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Craig Morgan

**Mayor Pro-Tem**  
Writ Baese

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**City Manager**  
Laurie Hadley

**City Attorney**  
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July 21, 2020

The Honorable Mayor, Mayor Pro-Tem and City Council  
City of Round Rock, Texas

I am pleased to present this working draft of the City Manager's Proposed Budget for FY2020/21. To be upfront and honest, this year has been unlike anything we have ever dealt with. This document and our discussions, though different from a "typical" year, continue to represent consistency in how we focus City resources and how they are presented for Council consideration and deliberation. Lately, it seems like the only constant is change. There is a lot going on in the world, and I am always impressed with our organization's ability to keep things moving forward no matter the challenges we face.

This document represents an important next step in implementing the Council's strategic direction for the coming year. Its purpose is not to be a complete compendium of all strategic and fiscal data that will ultimately be prepared and presented to the Council and the community. The purpose of this document is to provide working data for deliberation and review.

At the February retreat, Council affirmed and prioritized six long-term goals for Round Rock:

1. Financially Sound City Providing High Value Services
2. City Infrastructure: Today and for Tomorrow
3. "The Sports Capital of Texas" for Tourism and Residents
4. Great Community to Live
5. Authentic Downtown – Exciting Community Destination
6. Sustainable Neighborhoods – Old and New

This workbook is a draft of implementation targets and working materials to assist Council in assessing two primary questions:

- Strategic Direction: Do the resources, projects, and programs outlined in workbook and during the workshop meet the near-term and long-term direction of Council and the community?
- Fiduciary Review: Does the review of general economic and growth trends, revenues, rates, expenditures, and reserves meet the high standards of fiscal stewardship the Council and community expect?

I look forward to working with Council to discuss and meet our community's goals during these uncertain times.

Sincerely,

Laurie Hadley  
City Manager



# FY 2021 PROPOSED BUDGET

## City of Round Rock Proposed Budget

For the Fiscal Year

October 1, 2020 to September 30, 2021

**DRAFT**

This budget will raise more revenue from property taxes than last year's budget by an amount of \$3,446,863 which is a 5.3 percent increase from last year's budget. The property tax revenue to be raised from new property added to the tax roll this year is \$1,311,835.

The amounts above are based on the City's property tax rates calculated or proposed as follows:

<b>Tax Rate</b>	<b>Preliminary</b>	<b>Proposed FY 2021</b>	<b>Adopted FY 2020</b>
Property Tax Rate		\$0.429000	\$0.439000
No New Revenue (Effective) Tax Rate		\$0.422798	\$0.401615
No New Revenue (Effective) M&O Tax Rate		\$0.441510	\$0.426986
Voter Approval (Rollback) Tax Rate		\$0.445842	\$0.441338
Debt Rate		\$0.130760	\$0.125491

The above information is presented on the cover page of the City's FY 2021 Proposed Budget to comply with requirements of Section 102.005 of the Texas Local Government Code.

In accordance with Section 104.0045 of the Texas Local Government Code as amended by HB 1495 - Itemization of Certain Expenditures Required in Certain Political Subdivision Budgets - expense line items for public notices and lobbying efforts are provided below:

<b>Description</b>	<b>Proposed FY 2021</b>	<b>Projected FY 2020</b>
Public notices required by law	\$31,300	\$20,100
Lobbying services	\$195,000	\$244,500



# INTRODUCTION & SUMMARY BY GOALS

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# INTRODUCTION

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## Council retreat policy priorities addressed, including:

- Roadway Improvements Plan over 5 Years (COs)
- Economic Development:
  - Kalahari Resort - opens November 2020
  - Nutty Brown
  - Commercial redevelopment strategy
  - The District
  - Project Water Tower
  - The Depot
- Comprehensive water strategy
- Library and NE Downtown infrastructure improvements
- PARD bond projects – trails

## Challenges

- State legislative actions
- Sales tax rule changes
- Reduced revenues from COVID-19 economic impacts
- Demands of continued growth on City services
- Operations and maintenance of existing and new City facilities

## Focus for 2020/21:

- ***Recovery from a position of strength***
- Providing high-quality core services under new economic conditions
- Meeting demands of growth
- Legislative and legal advocacy on sales tax rules



## FOCUS FOR 2020/21

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This year focuses on proactive solutions to the fiscal stress as a result of COVID-19, while still presenting an **accurate, efficient, and transparent** budget process.

- Budget Savings
  - Align budget with Council goals, while adapting to economic shifts caused by COVID-19
  - Identify savings for this budget , while maintaining a high level of service for citizens
- Budget Process
  - Collaborative executive team review with City Manager
  - Ongoing operating needs and one-time requirements addressed
- Broad View
  - Big picture of all City resources and uses
  - Community Investment Program (CIP) overview

### 2020/21 Budget Drivers

- Council goals and strategic direction
- Temporary targeted budget reductions to maintain service levels
  - Projected population growth – **118,024** to **120,975** by year end of 2.5%
  - Development demands rising and will continue to increase with Kalahari and the District moving forward
- Employee compensation and benefits
- Maintenance of parks, facilities, and streets
- Compliance with financial policies



# PROPOSED BUDGET BY THE NUMBERS

**DRAFT**

## Totals for 2020/2021

**\$418.7 million**

General Fund	\$118.1 million
Total CIP	\$192.1 million
All Other	\$108.5 million

## Proposed Tax Rate

**\$0.429**

- Decrease of \$0.01 from current year nominal rate of \$0.439
- Estimated 1.5% over no new revenue (**effective**) rate for debt & operating needs

## Positions Held Vacant for Savings

**23.75**

General Fund	23.75
FY 2021 Temporary Budget Savings	\$1.9 million

## Totals for 2019/2020 Adopted Budget

**\$444.8 million**

General Fund	\$124.8 million
Total Community Investment Program (CIP)	\$213.5 million
All Other	\$106.5 million
New Staff Added	11.00

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# PROPOSAL BY GOAL



## STRATEGIC GOAL

### Financially Sound City Providing High Value Services

1

#### Major Items Included in Base Budget

<i>Annual Facilities Repair &amp; Replacement*</i>	<i>\$500,000</i>
<i>Technology Internal Service Fund*</i>	<i>\$500,000</i>
<i>PARD Repair &amp; Replacement*</i>	<i>\$250,000</i>
<i>Employee Compensation Program – Increases**</i>	
• <i>Public Safety Steps and Market Adjustments</i>	<i>\$1.2 million</i>
• <i>General Government Salary Increases and Market Adjustments, if needed</i>	<i>\$1.5 million</i>

#### On the Horizon

- **Fire Station 10**  
\$8-10 million for construction  
\$1.5 million for annual operations

##### **Original Schedule**

Break ground	3/21
Complete	5/22
Staffing: Start late FY21 w/ full staffing by FY22	

##### **Postpone 12 months - working with ESD #9**

\* FY21 Bucket Funds to be included in annual budget but pending release until October 1st based on recovery in revenues from COVID-19. Facilities and Technology were funded at \$750,000 in previous years.

\*\* Fire market adjustments (2.5%) to be implemented 10/1/2020 per current contract. Police & Fire steps funded in full. General Government and PD Market Adjustments (if needed) targeted for January/February 2021, pending confirmation of available revenues.





## STRATEGIC GOAL

### City Infrastructure: Today and for Tomorrow

# 2

#### Major Items Included in Base Budget

##### **Water & Wastewater CIP**

**\$77.2 million**

- *Including East Wastewater Treatment Plant Extension, Lake Georgetown Chemical Feed System, and Lake Creek Wastewater Line Segments*

##### **Stormwater CIP**

**\$5.2 million**

- *Dam 101 Interlocal Agreement and Funding*

*In progress*

##### **Roads/Streets CIP**

**\$91.7 million**

- *Year 3 of 5-year \$240 million program*
- *Including engineering and staff work on Gattis School Road Segment 3, Red Bud North and South, Wyoming Springs, and Old Settlers Extension*
- *Construction of Gattis School Road Segment 6, Kenney Fort Segments 2-4, Logan Drive Bridge, University East, University Widening, and Oakmont Drive*
- *Planned completions of North Mays Extension, Logan Drive Bridge, and Quiet Zones (construction)*



## STRATEGIC GOAL

### “The Sports Capital of Texas” For Tourism and Residents

3

#### Major Items Included in Base Budget

***Forest Creek Golf Club*** *\$2.3 million*

- *Revenues on track to cover expenses in FY 2021*

***Multipurpose Complex Fund*** *\$1.2 million*

- *Facility reopened and revenues projected to begin recovery*

***Sports Center Fund Operating Budget*** *\$2.5 million*

- *Fully self-supporting*

***Dell Diamond – Capital Improvements*** *\$300,000*

- *Some projects shifted out to FY 2022 to reduce expenses during COVID-19 reductions*

#### On the Horizon

- ***Conference / Convention Marketing Strategy***
- ***Second Sports Center***
- ***Expansion of the Multipurpose Complex***



## STRATEGIC GOAL

### Great Community to Live

4

#### Major Items Included in Base Budget

***Brushy Creek, Heritage East & West, Lake Creek Trail Expansions***

*\$8.6 million*

- *Heritage Trail – East: total = \$3.1M, FY 2022*
- *Heritage Trail – West: total = \$3.9M, FY 2021*
- *Lake Creek Trail: total = \$1.6M, FY 2021*

***New Library Facility***

*\$500,000*

- *Total project cost = \$34.9 million*
- *Estimated Completion Date = November 2023*

***Commercial Redevelopment Strategy***

*\$1.8 million*

- *Mays Corridor (Type B)*  
Design completed: December 2020  
Construction complete: August 2021
- *Facade grants: FY 2022 and beyond*

***Behren's Ranch Nature Park***

*\$ 1.0 million*

***2021 July 4th Parade and Celebration***

*\$80,000*

**On the Horizon**

- ***Performing Arts Center***



## STRATEGIC GOAL

### Authentic Downtown - Exciting Community Destination

5

#### Major Items Included in Base Budget

##### ***Communications***

- *Continue funding for Hometown Holiday Lights* \$221,000
- *Downtown Marketing Initiatives (Type B)* \$150,000

##### ***The Depot Townhome Development***

- *Demolition underway* In Progress
- *Groundbreaking: Fall 2020*

##### ***Northeast Downtown Infrastructure Improvements***

\$ 6.4 million

- *Water, Wastewater and Drainage = \$2.6M*
- *Transportation = \$1.2M*
- *Dry Utilities and Contingency = \$2.6M*
- ***Total Cost = \$9.85M***



## STRATEGIC GOAL

### Sustainable Neighborhoods - Old and New

6

#### Major Items Included in Base Budget

**Neighborhood Street Maintenance** \$1,484,000

- *Total allocation since 2015 = \$22.3M (includes FY 2021 amount)*
- *Spent or contracted for \$20.5M in projects as of 6/1/2020 since 2015*

**Community Communications and Outreach Enhancements** Ongoing

## BUDGET REDUCTIONS - GENERAL FUND

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<b>Base Budget for 2020/21</b>	<b>\$124,656,821</b>
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### **Budget Reductions by Department (Ongoing Operating Costs)**

Administration	(15,457)
Communications	(117,783)
Finance	(107,847)
Fire	(258,416)
Fiscal Support	(994,862)
General Services	(175,632)
Human Resources	(36,513)
Information Technology	(212,518)
Library	(28,805)
Parks & Recreation	(688,309)
Planning	(200,231)
Police	(253,939)
Recycling	508
Transportation	(401,021)
Neighborhood Street Maintenance	(2,816,000)
OSP Maintenance Reserve	(200,000)

<b>Total Reductions</b>	<b>(\$6,506,825)</b>
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<b>Total General Fund</b>	<b>\$118,149,996</b>
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Percentage decrease from base	-5.2%
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### **Budget Reductions by Type**

Transfers for Neighborhood Streets and Park Maintenance	(3,016,000)
Travel and Training	(342,186)
Overtime	(143,502)
Positions held for all of FY 2021	(1,359,540)
Positions on Hiring Delay	(514,225)
Other Operating Savings	(1,131,372)

<b>Total Reductions</b>	<b>(6,506,825)</b>
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# BUDGET REDUCTIONS - GF WORKSHEET

## FY 2021 Department Budget Savings Summary

Department	Total Budget	Reductions						Total Savings	% Cut	% of Operating
		Transfers	Travel & Training	Overtime	Positions Held for FY21	Hiring Delay (held for a portion of FY21)	Other Operating Savings			
Administration	2,045,918	-	(14,000)	-	-	-	(1,457)	(15,457)	-0.76%	-8.80%
Communications	1,280,460	-	(24,500)	-	-	-	(93,283)	(117,783)	-9.20%	-22.52%
Finance	4,179,663	-	(20,000)	(5,000)	(67,644)	-	(15,203)	(107,847)	-2.58%	-21.70%
Fire	24,762,232	-	(57,000)	-	-	(239,694)	38,278	(258,416)	-1.04%	-3.76%
Fiscal Support Services	5,814,885	-	(5,000)	-	(727,200)	-	(262,862)	(994,862)	-17.11%	-6.79%
General Services	5,555,014	-	(6,000)	-	(122,400)	-	(47,232)	(175,632)	-3.16%	-4.93%
HR	1,597,535	-	(18,600)	(2,600)	-	-	(15,313)	(36,513)	-2.29%	-16.56%
IT	5,332,855	-	(38,200)	-	(107,940)	(16,100)	(50,278)	(212,518)	-3.99%	-2.83%
Legal Services	1,275,000	-	-	-	-	-	-	-	0.00%	0.00%
Library	3,272,060	-	(3,500)	(3,500)	-	-	(21,805)	(28,805)	-0.88%	-3.97%
PARD	13,618,160	-	(30,281)	(71,425)	(334,356)	(37,572)	(214,675)	(688,309)	-5.05%	-4.75%
Planning	5,869,273	-	(37,200)	(26,012)	-	(46,377)	(90,642)	(200,231)	-3.41%	-31.78%
Police	34,485,302	-	(47,000)	-	-	(174,482)	(32,455)	(253,937)	-0.74%	-4.22%
Recycling	266,279	-	-	-	-	-	508	508	0.19%	0.19%
Transportation	10,802,185	-	(40,905)	(34,965)	-	-	(325,151)	(401,021)	-3.71%	-35.97%
Neighborhood Street Maint.	4,300,000	(2,816,000)	-	-	-	-	-	(2,816,000)	-65.49%	N/A
Transfers Out	200,000	(200,000)	-	-	-	-	-	(200,000)	-100.00%	N/A
<b>General Fund Total</b>	<b>124,656,821</b>	<b>(3,016,000)</b>	<b>(342,186)</b>	<b>(143,502)</b>	<b>(1,359,540)</b>	<b>(514,225)</b>	<b>(1,131,570)</b>	<b>(6,506,823)</b>	<b>-5.22%</b>	
Arts	403,245	-	-	-	-	-	(77,481)	(77,481)	-13.66%	-27.90%
CVB	1,746,438	-	(58,000)	-	(14,000)	-	(237,500)	(309,500)	-37.01%	-22.82%
Utility Fund	22,238,532	-	-	-	-	-	-	-	-1.13%	0.00%
Stormwater Fund	3,308,405	-	-	-	-	-	-	-	-7.10%	0.00%
BCRWWS Fund	5,901,164	-	-	-	-	-	-	-	0.00%	0.00%
Sports Center	2,084,238	-	(22,000)	(11,400)	-	-	(217,700)	(251,100)	-11.81%	-19.38%
Multipurpose Complex	1,322,062	-	(6,000)	(35,000)	-	-	(115,600)	(156,600)	-8.20%	-13.25%
<b>Total Citywide</b>	<b>161,660,905</b>	<b>(3,016,000)</b>	<b>(428,186)</b>	<b>(189,902)</b>	<b>(1,373,540)</b>	<b>(514,225)</b>	<b>(1,779,851)</b>	<b>(7,301,504)</b>	<b>-4.52%</b>	

## PROPOSED USES - GENERAL SFC

**Adjusted FYE2020 Uncommitted Balance** **4,455,669**

<b>City-wide</b>	Facilities Repair & Replacement (FY2021)	500,000
	IT Repair & Replacement	500,000
	Capital Equipment & Replacement Reserve	500,000

<b>PARD</b>	PARD Repair & Replace: Buck Egger Park Improvements	250,000
	OSP - Cy Young Restroom Repair	100,000
	OSP - Baseball/Softball Net and Fence Repairs	75,000

<b>Public Safety</b>	Police Radio Replacement Program (Year 7 of 7)	368,000
	Fire Equipment Replacement Program	250,000
	Police Equipment Replacement Program	350,000

**Total New General Self Finance Uses/Designations** **\$2,893,000**

<b>Remaining</b>	<b>\$1,562,669</b>
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**Carry forward from FYE2019 General Fund** **3,000,000**

*- On hold pending FY2020 General Fund meeting revised projections*

*Note: PARD OSP Reserve balance = \$625K*



# POLICY ISSUES

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## **For FY 2021:**

- Slower than expected revenue start for Kalahari
- City Manager will continue to monitor and release certain budgeted items as revenues are available and certified
- Reimagine and redevelop revenue and program options for FY 2022 and beyond

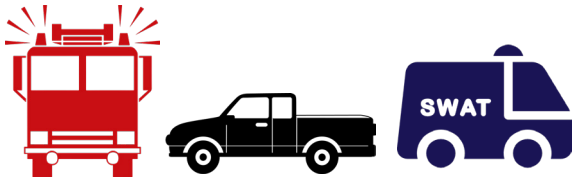
## **Long-Term: FY 2022 and beyond**

- Sales tax loss and adjusting expectations from Comptroller
- Recovery of HOT and Venue tax revenues
- Stormwater rate increases beginning in FY 2022
- SRO phaseout starting fiscal year FY 2022 (September 2021)

# COST SAVINGS AND EFFICIENCIES

BEFORE WE ADD... WE EVALUATE CURRENT RESOURCES

## Recent Items of Notes



### VEHICLE SAVINGS

- Debt lease funded a fire apparatus in FY 2020 to save cash amidst COVID-19
- Repurposed 2 trucks for new FY 2020 positions, saving over \$80,000
- Replaced the Bear with a smaller BearCat better suited and sized for the needs of Round Rock - \$200,000 savings



### INTEREST SAVINGS

- Recent debt rating upgrade allows us to borrow at lower interest rates
- 2020 GO Bond refunding realized savings of \$66,000 annually
- Recent Type B refunding realized savings of \$90,000 annually
- Refundings over the past 3 years have realized savings of \$1.8 million annually



### PERSONNEL SAVINGS

- Holding 23.75 positions temporarily vacant for a portion of FY 2021 for \$1.9M in budgetary savings
- Police is using a grant to fund 80% or \$94,000 of the cost of 2 new Victims Advocates positions
- Repurposed a Meter Services Rep position in Utility Billing to meet a growth in demand within Finance in Purchasing
- Repurposed two vacant Call Taker positions in Police to add a Law Enforcement Support Tech and a Records Admin Assistant



### OTHER COST SAVINGS

- Identifies targeted temporary budget reductions of \$6.9M for FY 2021 in response to lower revenues from COVID-19
- Cost savings in Utilities have eliminated a planned water rate increase for FY 2020
- Performing work on the Comprehensive Plan 2030 internally saving costs for consultants - saving over \$100,000
- Assumed operations of the Brushy Creek Regional Wastewater System (BCRWWS)

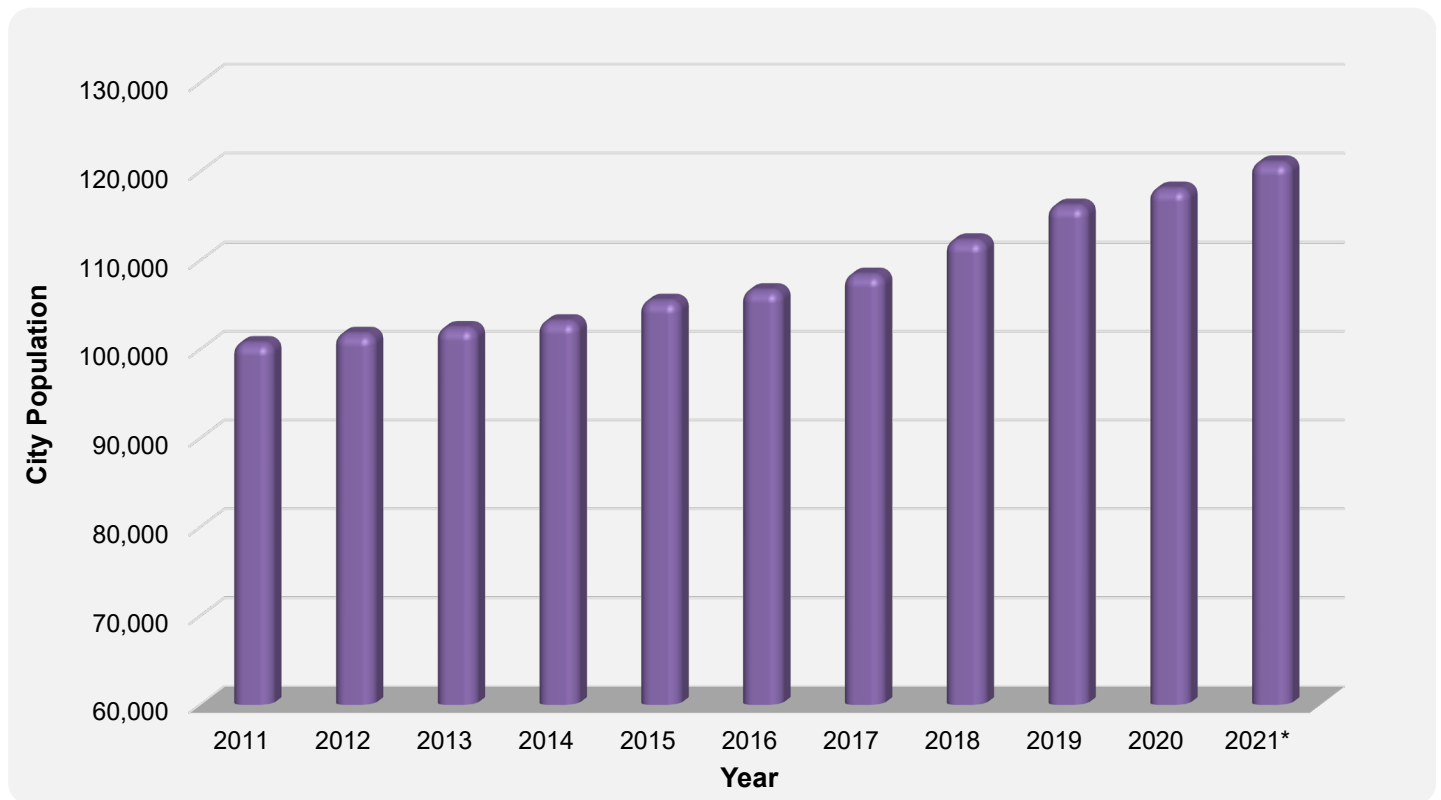


## GROWTH TRENDS

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# POPULATION



## City of Round Rock Population Projections 2011 to 2021

Year	Population	City Growth	
		Rate	
2011	100,659	0.77%	19.5% increase over 10 years
2012	101,702	1.04%	
2013	102,349	0.64%	
2014	103,107	0.74%	
2015	105,405	2.23%	
2016	106,591	1.13%	
2017	108,353	1.65%	
2018	112,201	3.55%	
2019	116,120	3.49%	
2020	118,024	1.64%	
2021*	120,975	2.50%	

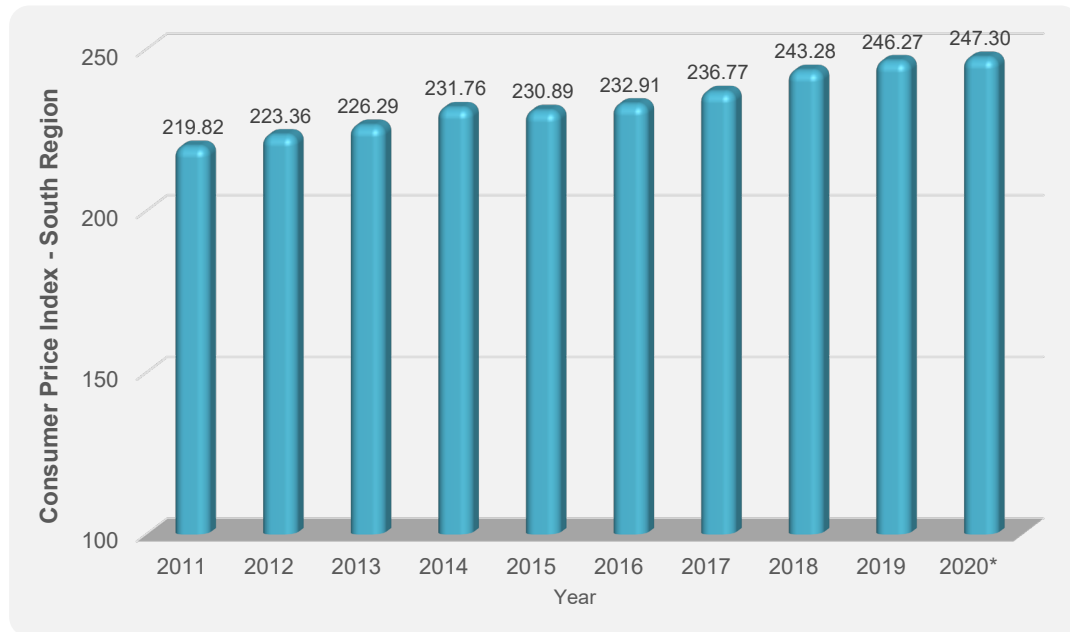
\*Projected

# CITY COST INCREASES OVER 10 YEARS

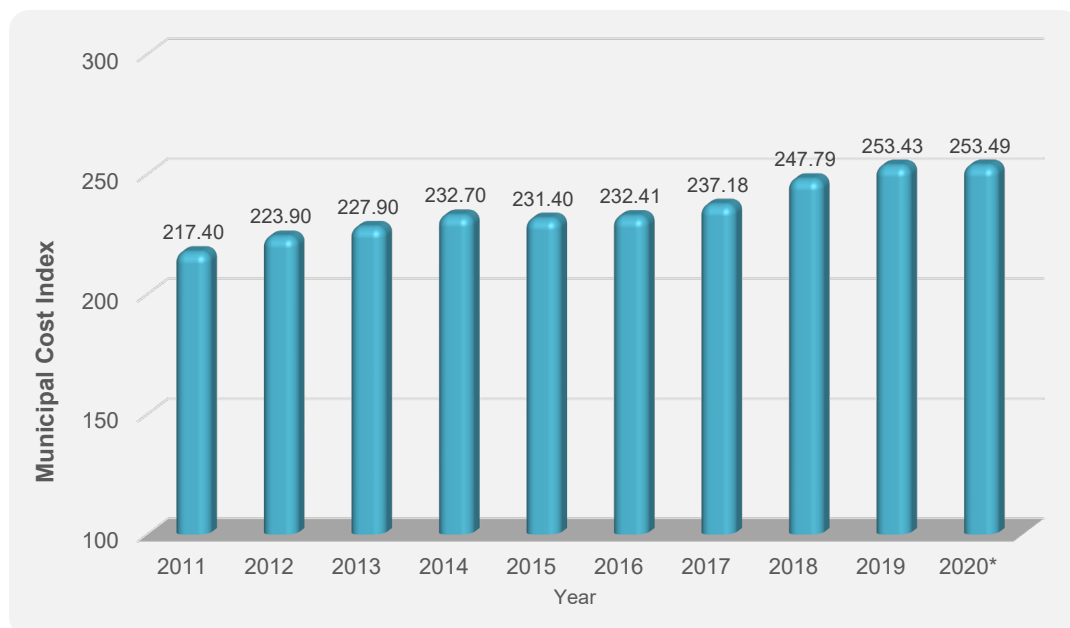
**Average salaries** have increased **37%** over the past 10 years

Position	Salary FY 2011	Salary FY 2021	Change	% Change
Accounting Technician	23,858	32,552	8,694	36%
Parks Maintenance Worker	21,757	31,637	9,880	45%
Police Officer	47,670	61,441	13,771	29%

