-02**AN ORDINANCE LEVYING TAXES FOR THE MAINTENANCE AND OPERATION OF THE MUNICIPAL GOVERNMENT OF THE CITY OF ROUND ROCK, TEXAS, AND PROVIDING FOR THE INTEREST AND SINKING FUND FOR THE YEAR 2013.**

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF ROUND ROCK, TEXAS:

I.

That there is hereby levied and there shall be collected for the maintenance and operation of the municipal government of the City of Round Rock, Texas, for the year 2013 upon all property, real, personal and mixed, within the corporate limits of said City subject to taxation, a tax of 28.003 cents on each One Hundred Dollars (\$100.00) valuation of property. THIS TAX RATE WILL RAISE MORE TAXES FOR MAINTENANCE AND OPERATIONS THAN LAST YEAR'S TAX RATE. THE TAX RATE WILL EFFECTIVELY BE RAISED BY 2.1 PERCENT AND WILL RAISE TAXES FOR MAINTENANCE AND OPERATIONS ON A \$100,000 HOME BY APPROXIMATELY \$6.00.

That there is hereby levied and there shall be collected for the City of Round Rock, Texas, to provide for Interest and Sinking Funds for the year 2013 upon all property, real, personal and mixed, within the corporate limits of said City subject to taxation, a tax of 13.946 cents on each One Hundred Dollars (\$100.00) valuation of property.

SUMMARY

| | |
|--|---------------------|
| Maintenance and operation of the Municipal Government | 28.003 cents |
| Interest and Sinking | <u>13.946</u> cents |
| Total Tax per \$100.00 of valuation | 41.949 cents |

0112.1304, 00281699


II.

All monies collected under this ordinance for the specific items herein named, be and the same are hereby appropriated and set apart for the specific purpose indicated in each item and that the Assessor and Collector of Taxes, and the City Finance Director shall keep these accounts so as to readily and distinctly show the amount collected, the amounts expended and the amount on hand at any time, belonging to such funds. All receipts for the City not specifically apportioned by this ordinance are hereby made payable to the General Fund of the City.

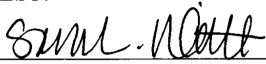
The City Council hereby finds and declares that written notice of the date, hour, place and subject of the meeting at which this Ordinance was adopted was posted and that such meeting was open to the public as required by law at all times during which this Ordinance and the subject matter hereof were discussed, considered and formally acted upon, all as required by the Open Meetings Act, Chapter 551, Texas Government Code, as amended.

READ and **APPROVED** on first reading this the 12th day of September, 2013.

READ, APPROVED and **ADOPTED** on second reading this the 26th day of September, 2013.



ALAN MCGRAW, Mayor
City of Round Rock, Texas

ATTEST:


SARA L. WHITE, City Clerk

ORDINANCE NO. G-09-09-24-1004

AN ORDINANCE AMENDING CHAPTER 10, SECTIONS 10.201 AND 10.202, CODE OF ORDINANCES (1995 EDITION), CITY OF ROUND ROCK, TEXAS, AMENDING WATER AND WASTEWATER UTILITY RATES; PROVIDING FOR A SAVINGS CLAUSE AND REPEALING CONFLICTING ORDINANCES OR RESOLUTIONS.

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF ROUND ROCK, TEXAS:

I.

That Chapter 10, Section 10.201, Subsection (6)(b) and (e), Code of Ordinances (1995 Edition), City of Round Rock are hereby amended to read as follows:

(6) Water Rates for Retail Customers(b) Volume Rates for Non-Peak Billing Periods

- (i) For water consumed during Non-Peak Billing Periods, each Customer shall pay a rate of \$2.24 per 1,000 gallons or fraction thereof consumed during such billing period.
- (ii) Effective January 1, 2010, for water consumed during Non-Peak Billing Periods, each Customer shall pay a rate of \$2.35 per 1,000 gallons or fraction thereof consumed during such billing period.