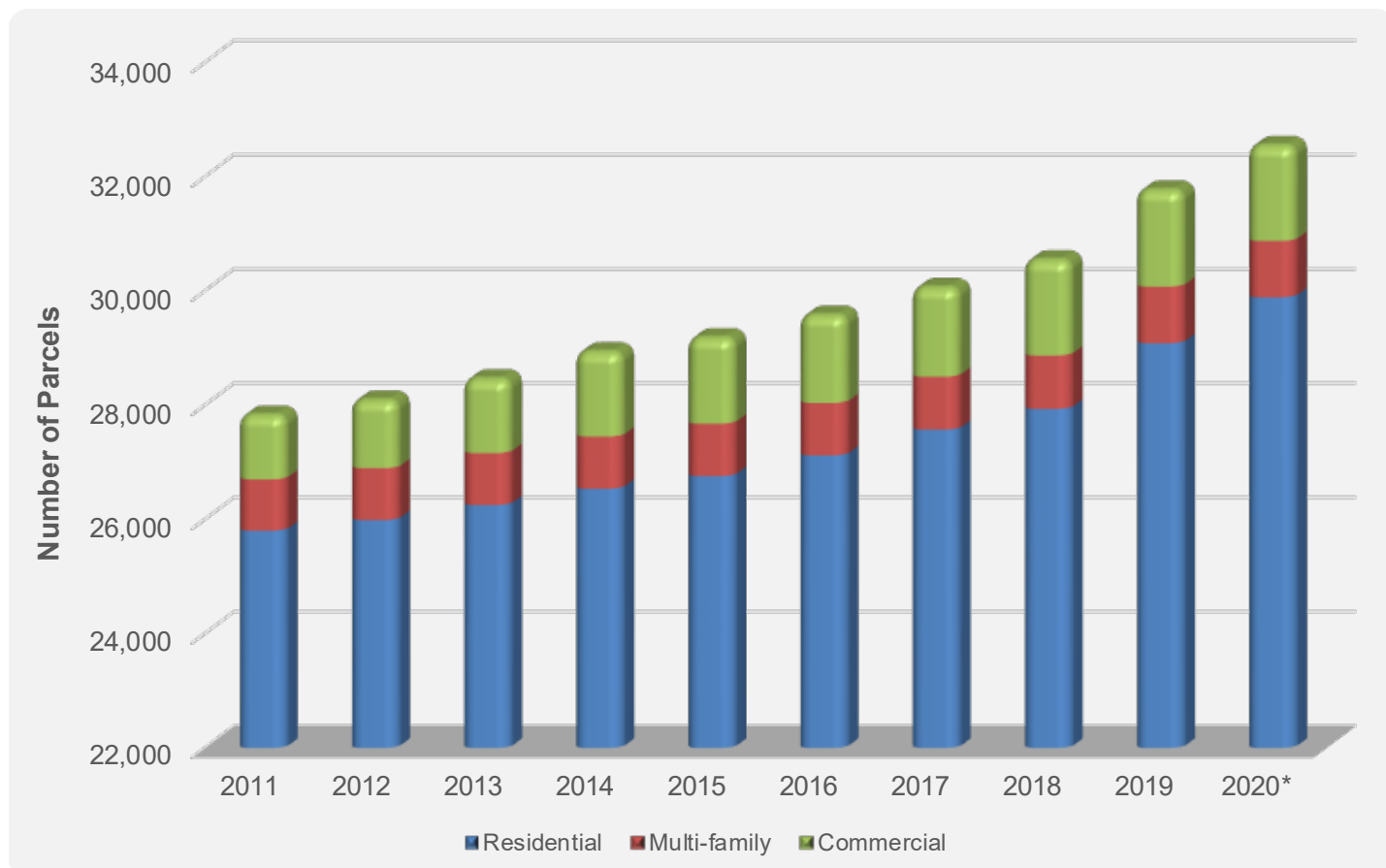
**Consumer Price Index** has increased **13%** over the past 10 years



**Municipal Price Index** has increased **17%** over the past 10 years



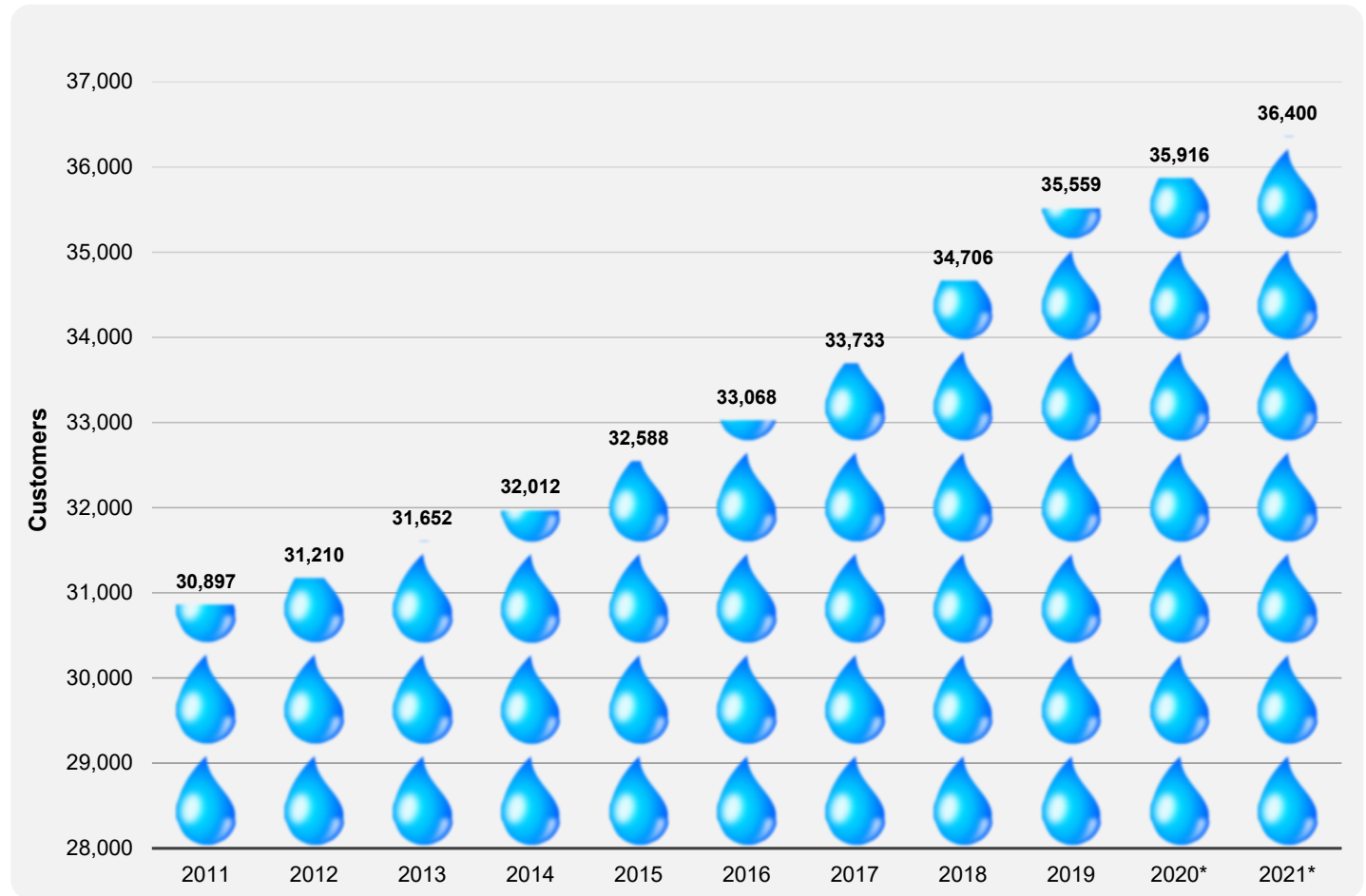
## PARCELS (TAXABLE)



\* Projected

**NOTE:** Excludes personal property accounts.

# UTILITY CUSTOMERS



Year	Utility Billing Customers	Percent of Change
2011	30,897	1.10%
2012	31,210	1.01%
2013	31,652	1.42%
2014	32,012	1.14%
2015	32,588	1.80%
2016	33,068	1.47%
2017	33,733	2.01%
2018	34,706	2.88%
2019	35,559	2.46%
2020*	35,916	1.00%
2021*	36,400	1.35%
<b>10-Year Growth</b>	<b>5,503</b>	<b>17.81%</b>

\* Projected

**Note:** The City has 13 wholesale customers

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# **5-YEAR GENERAL FUND FORECAST**

\*To be updated when data becomes available. Pages 24-27 intentionally omitted.\*





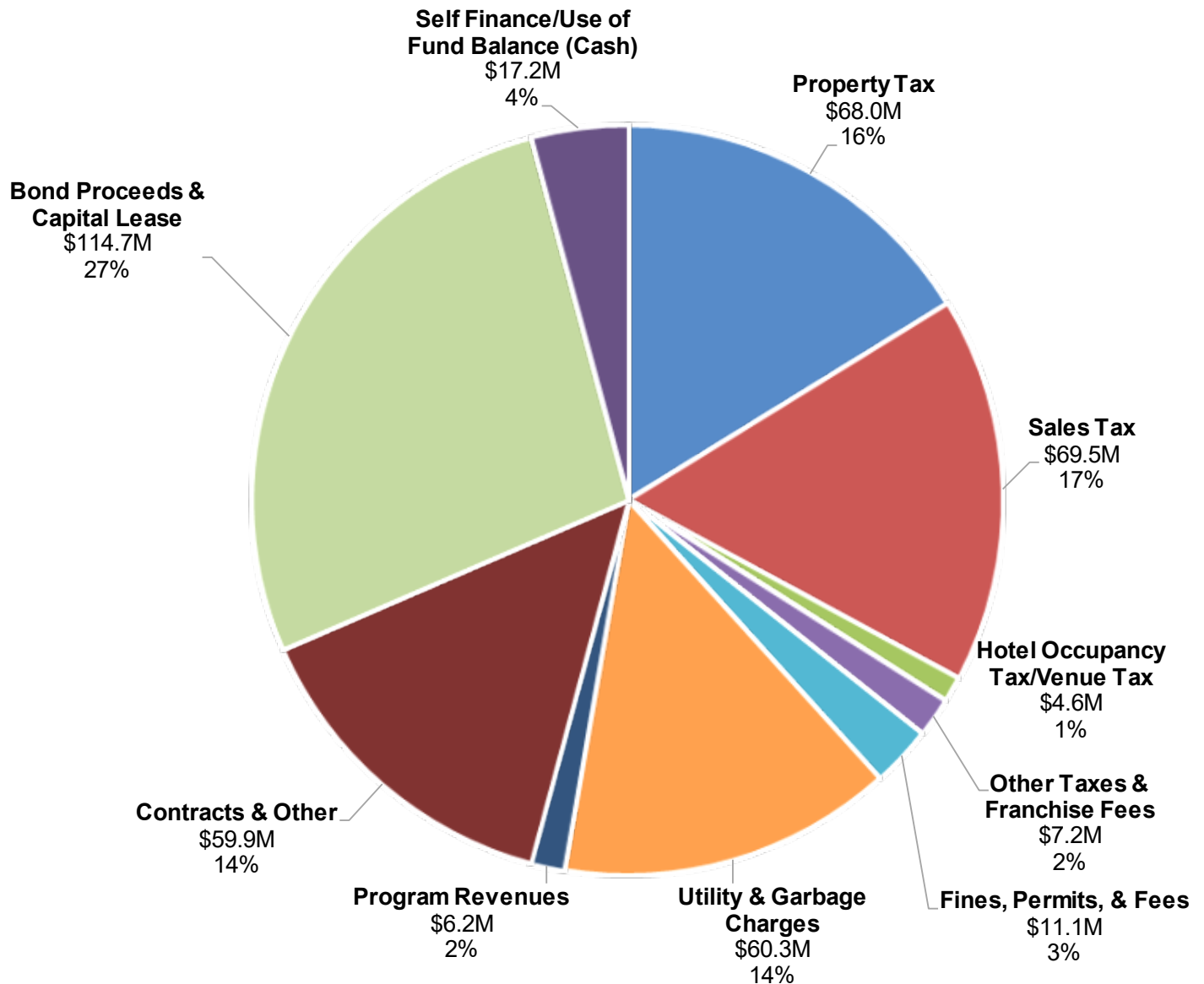
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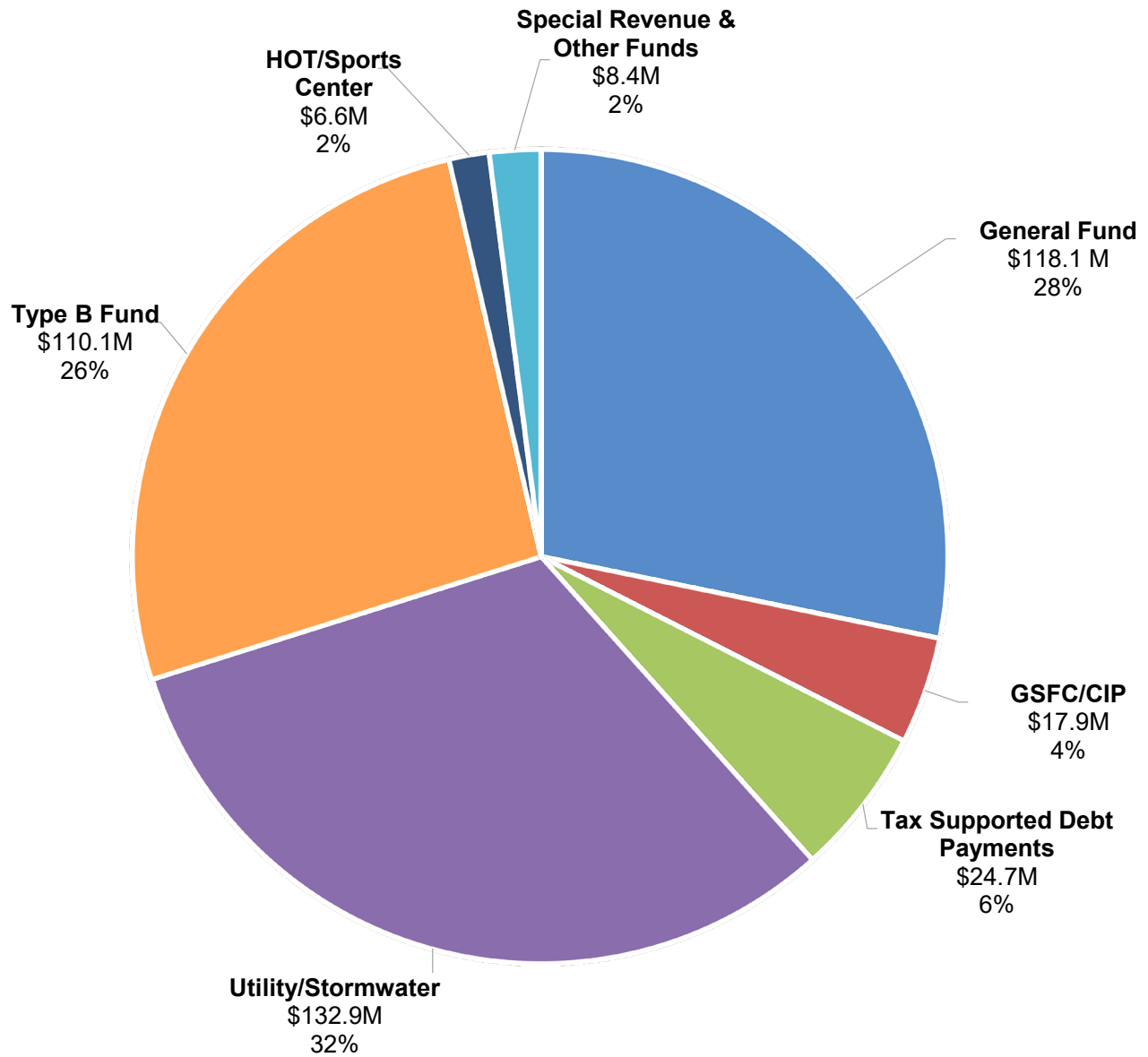
# ALL FUNDS SUMMARY BY SOURCE

**\$418.7 MILLION**



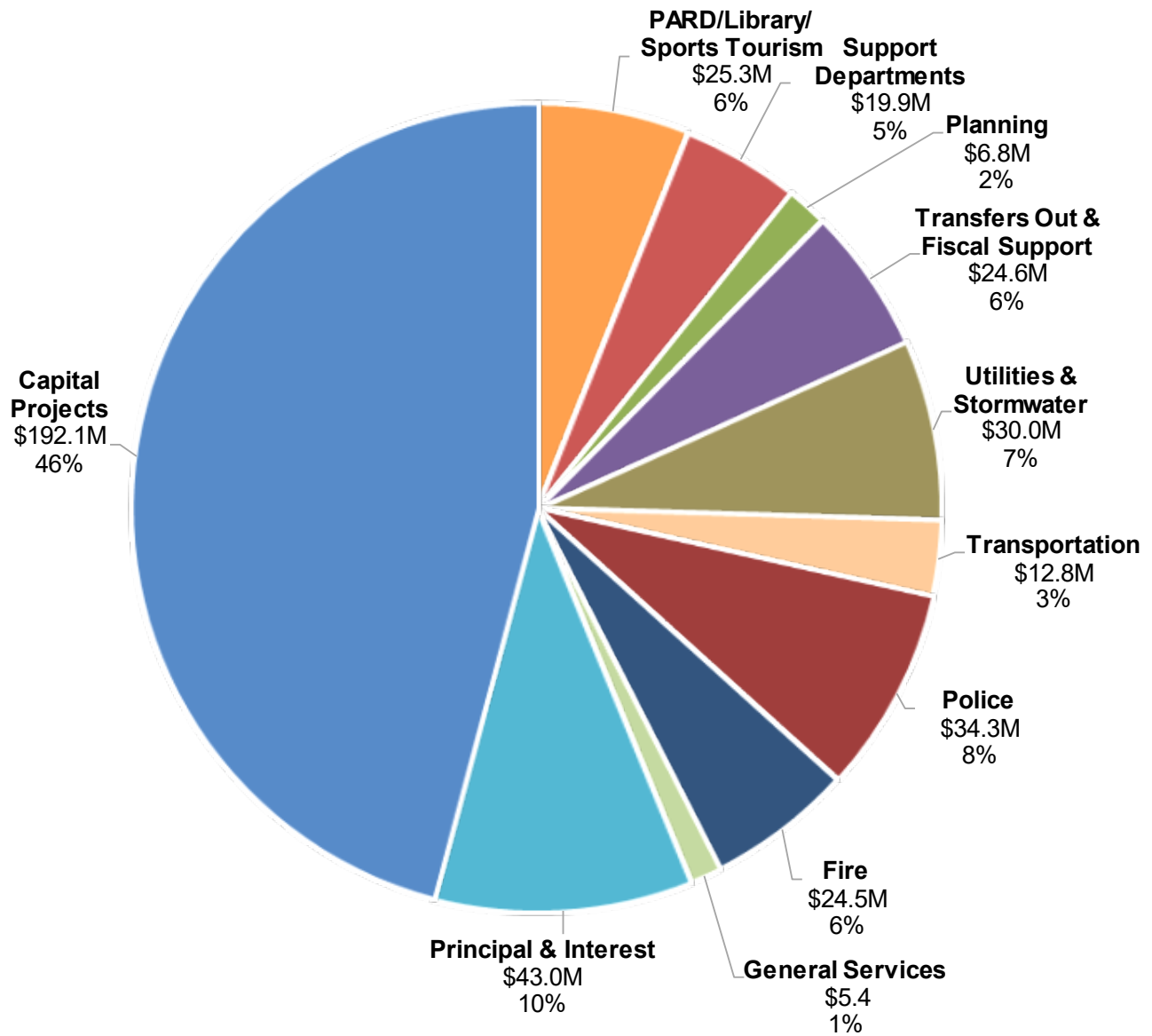
# ALL FUNDS SUMMARY BY FUND

**\$418.7 MILLION**



# ALL FUNDS SUMMARY BY USE

**\$418.7 MILLION**



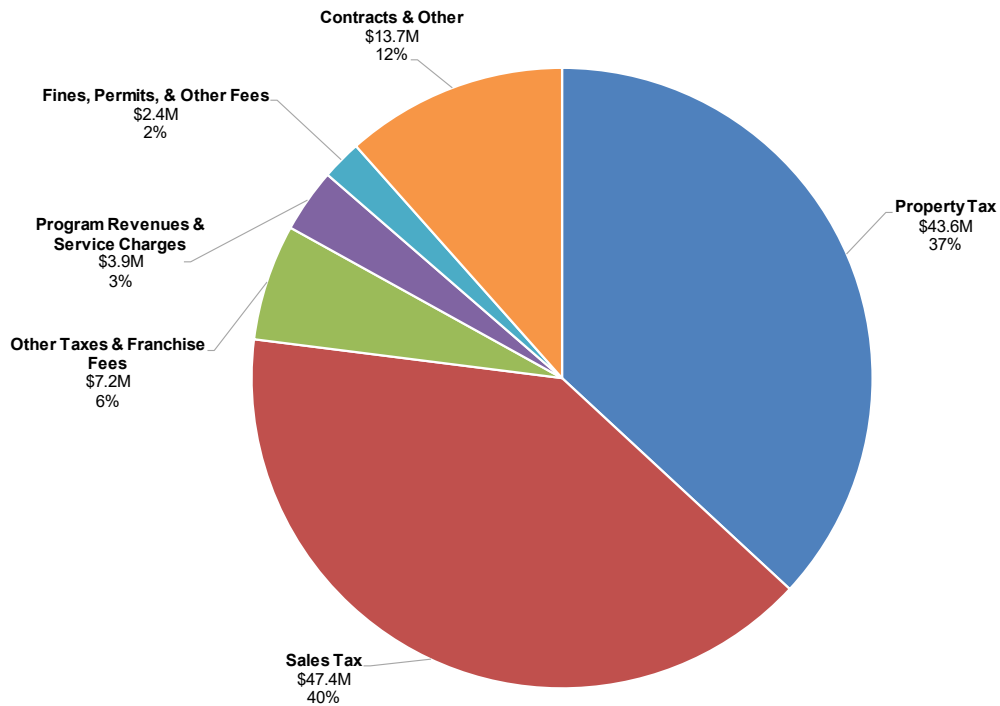
### Environmental Health

**FY 2020-2021 Budget DRAFT - For discussion purposes only**

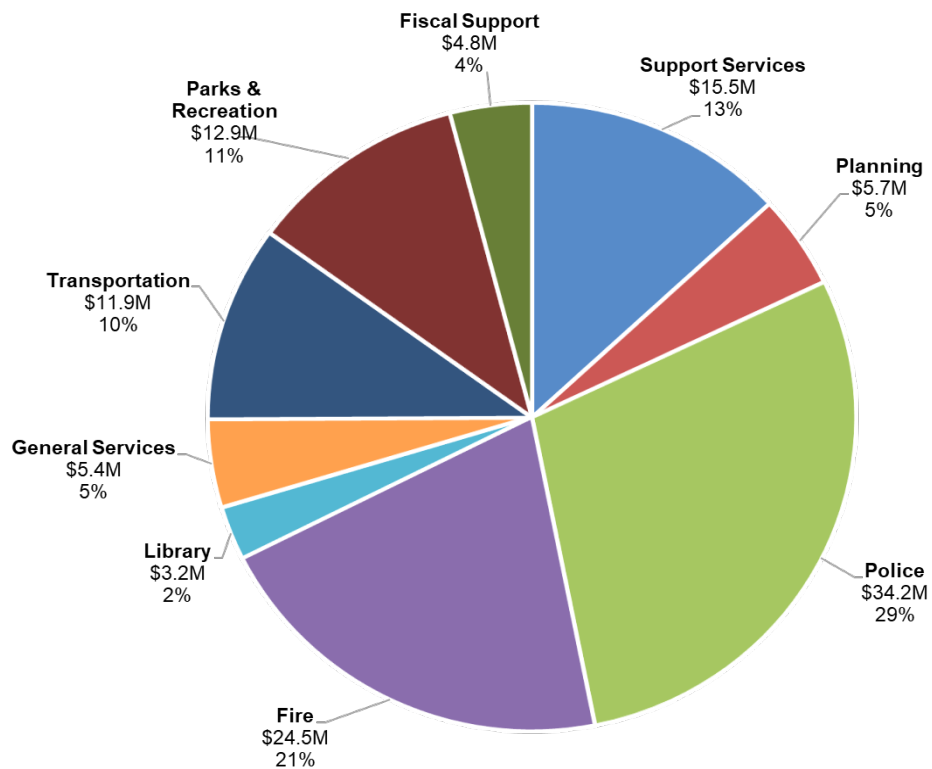
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# GENERAL FUND