(e) Monthly Service Charge

Except as provided below, in addition to the above volume rates, each Customer shall pay a monthly water service charge pursuant to the following schedule regardless of the amount of water used.

| <u>Meter Size</u> | <u>Current Monthly Service Charge</u> | <u>Monthly Service Charge Effective January 1, 2010</u> |
|-------------------|---------------------------------------|---|
| 5/8 inch | \$13.18 | 13.84 |
| 3/4 inch | 18.36 | 19.28 |
| 1 inch | 29.00 | 30.45 |
| 1½ inch | 55.56 | 58.34 |
| 2 inch | 87.44 | 91.81 |
| 3 inch | 161.83 | 169.92 |
| 4 inch | 268.10 | 281.51 |
| 6 inch | 835.86 | 877.65 |
| 8 inch | 1,460.98 | 1,534.03 |
| 10 inch | 2,294.47 | 2,409.19 |
| 12 inch | 2,815.40 | 2,956.17 |

II.

That Chapter 10, Section 10.202, Subsection (1)(a)(i) and (ii), Code of Ordinances (1995 Edition), City of Round Rock is hereby amended to read as follows:

(1) Sewer Rates for Retail Customers

(a) Inside City Limits:

The rate schedule for retail Customers of the City's sanitary sewer System shall be hereinafter set forth.

(i) Volume Rates

1. The sewer rate for retail Customers shall be \$3.02 per 1,000 gallons of water used for all users.
2. Effective January 1, 2010, the sewer rate for retail Customers shall be \$3.23 per 1,000 gallons of water used for all users.

(ii) Monthly Service Charge

Except as provided below, in addition to the foregoing rates, each Customer shall also pay a monthly sewer service charge pursuant to the following schedule regardless of the amount of water used.

| <u>Meter Size</u> | <u>Current Monthly Service Charge</u> | <u>Monthly Service Charge Effective January 1, 2010</u> |
|-------------------|---------------------------------------|---|
| 5/8" | 11.80 | 12.63 |
| 3/4" | 15.40 | 16.48 |
| 1" | 22.08 | 23.63 |
| 1 1/2" | 40.26 | 43.08 |
| 2" | 62.08 | 66.43 |
| 3" | 112.98 | 120.89 |
| 4" | 185.70 | 198.70 |
| 6" | 547.33 | 585.64 |
| 8" | 955.10 | 1,021.96 |
| 10" | 1,498.79 | 1,603.71 |
| 12" | 1,838.59 | 1,967.29 |

III.

A. All ordinances, parts of ordinances, or resolutions in conflict herewith are expressly repealed.

B. The invalidity of any section or provision of this ordinance shall not invalidate other sections or provisions thereof.

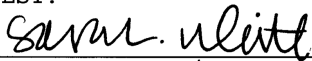
C. The City Council hereby finds and declares that written notice of the date, hour, place and subject of the meeting at which this Ordinance was adopted was posted and that such meeting was open to the public as required by law at all times during which this Ordinance and the subject matter hereof were discussed, considered and formally acted upon, all as required by the Open Meetings Act, Chapter 551, Texas Government Code, as amended.

READ and APPROVED on first reading this the 10th day of September, 2009.

24th READ, APPROVED and ADOPTED on second reading this the 24th day of September, 2009.


ALAN MCGRAW, Mayor
City of Round Rock, Texas

ATTEST:


SARA L. WHITE, City Secretary

ORDINANCE NO. G-11-10-06-10A1

AN ORDINANCE AMENDING CHAPTER 32, SECTION 32-33, CODE OF ORDINANCES (2010 EDITION), CITY OF ROUND ROCK, TEXAS, REGARDING GARBAGE COLLECTION SERVICE CHARGES; AND PROVIDING FOR A SAVINGS CLAUSE AND REPEALING CONFLICTING ORDINANCES AND RESOLUTIONS.

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF ROUND ROCK, TEXAS:

I.

That Chapter 32, Section 32-33(a)(2), Code of Ordinances (2010 Edition), City of Round Rock, Texas, is hereby amended to read as follows:

Sec. 32-33. - Garbage collection service charges.

(a) *Residential.*

(2) The residential charge for the collection of refuse and for recycling services shall be ~~\$16.94~~17.46 per month.

II.

This ordinance shall be effective for refuse and recycling services provided for the month of October, 2011 and thereafter.

III.

A. All ordinances, parts of ordinances, or resolutions in conflict herewith are expressly repealed.

B. The invalidity of any section or provision of this ordinance shall not invalidate other sections or provisions thereof.

C. The City Council hereby finds and declares that written notice of the date, hour, place and subject of the meeting at which this Ordinance was adopted was posted and that such meeting was open to the public as required by law at all times during

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which this Ordinance and the subject matter hereof were discussed, considered and formally acted upon, all as required by the Open Meetings Act, Chapter 551, Texas Government Code, as amended.

Alternative 1.

By motion duly made, seconded and passed with an affirmative vote of all the Council members present, the requirement for reading this ordinance on two separate days was dispensed with.

READ, PASSED, and ADOPTED on first reading this ____ day of _____, 2011.

Alternative 2.


READ and APPROVED on first reading this the 22nd day of September, 2011.

READ, APPROVED and ADOPTED on second reading this the 6th day of October, 2011.



ALAN MCGRAW, Mayor
City of Round Rock, Texas

ATTEST:


SARA L. WHITE, City Secretary

Glossary

Accrual Basis – A method of accounting that recognizes the financial effect of events, and interfund activities when they occur, regardless of the timing of related cash flows.

Ad Valorem Tax – A tax levied on the assessed value of real property (also known as “property taxes”).

Amortize – To provide for the gradual extinguishment of (as a mortgage) usually by contribution to a sinking fund at the time of each periodic payment.

Appropriation – a specific amount of money authorized by City Council to make expenditures and incur obligations for specific purposes, frequently used interchangeably with “expenditures”.

Assessed Valuation – A valuation set upon real estate or other property by a government as a basis for levying taxes. (Note: Property values are established by the Williamson County Appraisal District).

Asset - A probable future economic benefit obtained or controlled by a particular entity as a result of past transactions or events. A current asset is cash or readily convertible into cash within a one-year time frame.

Benchmark - A comparison of performances across many organizations in order to better understand one's own performance.

Bond - A written promise to pay a specific sum of money, called the face value or principal amount, at a specific date or dates in the future, called the maturity date, together with periodic interest at a specified rate.

Bonded Debt - The portion of indebtedness represented by outstanding bonds.

Budget - A plan of financial operation specifying expenditures to be incurred for a given period to accomplish specific goals, and the proposed means of financing them.

Budget Calendar – The schedule of key dates or milestones which the City follows in preparation, adoption, and administration of the budget.

Budget Year – From October 1st through September 30th, this is the same as the fiscal year.

Capital Improvement Program – A plan for capital expenditures to provide long-lasting physical improvements to be incurred over a fixed period of several future years. Examples include land, improvements to land, easements, buildings, building improvements and infrastructure.

Capitalized Lease Proceeds - Financing obtained through a three to five year leasing program for durable equipment and rolling stock.

Capital Outlay - Expenditures which result in the acquisition of or addition to fixed assets.

Capital Projects Fund - A fund created to account for financial resources to be used for the acquisition or construction of major capital facilities or equipment.

Capital Recovery Fee - see Impact Fee

CDBG - Community Development Block Grant – Federal HUD entitlement funds to meet a variety of needs of low income citizens including housing, infrastructure, equipment, facilities and public services.

Certificate of Obligation (C.O.) - A form of general obligation debt.

Certified Tax Roll - A list of all taxable properties, values and exemptions in the City. This roll is established by the Williamson County Appraisal District.