# GENERAL FUND REVENUES & EXPENSES



**Revenues**  
\$118.2 million



**Expenses**  
\$118.1 million

**Policy Compliance:** Ongoing revenues exceed ongoing expenses

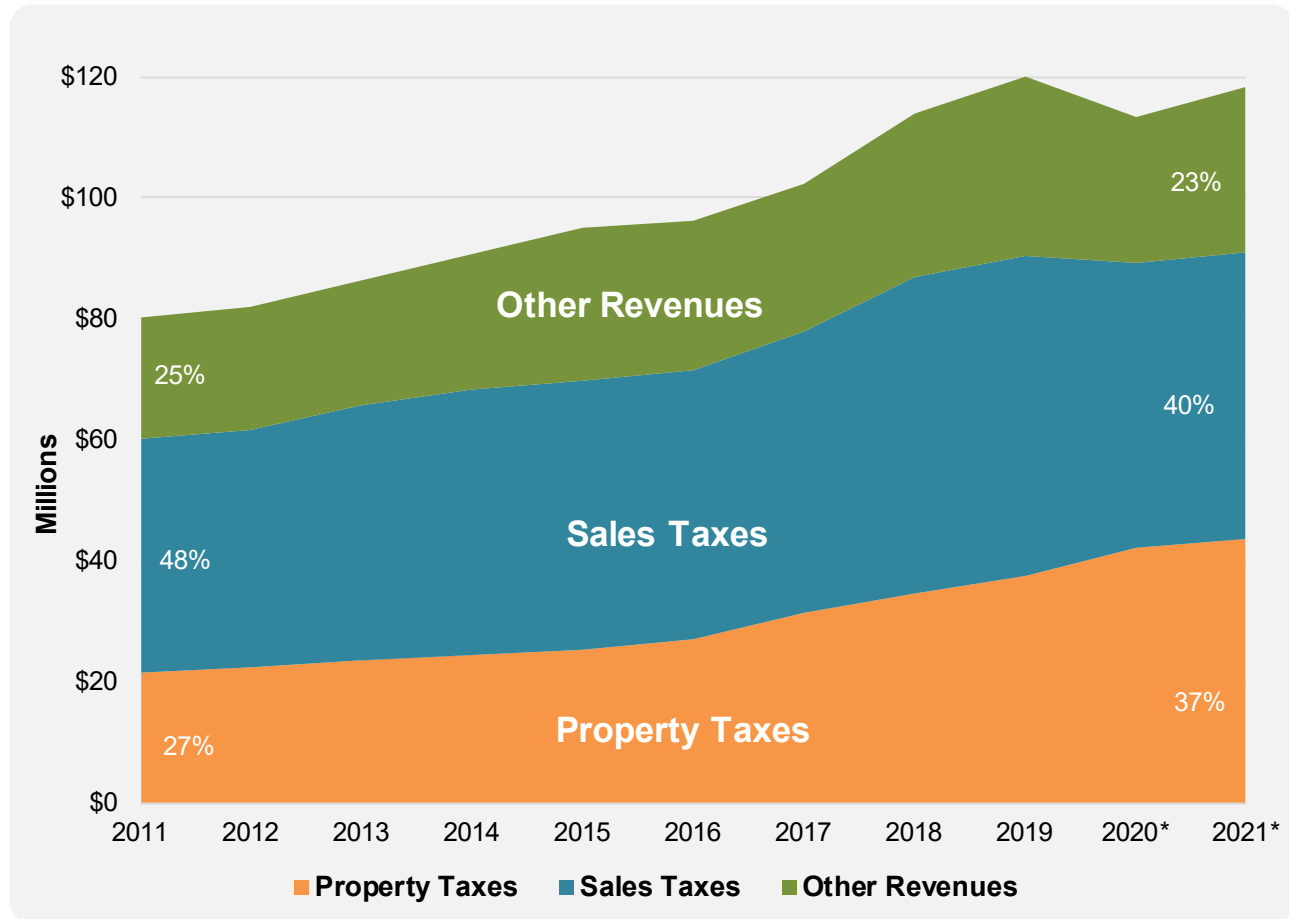
# GENERAL FUND SCHEDULE

<b>General Fund</b>						
	2019 Actuals	2020 Original Budget	2020 Revised Budget	2020 Projected Actual	2021 Proposed Budget	2022 Estimated Budget
<b>Beginning Fund Balance/Working Capital</b>	<b>\$ 53,812,512</b>	<b>\$ 44,361,549</b>	<b>\$ 44,361,549</b>	<b>\$ 49,724,874</b>	<b>\$ 47,352,051</b>	<b>\$ 47,362,048</b>
<b>Revenues</b>						
Property Tax	37,631,725	42,066,250	42,066,250	42,066,250	43,600,000	44,472,000
Sales Tax	52,802,373	52,600,000	47,280,000	47,280,000	47,380,861	48,328,478
Other Taxes & Franchise Fees	7,978,882	7,310,000	7,045,000	7,045,000	7,153,057	7,296,118
Licenses, Permits & Fees	2,008,187	1,695,000	1,405,000	1,405,000	1,483,273	1,512,938
Service Charges	1,381,690	1,375,000	1,280,000	1,280,000	1,292,920	1,318,778
Program Revenues	3,451,886	3,537,400	1,502,400	1,502,400	2,632,887	2,685,545
Fines & Forfeitures	1,640,684	1,280,000	885,000	885,000	965,320	984,626
Contracts & Other	8,714,980	6,835,738	6,290,738	6,961,738	7,544,675	7,695,569
Administrative Support Services	4,555,000	5,061,250	5,061,250	5,061,250	6,107,000	6,229,140
<b>Total Revenues</b>	<b>120,165,407</b>	<b>121,760,638</b>	<b>112,815,638</b>	<b>113,486,638</b>	<b>118,159,993</b>	<b>120,523,193</b>
<b>Expenses</b>						
Administration	1,937,155	1,960,778	1,945,310	1,945,310	2,030,461	2,071,070
Communications	1,104,742	1,231,653	1,184,684	1,184,684	1,162,677	1,185,931
Finance	3,608,426	3,914,533	3,965,955	3,965,955	4,071,814	4,153,250
Fire	23,701,697	23,871,894	23,272,244	23,272,244	24,503,816	24,993,892
Fiscal Support	3,016,893	6,693,743	3,967,743	3,967,743	4,820,023	4,916,423
General Services	4,918,887	5,305,453	5,334,456	5,334,456	5,379,382	5,486,970
Human Resources	1,343,767	1,499,587	1,487,604	1,487,604	1,561,022	1,592,242
Information Technology	4,589,664	4,880,453	4,673,743	4,673,743	5,120,337	5,222,744
Legal Services	1,082,153	1,275,000	1,275,000	1,275,000	1,275,000	1,275,000
Library	2,925,578	3,149,374	3,126,892	3,126,892	3,243,255	3,308,120
Parks & Recreation	12,623,156	12,725,599	12,618,168	12,618,168	12,929,851	13,188,448
Planning & Development	5,224,472	5,666,259	5,655,028	5,655,028	5,669,042	5,782,423
Police	32,280,182	33,671,995	33,297,313	33,297,313	34,231,365	34,915,992
Recycling	132,224	252,852	255,359	255,359	266,787	272,123
Transportation	8,825,321	10,608,464	10,182,761	10,182,761	10,401,164	10,609,187
Neighborhood Street Maintenance	4,300,000	4,300,000	-	-	1,484,000	1,513,680
Operating Transfer - OSP Repair Fund	200,000	200,000	-	-	-	-
<b>Total Expenses</b>	<b>111,814,317</b>	<b>121,207,637</b>	<b>112,242,260</b>	<b>112,242,260</b>	<b>118,149,996</b>	<b>120,487,496</b>
<b>Net Revenues</b>	<b>\$ 8,351,090</b>	<b>\$ 553,001</b>	<b>\$ 573,378</b>	<b>\$ 1,244,378</b>	<b>\$ 9,997</b>	<b>\$ 35,697</b>
<b>Less:</b>						
Transfer to GSFC	12,438,728	3,617,201	3,617,201	3,617,201	-	-
<b>Total Transfers</b>	<b>12,438,728</b>	<b>3,617,201</b>	<b>3,617,201</b>	<b>3,617,201</b>	<b>-</b>	<b>-</b>
<b>Ending Fund Balance</b>	<b>\$ 49,724,874</b>	<b>\$ 41,297,349</b>	<b>\$ 41,317,726</b>	<b>47,352,051</b>	<b>47,362,048</b>	<b>\$ 47,397,745</b>
Contingency	28,507,486	30,301,909	30,301,909	30,301,909	30,301,909	30,301,909
Contingency % of Operating Expenditures	25.50%	25.00%	27.00%	27.00%	25.65%	25.15%
Concentration Risk Fund	10,182,573	10,830,584	10,830,584	10,830,584	10,770,000	10,770,000
<b>Total Reservations</b>	<b>38,690,059</b>	<b>41,132,493</b>	<b>41,132,493</b>	<b>41,132,493</b>	<b>41,071,909</b>	<b>41,071,909</b>
<b>Adjusted Ending Fund Balance</b>	<b>\$ 11,034,815</b>	<b>\$ 164,856</b>	<b>\$ 185,233</b>	<b>\$ 6,219,558</b>	<b>\$ 6,290,139</b>	<b>\$ 6,325,836</b>

Total for Neighborhood Streets Maintenance FY2021 - \$1,484,000



# GENERAL FUND REVENUES BY MAJOR CATEGORY



Year	Property Taxes	Sales Taxes <sup>1</sup>	Other Revenues	Total Revenue
2011	21,457,249	38,626,231	20,123,483	80,206,963
2012	22,248,742	39,443,432	20,380,583	82,072,757
2013	23,493,510	42,280,277	20,610,670	86,384,457
2014	24,330,110	43,926,440	22,501,741	90,758,291
2015	25,416,408	44,456,908	25,162,098	95,035,414
2016	27,017,296	44,470,674	24,854,687	96,342,657
2017	31,397,927	46,595,602	24,409,181	102,402,710
2018	34,559,009	52,396,862	27,128,154	114,084,025
2019	37,631,725	52,802,373	29,731,309	120,165,407
2020*	42,066,250	47,280,000	24,140,388	113,486,638
2021*	43,600,000	47,380,861	27,179,132	118,159,993

<sup>1</sup> Sales tax presented net of rebates

\* Projected

For more details on General Fund revenues, see pages 40 and 42.

# GENERAL FUND REVENUES BY DETAILED CATEGORY

Revenues	2018 Actual	2019 Actual	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
<b>Property Tax</b>					
Property Tax	\$34,559,009	\$37,631,725	\$42,066,250	\$42,066,250	\$43,600,000
<b>Sales Tax</b>					
Sales Tax	52,396,862	52,802,373	52,600,000	47,280,000 <sup>5</sup>	47,380,861
<b>Other Taxes &amp; Franchise Fees</b>					
Bingo/Mixed Drink Tax	647,243	697,835	630,000	365,000 <sup>5</sup>	448,308
Franchise Fees	6,921,542	7,188,439	6,610,000	6,610,000 <sup>6</sup>	6,622,749
<b>License, Permits, &amp; Fees</b>					
Building Permits/Inspections	1,321,750	1,422,425	1,250,000	1,075,000 <sup>5</sup>	1,063,354
Library Fees	108,624	109,389	105,000	55,000 <sup>5</sup>	75,652
Filing Fees	245,448	279,345	178,000	118,000 <sup>5</sup>	190,659
Fire Protection Fees	3,070,535	3,386,239	3,610,000	3,570,000 <sup>5</sup>	3,577,588
Other Permits	47,608	49,761	45,000	40,000 <sup>5</sup>	36,735
<b>Service Charges</b>					
Garbage	1,131,988	1,273,219	1,265,000	1,215,000	1,232,349
<b>Program Revenues</b>					
Recreation Fees	2,996,724	3,045,906	3,090,900	1,255,900 <sup>5</sup>	2,335,778
Rentals	505,020	361,059	415,500	240,500 <sup>5</sup>	270,491
<b>Fines &amp; Forfeitures</b>					
Fines	1,141,718	1,576,215 <sup>1</sup>	1,206,000	836,000	916,288
<b>Contracts &amp; Others</b>					
Police Reimbursement	1,236,705	1,177,763	1,020,000	650,000 <sup>7</sup>	998,071
Grants	1,245,423	1,278,171 <sup>2</sup>	158,538	158,538	292,500
Interest	926,562	1,433,233 <sup>3</sup>	900,000	725,000 <sup>3</sup>	990,000
Other	1,839,906	1,845,310	1,549,200	1,494,200 <sup>5</sup>	2,021,610
<b>Transfer In</b>					
Administrative Support <sup>4</sup>	3,741,358	4,607,000	5,061,250	5,061,250	6,107,000
<b>Total Revenues</b>	<b>\$114,084,025</b>	<b>\$120,165,407</b>	<b>\$121,760,638</b>	<b>\$112,815,638</b>	<b>\$118,159,993</b>

## GENERAL FUND REVENUE CHANGES

1. Fines revenues were higher in FY 2019 due to an increase in ticket counts.
2. A one-time Opioid Grant was awarded in the amount of \$1.3 million, which caused a one-time uptick in FY 2018 and FY 2019 Revenues.
3. Interest increased due to rising short term interest rates in 2018 and 2019. Rates have since dropped to near zero.
4. Administrative Support Services were reviewed and adjusted as part of the FY 2019, FY 2020, and FY 2021 budget process.
5. Due to the impacts of COVID-19 occurring late in the second quarter of FY 2020, revenues were revised for FY 2020 and decreased. Most of the General Fund Revenues are expected to make a steady slow increase for FY 2021.

## GENERAL FUND REVENUES BY DETAILED CATEGORY

6. Franchise Fee - Communications has decreased by \$330,000 from FY 2019 to FY 2021, due to the impacts of Senate Bill 1152.
7. Police Reimbursement revenues will continue to see a decrease for FY 2020 and beyond due to the SRO phaseout for RRISD. Revenues for FY 2020 are also projected to be lower due to COVID-19 with RRISD ending on campus school early.

### GENERAL FUND REVENUE DEFINITIONS

**Property Tax** – Revenues are higher in FY 2021 primarily due to the recommended rate. The tax rate was increased 1.47% to \$0.429 per \$100 to pay for debt costs. See further discussion of property tax rates and valuations under the Property Valuations & Taxes tab.

**Sales Tax** – Sales tax revenues reflect 1.5% of the 2.0% local option taxes collected by the City. For FY 2020 and beyond, projections were adjusted downward to reflect recessionary conditions with Dell capped by policy to no more than 20% of net General Fund sales tax revenues.

**Other Taxes & Franchise Fees** – Other Taxes collected include, mixed drink tax, bingo tax and penalty & interest fees from current and delinquent property tax. Franchise fees collected from electricity, gas, and telecommunications grow modestly with the general population growth. These fees are based on gross revenues; therefore, mild weather can reduce fees received from electric and gas providers. For FY 2020 and beyond there will be a decrease in Franchise Fees due to the impacts of SB 1152, meaning companies pay the higher fee between communications and cablevision instead of both as it was in the past.

**Licenses, Permits & Fees** – These include planning and development related revenues, beer & liquor licenses and animal control licenses. Fire Protection Fees offset the City's cost of providing fire protection services to ESD #9.

**Service Charges** – Garbage and brush hauling revenues reflect the net fee from Round Rock Refuse. Average residential customers pay \$19.37 per month for garbage with the City retaining 15.5% or \$3.00. Lot clearing service charges are collected when City employees remove a nuisance and charge the owner.

**Program Revenues** – Recreation fees are program revenues for all PARD programs, rentals, leagues, and the Clay Madsen Recreation Center.

**Fines & Forfeitures** – Library fines and fees for overdue and lost library materials incur fines. Police department fines for citations issued for traffic violations, City ordinance violations and other provisions of state law.

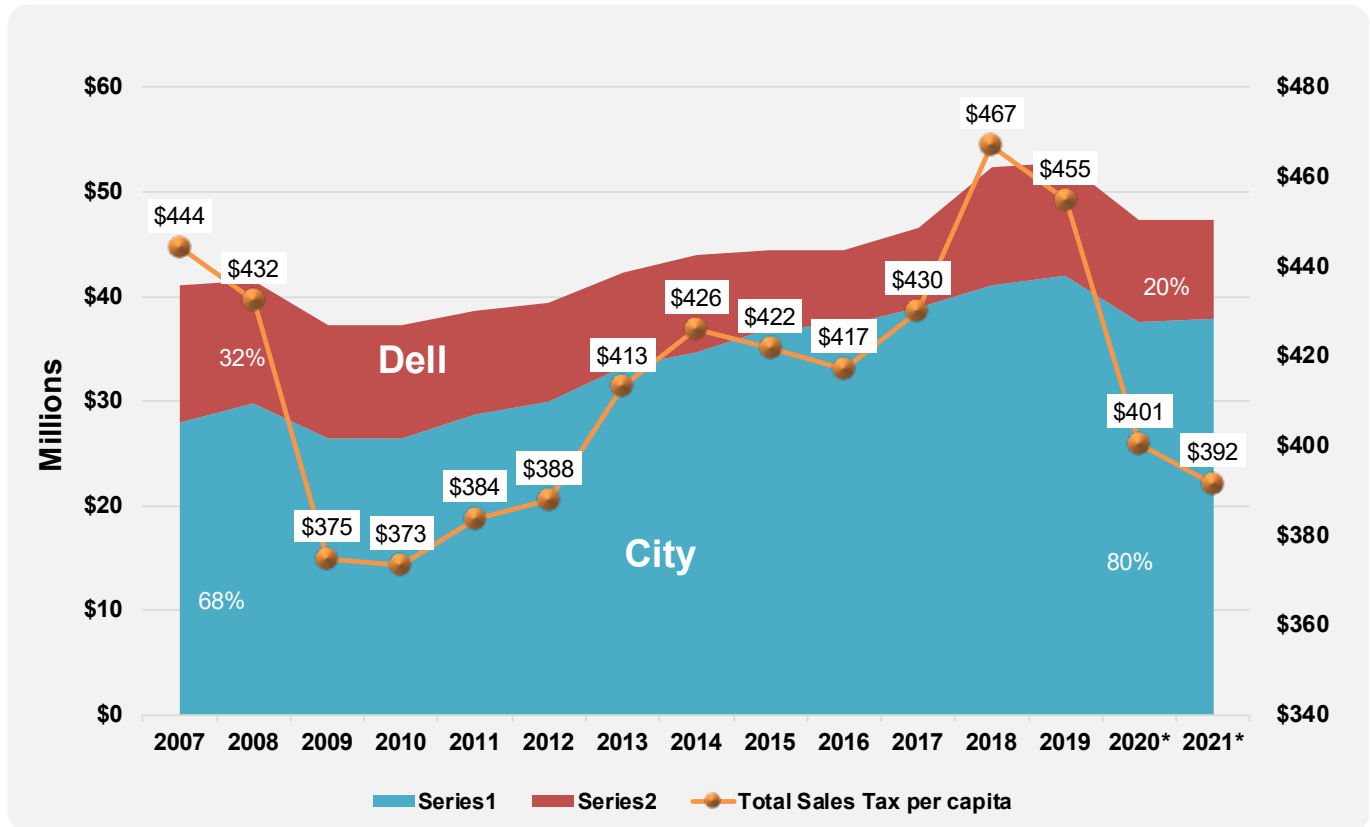
**Contracts & Other** – Other revenues include sale of assets, capitalized lease proceeds, donations and contributions, insurance and grant reimbursements, police reimbursements, and other miscellaneous small revenue categories. Police Reimbursements includes overtime reimbursements and the School Resource Officer reimbursements from RRISD. SRO reimbursements have decreased starting in FY 2019 due to phasing out the SRO program.

**Administrative Support Services** – Administrative Support Services are transfers from the Utility Fund, Drainage Fund, and Type B Fund to reimburse the General Fund for their allocated share of administrative support costs. The cost allocations are reviewed annually and are based on generally accepted cost allocation methodology.

# 10 YEAR REVENUE HISTORY

Revenues	2012 Actual	2013 Actual	2014 Actual	2015 Actual	2016 Actual	2017 Actual	2018 Actual	2019 Actual	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
<b>Property Tax</b>											
Property Tax	22,248,742	23,493,510	24,330,110	\$25,416,408	\$27,017,296	\$31,397,927	\$34,559,009	\$37,631,725	\$42,066,250	\$42,066,250	\$43,600,000
<b>Sales Tax</b>											
Sales Tax	39,443,432	42,280,277	43,926,440	44,456,908	44,470,674	46,595,602	52,396,862	52,802,373	52,600,000	47,280,000	47,380,861
<b>Other Taxes &amp; Franchise Fees</b>											
Bingo/Mixed Drink Tax	316,320	347,846	477,606	515,777	566,712	627,163	647,243	697,835	630,000	365,000	448,308
Franchise Fees	6,780,869	6,350,633	6,694,566	6,991,328	6,910,978	6,875,050	6,921,542	7,188,439	6,610,000	6,610,000	6,622,749
<b>License, Permits, &amp; Fees</b>											
Building Permits/Inspections	431,100	739,312	494,182	1,129,239	1,288,156	1,156,239	1,321,750	1,422,425	1,250,000	1,075,000	1,063,354
Library Fees	164,360	180,812	194,507	168,581	110,145	100,439	108,624	103,389	105,000	55,000	75,652
Filing Fees	147,380	152,753	163,888	208,186	244,274	151,169	245,448	279,345	178,000	118,000	190,659
Fire Protection Fees	1,156,017	1,323,726	1,544,772	1,824,431	2,178,476	2,668,785	3,070,535	3,386,239	3,610,000	3,570,000	3,577,588
Other Permits	52,942	54,342	43,788	54,944	55,220	49,833	47,608	49,761	45,000	40,000	36,735
<b>Service Charges</b>											
Garbage	1,293,005	1,301,463	1,314,845	1,345,951	1,334,511	1,286,845	1,131,988	1,273,219	1,265,000	1,215,000	1,232,349
<b>Program Revenues</b>											
Recreation Fees	2,251,993	2,508,903	2,481,069	2,486,062	3,100,288	3,229,195	2,996,724	3,045,906	3,090,900	1,255,900	2,335,778
Rentals	214,444	179,277	168,697	324,508	363,617	318,039	505,020	361,059	415,500	240,500	270,491
<b>Fines &amp; Forfeitures</b>											
Fines	2,072,580	2,482,648	2,266,821	1,817,319	1,145,118	1,057,221	1,141,718	1,576,215	1,206,000	836,000	916,288
<b>Contracts &amp; Others</b>											
Police Reimbursement	298,463	388,697	800,725	909,018	1,620,065	1,236,326	1,236,705	1,177,763	1,020,000	650,000	998,071
Grants	387,653	190,741	325,477	180,119	131,807	316,589	1,245,423	1,278,171	158,538	158,538	292,500
Interest	295,851	195,687	203,406	265,991	373,585	518,871	926,562	1,433,233	900,000	725,000	990,000
Other	1,889,003	952,800	1,360,193	1,958,153	1,778,735	1,109,618	1,839,906	1,845,310	1,549,200	1,494,200	2,021,610
Capital Lease Proceeds	313,603	445,980	727,699	1,327,490	-	-	-	-	-	-	-
<b>Transfer In</b>											
Administration Allocations	2,315,000	2,815,050	3,239,497	3,655,000	3,653,000	3,707,800	3,741,358	4,607,000	5,061,250	5,061,250	6,107,000
<b>Total Revenues</b>	<b>82,072,757</b>	<b>\$86,384,457</b>	<b>\$90,758,291</b>	<b>\$95,035,414</b>	<b>\$96,342,657</b>	<b>\$102,402,710</b>	<b>\$114,084,025</b>	<b>\$120,165,407</b>	<b>\$121,760,638</b>	<b>\$112,815,638</b>	<b>\$118,159,993</b>

# SALES TAX REVIEW



Year	Dell (net of Rebate)	Net Dell %	Dell (net of Rebate) per capita	Other Businesses	City %	Other Business per capita	Total GF Sales Tax	Total Sales Tax per capita
2007	13,103,083	32%	142	27,978,278	68%	302	41,081,361	444
2008	11,796,539	28%	123	29,806,072	72%	310	41,602,611	432
2009	10,919,426	29%	110	26,375,579	71%	265	37,295,004	375
2010	10,818,148	29%	108	26,478,686	71%	265	37,296,834	373
2011	9,977,032	26%	99	28,649,199	74%	285	38,626,231	384
2012	9,549,499	24%	94	29,893,933	76%	294	39,443,432	388
2013	9,031,746	21%	88	33,248,531	79%	325	42,280,277	413
2014	9,294,555	21%	90	34,631,885	79%	336	43,926,440	426
2015	7,542,157	17%	72	36,914,750	83%	350	44,456,908	422
2016	7,153,436	16%	67	37,317,239	84%	350	44,470,674	417
2017	7,592,734	16%	70	39,002,868	84%	360	46,595,602	430
2018	11,280,421	20%	101	41,116,441	80%	366	52,396,862	467
2019	10,840,156	20%	93	41,962,217	80%	361	52,802,373	455
2020*	9,656,000	20%	82	37,624,000	80%	319	47,280,000	401
2021*	9,530,580	20%	79	37,850,281	80%	313	47,380,861	392

\* Projected

# GENERAL FUND SPENDING

## SPENDING PER CAPITA BY DEPARTMENT

Department	2018 Actual	2019 Actual	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
Administration	\$ 16.20	\$ 16.68	\$ 16.43	\$ 16.30	\$ 16.59
Communications	6.42	9.51	10.32	9.92	9.50
Finance	28.56	31.07	32.79	33.22	33.28
Fire	195.24	204.11	199.98	194.96	200.27
General Services	39.56	42.36	44.45	44.69	43.97
Human Resources	11.03	11.57	12.56	12.46	12.76
Information Technology	40.13	39.53	40.88	39.15	41.85
Library	24.65	25.19	26.38	26.19	26.51
Parks & Recreation	103.99	108.71	106.61	105.71	105.67
Planning & Development Services	42.36	44.99	47.47	47.37	46.33
Police	275.70	277.99	282.08	278.94	279.77
Recycling	1.36	1.14	2.12	2.14	2.18
Transportation	103.05	113.03	124.89	85.30	97.14

## % CHANGE IN BUDGET BY DEPARTMENT

Department	2018 Actual	2019 Actual	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
Administration	-2.6%	3.0%	-1.5%	-0.8%	1.8%
Communications	-1.8%	48.1%	8.5%	-3.9%	-4.2%
Finance	-0.1%	8.8%	5.5%	1.3%	0.2%
Fire	17.7%	4.5%	-2.0%	-2.5%	2.7%
General Services	6.9%	7.1%	4.9%	0.5%	-1.6%
Human Resources	-1.1%	4.9%	8.6%	-0.8%	2.4%
Information Technology	6.9%	-1.5%	3.4%	-4.2%	6.9%
Library	0.4%	2.2%	4.7%	-0.7%	1.2%
Parks & Recreation	1.4%	4.5%	-1.9%	-0.8%	0.0%
Planning & Development Services	23.3%	6.2%	5.5%	-0.2%	-2.2%
Police	7.8%	0.8%	1.5%	-1.1%	0.3%
Recycling	4.6%	-16.2%	86.0%	0.9%	1.9%
Transportation	20.0%	9.7%	10.5%	-31.7%	13.9%

**Note:** The information above is noted in the department sections.

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# OTHER FUNDS

# UTILITIES FUND SCHEDULE

Utility Fund- All					
PRELIMINARY DRAFT - FOR DISCUSSION ONLY					
	18/19 Actual	19/20 Budget	19/20 Projected Actual	20/21 Proposed Budget	21/22 Estimated Budget
<b>Beginning Fund Balance/Working Capital</b>	<b>\$136,031,866</b>	<b>\$125,802,352</b>	<b>\$143,771,898</b>	<b>\$129,598,811</b>	<b>\$110,858,526</b>
<b>Revenues</b>					
Water Service	29,022,075	28,500,000	28,500,000	29,620,483	29,791,907
Water Related Charges	375,627	375,000	375,000	375,000	375,000
Sewer Service	18,385,175	18,300,000	18,300,000	18,766,785	18,917,892
Sewer Service - BCRWWS	2,571,065	3,350,000	3,150,000	4,080,500	5,131,000
Sewer Related Charges	316,903	309,000	309,000	310,000	310,000
Other Charges	2,289,098	1,421,500	1,571,500	2,013,500	1,963,500
Impact Fees	10,893,493	8,250,000	8,000,000	8,250,000	7,500,000
Bond Proceeds	-	-	-	29,385,000	23,970,000
Proceeds-Sale of Assets	63,446	20,000	20,000	50,000	50,000
Contributions from Regional Partners	6,176,336	35,844,200	21,928,692	10,375,000	14,625,000
Investment, Donations & Other Misc	4,424,225	1,058,300	1,610,000	2,170,000	1,350,000
<b>Total Revenues</b>	<b>74,517,443</b>	<b>97,428,000</b>	<b>83,764,192</b>	<b>105,396,268</b>	<b>103,984,299</b>
<b>Operating Expenses</b>					
Utility Billings & Collection	1,940,405	2,147,101	2,182,427	2,198,483	2,254,723
Fiscal Support Services	1,033,513	1,753,401	1,297,443	1,578,654	1,592,499
Utility Administration	1,821,636	2,641,860	2,711,095	2,258,417	2,357,252
Water Treatment Plant	8,182,962	11,542,176	9,823,038	10,315,537	10,416,235
Water Systems Support	2,417,494	-	-	-	-
Water Line Maintenance	2,768,543	3,754,729	4,820,732	4,861,439	5,005,907
Wastewater Treatment Plant	5,763,942	6,165,797	6,165,797	5,977,645	6,142,762
Wastewater System Support	329,726	-	-	-	-
Wastewater Line Maintenance	1,965,602	2,455,140	2,550,265	2,413,368	2,507,175
Environmental Services	562,255	737,572	750,979	628,496	651,698
Administrative Support Services	3,525,000	4,000,250	4,000,250	4,930,000	5,165,000
Issuance Cost	-	-	-	185,000	170,000
Debt Principal & Interest Payment	5,539,750	5,523,000	5,523,000	6,348,400	7,293,000
BCRUA Debt Expense	4,156,957	4,220,000	4,220,000	4,240,000	4,255,000
Regional Water/WW Reimbursable Expense	286,766	183,300	470,000	1,020,000	200,000
<b>Total Operating Expenses</b>	<b>40,294,551</b>	<b>45,124,326</b>	<b>44,515,026</b>	<b>46,955,439</b>	<b>48,011,251</b>
<b>Net Revenue</b>	<b>34,222,892</b>	<b>52,303,674</b>	<b>39,249,166</b>	<b>58,440,829</b>	<b>55,973,048</b>
Capital Costs	26,482,860	71,944,838	53,422,253	77,181,114	76,408,460
<b>Total Expenses</b>	<b>66,777,411</b>	<b>117,069,164</b>	<b>97,937,279</b>	<b>124,136,553</b>	<b>124,419,711</b>
<b>Ending Fund Balance/Working Capital</b>	<b>143,771,898</b>	<b>106,161,188</b>	<b>129,598,811</b>	<b>110,858,526</b>	<b>90,423,114</b>
Fund Reserve (33% of operating expenses)	12,621,324	12,886,846	13,635,609	14,280,022	14,889,101
<b>Available Fund Balance/Working Capital</b>	<b>\$131,150,574</b>	<b>\$93,274,342</b>	<b>\$115,963,202</b>	<b>\$96,578,504</b>	<b>\$75,534,013</b>

- 1) FY21 Support Services updated with most recent budget; FY22 includes 5% inflation factor
- 2) FY21 Available Fund Balance includes \$21M restricted funds for growth (impact fee) and oversize related water or sewer projects
- 3) \$71M in Water/WW scheduled projects in FY21 & FY22 - \$34M for WW collection projects and the City's portion of East WWTP expansion and \$37M in water projects including a 48" line on Sam Bass Road budgeted for \$14M
- 4) Ending fund balance includes \$45M future cash funding for the BCRUA Phase 2 Deep Water Intake Construction
- 5) FY21 & FY22- Includes \$73M total contribution and expense for BCRWWS Partners' portion of East WWTP expansion project



## UTILITIES FUND REVENUES

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	2017	2018	2019	2020	2021
Revenues	Actual	Actual	Actual	Projected Actual	Proposed Actual
Water & Related Charges	26,984,346	29,737,476	29,397,702	28,875,000	29,995,483
Wastewater & Related Services	19,809,511	20,185,749	21,273,143	21,759,000	23,157,285
Other Charges	1,599,900	1,246,809	2,289,098	1,571,500 <sup>1</sup>	2,013,500
Impact Fees	8,215,831	10,655,684	10,893,493	8,000,000	8,250,000
Contracts & Other	2,277,664	4,567,158	10,664,007	23,558,692 <sup>2</sup>	41,980,000 <sup>3</sup>
<b>Total Revenues</b>	<b>\$58,887,252</b>	<b>\$66,392,876</b>	<b>\$74,517,443</b>	<b>\$83,764,192</b>	<b>\$105,396,268</b>

### UTILITIES FUND REVENUE HIGHLIGHTS

**Water and Wastewater Revenues** – These can vary greatly based on weather conditions. Customer growth has continued at a steady 1-2%. Average consumption is used for forecasting future revenues.

No water or wastewater increases for FY 2021.

### UTILITY FUND REVENUE CHANGES

1. Revenues were under budgeted, re-evaluated revenues to be more in line with actuals.
2. Contracts and Other has increased from FY 2019 to FY 2020 due to revenues paid by regional partners for capital projects, primarily related to BCRWWS operations.
3. Contracts and Other has increased from FY 2020 to FY 2021 due to bond proceeds for the expansion of the WWTP.

# STORMWATER FUND SCHEDULE

STORMWATER FUND- ALL		Preliminary Draft - Subject to Change			
	18/19 Actual	19/20 Budget	19/20 Projected Actual	20/21 Proposed Budget	21/22 Estimated Budget
<b>Beginning Fund Balance/Working Capital</b>	<b>\$13,046,912</b>	<b>\$9,106,176</b>	<b>\$11,238,345</b>	<b>\$8,534,565</b>	<b>\$3,863,817</b>
<b>Revenues &amp; Other Services</b>					
Residential Fees	1,689,294	1,679,494	1,706,181	1,723,243	2,106,892
Commercial Fees	1,958,364	1,964,668	2,070,022	2,090,722	2,601,783
Interest Income	304,384	70,000	70,000	100,000	50,000
Insurance Proceeds	-	-	-	-	-
Proceeds-Sale of an Asset	45,459	-	-	-	-
Bond Proceeds	-	-	-	-	6,180,000
Investment, Donations & Other Misc	206,600	675,000	882,000	30,000	30,000
Transfers In	-	-	-	-	-
Regional Detention Fees	198,717	160,000	165,000	160,000	160,000
<b>Total Revenues</b>	<b>4,402,818</b>	<b>4,549,162</b>	<b>4,893,203</b>	<b>4,103,965</b>	<b>11,128,675</b>
<b>Operating Expenses</b>					
Operations	1,359,407	1,833,107	1,833,107	1,890,445	2,027,415
Engineering	549,058	796,749	796,749	755,018	788,092
Administrative Support Services	250,000	258,000	258,000	266,000	274,000
Issuance Cost	-	-	-	-	180,000
Debt Principal & Interest Payment	565,131	561,731	561,750	558,250	980,750
<b>Total Operating Expenses</b>	<b>2,723,596</b>	<b>3,449,587</b>	<b>3,449,606</b>	<b>3,469,713</b>	<b>4,250,257</b>
<b>Net Revenue</b>	<b>1,679,222</b>	<b>1,099,575</b>	<b>1,443,597</b>	<b>634,252</b>	<b>6,878,418</b>
Capital Replacement	105,613	290,000	290,000	80,000	205,000
Capital Costs (5yr CIP)	3,382,176	4,160,000	3,857,377	5,225,000	6,415,000
<b>Total Expenses</b>	<b>6,211,385</b>	<b>7,899,587</b>	<b>7,596,983</b>	<b>8,774,713</b>	<b>10,870,257</b>
<b>Ending Fund Balance/Working Capital</b>	<b>11,238,345</b>	<b>5,755,751</b>	<b>8,534,565</b>	<b>3,863,817</b>	<b>4,122,235</b>
Reserves (25% of operating expenses)	539,616	721,964	721,964	727,866	772,377
<b>Available Fund Balance/Working Capital</b>	<b>10,698,729</b>	<b>5,033,787</b>	<b>7,812,601</b>	<b>3,135,951</b>	<b>3,349,858</b>

# HOT FUND SCHEDULE

City of Round Rock Hotels Occupancy Tax Funds (HOT) - 7%								DRAFT - Subject to Change	
Hotel Occupancy Tax Fund		FY 2020	FY 2020	FY 2021	FY 2022	FY 2023	As of:	07/16/20	
	FY 2019	Adopted	Projected	Proposed	Estimated	Estimated	FY 2024	FY 2025	
	Actual	Budget	Actual	Budget	Budget	Budget	Estimated	Estimated	
Beginning Fund Balance/Working Capital	\$6,447,726	\$2,516,062	\$5,587,787	\$3,982,678	\$3,363,630	\$2,615,377	\$2,224,587	\$2,171,567	
Revenue									
Hotel Occupancy Tax	4,669,796	4,300,000	2,340,000	3,395,231	3,497,088	3,602,001	3,710,061	3,821,362	
Program Revenue	17,766		-	11,904	12,142	12,385	12,633	12,885	
Interest Income	122,914	35,000	28,000	10,469	10,678	10,892	11,110	11,332	
Event Trust Fund Reimbursements	97,950	90,000	66,000	90,000	91,800	93,636	95,509	97,419	
Contributions - Stagecoach Inn									
Transfers In	368,580		-	-					
Total Revenue	5,277,006	4,425,000	2,434,000	3,507,604	3,611,708	3,718,913	3,829,312	3,942,999	
CVB Operating									
Personnel	337,714	359,376	343,676	385,393	400,809	416,841	433,515	450,855	
Contractual Services	504,049	666,250	412,250	531,850	537,169	542,540	547,966	553,445	
Materials & Supplies	4,771	30,176	30,176	10,100	10,201	10,303	10,406	10,510	
Other Services & Charges	513,081	742,545	346,645	504,545	509,590	514,686	519,833	525,032	
Total CVB Operating	1,359,615	1,798,347	1,132,747	1,431,888	1,457,769	1,484,371	1,511,720	1,539,842	
Arts Operating	277,102	396,236	342,109	325,764	333,908	342,256	350,812	359,583	
Operating, Debt Service, Transfers & Other									
Transfer - OSP Multi-Purpose Complex	675,000	675,000	675,000	675,000	675,000	675,000	675,000	675,000	
Transfer to Debt Service Fund for MPC Debt	262,500	262,500	262,500	262,500	262,500	262,500	262,500	262,500	
Property Insurance	39,425	45,000	45,000	45,000	45,000	45,000	45,000	45,000	
Contingency	1,250	45,000	45,000	45,000	45,000	45,000	45,000	45,000	
Dell Diamond Capital Repair	346,724	292,565	274,328	250,000	317,458	250,000	250,000	250,000	
Dell Diamond Capital Improvement Fund	94,574	183,888	49,000	151,000	183,888	100,000	100,000	100,000	
Debt Service	1,012,805	638,925	638,925	640,500	638,700	641,000	642,300	642,600	
Total Operating, Debt Svc, Transfers & Other	2,432,278	2,142,878	1,989,753	2,069,000	2,167,546	2,018,500	2,019,800	2,020,100	
Total Operating Expenditures	4,068,995	4,337,461	3,464,609	3,826,652	3,959,223	3,845,126	3,882,332	3,919,525	
Net Ongoing Revenues	1,208,011	87,539	(1,030,609)	(319,048)	(347,514)	(126,213)	(53,020)	23,474	
Capital, Transfers & Other									
Capital Improvements - Dell Diamond	1,212,690	610,641		300,000	400,739	264,577	-	-	
Reimburse GSFC for MPC	741,098			-	-	-	-	-	
Old Settlers Park Infield Replacement	78,235								
Capital Projects	35,927		574,500						
Total Capital, Transfers & Other	2,067,950	610,641	574,500	300,000	400,739	264,577	0	0	
Total Expenditures	6,136,945	4,948,102	4,039,109	4,126,652	4,359,962	4,109,703	3,882,332	3,919,525	
Ending Fund Balance/Working Capital	5,587,787	1,992,960	3,982,678	3,363,630	2,615,377	2,224,587	2,171,567	2,195,040	
Fund Reserve (25% of operating expense)	764,048	924,634	706,421	796,538	830,131	801,032	810,008	819,231	
Debt Service Reserve - per bond covenant (5)	642,506	642,506	642,506	642,506	642,506	641,000	642,300	642,600	
Dell Diamond Fund Balance Reserve	1,232,963	-	1,167,662	816,662	264,577				
Available Fund Balance/Working Capital	2,948,271	425,820	1,466,089	1,107,924	878,163	782,555	719,259	733,209	

# SPORTS CENTER FUND SCHEDULE

## City of Round Rock Hotels Occupancy Tax Funds (Sports Center, Fund 715)

*DRAFT - for Discussion Purposes Only*

Sports Center Fund	FY 2019 Actual	FY 2020 Revised Budget	FY 2020 Projected Actual	FY 2021 Proposed Budget	FY 2022 Estimated Budget	FY 2023 Estimated Budget	FY 2024 Estimated Budget	FY 2025 Estimated Budget
<b>Beginning Fund Balance</b>	<b>\$4,743,692</b>	<b>\$5,095,408</b>	<b>\$5,293,160</b>	<b>\$4,776,513</b>	<b>\$4,783,565</b>	<b>\$4,740,280</b>	<b>\$4,693,779</b>	<b>\$4,644,943</b>
<b>Revenue</b>								
Hotel Occupancy Tax - Venue Tax	1,330,651	675,000	675,000	981,339	1,000,966	1,020,985	1,041,405	1,062,233
Venue Tax- Kalahari				273,496	622,340	684,574	753,031	828,335
Interest Income	119,370	55,000	55,000	74,938	76,437	77,966	79,525	81,116
Facility Rental	1,016,498	590,000	590,000	875,000	892,500	910,350	928,557	947,128
Concessions/League Fees	328,380	135,000	135,000	300,000	306,000	312,120	318,362	324,730
Donations/Sponsorships	14,323	15,000	15,000	6,000	6,120	6,242	6,367	6,495
<b>Total Revenue</b>	<b>2,809,222</b>	<b>1,470,000</b>	<b>1,470,000</b>	<b>2,510,773</b>	<b>2,904,363</b>	<b>3,012,237</b>	<b>3,127,248</b>	<b>3,250,036</b>
<b>Sports Center Operating</b>								
Personnel	1,209,932	1,054,696	1,054,696	1,268,068	1,318,791	1,371,542	1,426,404	1,483,460
Contractual Services	235,941	220,067	220,067	246,642	299,608	303,109	306,145	309,206
Materials & Supplies	349,405	266,567	266,567	276,513	300,778	304,001	307,043	310,113
Other Services & Charges	77,322	47,768	47,768	44,718	59,665	60,407	61,012	61,622
<i>Total Sports Center Operating</i>	<i>1,872,600</i>	<i>1,589,098</i>	<i>1,589,098</i>	<i>1,835,941</i>	<i>1,978,842</i>	<i>2,039,059</i>	<i>2,100,604</i>	<i>2,164,402</i>
<b>Debt Service, Transfers &amp; Other</b>								
Transfer to Debt Svc	368,580	371,030	371,030	367,780	368,805	369,680	365,480	366,205
<b>Total Operating Expenditures</b>	<b>2,241,180</b>	<b>1,960,128</b>	<b>1,960,128</b>	<b>2,203,721</b>	<b>2,347,647</b>	<b>2,408,739</b>	<b>2,466,084</b>	<b>2,530,607</b>
<b>Net Ongoing Revenues</b>	<b>568,042</b>	<b>(490,128)</b>	<b>(490,128)</b>	<b>307,052</b>	<b>556,715</b>	<b>603,499</b>	<b>661,164</b>	<b>719,428</b>
<b>Capital &amp; Transfers:</b>								
Repayment to GSFC				250,000	550,000	600,000	660,000	710,000
Machinery & Equipment		23,519	23,519	50,000	50,000	50,000	50,000	50,000
Capital Improvements - Expansion Study	18,574	3,000	3,000					
<b>Total Capital &amp; Transfers</b>	<b>18,574</b>	<b>26,519</b>	<b>26,519</b>	<b>300,000</b>	<b>600,000</b>	<b>650,000</b>	<b>710,000</b>	<b>760,000</b>
<b>Total Expenditures</b>	<b>2,259,754</b>	<b>1,986,647</b>	<b>1,986,647</b>	<b>2,503,721</b>	<b>2,947,647</b>	<b>3,058,739</b>	<b>3,176,084</b>	<b>3,290,607</b>
<b>Ending Fund Balance/Working Capital</b>	<b>5,293,160</b>	<b>4,578,761</b>	<b>4,776,513</b>	<b>4,783,565</b>	<b>4,740,280</b>	<b>4,693,779</b>	<b>4,644,943</b>	<b>4,604,371</b>
Fund Reserve (25% of operating expense)	468,150	397,275	397,275	458,985	494,711	509,765	525,151	541,101
Debt Svc Reserve - per Bond Covenant		512,323	512,323	512,323	512,323	512,323	512,323	512,323
Capital Replacement Fund	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
<b>Available Fund Balance/Working Capital</b>	<b>\$1,825,010</b>	<b>\$669,163</b>	<b>\$866,915</b>	<b>\$812,257</b>	<b>\$733,246</b>	<b>\$671,691</b>	<b>\$607,469</b>	<b>\$550,947</b>

**Note: Future Debt Service Requirements**  
to be paid in full in FY2038

### NOTES:

- (1) HOT Revenues estimated to grow by 2% per year.
- (2) Modest growth projected in operating costs - 4% per year for personnel and 1% per year for other operating.
- (3) \$7,240,820 is still owed to GSFC

# MULTIPURPOSE FIELD COMPLEX FUND SCHEDULE

City of Round Rock Multipurpose Field Complex Fund (Fund 740)								
	FY 2019 Actual	FY 2020 Adopted Budget	FY 2020 Projected Actual	FY 2021 Proposed Budget	FY 2022 Estimated Budget	FY 2023 Estimated Budget	FY 2024 Estimated Budget	FY 2025 Estimated Budget
<b>Beginning Fund Balance</b>	<b>1,258,457</b>	<b>1,258,457</b>	<b>1,615,931</b>	<b>1,444,034</b>	<b>\$1,467,330</b>	<b>\$1,483,738</b>	<b>\$1,493,150</b>	<b>\$1,495,457</b>
<b>Revenue</b>								
Tournament Revenues	263,350	205,000	160,000	159,586	165,969	172,608	179,513	188,488
Local Field Rentals	321,535	325,000	150,000	290,000	301,600	313,664	326,211	342,521
Program Revenues	47,261	30,000	15,000	35,244	36,654	38,120	39,645	41,627
Concessions	96,725	40,000	40,000	59,882	62,277	64,768	67,359	70,727
Secondary Revenues	5,610	5,000	5,000	2,400	2,496	2,596	2,700	2,808
Interest Income	43,946	25,000	20,000	18,032	18,393	18,760	19,136	19,518
Transfer from HOT Fund	675,000	675,000	675,000	675,000	675,000	675,000	675,000	675,000
Transfer from General Fund	-	-	-	-	-	-	-	-
<b>Total Revenue</b>	<b>1,453,427</b>	<b>1,305,000</b>	<b>1,065,000</b>	<b>1,240,144</b>	<b>1,262,389</b>	<b>1,285,517</b>	<b>1,309,562</b>	<b>1,340,689</b>
<b>Operating</b>								
Personnel	450,871	627,871	549,271	582,157	605,443	629,661	654,847	681,041
Contractual Services	153,112	186,811	170,811	167,791	169,469	171,164	172,875	174,604
Materials & Supplies	407,406	411,100	397,915	392,800	396,728	400,695	404,702	408,749
Other Services & Charges	29,094	28,100	29,000	24,100	24,341	24,584	24,830	25,079
<i>Total MPF Operating</i>	<i>1,040,483</i>	<i>1,253,882</i>	<i>1,146,997</i>	<i>1,166,848</i>	<i>1,195,981</i>	<i>1,226,104</i>	<i>1,257,255</i>	<i>1,289,473</i>
<b>Capital, Debt Service, Transfers &amp; Other</b>								
Capital Outlay	55,470	50,000	89,900	50,000	50,000	50,000	50,000	50,000
Natural Grass Re-Sod	-	-	-	-	-	-	-	-
<i>Total Capital, Debt Svc, Transfers &amp; Other</i>	<i>55,470</i>	<i>50,000</i>	<i>89,900</i>	<i>50,000</i>	<i>50,000</i>	<i>50,000</i>	<i>50,000</i>	<i>50,000</i>
<b>Total Expenditures</b>	<b>1,095,953</b>	<b>1,303,882</b>	<b>1,236,897</b>	<b>1,216,848</b>	<b>1,245,981</b>	<b>1,276,104</b>	<b>1,307,255</b>	<b>1,339,473</b>
<b>Net Revenues</b>	<b>357,474</b>	<b>1,118</b>	<b>(171,897)</b>	<b>23,296</b>	<b>16,408</b>	<b>9,413</b>	<b>2,307</b>	<b>1,216</b>
<b>Ending Fund Balance/Working Capital</b>	<b>1,615,931</b>	<b>1,259,575</b>	<b>1,444,034</b>	<b>1,467,330</b>	<b>1,483,738</b>	<b>1,493,150</b>	<b>1,495,457</b>	<b>1,496,674</b>
MPF Synthetic & Sod Replacement	750,000	750,000	750,000	750,000	750,000	750,000	750,000	750,000
Fund Reserve (25% of operating expenses)	260,121	313,471	313,471	291,712	298,995	306,526	314,314	322,368
<b>Available Fund Balance/Working Capital</b>	<b>\$605,810</b>	<b>\$196,104</b>	<b>\$380,563</b>	<b>425,618</b>	<b>\$434,743</b>	<b>\$436,624</b>	<b>\$431,143</b>	<b>\$424,306</b>

## SUMMARY OF SPECIAL REVENUE FUNDS

[illegible]

# TYPE B SCHEDULE

City of Round Rock Type B Funds							
5 Year Estimated Available		Draft - for Discussion Purposes Only					
As of:							7/8/2020
	Actual FY2019	Projected FY2020	Estimated FY2021	Estimated FY2022	Estimated FY2023	Estimated FY2024	Estimated FY2025
<b>Beginning Fund Balance</b>	<b>33,023,307</b>	<b>49,179,277</b>	<b>18,232,654</b>	<b>44,298,926</b>	<b>14,839,163</b>	<b>9,931,448</b>	<b>11,018,187</b>
<b>Revenue</b>							
Sales Tax and Estimated Sales Tax	21,227,616	17,900,000	18,900,000	19,278,000	19,663,560	20,056,831	20,457,968
Interest	870,570	500,000	500,000	300,000	300,000	200,000	200,000
Grant Proceeds	988,576	551,948	1,219,198				
Donations/contributions	1,298,391						
CAMPO Funding			27,106,320	920,396			
WIICo. Participation - County Road 112 West		250,000	1,000,000	3,725,000	5,600,000	3,000,000	2,675,000
WIICo. Participation - Kenney Ft. Blvd. 2-3	500,409	100,000	96,756				
WIICo. Participation - Old Settlers Blvd		750,000	1,550,000	3,350,000	2,125,000	1,250,000	
WIICo. Participation - RM620	2,000,000						
WIICo. Participation - Roundville Ln	598,631						
WIICo. Participation - University Blvd			2,500,000				
WIICo. Participation - University East		321,050	1,313,685	1,685,265			
WIICo. Participation - Wyoming Springs		320,000	240,000	440,000	2,000,000	2,000,000	2,000,000
Transportation CO Bond Proceeds	30,329,190	30,000,000	30,000,000	30,000,000	20,000,000		
Other	4,908						
<b>Total Revenue</b>	<b>57,818,291</b>	<b>50,692,998</b>	<b>84,425,959</b>	<b>59,698,661</b>	<b>49,688,560</b>	<b>26,506,831</b>	<b>25,332,968</b>
<b>Transportation Expenditures</b>							
TCIP Projects	22,358,879	36,211,611	85,979,137	71,780,180	47,575,200	22,450,000	19,300,000
Other Items: Commons, Street Sweeping, Paving Contract & Traffic Signals	36,940	848,966	865,945	883,264	900,930	918,948	937,327
Payment for Refunding of Bonds							
Debt Issuance Costs	327,847	142,650	350,000	350,000	350,000		
Debt Principal & Interest	3,935,967	3,923,755	3,921,097	1,367,437	1,368,941		
Transfers to Other Funds							
Technical & Administrative Support Services	780,000	803,000	911,000	938,330	966,480	995,474	1,025,339
<b>Subtotal Transportation</b>	<b>27,439,633</b>	<b>41,929,982</b>	<b>92,027,179</b>	<b>75,319,211</b>	<b>51,161,550</b>	<b>24,364,422</b>	<b>21,262,666</b>
<b>Economic Dev. Expenditures</b>							
Chamber Agreement	585,000	632,917	708,750	730,012	751,913	774,470	797,704
Downtown Improvements & Marketing	224,792	2,915,500	250,200	250,200	250,200	250,200	250,200
Economic Development Projects - Committed	5,703,673	731,176	8,262,000	4,510,000	39,000	31,000	16,000
NE Downtown Parking Garage		2,657,388		8,349,000	2,393,612		
NE Downtown Dry Utilities & Contingency			2,626,700				
<b>Total Economic Development</b>	<b>6,513,465</b>	<b>6,936,981</b>	<b>11,847,650</b>	<b>13,839,212</b>	<b>3,434,725</b>	<b>1,055,670</b>	<b>1,063,904</b>
<b>Total Expenditures</b>	<b>33,953,098</b>	<b>48,866,963</b>	<b>103,874,829</b>	<b>89,158,423</b>	<b>54,596,275</b>	<b>25,420,092</b>	<b>22,326,570</b>
<b>Net Revenues</b>	<b>23,865,193</b>	<b>1,826,035</b>	<b>(19,448,870)</b>	<b>(29,459,762)</b>	<b>(4,907,715)</b>	<b>1,086,739</b>	<b>3,006,398</b>
<b>Fund Balance</b>	<b>56,888,500</b>	<b>51,005,312</b>	<b>(1,216,216)</b>	<b>14,839,163</b>	<b>9,931,448</b>	<b>11,018,187</b>	<b>14,024,585</b>
Fund Reserve	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)
<b>Available Fund Balance Before Kalahari</b>	<b>55,888,500</b>	<b>50,005,312</b>	<b>(2,216,216)</b>	<b>13,839,163</b>	<b>8,931,448</b>	<b>10,018,187</b>	<b>13,024,585</b>
<b>Kalahari Revenue, Projects, &amp; Debt</b>							
Kalahari Project Revenues to Offset Debt			880,359	4,170,026	5,045,046	5,046,017	5,042,003
Proceeds - Bonds for Kalahari	21,310,000		20,331,859				
Issuance Costs - Bonds for Kalahari	331,859		331,859				
Transfer to Kalahari Debt Service Reserve	975,441	2,700					
Transfer from Kalahari Debt Service Reserve		491,760	483,681				
Reimbursement from tax-exempt COs - off-site & onsite improvements			30,000,000				
Debt Service for Kalahari Road Debt & Conference Center		491,760	1,364,040	4,170,026	5,045,046	5,046,017	5,042,003
Convention Center	23,451,453	12,569,958					
On-site improvements		15,000,000					
Off-site improvements	4,260,470	5,200,000	4,484,858				
<b>Kalahari, net</b>	<b>(7,709,223)</b>	<b>(32,772,658)</b>	<b>45,515,142</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total All Expenditures</b>	<b>62,972,321</b>	<b>82,131,381</b>	<b>110,055,586</b>	<b>93,328,449</b>	<b>59,641,321</b>	<b>30,466,109</b>	<b>27,368,573</b>
<b>Total Net Revenue</b>	<b>16,155,970</b>	<b>(30,946,623)</b>	<b>26,066,272</b>	<b>(29,459,762)</b>	<b>(4,907,715)</b>	<b>1,086,739</b>	<b>3,006,398</b>
<b>Total Fund Balance</b>	<b>49,179,277</b>	<b>18,232,654</b>	<b>44,298,926</b>	<b>14,839,163</b>	<b>9,931,448</b>	<b>11,018,187</b>	<b>14,024,585</b>
Total Reserve	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)	(1,000,000)
<b>Total Available Fund Balance</b>	<b>48,179,277</b>	<b>17,232,654</b>	<b>43,298,926</b>	<b>13,839,163</b>	<b>8,931,448</b>	<b>10,018,187</b>	<b>13,024,585</b>
<b>Potential Uses of Fund Balance</b>							
Transportation projects to be programmed - per Type B funding allocation	0	0	0	0			
Economic development projects in the pipeline	0	0	125,000	100,000	75,000	50,000	25,000
<b>Total Potential Uses</b>	<b>0</b>	<b>0</b>	<b>125,000</b>	<b>100,000</b>	<b>75,000</b>	<b>50,000</b>	<b>25,000</b>
<b>Cumulative Expense</b>	<b>0</b>	<b>0</b>	<b>125,000</b>	<b>225,000</b>	<b>300,000</b>	<b>350,000</b>	<b>375,000</b>
<b>Net Available Fund Balance</b>	<b>48,179,277</b>	<b>17,232,654</b>	<b>43,173,926</b>	<b>13,614,163</b>	<b>8,631,448</b>	<b>9,668,187</b>	<b>12,649,585</b>

# TYPE B 5-YEAR PLAN

## Round Rock Transportation and Economic Development Corporation 5-Year Funds Allocation Plan 2021 - 2025

**Beginning Balance - Funds Available 10/1/2020** **62,747,796**

### 5-Year Revenue Forecast

Sales Tax	98,356,359
Interest	1,500,000
Transportation COs	80,000,000
3rd Party Participation	65,796,620
<b>Total 5-Year Revenue Forecast</b>	<b>245,652,979</b>

**Total 5-Year Funds Available** **308,400,775**

### 5-Year Transportation Projects

TCIP Programmed (includes pending TCIP amendment)	247,084,517
Other: Street Sweeping, Traffic Signals, Admin, etc.	9,343,037
Debt Service	7,707,475
<b>5-Year Fund Programmed</b>	<b>264,135,029</b>

Transportation Pipeline Planned Projects -

**Total 5-Year Transportation Need** **264,135,029**

### 5-Year Economic Development Projects

Chamber Contract	3,762,849
Downtown Improvements & Marketing	1,251,000
Committed Economic Incentive (EIP) Payments	12,858,000
NE Downtown Garage & Improvements	13,369,312
<b>5-Year Fund Programmed</b>	<b>31,241,161</b>

Economic Development Pipeline Planned Projects 375,000  
Economic Development Amount to be Allocated 12,549,585

**Total 5-Year Economic Development Need** **44,165,746**

**Total 5-Year Expenditure Forecast** **308,300,775**

**Net available to be allocated at end of 5-years** **100,000**

*This allocation plan will be updated at least annually or as opportunities arise.*