Comprehensive Annual Financial Report - The annual financial report prepared by the City of Round Rock covering all financial activities and audited by an independent certified public accountant.

Current Taxes - Taxes levied for and due in the current budget period.

Debt Service - The payment of principal and interest on borrowed funds.

Debt Service Fund - A fund established to account for the accumulation of resources for, and the payment of, long-term debt principal and interest.

Delinquent Taxes - Taxes remaining unpaid on and after the date due.

Demand - Reflects the scope of a program in terms of population or user activity.

Department - A logical division or classification of activities within the City (e.g. Police Department, Water Treatment Plant Department, etc.).

Depreciation - The decrease in value of physical assets due to use and the passage of time.

Division - A logical subset of the city department used to help manage expenditures by activity.

Effectiveness - A program performance indicator that measures the quality of the program outputs.

Efficiency - A program performance indicator that measures the accomplishments of program goals and objectives in an economical manner.

Encumbrance - Commitments related to unperformed (executory) contracts for goods or services.

Enterprise Fund - A fund used to account for operations financed and operated in a manner similar to private business enterprises in that they are self-supported by user fees. These funds use full accrual accounting.

Executive Pay - This is the pay structure established for the City Management and City Director level positions.

Exempt Pay - This is the City's pay structure for positions that are not paid by the hour. These positions also do not have overtime compensation.

Expenditure - The actual outflow of funds paid for an asset obtained or goods and services obtained.

Expense - A charge incurred in an accounting period, whether actually paid in that accounting period or not.

Filing Fee - A processing and review fee charge when any map (or plat) is tender to the planning department.

Fiscal - Pertaining to finances in general

Fiscal Year - A twelve-month period to which the annual operating budget applies and at the end of which a government determines its financial position and the results of its operations.

Fixed Assets - Assets of a long-term character which are intended to continue to be held or used, such as land, buildings, improvements other than buildings, machinery and equipment.

Franchise - A special privilege granted by a government permitting the continuing use of public property and rights-of-way, such as city streets.

Full Faith and Credit - A pledge of the general taxing power of the City to repay debt obligations. This term is typically used in reference to general obligation bonds.

Full-time Equivalent (FTE) - A quantifiable unit of measure utilized to convert hours worked by part-time, seasonal

employees into hours worked by full-time employees. Full-time employees work 2080 hours annually. A part-time employee working 1040 hours annually represents a .5 FTE.

Fund - A fiscal and accounting entity with a self-balancing set of accounts recording cash and other financial resources, together with all related liabilities and residual equities or balances, and changes therein. Funds are usually established to account for activities of a certain type.

Fund Balance - The excess of assets over liabilities in a governmental fund.

Gap Analysis - A technique for determining the steps to be taken in moving from a current state to a desired future-state. Also called need-gap analysis, needs analysis, and needs assessment.

GAAP - Generally Accepted Accounting Principles.

General Fund - The fund used to account for all financial resources except those required to be accounted for in another fund. This fund includes most of the basic operating services such as police and fire protection, parks and recreation, library services, street maintenance and general administration.

General Obligation Bonds - Bonds for the payment of which the full faith and credit of the City is pledged.

GIS (Geographical Information System) Fees - A fee intended to defray costs of adding plats to the City's electronic mapping system.

Goals - Generalized statements of where an organization desires to be at some future time with regard to certain operating elements (e.g. financial condition, service levels provided, etc.)

Governmental Fund - It refers to the General Fund, all Special Revenue Funds and Debt Service Funds.

Grant - Contributions or gifts of cash or other assets from another government to be used for a specific purpose, activity or facility.

I&S G.O. Bond Fund - Interest and Sinking General Obligation Bond Fund (See also Debt Service Fund).

Impact Fee - A fee assessed to new water and wastewater service connections. Fees are to be used for specific water and wastewater system improvements and are accounted for in the Water/Wastewater Utility Impact Fee account group.

Income - Funds available for expenditure during a fiscal year.