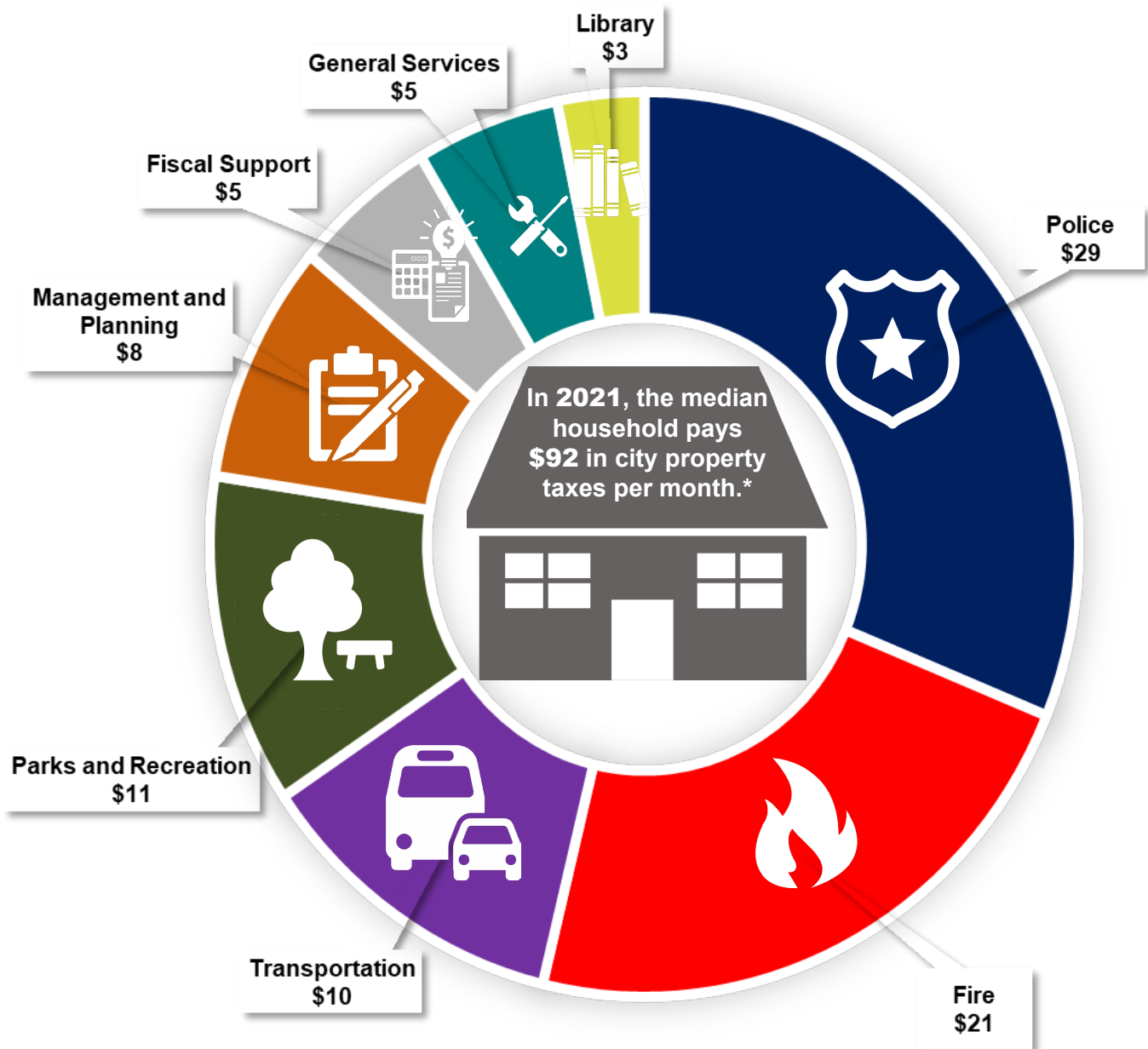


## PROPERTY VALUES & TAXES

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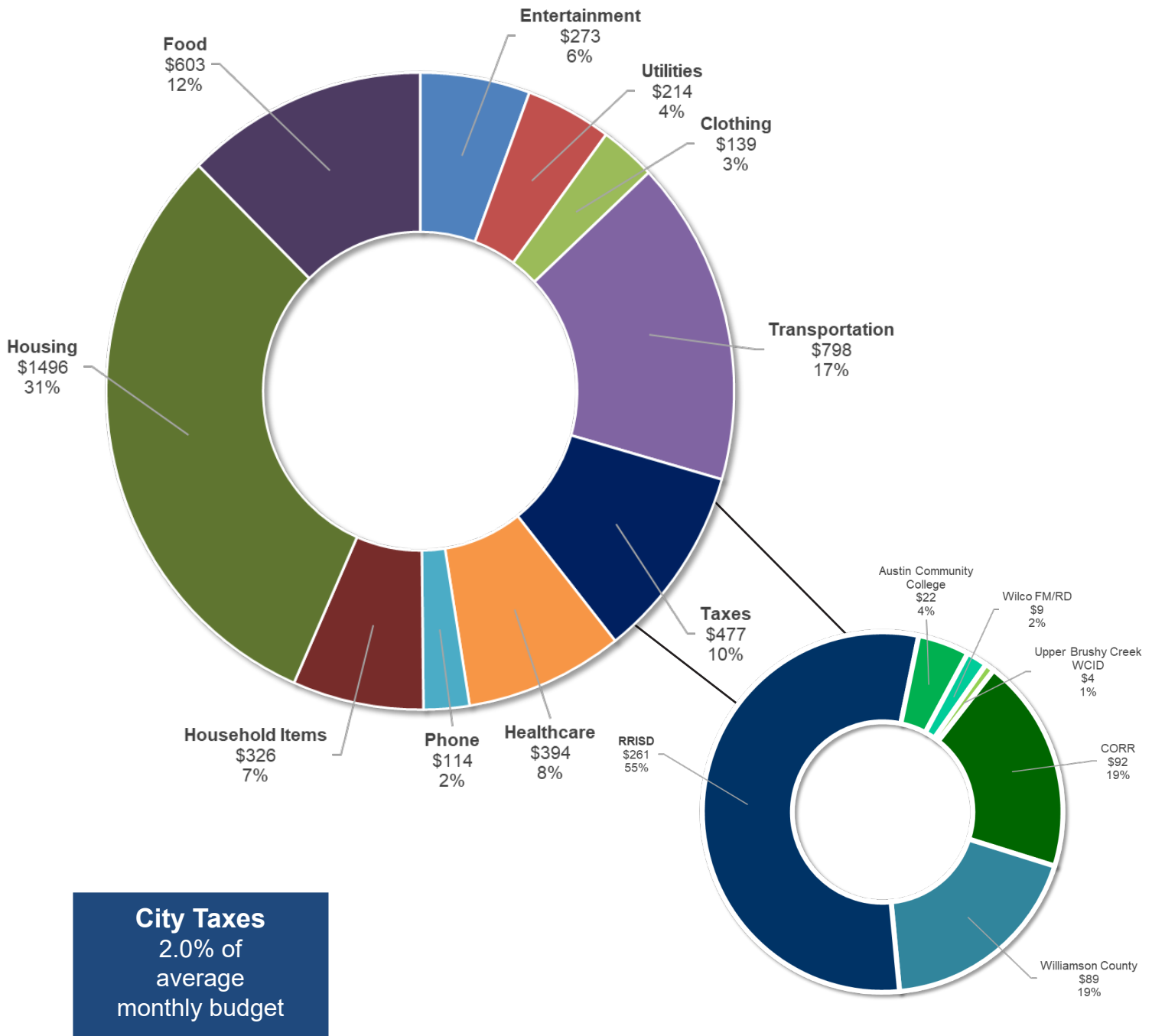
## Understanding What Your City Tax Dollars Fund



\*Median home value for FY 2021 is \$256,347

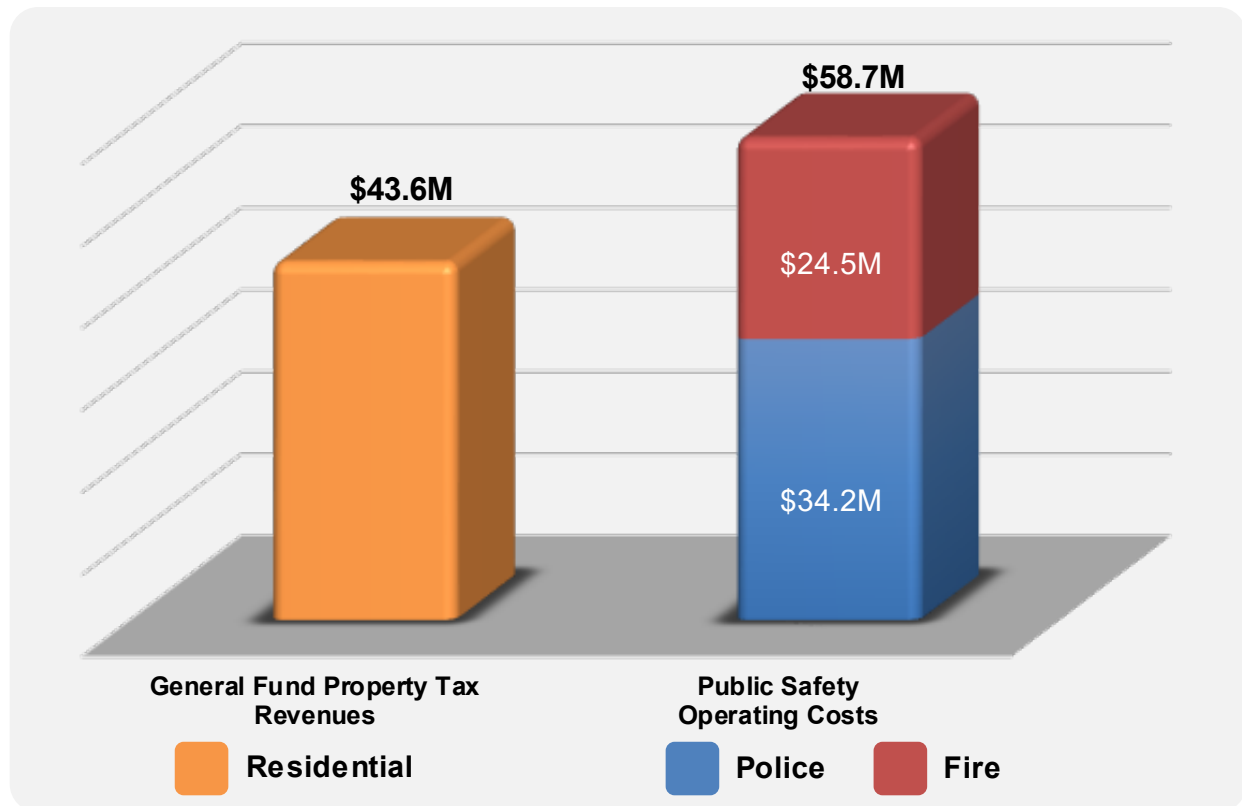
# PROPERTY TAX IN MONTHLY BUDGET

## Average Household Monthly Expenses vs. Monthly City Property Tax - 2020



Source: Consumer Expenditure Survey, U.S. Bureau of Labor Statistics, September 2019

# PROPERTY TAX REVENUE COMPARISONS



- General Fund property tax revenues are \$43.6M in FY 2021.
- Police and Fire services combined will cost \$58.7M in FY 2021.
- The City will also collect \$24.4M of property taxes in the Debt Service Fund. A portion of these revenues pay for public-safety related debt payments for \$16.5 million of GO debt issued to build four new fire stations, \$27.4 million of GO debt issued to build the new Public Safety Training Center, and for capital lease payments on the replacement of public safety vehicles.

## PROPERTY TAX FACTS - using estimated values as of 7/15/2020

The **proposed property tax rate** for FY 2021 is **\$0.4290** per \$100 of valuation, 1.0 cent less than the FY 2020 nominal rate of \$0.4390.

- The proposed rate of \$0.4290 is an increase of 0.6 cents or 1.5% above the No New Revenue (Effective) rate of \$0.4228.
- New property value of \$306 million was added to the property tax roll since last year. This amount of new property will generate \$1.3 million in additional property tax revenues for FY 2021.

The median home value in Round Rock for FY 2021 is \$256,347. An **owner of a median valued home will pay \$92 per month** in City property taxes for next year.

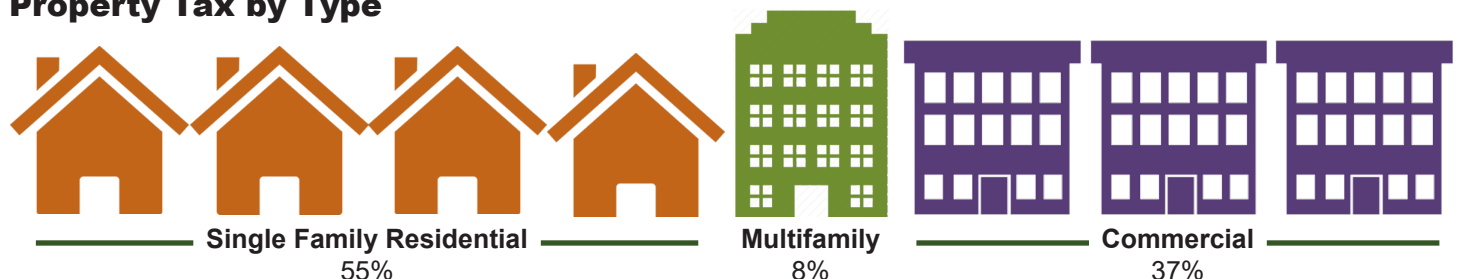
- The City's property taxes help cover many of our core services including police, fire, transportation, parks and recreation, and the library. Over the past three years the City has opened three new fire stations, added 11 new police officers, and has opened a new Public Safety Training Facility - all thanks in part to property tax revenues.
- **The impact in the \$0.01 change in the property tax rate would be \$2.14 per month for an owner of a median valued home.**

Sales tax revenues continue to grow thanks to the strong local economy and continued population growth. These **sales tax revenues help the property tax rate**, as well.

- Built into the 2% local option sales tax rate is a half-cent for property tax reduction.
- This piece saves 14.2 cents on the property tax rate for FY 2021. That saves the median homeowner \$30 a month or 25% on their monthly tax bill.

Round Rock enjoys a diverse and growing economy with development of all types continuing across the City. This diversity of land use means that even though residential homeowners make up 93% of the properties in Round Rock, nearly half of all property tax revenues come from nonresidential property. Single-family homeowners will pay \$23.5 million in City property taxes in FY 2021, just 19% of total General Fund revenues.

### Property Tax by Type



**ALLOCATION OF TAX RATE INCREASE**

No New Revenue (Effective) Tax Rate (estimated)	\$0.42280
Proposed Tax Rate	<u>\$0.42900</u>
<b>PROPOSED INCREASE</b>	<b>0.6 cents</b> +1.5%

**Allocation of Increase**

New debt payments - Road COs	<u>0.6 cents</u>
<b>TOTAL PROPOSED INCREASE</b>	<b>0.6 cents</b>

<b>CURRENT TAX RATE</b>	<b>\$0.43900</b>
-------------------------	------------------

For median-valued home, 1.5% is:

- \$15.38 annually
- \$1.28 per month

# PROPERTY TAX SUMMARY

## Property Tax & Value Summary



### Taxable Property

	FY 2020 Actual	FY 2021 Proposed	\$ Diff	% Diff
Total Assessed Value (AV)	\$14.6B	\$15.6B	\$1.0B	+6.8%
New Property	\$367M	\$306M	-\$61M	-16.6%



### Tax Rate Components

Maintenance & Operations Rate	0.31351	0.29824	-0.01527	-4.9%
Debt Rate	0.12549	0.13076	0.00527	+4.2%
Tax Rate	0.43900	0.42900	-0.01000	-2.3%
(Effective) No New Revenue Tax Rate	0.40162	0.42280	0.02118	+5.3%
(Rollback) Voter Approval Tax Rate	0.44134	0.44584	0.00450	+1.0%
Debt (Principle & Interest)	\$23.1M	\$24.7M	+\$1.6M	+6.9%



### Tax Rate Components

Median Residential Property Value	\$255,198	\$256,347	\$1,149	+0.5%
Median Annual Tax Bill	\$1,120	\$1,110		

# NO NEW REVENUE TAX RATE INFOGRAPHIC

## Steps to Creating a Tax Rate

\* hypothetical example

### Step 1

#### Calculating Prior Year Revenues

FY 2020

Taxable Value	1,000,000
Tax Rate	0.50
	$1,000,000 \times 0.50 \div 100$
Revenue Collected	5,000

### Step 2

#### Calculating New Year No New Revenue Tax Rate (NNR)

How do I get the same amount of revenue as last year when appraised values have gone up?

FY 2021

Taxable Value	1,080,000
Prior year Revenue Collected	5,000
	$5,000 \div 1,080,000 \times 100$
Rate needed to collect \$5,000	0.46

### Step 3

#### Calculating Voter Approval Tax Rate

The State allows the M&O tax rate to be 3.5% higher than the NNR rate.

Debt Tax Rate	0.20
New M&O NNR Rate x 8%	$0.26 \times 1.035$
Maximum M&O rate	0.27
Total tax rate allowed without risking rollback election	$0.20 + 0.27 = \mathbf{0.47}$

The No New Revenue Tax Rate is defined by state law to be the rate that generates the same property tax revenues as the prior year based on the new year's total value of taxable property. This rate has an inverse relationship with property values such that as property values rise the City's total value of taxable property increases, requiring a lower No New Revenue tax rate to generate the same amount of property tax revenues as the prior year.

The Voter Approval Tax Rate is defined by state law as 3.5% higher than the M&O NNR Tax Rate. Recent legislation has decreased the Voter Approval tax rate from an 8% increase over the NNR rate to a 3.5% increase over the NNR rate effective in FY 2021.



## OTHER SENATE BILL 2 CHANGES

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### New Terminology

- “*Effective Tax Rate*” is now “***No New Revenue Rate***”
- “*Rollback Rate*” is now “***Voter Approval Rate***”

### Changes in Timing

- Property Tax Public Hearing: now only **one** required
- Adopting a tax rate that **exceeds** the Voter Approval Rate yields a very narrow timing window.
  - If a city adopts a rate **exceeding** the voter approval tax rate, it must do so not later than the 78th day before the November uniform election date - August 17, 2020
- Adopting a tax rate that **does not exceed** the Voter Approval Rate doesn't change the deadline at all.
  - The City is required to adopt a rate by September 15th based on our contract with Williamson County Tax Office.

### Notice Requirements

- Now by August 7, information must be posted on the City's website
  - No New Revenue rate and Voter Approval rate
  - Estimated amount of available fund balance for General Fund and Debt Service Fund
  - Schedule of the City's debt obligations
- New notice language is provided within SB2

# OTHER SENATE BILL 2 CHANGES

## Tax Payer Websites

- SB2 requires a property tax database that includes various types of information on each property listed on the appraisal roll
- The website: (Williamsonpropertytaxes.org) will have public hearing dates, no new revenue rate, voter approval rate, and exemption information.
- The City will continue to publish property tax information, including an interactive property tax calculator, on our website at <https://www.roundrocktexas.gov>.
- New mailer will be sent out early August by CADs to every taxpayer informing them where to find property tax information.

MCDADDE, KYLE CLAYTON & STACIE ANNE  
209 GREEN SLOPE LN, GEORGETOWN, TX 78626

Quick Ref ID: R476993  
Owner ID: 00480880  
Geo ID: R-20-9165-000A-0026

### Taxes

Two major components of your property tax calculation are Exemptions and Tax Rates which are both determined by your Taxing Units (School District, County, City, etc.). These are NOT determined by the Appraisal District or Tax Office.

Taxing Unit	Last Year's Taxes (2018)	No New Revenue Taxes (2019)	Voter-Approval Taxes (2019)	Proposed Taxes (2019)	Adopted Taxes (2019)	Difference Between No New Revenue and Proposed (2019)
Williamson CAD	N/A	N/A	N/A	N/A	N/A	N/A
City of Georgetown	\$925.72 0.420000	\$912.06 0.413261	\$926.94 0.420000	\$926.94 0.420000	\$926.94 0.420000	\$14.87 0.006739
Georgetown Independent School District	\$2,823.78 1.409000	\$2,726.09 1.358500	\$2,687.36 1.339000	\$2,687.36 1.339000	\$2,687.36 1.339000	-\$10.73 -0.019300
Williamson County	\$944.53 0.419029	\$915.93 0.405821	\$945.04 0.418779	\$1,052.95 0.466529	\$945.04 0.418779	\$137.02 0.060708
Williamson County FM/D	\$88.96 0.040000	\$86.09 0.038656	\$89.08 0.040000	\$89.08 0.040000	\$89.08 0.040000	\$2.99 0.001344
<b>Total</b>	<b>\$4,785.00</b>	<b>\$4,640.18</b>	<b>\$4,648.42</b>	<b>\$4,756.33</b>	<b>\$4,648.42</b>	<b>\$116.15</b>



#### NO NEW REVENUE RATE

A calculated rate that would provide the taxing unit with approximately the same amount of revenue it received in the previous year on properties taxed in both years. This rate calculation does not include the impact of additional tax revenue resulting from new construction.



#### VOTER-APPROVAL RATE

Tax rate level that allows the taxing jurisdiction to collect more taxes, not including debt repayment, than the previous year. This is the maximum tax increase allowed by law without triggering an election to "rollback" the taxes.



#### PROPOSED RATE

This is the tax rate that is proposed by the taxing unit.



#### ADOPTED RATE

This is the tax rate that was adopted by the taxing unit for the specified tax year.



**WILLIAMSON COUNTY**  
1848  
[williamsonpropertytaxes.org](http://williamsonpropertytaxes.org)

**PROPOSED PROPERTY TAX INFORMATION 2020**



Dear Property Owner,  
Information on actions taken or proposed actions to be taken by your local taxing units concerning the 2020 property taxes on your property can be found online at [williamsonpropertytaxes.org](http://williamsonpropertytaxes.org)

If you would like more information from each taxing unit, you may request their contact information from your local Tax Assessor-Collector where your property is located.

**WILLIAMSON COUNTY**  
1848

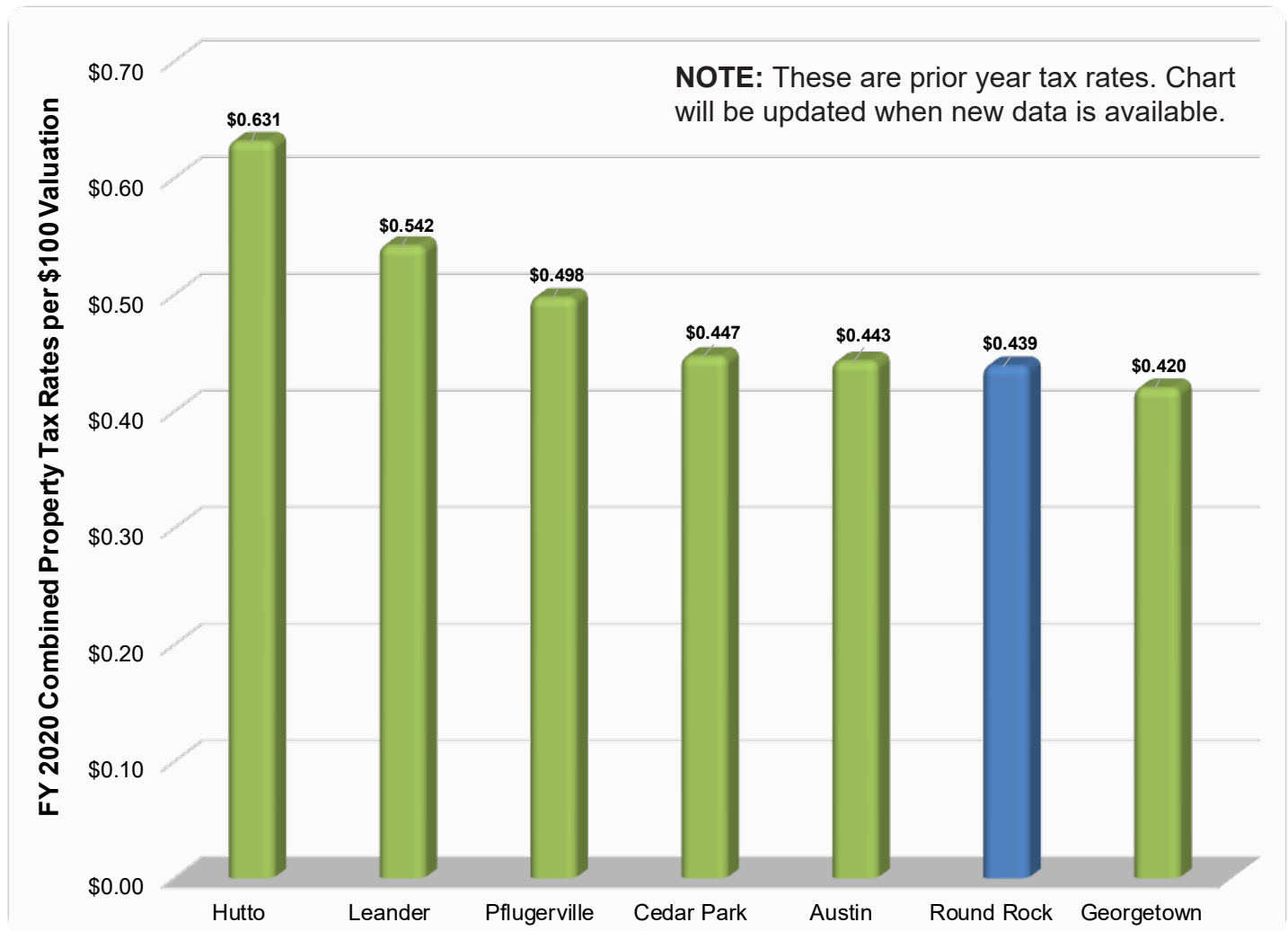
(512) 948-1601

PROPTAX@WILCO.ORG

Williamson County  
Tax Office  
Larry Gaddes, PCAC, CTA  
Tax Assessor-Collector  
904 S. Main St.  
Georgetown, TX 78626

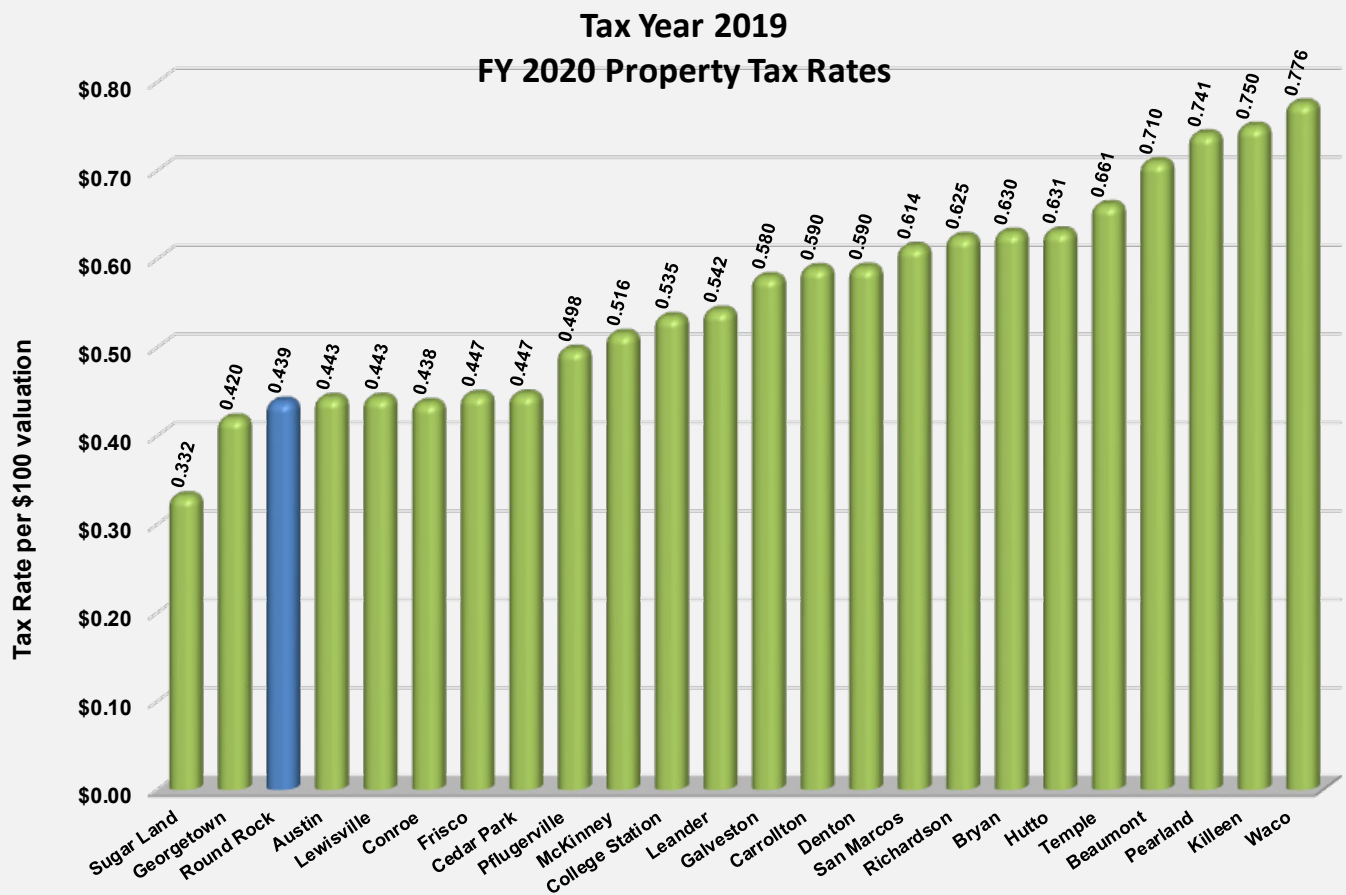
PRST-STD  
U.S. POSTAGE  
PAID  
CITY, ST  
PERMIT NO. XXX

# BENCHMARKING - TAX RATES OF LOCAL CITIES



FY 2019/20 Tax Year 2019			
City	M&O	Debt	Property Tax Rate/\$100 Valuation
Hutto	0.398	0.234	0.631
Leander	0.320	0.222	0.542
Pflugerville	0.310	0.187	0.498
Cedar Park	0.239	0.208	0.447
Austin	0.334	0.109	0.443
<b>Round Rock</b>	<b>0.314</b>	<b>0.125</b>	<b>0.439</b>
Georgetown	0.195	0.225	0.420

# TAX RATE 2019 BENCHMARKING - FY 2020 TAX RATES



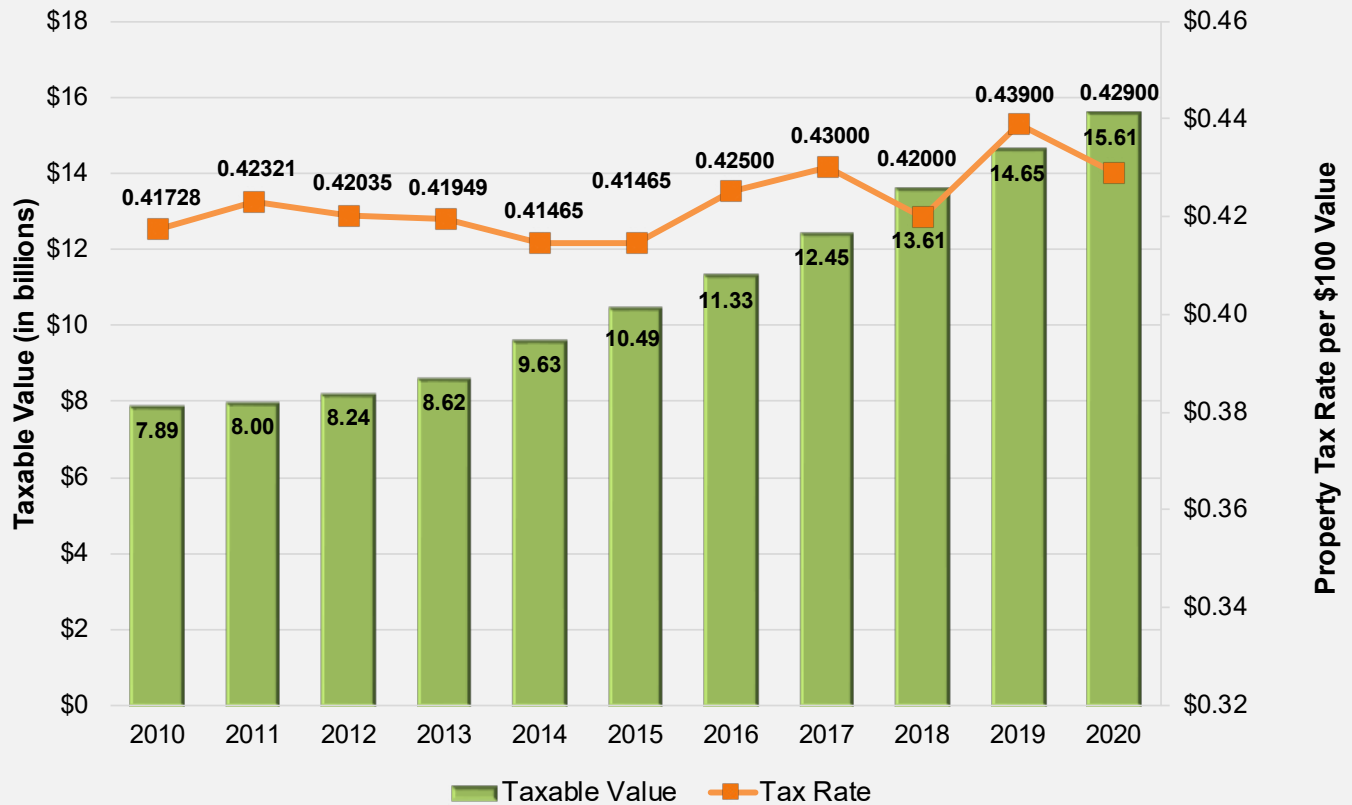
**NOTE:** These are prior year tax rates. Chart will be updated when new data is available.