Infrastructure - Substructure or underlying foundation of the City (e.g. streets, utility lines, water and wastewater treatment facilities, etc.)

Inputs - A program performance indicator that measures the amount of resources expended or consumed by the program in the delivery of service during the fiscal year.

Inventory - A detailed listing of property currently held by the City.

Liability - Probable future sacrifices of economic benefits, arising from present obligations of a particular entity to transfer assets or provide services to other entities in the future as a result of past transactions or events. Current liabilities are expected to require the use of cash within a one-year time frame.

Levy - To impose taxes, special assessments, or service charges for the support of City activities.

Line Item Budget - A budget that itemizes each expenditure category (personnel, supplies, contract services, capital outlay, etc.) separately, along with the dollar amount budgeted for each specified category.

Long-Term Debt - Any un-matured debt that is not a fund liability with a maturity of more than one year.

Modified Accrual Basis – The basis of accounting in which revenues are recognized in the accounting period in which they become measurable and available. Expenditures are recognized in the accounting period in which the fund liability is incurred, if measurable.

Non-exempt Pay – This is the City’s pay structure for positions that are paid by the hour. These positions are eligible for overtime pay after working eight hours a day which would reflect a week’s total hours above 40.

Objectives - Specific, measurable targets set in relation to goals.

Operating Budget - Plans of current, day-to-day expenditures and the proposed means of financing them.

Outputs - These are program performance indicators that measure the quantity of work performed by the program. These are units of service delivered by the program to its customers. Sometimes this is called workload.

Performance Budget - A budget that focuses upon activities rather than line item expenditures. Work load and unit cost data are collected in order to assess efficiency of services.

Policy – A plan, course of action, or guiding principle, designed to set parameters for decisions and actions.

Program - A logical division or classification of activities within the City or within a Department. See also Department.

Property Tax - Taxes that are levied on both real and personal property according to the property's valuation and tax rate.

Public Works – A combination of city departments organized to support the City Strategic Plan by sharing resources to improve efficiencies of common tasks.

Reserve – An account used to indicate that a portion of a fund’s balance is legally restricted for a specific purpose and is, therefore, not available for general appropriation.

Re-use Water – Water that has been treated from the wastewater treatment plant which meets TCEQ requirements to be used in limited ways rather than potable water.

Revenue - Funds received as income. It includes such items as tax payments, fees from specific services, fines, interest income, franchise fees, etc.

Revenue Bond - Bonds whose principal and interest are payable exclusively from user fees (e.g. Water and Wastewater utility rates).

Repair and Replacement Fund - A utility fund established for major wastewater system improvements. Funding originated through the conveyance of a portion of the City's regional wastewater plant to the City of Austin.

Risk Management – An organized effort to protect the City’s assets against loss, utilizing the most economical methods.

Sales Tax - Levied on applicable sales of goods and services at the rate of 8.25 percent in Round Rock. The City receives revenue from the sales tax at the rate of 1.5 percent. The Round Rock Transportation System Corp. receives 0.5 percent. Revenue from the remaining portion of the rate is collected by the state.

Self-Financed Construction Fund – A fund used to allocate cash funding for projects rather than issuing debt. The fund’s sources are year-end transfers from the respective fund.

Special Revenue Fund - A fund used to account for the proceeds of specific revenue sources that are legally restricted to expenditure for specified purposes (e.g. Hotel/Motel Special Revenue Funds, Drainage Fund, Law Enforcement Fund, Library Fund, Municipal Court Fund, Parks Improvement and Acquisition Fund, PEG Fund, Tree Replacement Fund).

Strategic Budget – A budget that is closely linked to the City’s Strategic Plan and Departmental Goals, Objectives, and Performance Measures. Strategic Budgeting is the process that the City of Round Rock utilizes to link the City’s Strategic Plan and individual Departmental strategy and performance to the City’s Budget.

Strategic Plan - A collectively supported vision of the City's future including observations regarding the potential effects of various operating decisions.