## TAX RATE 2019 BENCHMARKING - FY 2020 TAX RATES

City	M&O	Debt	Property Tax Rate/\$100 Valuation	Population
Sugar Land	0.181	0.136	0.332	118,498
Georgetown	0.195	0.225	0.420	70,441
<b>Round Rock</b>	<b>0.314</b>	<b>0.125</b>	<b>0.439</b>	<b>118,024</b>
Austin	0.334	0.109	0.443	1,003,615
Lewisville	0.321	0.122	0.443	105,640
Conroe	0.313	0.125	0.438	82,922
Frisco	0.292	0.155	0.447	200,361
Cedar Park	0.239	0.208	0.447	79,259
Pflugerville	0.310	0.187	0.498	74,700
McKinney	0.355	0.160	0.516	187,802
College Station	0.313	0.221	0.535	122,548
Leander	0.320	0.222	0.542	63,527
Galveston	0.527	0.053	0.580	50,497
Carrollton	0.439	0.151	0.590	136,170
Denton	0.385	0.205	0.590	136,928
San Marcos	0.407	0.207	0.614	65,234
Richardson	0.381	0.244	0.625	121,323
Bryan	0.476	0.154	0.630	86,276
Hutto	0.398	0.234	0.631	30,448
Temple	0.298	0.363	0.661	80,930
Beaumont	0.492	0.218	0.710	116,825
Pearland	0.307	0.434	0.741	127,500
Killeen	0.528	0.222	0.750	153,758
Waco	0.666	0.111	0.776	138,183

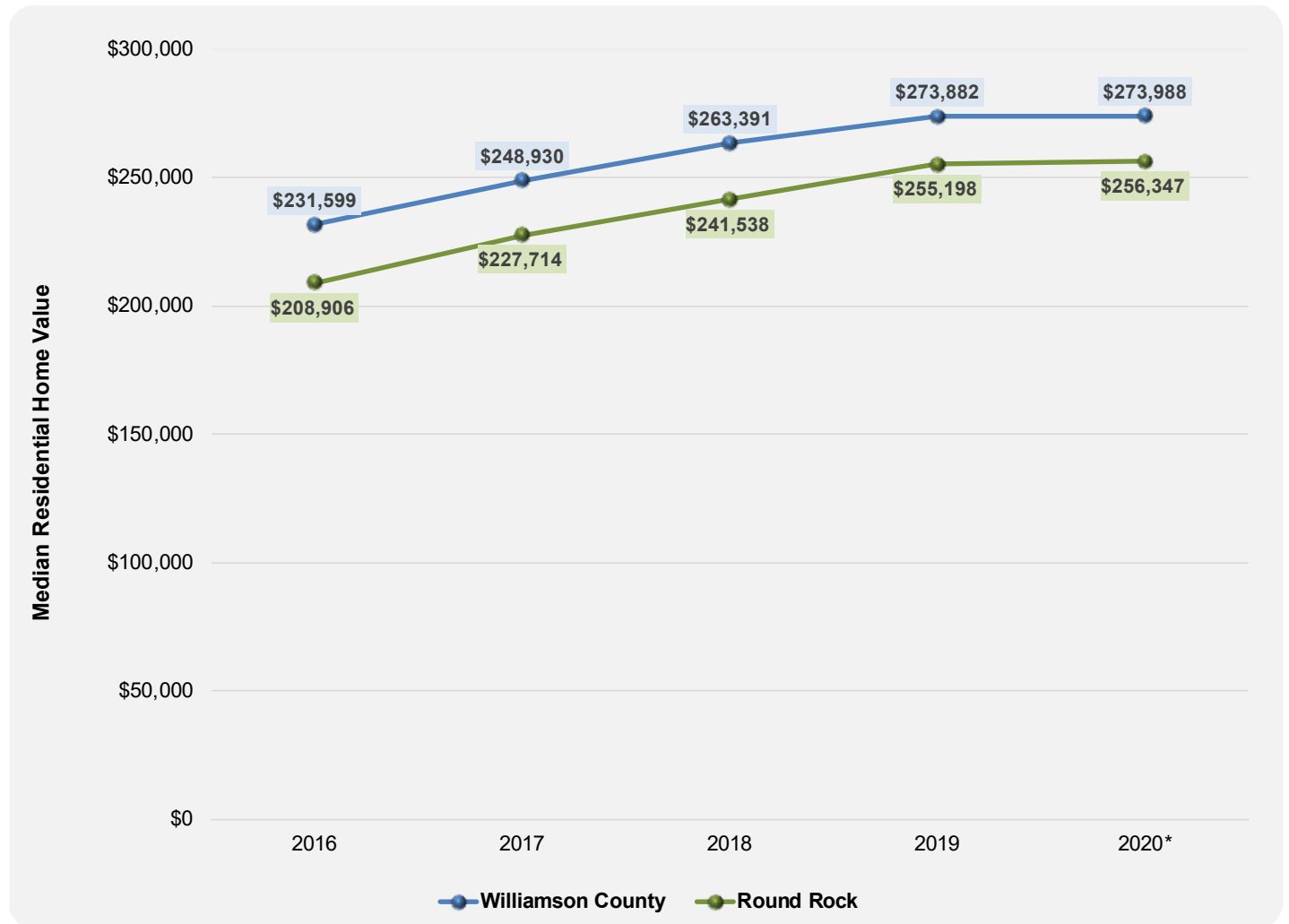
**NOTE:** FY 2020 tax rates are used because the FY 2021 Proposed Rates are not yet available.

# ASSESSED VALUES & PROPERTY TAX RATE HISTORY



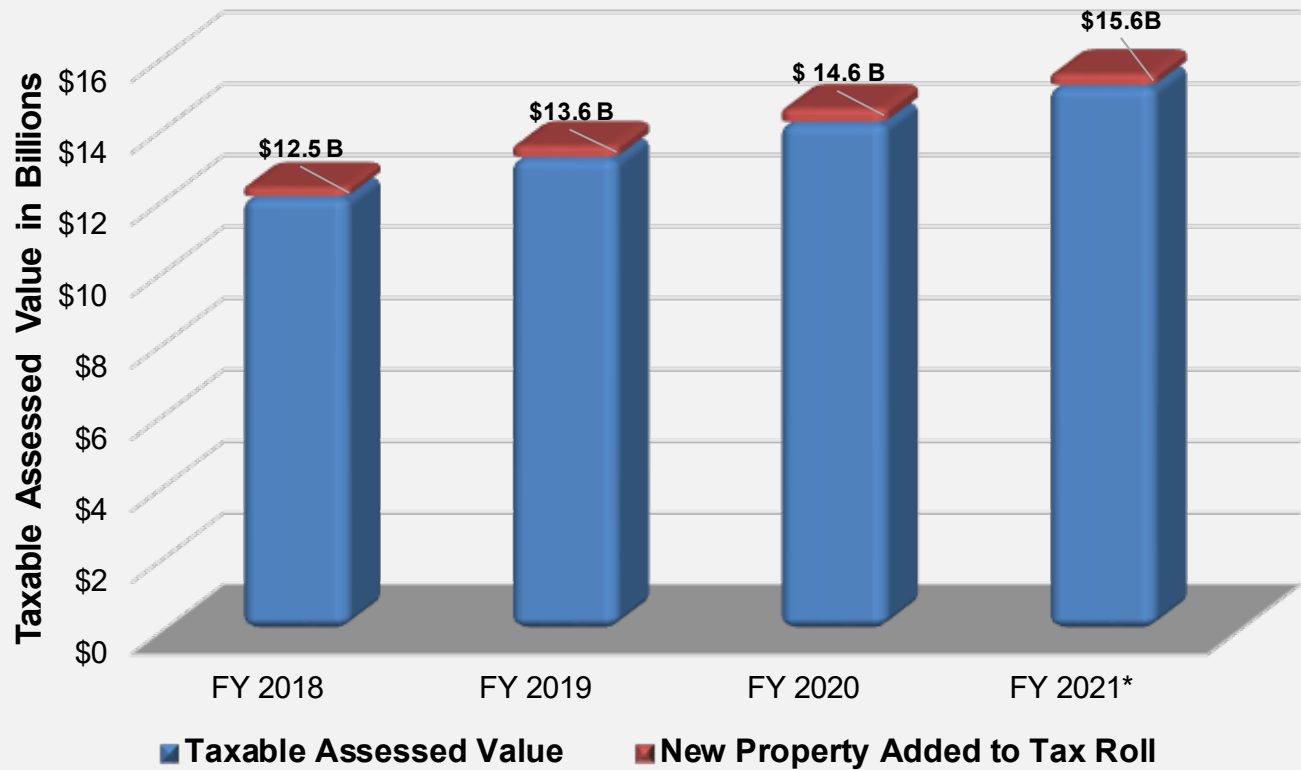
Tax Year		Taxable Value	Tax Rate
2010	\$	7,893,143,364	0.41728
2011		8,004,285,176	0.42321
2012		8,238,143,748	0.42035
2013		8,624,749,167	0.41949
2014		9,634,156,426	0.41465
2015		10,492,085,922	0.41465
2016		11,327,175,854	0.42500
2017		12,451,153,760	0.43000
2018		13,605,761,159	0.42000
2019		14,647,779,369	0.43900
2020		15,612,377,126	0.42900

# MEDIAN RESIDENTIAL HOME VALUE HISTORY



	Tax Year				
	2016	2017	2018	2019	2020
Round Rock	\$208,906	\$227,714	\$241,538	\$255,198	\$256,347
Williamson County	\$231,599	\$248,930	\$263,391	\$273,882	\$273,988

# TAXABLE ASSESSED VALUATION

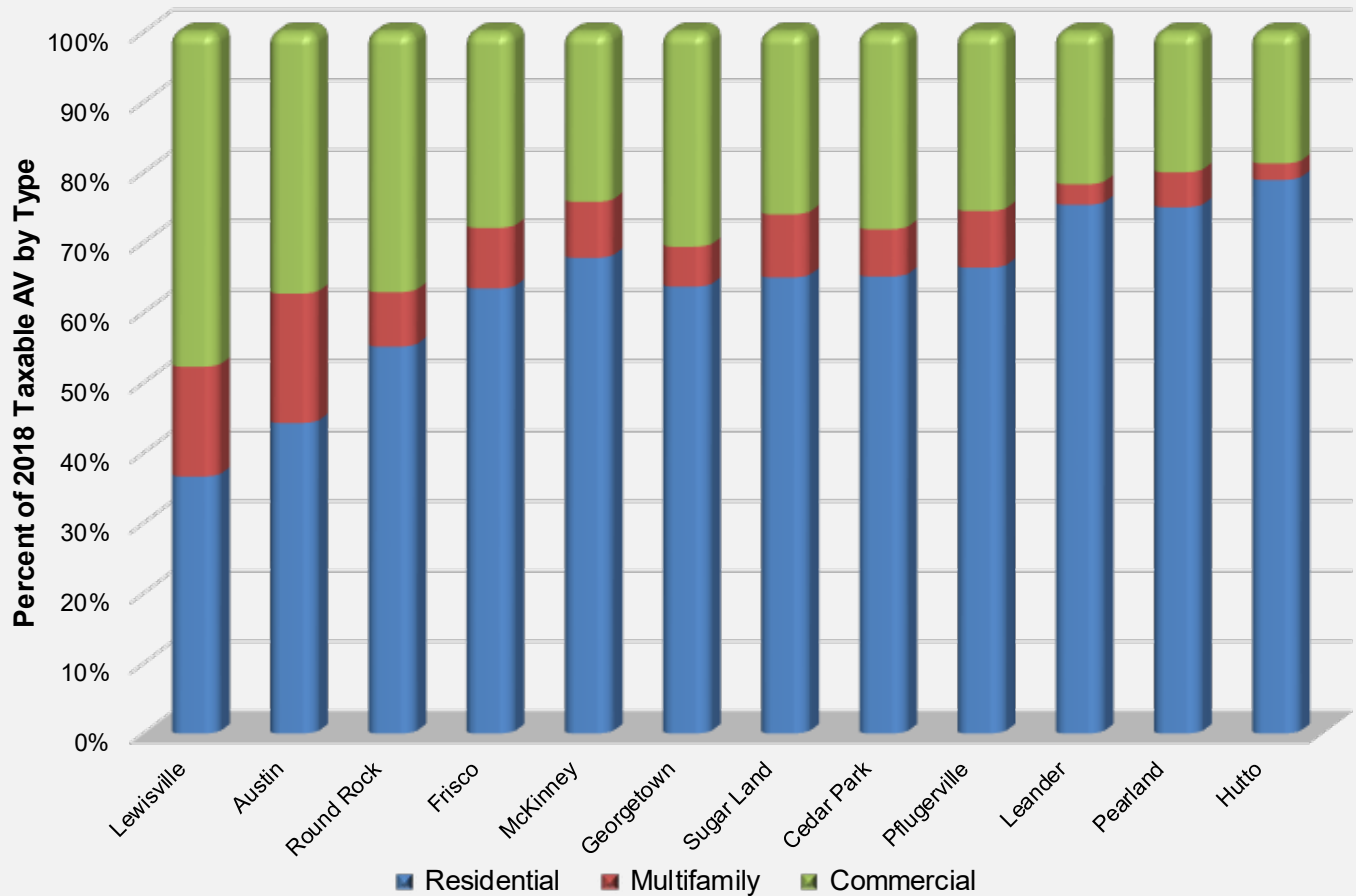


\* Projected

	FY 2018	FY 2019	FY 2020	FY 2021*
Taxable Assessed Value	12,200,945,681	13,295,468,406	14,280,499,908	15,306,588,057
New Property Added to Tax Roll	250,208,079	310,292,753	367,279,461	305,789,069
<b>Total Taxable Assessed Value</b>	<b>12,451,153,760</b>	<b>13,605,761,159</b>	<b>14,647,779,369</b>	<b>15,612,377,126</b>

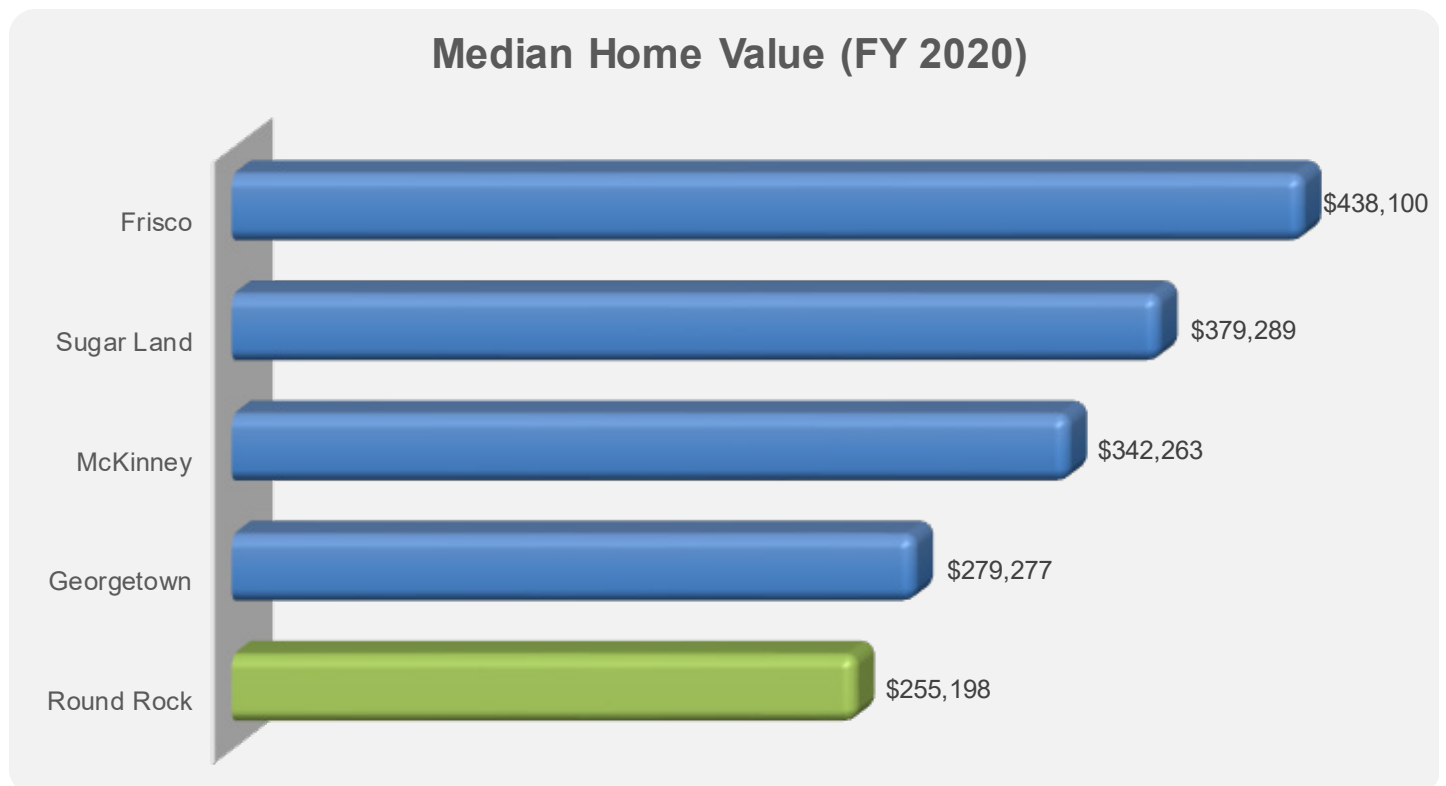
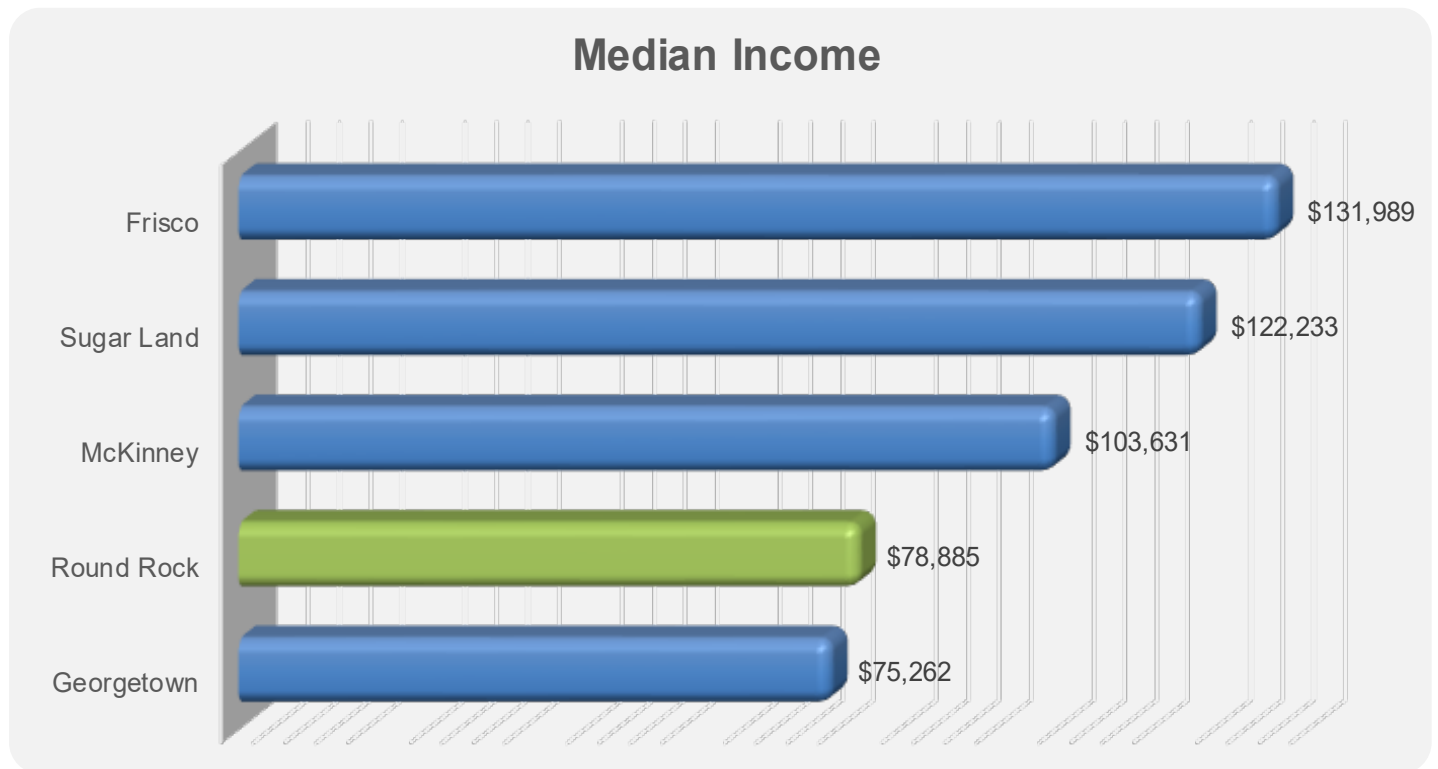


## TAXABLE ASSESSED VALUE BY PROPERTY TYPE - BENCHMARKING



City	Tax Year 2019			
	Total AV	% Commercial	% Multifamily	% Residential
Lewisville	12,552,924,519	48%	16%	37%
Austin	166,072,490,835	37%	18%	44%
Round Rock	14,647,779,369	37%	8%	55%
Frisco	31,652,546,777	28%	9%	63%
McKinney	23,915,533,682	24%	8%	68%
Georgetown	9,768,373,006	31%	6%	64%
Sugar Land	18,307,583,921	26%	9%	65%
Cedar Park	10,706,364,122	28%	7%	65%
Pflugerville	6,274,898,659	26%	8%	66%
Leander	6,794,114,220	22%	3%	75%
Pearland	13,079,990,469	20%	5%	75%
Hutto	2,201,389,971	19%	2%	79%

## DEMOGRAPHICS - BENCHMARKING



Source: <https://www.census.gov/quickfacts/>



## PERSONNEL & BENEFITS

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# COMPENSATION & BENEFITS HIGHLIGHTS

---

## Health Insurance Cost

- No anticipated premium increase for FY 2021
- Current rate is \$12,000 per employee, per year

No Net Impact  
Citywide - \$11.4M  
General Fund - \$9.2M

## Public Safety (Police & Fire) Steps & Market Adjustments

\$1.2 million

## General Government Salary Increases & Market Adjustments, if needed

\$1.5 million

## TMRS

- Total TMRS funding for FY 2021
- FY 2021 contribution rate
- Percent of pension liability funded

No Net Impact  
\$11.9 million  
15.98%  
80.75%

## OPEB Liability

\$16.5 million\*  
Fully Funded

## Training & Development

HR Staff &  
Dept. Budgets

\*Due to GASB 75, OPEB reports the gross total now.