Subdivision Development Fee - A fee related to the inspection of subdivision improvements equating to 1.5 percent of the actual total construction costs.

Tax Base – The total value of all real and personal property in the City as of January 1st of each year, as certified by the Appraisal Review Board.

Tax Levy – The total amount of taxes imposed by the City on taxable property, as determined by the Williamson Central Appraisal District.

Tax Rate - The amount of tax stated in terms of a unit of the tax base (e.g. cents per hundred dollars valuation).

Travis Central Appraisal District - Established under state law and granted responsibility for discovering and listing all taxable property, appraising that property at market/production value, processing taxpayer applications for exemptions, and submitting the appraised values and exemptions to each taxing unit. This listing becomes the Certified Tax Roll for the taxing unit.

Utility Fund - See Water/Wastewater Utility Fund.

Water/Wastewater Utility Fund - A governmental accounting fund better known as an enterprise fund or proprietary fund in which the services provided are financed and operated similarly to those of a private business. The rates for these services are established to insure that revenues are adequate to meet all necessary expenditures.

Williamson Central Appraisal District - Established under state law and granted responsibility for discovering and listing all taxable property, appraising that property at market/production value, processing taxpayer applications for exemptions, and submitting the appraised values and exemptions to each taxing unit. This listing becomes the Certified Tax Roll for the taxing unit.

Working Capital - The excess of current assets over current liabilities.

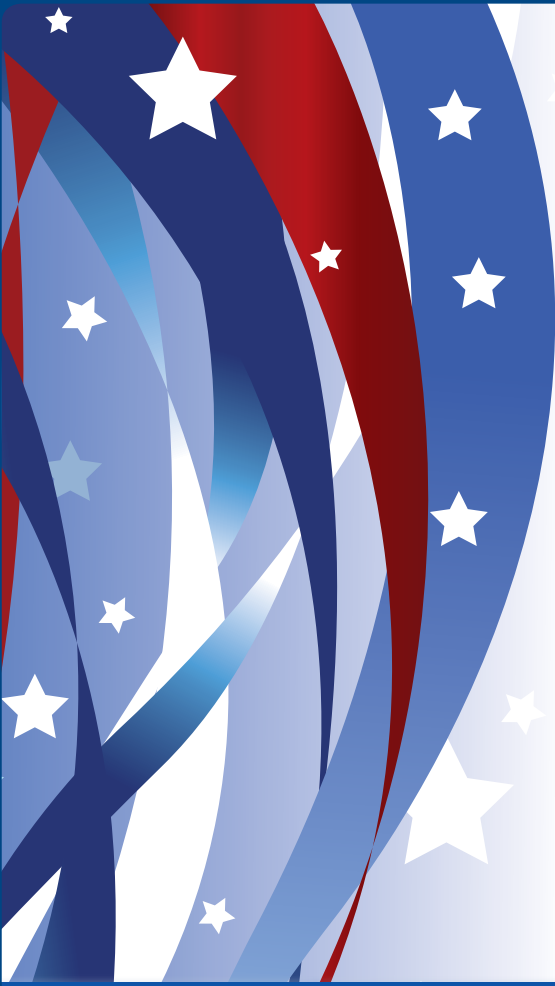
Acronyms**List of Acronyms**

| | |
|------------|---|
| ADA | Americans with Disability Act |
| AED | Automatic External Defibrillator |
| ALS | Advanced Life Support |
| ARRA | American Recovery and Reinvestment Act |
| ASE | Automotive Service Excellent |
| BCRUA | Brushy Creek Regional Utility Authority |
| BCFM | General Services – Building Construction & Facility Maintenance |
| BLS | Basic Life Support |
| BMP | Best Management Practice |
| BRA | Brazos River Authority |
| CAFR | Comprehensive Annual Financial Report |
| CAMPO | Capital Area Metropolitan Planning Organization |
| CARTS | Capital Area Rural Transit System |
| CDBG | Community Development Block Grant |
| CID | Criminal Investigations Division |
| CIP | Capital Improvement Program |
| CMRC | Clay Madsen Recreation Center |
| CO's | Certificate of Obligations Debt |
| CSR | Customer Service Representative |
| CTRMA | Central Texas Regional Mobility Authority |
| CTTS | Central Texas Turnpike Authority |
| DRC | Development Review Committee |
| EDPOC | Economic Development Partnership Operations Committee |
| EMT | Emergency Medical Technician |
| EPA | Environmental Protection Agency |
| ESD | Emergency Service District |
| ETJ | Extraterritorial Jurisdiction |
| FEMA | Federal Emergency Management Agency |
| FRAP | First Responder Advanced Provider |
| FTE | Full-Time Equivalent |
| FY | Fiscal Year |
| GAAP | Generally Accepted Accounting Principles |
| GASB | Governmental Accounting Standards Board |
| GFOA | Government Finance Officers Association |
| GIS | Geographical Information Systems |
| GO's | General Obligations Debt |
| GPS | Global Positioning Satellite |
| GSFC | General Self-Financed Construction |
| HMCF | Hotel / Motel Construction Fund |
| HMRB | Hotel / Motel Revenue Bonds |
| H.O.T. | Hotel Occupancy Tax Fund |
| H.O.T S&CV | Hotel Occupancy Sports & Community Venue Fund |
| HPC | Historic Preservation Commission |
| HPO | High Performance Organization |
| HRMS | Human Resources Management System |
| I & I | Inflow & Infiltration |
| I & S | Interest & Sinking Bonds |
| ILS | Integrated Library System |
| ISO | Insurance Services Office, Inc. |
| ITC | Information Technology & Communication |
| LCRA | Lower Colorado River Authority |
| LUE | Living Unit Equivalent |
| MGD | Million Gallons per Day |

Appendix

Acronyms

| | |
|----------|---|
| MS4 | Municipal Separate Storm Sewer System |
| MUD | Municipal Utility District |
| NELAC | National Environmental Laboratory Accreditation Conference |
| NFPA | National Firefighter Protection Association |
| NPDES | National Pollutant Discharge Elimination System |
| NRMSIR's | Nationally Recognized Municipal Securities Information Repositories |
| O & M | Operations & Maintenance |
| P & Z | Planning and Zoning Commission |
| PARD | Parks and Recreation Department |
| PEG | Public, Educational, Governmental Access |
| PMA | Preventive Maintenance Basic |
| PMC | Preventive Maintenance Complete |
| PUD's | Planned Unit Developments |
| ROW | Right-of-Way |
| RREDTC | Round Rock Economic Development & Transportation Corporation |
| RRHEC | Round Rock Higher Education Center |
| RRISD | Round Rock Independent School District |
| SALT | Seniors and Law Enforcement Together |
| SCADA | Supervisory Control and Data Acquisition |
| SDWA | Safe Drinking Water Act |
| SEC | Securities and Exchange Commission |
| TAP | Telephone Assurance Program |
| TCAD | Travis Central Appraisal District |
| TCEQ | Texas Commission on Environmental Quality |
| TML | Texas Municipal League |
| TPDES | Texas Pollutant Discharge Elimination System |
| TRANS | Round Rock Economic Development & Transportation Corporation |
| TWDB | Texas Water Development Board |
| TxDOT | Texas Department of Transportation |
| UCF | Utility Construction Fund |
| VMF | General Services – Vehicle Maintenance Facility |
| VoIP | Voice over Internet Protocol |
| WCID | Water Control & Improvement District |
| WCAD | Williamson Central Appraisal District |
| WiFi | Wireless Fidelity |
| WMSON | Williamson County |
| ZBA | Zoning Board of Appeals |



Annual Operating Budget

2013-2014



ROUND ROCK, TEXAS
PURPOSE. PASSION. PROSPERITY.