# SUMMARY OF POSITIONS HELD

---

## GENERAL FUND

### Finance

- Purchasing Technician (full year) 1.00

### Fire

- Logistics Officer (Hire: 1/1/2021) 1.00
- Administrative Assistant (Hire: 1/1/2021) 1.00
- Fire Lieutenant (Hire: 1/1/2021) 2.00
- Firefighter (Hire: 1/1/2021) 4.00
- Driver (Hire: 1/1/2021) 2.00

### General Services

- Parts Inventory Specialist (full year) 1.00
- Development Construction Manager (full year) 1.00

### Information Technology

- Senior GIS Analyst (Hire 12/1/2020) 1.00
- Network Administrator (full year) 1.00

### Parks and Recreation

- Administrative Associate (full year) 0.50
- Parks Maintenance Worker (full year) 1.00
- Electrician - Journey (Hire: 2/1/2021) 1.00
- Recreation Assistant (full year) 0.63
- Bus Driver (full year) 0.63
- Fitness Instructor (full year) 1.00

### Planning

- Long Range Planner (Hire Date: 4/1/2021) 1.00
- Neighborhood Services Coordinator (Hire Date: 4/1/2021) 1.00

### Police

- Police Officers (Hire: 1/1/2021) 1.00
- Victim Services Advocate (Hire: 1/1/2021) 1.00
- Community Affairs Specialist (Hire: 1/1/2021) 1.00

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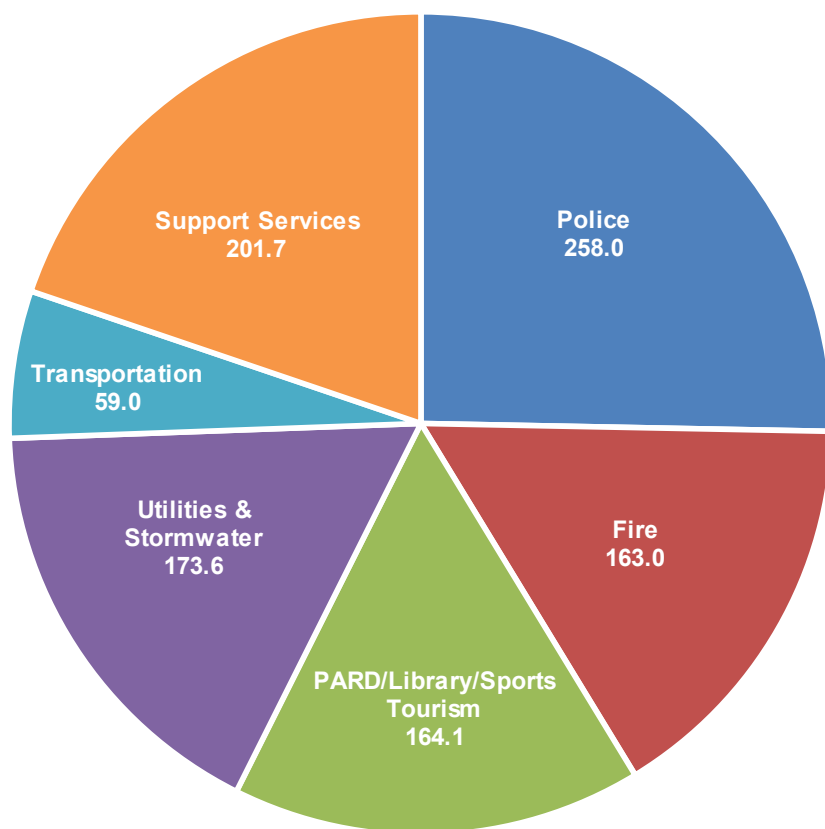
<b>Total Positions Held Vacant</b>	<b>23.75</b>
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## SUMMARY OF CURRENT VACANCIES

---

<b>Department</b>	<b>Vacancies</b>
Finance	1.00
Fire	
<i>Civilian</i>	2.00
<i>Sworn</i>	10.00
General Services	2.00
Human Resources	1.00
Information Technology	3.00
Library	1.75
Parks & Recreation	11.00
Planning	3.00
Police	
<i>Civilian</i>	5.48
<i>Sworn</i>	8.00
Transportation	2.00
<b>Total General Fund</b>	<b>50.23</b>
<b>Utility</b>	<b>17.50</b>
<b>Stormwater</b>	<b>3.00</b>
<b>Total Citywide Vacancies</b>	<b>70.73</b>

## SUMMARY OF FTES BY FUNCTION



**TOTAL FTES FY 2021 = 1,019.45**

Function	FY 2018 Actual	FY 2019 Actual	FY 2020 Revised	FY 2021 Adopted	% of Total
Police	250.90	253.98	257.98	257.98	25.2%
Fire	158.00	162.00	163.00	163.00	16.1%
PARD/Library/Sports Tourism	158.38	162.13	164.13	164.13	16.1%
Utilities & Stormwater	152.13	174.63	173.63	173.63	17.3%
Transportation	55.00	57.00	59.00	59.00	5.7%
Support Services	190.48	198.48	201.73	201.73	19.7%
<b>Total</b>	<b>964.88</b>	<b>1,008.20</b>	<b>1,019.45</b>	<b>1,019.45</b>	<b>100.0%</b>

## FTE 10 YEAR HISTORY BY DEPARTMENT

Full Time Equivalents																																			
		2006-2007		2007-08		FY 2009		FY 2010		FY 2011		FY 2012		FY 2013		FY 2014		FY 2015		FY 2016		FY 2017		FY 2018		FY 2019		FY 2020		New Program		FY 2021			
		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Actual		Transfers	Add'l FTEs	Proposed			
General Fund																																			
Administration <sup>2,3</sup> Communications <sup>1</sup> Finance <sup>3</sup> Fire <sup>1</sup> Fiscal Support Services General Services <sup>2,3</sup> Human Resources Information Technology Legal Services Library <sup>4</sup> Parks & Recreation Planning & Development Services <sup>2,3</sup> Building Inspections Engineering & Development Services Police <sup>4</sup> Recycling Services Transportation <sup>2,4</sup>	11.00	13.00	13.00	13.00	13.00	13.00	13.00	13.00	13.00	13.00	13.00	13.00	13.00	14.00	15.00	15.00	15.00	16.00	11.50	10.500	10.500	10.500	10.500	10.500	10.500	10.500	10.500	10.500	10.500	10.500	10.500	10.500	10.500		
	-	42.00	42.00	42.00	42.00	42.00	42.00	42.00	42.00	42.00	42.00	42.00	42.00	41.00	41.00	41.00	41.00	39.50	38.75	5.00	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000		
	124.00	129.00	129.00	129.00	129.00	129.00	129.00	129.00	129.00	129.00	129.00	129.00	129.00	130.00	137.00	137.00	137.00	140.00	142.00	142.00	158.000	162.000	163.000	163.000	163.000	163.000	163.000	163.000	163.000	163.000	163.000	163.000	163.000		
	-	-	-	-	-	-	-	-	-	-	-	-	-	32.50	36.50	38.50	41.00	44.00	44.00	48.000	52.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000			
	9.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	10.75	11.75	11.75	11.75	12.750	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000		
	17.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	21.00	21.00	21.00	22.000	22.000	22.000	22.000	22.000	22.000	22.000	22.000	22.000	22.000	22.000	22.000	22.000	
	29.25	29.25	29.25	29.25	29.25	29.25	29.25	29.25	29.25	29.25	29.25	29.25	29.25	29.50	30.50	30.50	30.50	30.50	30.50	30.50	31.000	31.750	32.750	32.750	32.750	32.750	32.750	32.750	32.750	32.750	32.750	32.750	32.750	32.750	
	92.50	94.50	96.50	97.50	93.50	93.50	93.50	93.75	93.75	93.75	93.75	93.75	93.75	91.25	91.25	91.25	91.25	105.88	102.00	102.00	105.375	107.375	108.375	108.375	108.375	108.375	108.375	108.375	108.375	108.375	108.375	108.375	108.375	108.375	108.375
	21.75	19.75	19.75	19.75	19.75	22.75	22.75	22.75	22.75	22.75	22.75	22.75	22.75	33.75	33.75	33.75	34.75	36.75	43.75	43.75	52.000	52.000	55.000	55.000	55.000	55.000	55.000	55.000	55.000	55.000	55.000	55.000	55.000	55.000	55.000
	12.00	12.00	12.00	12.00	12.00	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
40.75	43.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.75	44.00	44.00	44.00	48.000	52.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	53.000	
193.00	208.00	213.00	213.00	218.50	218.50	218.50	218.50	218.50	218.50	218.50	218.50	218.50	221.00	223.00	233.50	239.50	247.90	250.900	253.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	257.975	
8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	8.50	
Total	660.50	695.50	703.50	704.50	680.50	680.50	680.50	678.00	678.00	680.50	680.50	680.50	680.50	680.50	695.50	707.00	739.63	751.63	790.750	810.575	822.825	822.825	822.825	822.825	822.825	822.825	822.825	822.825	822.825	822.825	822.825	822.825	822.825	822.825	
Stormwater Fund																																			
Stormwater	-	-	-	-	-	-	-	-	-	15.00	17.00	17.00	17.00	18.00	18.00	18.00	19.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	23.000	23.000	23.000	23.000	23.000			
Total	-	-	-	-	-	-	-	-	-	15.00	17.00	17.00	17.00	18.00	18.00	18.00	19.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	22.00	23.000	23.000	23.000	23.000	23.000			
Utility Fund																																			
Utilities - Administration	10.00	10.00	8.00	8.00	8.00	8.00	8.00	11.00	11.00	11.00	11.00	11.00	10.00	10.00	10.00	11.00	12.00	12.00	12.00	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	13.000	
Utilities - Billings & Collections <sup>4</sup>	14.50	15.00	15.00	15.00	15.00	15.00	15.00	15.00	15.00	15.00	15.00	15.00	15.00	17.75	17.75	17.75	16.50	16.88	16.88	15.500	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	
Utilities - Environmental Services	5.00	5.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	6.00	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	
Utilities - Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Wastewater Line Maintenance	26.00	27.00	28.00	4.00	4.00	4.00	4.00	26.00	26.00	26.00	26.00	26.00	26.00	26.00	26.00	26.00	26.00	26.00	27.00	27.00	26.000	26.000	26.000	26.000	26.000	26.000	26.000	26.000	26.000	26.000	26.000	26.000	26.000	26.000	
Wastewater Systems Support	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	6.000	
Wastewater Treatment Plant	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Water Line Maintenance	31.00	31.00	32.00	33.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	32.00	31.00	31.00	31.000	31.000	31.000	31.000	31.000	31.000	31.000	31.000	31.000	31.000	31.000	31.000	31.000	31.000	
Water Systems Support	20.00	21.00	21.00	21.00	20.00	20.00	20.00	19.00	19.00	19.00	19.00	19.00	17.00	17.00	17.00	17.00	16.00	16.00	16.00	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000	16.000
Water Treatment Plant	17.00	17.00	18.00	18.00	18.00	14.00	14.00	14.00	14.00	14.00	14.00	14.00	14.00	15.00	15.00	15.00	14.63	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	14.625	
Total	127.50	130.00	132.00	132.00	125.00	125.00	125.00	127.00	127.00	126.00	126.00	126.00	126.00	126.00	127.75	128.75	127.50	127.50	130.125	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	150.625	
HOT Funds																																			
Arts and Culture <sup>1</sup>	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1.00	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000		
Convention & Visitors Bureau	3.50	3.50	3.50	3.50	3.50	3.50	3.50	3.00	3.00	3.00	3.00	3.00	3.00	3.00	3.00	3.00	3.00	3.00	4.00	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	4.000	
Sports Management & Tourism	-	-	-	-	-	-	-	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	1.000	
Total	3.50	3.50	3.50	3.50	3.50	3.50	3.50	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	4.00	16.00	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	17.500	
MPF Complex Fund																																			
MPF Complex Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4.00	4.500	5.500	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000		
Total	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4.00	4.500	5.500	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000	7.000		
Grand Total	791.500	825.000	839.000	840.000	824.000	824.000	824.000	826.000	826.000	831.500	831.500	831.500	831.500	853.250	867.750	903.125	903.125	921.125	964.875	1,008.200	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	1,019.450	

1 - Communications and Arts & Culture became separate from Administration in FY2017

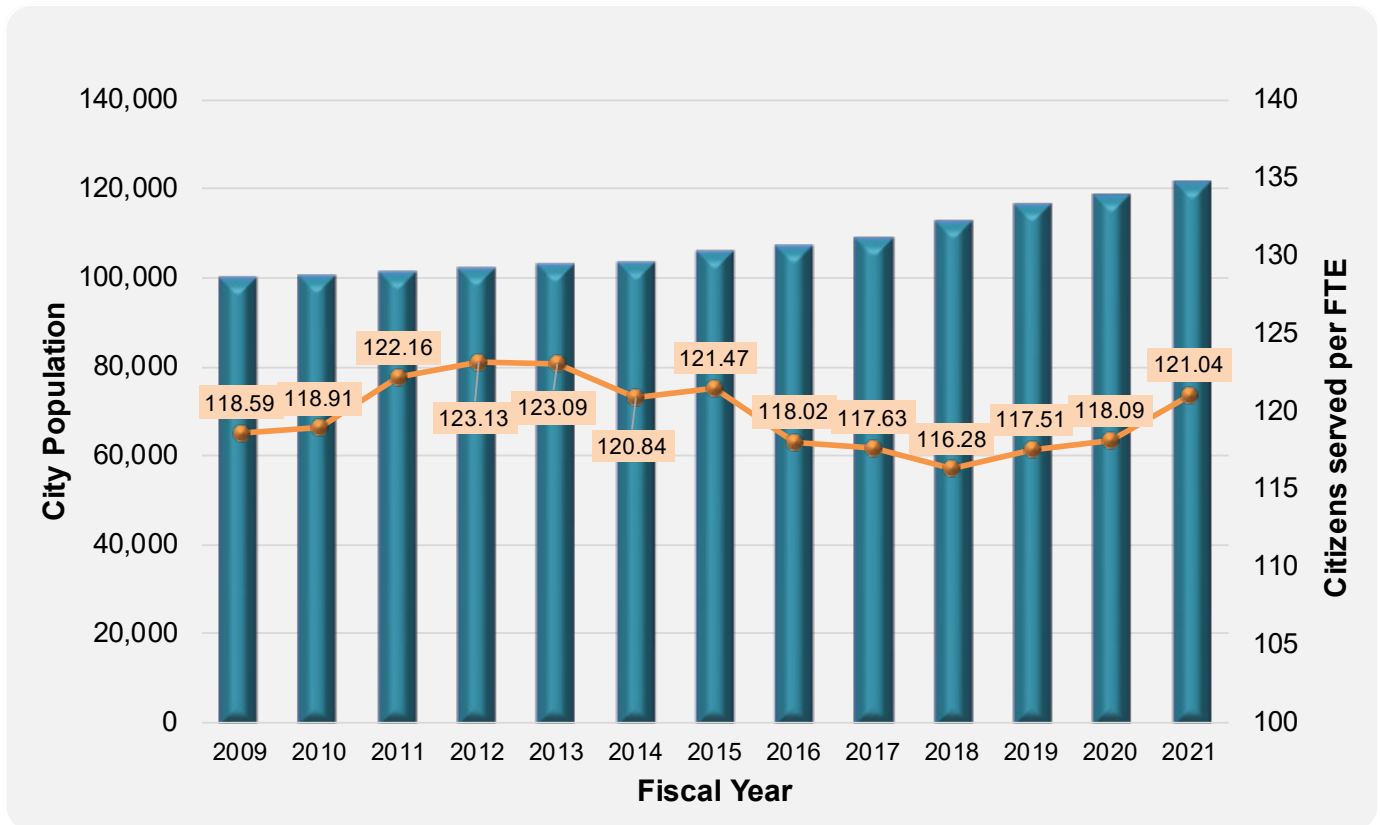
2 - Includes FY2017 Mid-Year Budget Amendment

### 3 - Includes FY2018 Mid-Year Budget Amendment

#### 4- Includes FY2019 Mid-Year Budget Amendment



# CITIZENS SERVED PER EMPLOYEE



Year	Population	Employees (FTEs)	Citizens Served per Employee
2009	99,500	839.00	118.59
2010	99,887	840.00	118.91
2011	100,659	824.00	122.16
2012	101,702	826.00	123.13
2013	102,349	831.50	123.09
2014	103,107	853.25	120.84
2015	105,405	867.75	121.47
2016	106,591	903.13	118.02
2017	108,353	921.13	117.63
2018	112,201	964.88	116.28
2019	116,120	988.20	117.51
2020	118,024	999.45	118.09
2021	120,975	999.45	121.04

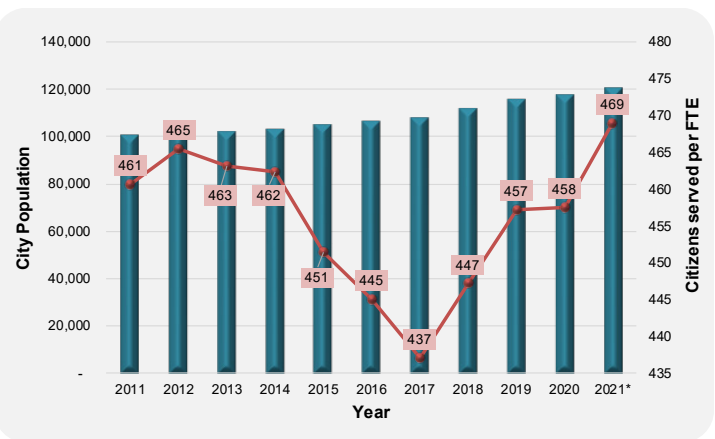
## Notable Changes

- Prior to 2015, the City utilized temporary staff that were converted to permanent FTEs in FY 2016
- FY 2018 - Increase of 15 Firefighters & 1 Logistics Officer for new fire stations
- FY 2019 and beyond - Does not include the 20 FTEs for assuming operational control of regional wastewater system for comparison purposes to prior years

# CITIZENS SERVED PER EMPLOYEE

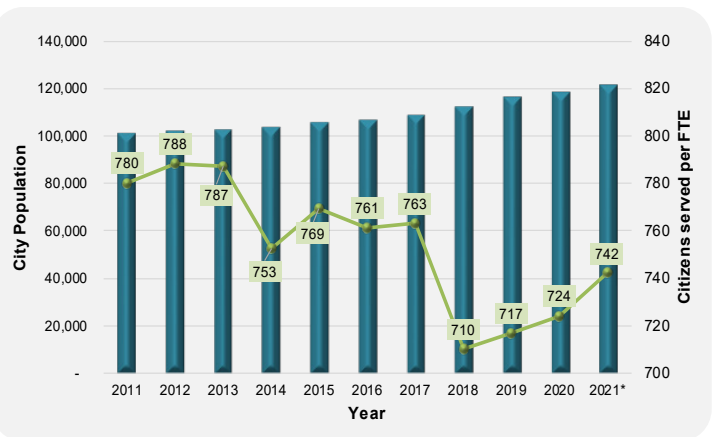
## POLICE

Year	Population	Police FTEs	Citizens Served per Police FTE
2011	100,659	218.50	461
2012	101,702	218.50	465
2013	102,349	221.00	463
2014	103,107	223.00	462
2015	105,405	233.50	451
2016	106,591	239.50	445
2017	108,353	247.90	437
2018	112,201	250.90	447
2019	116,120	253.98	457
2020	118,024	257.98	458
2021*	120,975	257.98	469



## FIRE

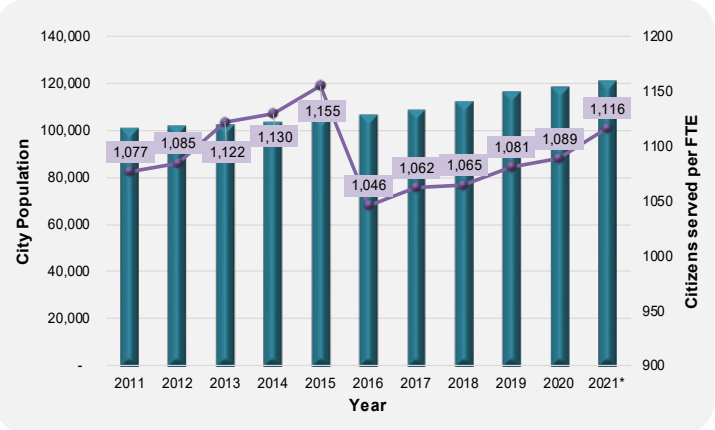
Year	Population	Fire FTEs	Citizens Served per Fire FTE
2011	100,659	129.00	780
2012	101,702	129.00	788
2013	102,349	130.00	787
2014	103,107	137.00 <sup>1</sup>	753
2015	105,405	137.00	769
2016	106,591	140.00	761
2017	108,353	142.00	763
2018	112,201	158.00 <sup>2</sup>	710
2019	116,120	162.00	717
2020	118,024	163.00	724
2021*	120,975	163.00	742



1 7 firefighters added as Engine Company for Station 4, Gattis School Road  
 2 15 firefighters & 1 Logistics Officer added for new fire stations

## PARKS AND RECREATION<sup>3</sup>

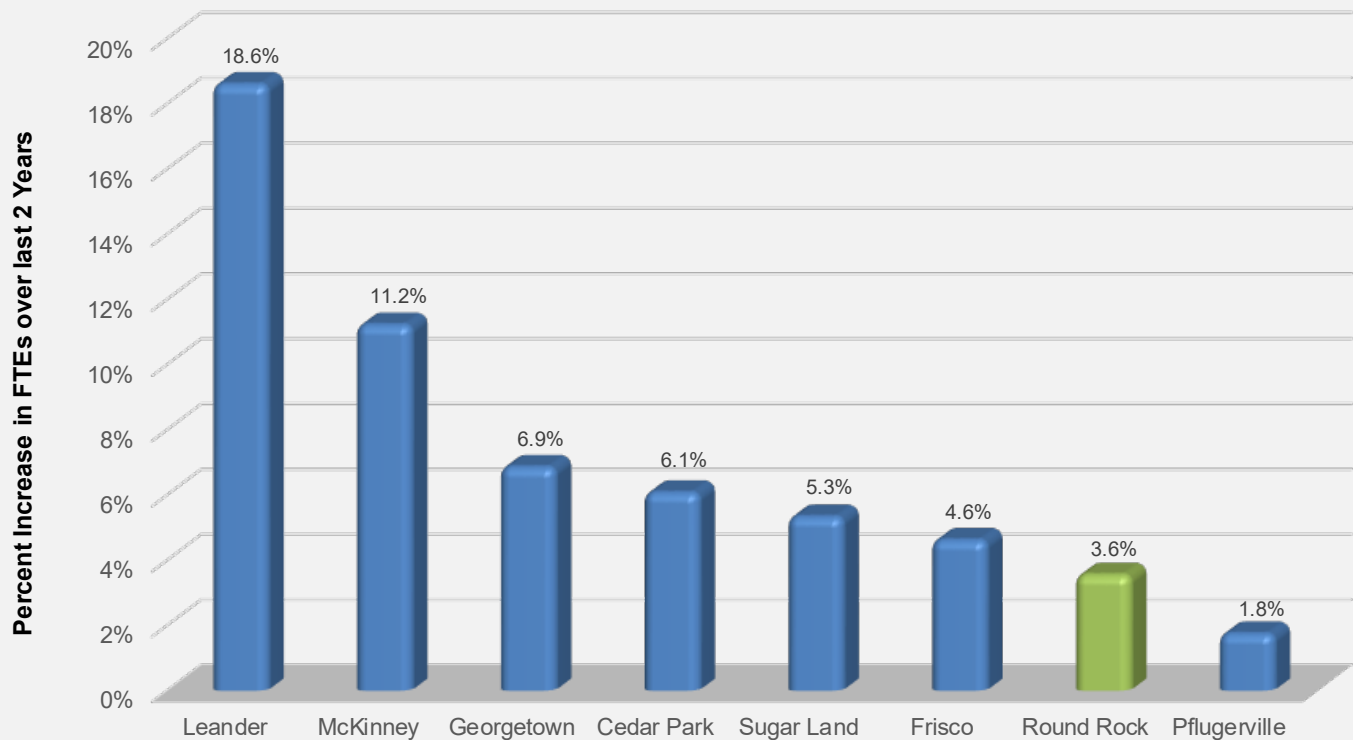
Year	Population	PARD FTEs	Citizens Served per PARD FTE
2011	100,659	93.50	1,077
2012	101,702	93.75	1,085
2013	102,349	91.25	1,122
2014	103,107	91.25	1,130
2015	105,405	91.25	1,155
2016	106,591	101.88 <sup>4</sup>	1,046
2017	108,353	102.00	1,062
2018	112,201	105.38	1,065
2019	116,120	107.38	1,081
2020	118,024	108.38	1,089
2021*	120,975	108.38	1,116



3 Does not include staffing for local use of Sports Center or Multipurpose Complex  
 4 PARD Staffing study implementation and conversion of Temp to part-time

\*Projected

## BENCHMARKING - 2 YEAR INCREASE IN FTES



City	FY 2018	FY 2019	FY 2020	Net Increase	% Increase
Leander	290.65	320.60	344.73	54.08	18.6%
McKinney	1,188.30	1,263.82	1,321.72	133.42	11.2%
Georgetown	710.25	745.25	759.00	48.75	6.9%
Cedar Park	485.00	509.50	514.50	29.50	6.1%
Sugar Land	805.00	812.00	848.00	43.00	5.3%
Frisco	1,358.05	1,411.50	1,420.88	62.83	4.6%
<b>Round Rock</b>	<b>964.88</b>	<b>988.20</b>	<b>999.45</b>	<b>34.58</b>	<b>3.6%</b>
Pflugerville	371.00	389.00	377.50	6.50	1.8%

**Note:**

- FY 2019 and FY 2020 does not include the 20 FTEs for assuming operational control of regional wastewater system for comparison purposes to prior years.

# DETAIL - 2 YEAR INCREASE IN FTES FOR CITIES

City	FY 18	FY 19	FTE Count Increase	FY 19	FY 20	FTE Count Increase	Net Change over Two Years
<b>Round Rock</b>	<b>964.880</b>	<b>988.200</b>	<b>23.320</b>	<b>988.200</b>	<b>999.450</b>	<b>11.250</b>	<b>34.570</b>
		Added: 1.00 Communications 1.50 Finance 4.00 Fire 4.00 General Services 1.00 Human Resources 0.75 Library 2.00 Parks & Rec 2.00 Planning 3.07 Police 4.00 Transportation 20.0 Utilities 1.00 SMT (1.00) Information Technology			Added: 1.00 General Services 1.00 Fire 4.00 Police 1.00 Parks & Recs 1.00 Library 2.00 Transportation 1.00 Utilities		3.6%
<b>Cedar Park</b>	<b>485.00</b>	<b>509.50</b>	<b>24.50</b>	<b>509.50</b>	<b>514.50</b>	<b>5.00</b>	<b>29.50</b>
		Added: 3.00 Police 1.00 Fire 1.00 Public Safety 1.00 Engineering 6.00 Public Works 7.50 Parks & Recs 2.00 Finance 1.00 Information Technology 1.00 Human Resources 1.00 General Govt			Added: 2.00 Police 1.00 Library 1.00 Public Works 1.00 Utility Billing		6.1%
<b>Frisco</b>	<b>1,358.05</b>	<b>1,411.50</b>	<b>53.45</b>	<b>1,411.50</b>	<b>1,420.88</b>	<b>9.38</b>	<b>62.83</b>
		Added: 45.0 General Fund 7.00 Utility Fund 1.00 Stormwater Fund 2.00 Environmental Services 2.00 Hotel/Motel Fund			Added: 4.00 Police 0.63 Parks & Rec 3.00 Environmental Services 0.25 CVB 1.50 Special Events		4.6%
<b>Georgetown</b>	<b>710.25</b>	<b>745.25</b>	<b>35.00</b>	<b>745.25</b>	<b>759.00</b>	<b>13.75</b>	<b>48.75</b>
		Added: 1.00 Planner 1.00 Parks Maint 19.00 Fire 1.00 Police 1.00 Animal Services 1.00 Fleet 1.00 Business Improvement 2.00 Engineering 2.50 Information Technology 0.50 Airport 5.00 Water			Added: 1.00 Admin Services 2.00 Community Services 1.00 Communications 6.00 Police (0.75) Streets 1.00 Code Enforcement 0.5 Tourism 2.00 Finance 1.50 Utilities 1.00 Purchasing (7.00) GUS 1.00 Transportation 1.00 Customer Care 1.00 BIP 1.00 Engineering 4.00 Human Resources 1.00 Joint Services 2.00 Electricity (3.00) Resource Management (2.50) System Operations		6.9%

# DETAIL - 2 YEAR INCREASE IN FTES FOR CITIES

City	FY 18	FY 19	FTE Count Increase	FY 19	FY 20	FTE Count Increase	Net Change over Two Years
Leander	290.65	320.60	29.95	320.60	344.73	24.13	54.08 18.6%
		Added: 1.00 Human Resources 1.00 Planning 1.00 Streets 1.00 Engineering 6.20 Parks & Rec 4.00 Police 13.0 Fire 3.00 Utilities (0.25) Golf Fund			Added: 1.00 Information Technology 1.00 General Services 1.125 Planning 4.00 Streets 2.00 Engineering 2.00 Parks & Rec 6.00 Police 1.00 Fire 1.00 Code Enforcement 1.00 Building Inspections 4.00 Utilities		
McKinney	1,188.30	1,263.82	75.52	1,263.82	1,321.72	57.90	133.42 11.2%
		Added: 1.00 Municipal Court 1.00 Development Svcs 1.40 Housing & Comm Dev 0.50 Community Events 2.00 Information Technology 14.0 Police 26.0 Fire 1.00 Engineering 3.00 Facility Maintenance 9.50 Parks & Rec 1.00 Building Inspections 1.90 Library 7.50 Utilities 1.00 Airport 2.00 Drainage 0.50 Solid Waste 0.62 Aquatics 0.66 Utility 1.34 Streets			Added: 1.00 Human Resources 1.00 Legal 1.50 Municipal Court 2.00 Purchasing 2.00 Information Technology 9.00 Police 6.00 Fire 1.00 Engineering 1.00 Facility Maintenance 4.00 Parks & Rec 3.00 Streets 1.00 Building Inspections 1.00 Code Compliance 1.90 Library 10.5 Utilities 4.00 Airport 4.00 Drainage 4.00 Solid Waste		
Pflugerville	371.00	389.00	18.00	389.00	377.50	-11.50	6.50 1.8%
		Added: 2.00 Information Technology 4.00 Planning/DSO 2.00 Parks Operations 0.5 Library 1.50 PAWS 4.00 Police 4.00 Utilities			Added: 1.00 CMO 2.00 Planning 2.00 Engineering 1.00 Parks Ops 1.00 Police 4.50 Utilities (23.00) SRO		
Sugar Land	805.00	812.00	7.00	812.00	848.00	36.00	43.00 5.3%
		Added: (2.00) Assistant City Managers 2.00 Communications 1.00 City Attorney 1.00 Information Technology 1.00 Office of Performance 1.50 Economic Development 1.00 Fire (0.5) Tourism Fund 2.00 Utilities			Added: 1.00 Information Technology 1.00 Municipal Court 3.00 Police 1.00 Dispatch 1.00 Fire 1.00 Stormwater Management 1.00 Animal Services 1.00 Fleet 2.00 Facilities Management 1.00 Street & Drainage 1.00 Traffic operations 17.00 ROW Maintenance 2.00 Utility Fund 3.00 Airport		



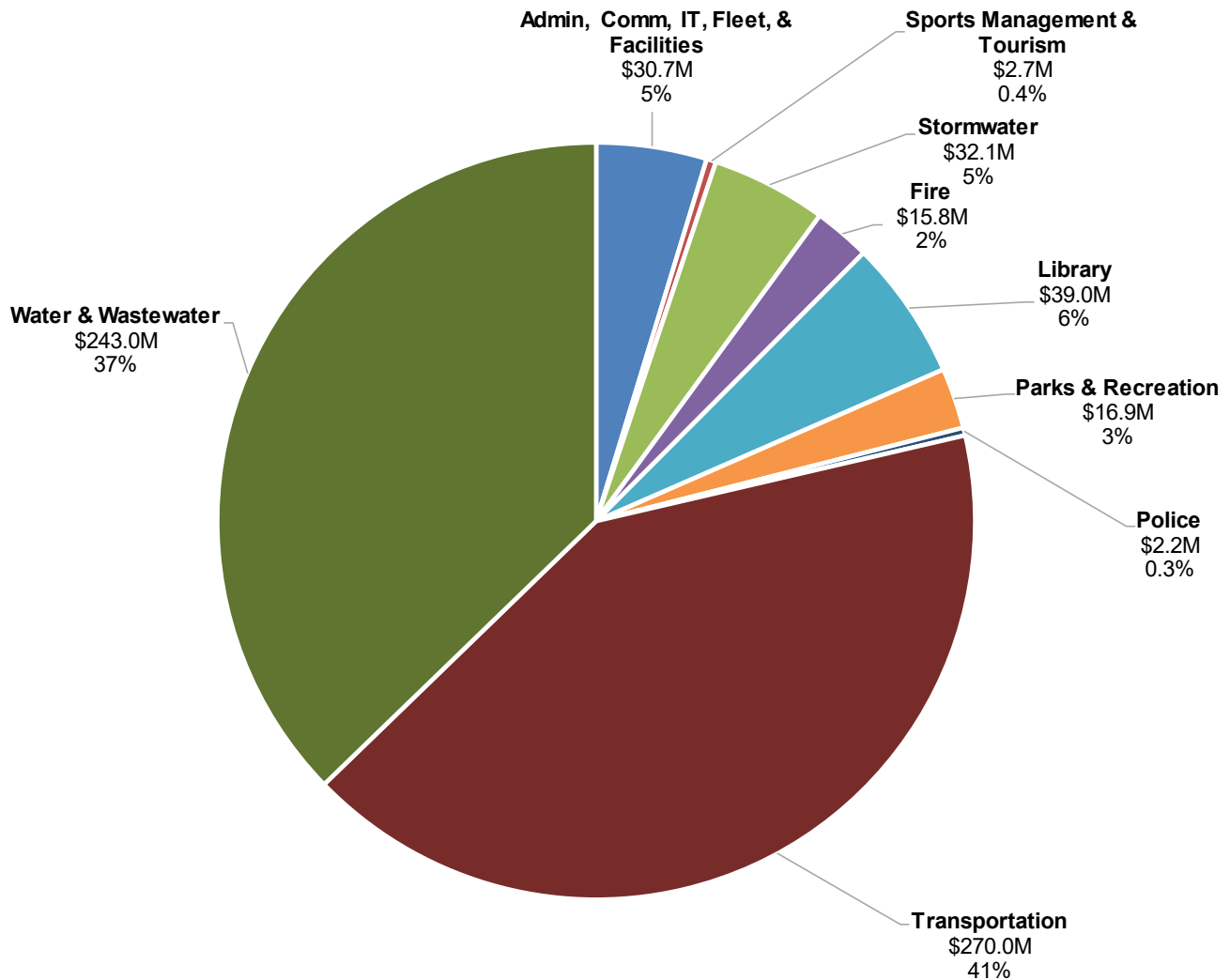
# COMMUNITY INVESTMENT PROGRAM

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## 5 YEAR CIP BY USE

**\$652.4 MILLION**



Consolidated by Department	2021	2022	2023	2024	2025	Total
Administration, Comm, IT, & Facilities <sup>1</sup>	6,969,191	5,801,000	6,501,000	5,721,000	5,754,000	30,746,191
Sports Management & Tourism	701,000	902,085	350,000	350,000	350,000	2,653,085
Stormwater	5,225,000	6,415,000	8,505,000	8,060,000	3,960,000	32,165,000
Fire	1,803,320	8,250,000	3,151,530	1,202,566	1,358,025	15,765,441
Library	3,126,700	22,999,000	11,493,612	1,358,932	-	38,978,244
Parks & Recreation	11,788,259	2,252,944	950,000	950,000	950,000	16,891,203
Police	767,885	350,000	350,000	350,000	350,000	2,167,885
Transportation	91,697,941	76,080,180	51,875,200	26,750,000	23,600,000	270,003,321
Water & Wastewater <sup>2</sup>	79,397,334	76,682,373	38,557,100	27,133,790	21,226,680	242,997,277
<b>Total</b>	<b>201,476,630</b>	<b>199,732,582</b>	<b>121,733,442</b>	<b>71,876,288</b>	<b>57,548,705</b>	<b>652,367,647</b>

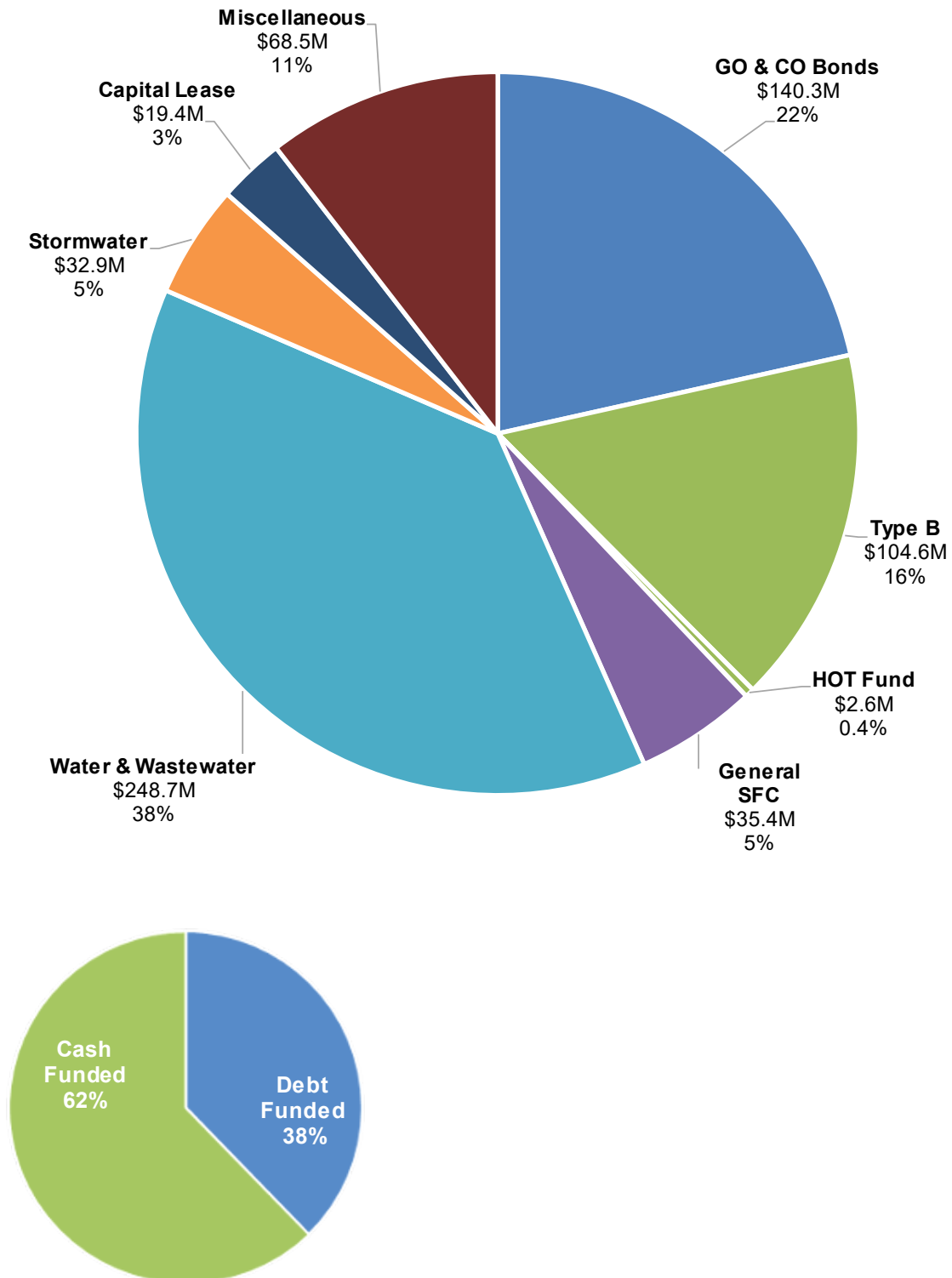
**Note:**

1 Admin, IT, Fleet, & Facilities includes projects for Administration, Facility Maintenance, Fleet, General Services, & Information Technology

2 Water & Wastewater includes projects for Regional Water System (BCRUA) & Regional WW System (BCRWWS)

## 5 YEAR CIP BY SOURCE

**\$652.4 MILLION**





# PROJECTS & FUNDING SOURCE BY DEPARTMENT

Department	2021	2022	2023	2024	2025	Total
<b>Administration</b>						
Capital Equipment & Replacement Reserve	500,000					500,000
<i>Pay As You Go</i>	500,000					500,000
<b>Administration Total</b>	<b>500,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>500,000</b>
<b>Communications</b>						
Camera Replacement		80,000				80,000
<i>PEG Fund</i>		80,000				80,000
Chamber Equipment Replacement/ Upgrades	100,000		30,000			130,000
<i>PEG Fund</i>	100,000		30,000			130,000
Cold Storage	60,000					60,000
<i>PEG Fund</i>	60,000					60,000
Communications Computer Replacement	11,000	11,000	11,000	11,000	11,000	55,000
<i>PEG Fund</i>	11,000	11,000	11,000	11,000	11,000	55,000
Drone Replacement (4 year cycle)	5,000	5,000	5,000	5,000	5,000	25,000
<i>PEG Fund</i>	5,000	5,000	5,000	5,000	5,000	25,000
Finish Furnishing Huddle Spaces	10,000					10,000
<i>PEG Fund</i>	10,000					10,000
Lens Replacement Program	30,000					30,000
<i>PEG Fund</i>	30,000					30,000
Park and Recreation Computer Replacement					18,000	18,000
<i>PEG Fund</i>					18,000	18,000
Park and Recreation PEG Equipment	35,000					35,000
<i>PEG Fund</i>	35,000					35,000
Police Computer Replacement					15,000	15,000
<i>PEG Fund</i>					15,000	15,000
Police PEG Equipment	35,000					35,000
<i>PEG Fund</i>	35,000					35,000
Operational (MISC A/V Equipment)	50,000	50,000	50,000	50,000	50,000	250,000
<i>PEG Fund</i>	50,000	50,000	50,000	50,000	50,000	250,000
Studio			750,000			750,000
<i>PEG Fund</i>			750,000			750,000
<b>Communications Total</b>	<b>336,000</b>	<b>146,000</b>	<b>846,000</b>	<b>66,000</b>	<b>99,000</b>	<b>1,493,000</b>
<b>Facility Maintenance</b>						
FM Internal Service Annual Bucket	500,000	750,000	750,000	750,000	750,000	3,500,000
<i>Pay As You Go</i>	500,000	750,000	750,000	750,000	750,000	3,500,000
<b>Facility Maintenance Total</b>	<b>500,000</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>3,500,000</b>
<b>Fire</b>						
Fire Apparatus Replacement	1,406,320		901,530	952,566	1,108,025	4,368,441
<i>Capital Lease</i>	1,406,320		901,530	952,566	1,108,025	4,368,441
Fire Safety Equipment Replacement Program	250,000	250,000	250,000	250,000	250,000	1,250,000
<i>Pay As You Go</i>	250,000	250,000	250,000	250,000	250,000	1,250,000
Exhaust Removal System (Airhawk Upgrades) FS 1&9	147,000					147,000
<i>General Self Financed Construction</i>	147,000					147,000
Fire Station #10		8,000,000	2,000,000			10,000,000
<i>Future CO Bond Issuance</i>		8,000,000	2,000,000			10,000,000
<b>Fire Total</b>	<b>1,803,320</b>	<b>8,250,000</b>	<b>3,151,530</b>	<b>1,202,566</b>	<b>1,358,025</b>	<b>15,765,441</b>
<b>Fleet</b>						
Vehicle&Equip. Replace-Gen	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	15,000,000
<i>Capital Lease</i>	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	15,000,000
Vehicle&Equip. Replace-STORM	155,000	155,000	155,000	155,000	155,000	775,000
<i>Stormwater Fund</i>	155,000	155,000	155,000	155,000	155,000	775,000
Vehicle&Equip. Replace-UT	790,000	1,000,000	1,000,000	1,000,000	1,000,000	4,790,000
<i>Utility Fund</i>	790,000	1,000,000	1,000,000	1,000,000	1,000,000	4,790,000
<b>Fleet Total</b>	<b>3,945,000</b>	<b>4,155,000</b>	<b>4,155,000</b>	<b>4,155,000</b>	<b>4,155,000</b>	<b>20,565,000</b>
<b>General Services</b>						
Luther Peterson Car Wash	888,191					888,191
<i>Self-Finance Water Construction</i>	888,191					888,191
Update Facility Master Plan	50,000					50,000
<i>General Self Financed Construction</i>	50,000					50,000
<b>General Services Total</b>	<b>938,191</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>938,191</b>
<b>Information Technology</b>						
VOIP Endpoint Hardware Refresh	50,000					50,000
<i>General Self Financed Construction</i>	50,000					50,000

# PROJECTS & FUNDING SOURCE BY DEPARTMENT

Department	2021	2022	2023	2024	2025	Total
Network Hardware/Datacenter Refresh	200,000					200,000
<i>General Self Financed Construction</i>	200,000					200,000
IT Internal Services Annual Bucket	500,000	500,000	750,000	750,000	750,000	3,250,000
<i>Pay As You Go</i>	500,000	500,000	750,000	750,000	750,000	3,250,000
Public Safety Dispatch System Replacement		250,000				250,000
<i>Pay As You Go</i>		250,000				250,000
<b>Information Technology Total</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>3,750,000</b>
<b>Library</b>						
New Main Library Facility	500,000	14,650,000	9,100,000	1,358,932		25,608,932
<i>Future 2022 GO Bond Issuance</i>	500,000	13,540,000	4,358,500	1,072,232		19,470,732
<i>Future CO Bond Issuance</i>			4,741,500	286,700		5,028,200
<i>General Self Financed Construction</i>		1,110,000				1,110,000
<b>Library Total</b>	<b>500,000</b>	<b>14,650,000</b>	<b>9,100,000</b>	<b>1,358,932</b>	<b>-</b>	<b>25,608,932</b>
<b>NE Downtown Improvements</b>						
Parking Garage - Library		8,349,000	2,393,612			10,742,612
<i>RR Trans &amp; EcoDev Corp</i>		8,349,000	2,393,612			10,742,612
Northeast Downtown Improvement - Dry Utilities	1,123,700					1,123,700
<i>RR Trans &amp; EcoDev Corp</i>	1,123,700					1,123,700
Northeast Downtown Improvement - CTG	1,503,000					1,503,000
<i>RR Trans &amp; EcoDev Corp</i>	1,503,000					1,503,000
<b>NE Downtown Improvements Total</b>	<b>2,626,700</b>	<b>8,349,000</b>	<b>2,393,612</b>	<b>-</b>	<b>-</b>	<b>13,369,312</b>
<b>Parks and Recreation</b>						
Adult Recreation Complex	975,000					975,000
<i>Parks Improvement &amp; Acquisition Fund</i>	975,000					975,000
Behrens Ranch Nature Park	977,069					977,069
<i>General Self Financed Construction</i>	977,069					977,069
CMRC Sports Fields Restrooms, Fencing & Drainage	132,125					132,125
<i>2017 GO Bond Issuance</i>	132,125					132,125
Heritage Trail EAST	3,079,272	1,302,944				4,382,216
<i>2017 GO Bond Issuance</i>	1,079,272	1,302,944				2,382,216
<i>Future CO Bond Issuance</i>	2,000,000					2,000,000
PARD Repair & Replace Annual Bucket		250,000	250,000	250,000	250,000	1,000,000
<i>Pay As You Go</i>		250,000	250,000	250,000	250,000	1,000,000
Somerset Parkland/House Evaluation	21,718					21,718
<i>Parks Improvement &amp; Acquisition Fund</i>	21,718					21,718
Kinningham Park/House Evaluation	50,000					50,000
<i>General Self Financed Construction</i>	50,000					50,000
OSP Park within a Park	200,000					200,000
<i>Parks Improvement &amp; Acquisition Fund</i>	200,000					200,000
PARD R&R: Buck Egger Park Improvements	250,000					250,000
<i>Pay As You Go</i>	250,000					250,000
CMRC Pool Deck	30,000					30,000
<i>Parks Improvement &amp; Acquisition Fund</i>	30,000					30,000
OSP: Cy Young Restroom Repair	100,000					100,000
<i>General Self Financed Construction</i>	100,000					100,000
OSP: Baseball/Softball Net & Fence Repairs	75,000					75,000
<i>General Self Financed Construction</i>	75,000					75,000
Mayfield Park Archery Ranch	75,000					75,000
<i>Parks Improvement &amp; Acquisition Fund</i>	75,000					75,000
Lake Creek Trail	1,638,919					1,638,919
<i>2017 GO Bond Issuance</i>	1,638,919					1,638,919
Meadow Lake Park Pavilion	131,894					131,894
<i>Parks Improvement &amp; Acquisition Fund</i>	131,894					131,894
OSP Repair/Replace Bucket Annual Bucket		700,000	700,000	700,000	700,000	2,800,000
<i>PARD Cap Ex Revenue</i>		200,000	200,000	200,000	200,000	800,000
<i>Pay As You Go</i>		500,000	500,000	500,000	500,000	2,000,000
PARD Repair/Replace-Frontier Park	79,682					79,682
<i>CDBG HUD Entitlement Grants</i>	25,983					25,983
<i>Parks Improvement &amp; Acquisition Fund</i>	53,699					53,699
Rock'N River Improvements	120,000					120,000
<i>Parks Improvement &amp; Acquisition Fund</i>	120,000					120,000
Heritage Trail WEST	3,852,580					3,852,580
<i>2017 GO Bond Issuance</i>	3,852,580					3,852,580
<b>Parks and Recreation Total</b>	<b>11,788,259</b>	<b>2,252,944</b>	<b>950,000</b>	<b>950,000</b>	<b>950,000</b>	<b>16,891,203</b>
<b>Police</b>						
Public Safety Radio Replacements (thru FY 21)	367,885					367,885
<i>General Self Finance Purchases</i>	367,885					367,885
Design for Obstacle Course	50,000					50,000

# PROJECTS & FUNDING SOURCE BY DEPARTMENT

Department	2021	2022	2023	2024	2025	Total
<b>General Self Financed Construction</b>	<b>50,000</b>					<b>50,000</b>
Police Safety Equipment Replacement Annual Bucket	350,000	350,000	350,000	350,000	350,000	1,750,000
<b>Pay As You Go</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>1,750,000</b>
<b>Police Total</b>	<b>767,885</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>2,167,885</b>
<b>Regional Water System (BCRUA)</b>						
Brushy Creek Regional WTP - Phase 1C	400,050					400,050
<b>Future BCRUA Revenue Bonds</b>	<b>400,050</b>					<b>400,050</b>
Brushy Creek Regional WTP - Phase 1D				336,042		336,042
<b>Future BCRUA Revenue Bonds</b>				<b>336,042</b>		<b>336,042</b>
BCRUA Phase 2 Deep Water & Raw Waterline Planning	2,704,361	273,913				2,978,274
<b>Future BCRUA Revenue Bonds</b>	<b>2,704,361</b>	<b>273,913</b>				<b>2,978,274</b>
BCRUA Phase 2 Deep Water & Raw Waterline CON		9,000,000	9,000,000	9,000,000	9,000,000	36,000,000
<b>Future BCRUA Revenue Bonds</b>		<b>9,000,000</b>	<b>9,000,000</b>	<b>9,000,000</b>	<b>9,000,000</b>	<b>36,000,000</b>
<b>Regional Water System (BCRUA) Total</b>	<b>3,104,411</b>	<b>9,273,913</b>	<b>9,000,000</b>	<b>9,336,042</b>	<b>9,000,000</b>	<b>39,714,366</b>
<b>Regional WW System (BCRWWS)</b>						
East WWTP Re-Rate Improvements	44,770,000	37,963,000	11,902,250			94,635,250
<b>Future Utility Revenue Bonds</b>	<b>29,200,000</b>	<b>18,800,000</b>	<b>5,000,000</b>			<b>53,000,000</b>
<b>Regional Wastewater System Partners</b>	<b>10,225,000</b>	<b>14,625,000</b>	<b>5,408,000</b>			<b>30,258,000</b>
<b>Self-Finance Wastewater Construction</b>	<b>5,345,000</b>	<b>4,538,000</b>	<b>1,494,250</b>			<b>11,377,250</b>
South Interceptor Manhole Rehab		350,000				350,000
<b>Regional Wastewater System Partners</b>	<b>150,000</b>					<b>150,000</b>
<b>Self-Finance Wastewater Construction</b>	<b>200,000</b>					<b>200,000</b>
<b>Regional WW System (BCRWWS) Total</b>	<b>45,120,000</b>	<b>37,963,000</b>	<b>11,902,250</b>	<b>-</b>	<b>-</b>	<b>94,985,250</b>
<b>Sports Management &amp; Tourism</b>						
Dell Diamond Capital Improvement & Repair PY Allotment		128,142				128,142
<b>Hotel Occupancy Fund</b>		<b>128,142</b>				<b>128,142</b>
Dell Diamond Capital Improvement & Repair FY20 Allotment	51,000	23,204				74,204
<b>Hotel Occupancy Fund</b>	<b>51,000</b>	<b>23,204</b>				<b>74,204</b>
Dell Diamond Capital Improvement & Repair	350,000	350,000	350,000	350,000	350,000	1,750,000
<b>Hotel Occupancy Fund</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>1,750,000</b>
Dell Diamond Replace Fire Suppression		400,739				400,739
<b>Hotel Occupancy Fund</b>		<b>400,739</b>				<b>400,739</b>
Dell Diamond Parking Lot Resurfacing	300,000					300,000
<b>Hotel Occupancy Fund</b>	<b>300,000</b>					<b>300,000</b>
<b>Sports Management &amp; Tourism Total</b>	<b>701,000</b>	<b>902,085</b>	<b>350,000</b>	<b>350,000</b>	<b>350,000</b>	<b>2,653,085</b>
<b>Stormwater</b>						
2021 Erosion, Stabilization and Restoration WA	120,000	80,000				200,000
<b>Future Stormwater Revenue Bonds</b>		<b>80,000</b>				<b>80,000</b>
<b>Self-Financed Stormwater Construction</b>	<b>120,000</b>					<b>120,000</b>
2D Modeling of Neighborhoods	150,000	150,000	150,000	150,000	150,000	750,000
<b>Future Stormwater Revenue Bonds</b>		<b>150,000</b>	<b>150,000</b>	<b>150,000</b>	<b>150,000</b>	<b>600,000</b>
<b>Self-Financed Stormwater Construction</b>	<b>150,000</b>					<b>150,000</b>
620 Quarry project	200,000	200,000	600,000	500,000		1,500,000
<b>Regional Detention Fund</b>	<b>200,000</b>	<b>200,000</b>	<b>600,000</b>	<b>500,000</b>		<b>1,500,000</b>
Bluebonnet Elementary School				500,000	500,000	1,000,000
<b>Future Stormwater Revenue Bonds</b>				<b>500,000</b>	<b>500,000</b>	<b>1,000,000</b>
Chandler Branch Tributary T16C Gap Channel	125,000	125,000	1,500,000			1,750,000
<b>Future Stormwater Revenue Bonds</b>			<b>1,250,000</b>			<b>1,250,000</b>
<b>Regional Detention Fund</b>	<b>125,000</b>	<b>125,000</b>	<b>250,000</b>			<b>500,000</b>
Chisholm Valley Phase 4 & 5		1,000,000	1,000,000			2,000,000
<b>Future Stormwater Revenue Bonds</b>		<b>1,000,000</b>	<b>1,000,000</b>			<b>2,000,000</b>
Annual Creek Cleaning	50,000	50,000	50,000	50,000	50,000	250,000
<b>Future Stormwater Revenue Bonds</b>			<b>50,000</b>	<b>50,000</b>	<b>50,000</b>	<b>150,000</b>
<b>Self-Financed Stormwater Construction</b>	<b>50,000</b>	<b>50,000</b>				<b>100,000</b>
Dam 101	900,000	2,000,000	3,000,000	3,000,000		8,900,000
<b>Future Stormwater Revenue Bonds</b>		<b>2,000,000</b>	<b>3,000,000</b>	<b>3,000,000</b>		<b>8,000,000</b>
<b>Regional Detention Fund</b>	<b>900,000</b>					<b>900,000</b>
2013H Eagle Ridge Lake Side	25,000					25,000
<b>Self-Financed Stormwater Construction</b>	<b>25,000</b>					<b>25,000</b>
Environmental Services Contract	10,000	10,000	10,000	10,000	10,000	50,000
<b>Future Stormwater Revenue Bonds</b>		<b>10,000</b>	<b>10,000</b>	<b>10,000</b>	<b>10,000</b>	<b>40,000</b>
<b>Self-Financed Stormwater Construction</b>	<b>10,000</b>					<b>10,000</b>
Existing Channel (RR West to SIH 35)				650,000	650,000	1,300,000
<b>Future Stormwater Revenue Bonds</b>				<b>650,000</b>	<b>650,000</b>	<b>1,300,000</b>
Chisholm Valley Storm Drain Impv Area 3 - CON	1,750,000					1,750,000
<b>2014 Stormwater Revenue Bonds</b>	<b>250,000</b>					<b>250,000</b>
<b>Self-Financed Stormwater Construction</b>	<b>1,500,000</b>					<b>1,500,000</b>
2013K Lake Forest		70,000				70,000

# PROJECTS & FUNDING SOURCE BY DEPARTMENT

Department	2021	2022	2023	2024	2025	Total
<i>Future Stormwater Revenue Bonds</i>		70,000				70,000
Lake Creek Tributary 1 (Yucca to Buckboard)		300,000				300,000
<i>Future Stormwater Revenue Bonds</i>		300,000				300,000
Meadows neighborhood Area 1		115,000				115,000
<i>Future Stormwater Revenue Bonds</i>		115,000				115,000
MS4 Permit Compliance	20,000	20,000		20,000	20,000	80,000
<i>Future Stormwater Revenue Bonds</i>		20,000		20,000	20,000	60,000
<i>Self-Financed Stormwater Construction</i>	20,000					20,000
Northeast Downtown Stormwater Impv	1,400,000	900,000				2,300,000
<i>Future Stormwater Revenue Bonds</i>		700,000				700,000
<i>Self-Financed Stormwater Construction</i>	1,400,000	200,000				1,600,000
201312 The Woods Oak Hollow			200,000	600,000	600,000	1,400,000
<i>Future Stormwater Revenue Bonds</i>			200,000	600,000	600,000	1,400,000
Oak Bluff Flooding Improvements	250,000					250,000
<i>2014 Stormwater Revenue Bonds</i>	250,000					250,000
Greater Round Rock West CON	150,000	1,270,000	1,270,000	1,905,000	1,905,000	6,500,000
<i>Future Stormwater Revenue Bonds</i>		1,270,000	1,270,000	1,905,000	1,905,000	6,350,000
<i>Self-Financed Stormwater Construction</i>	150,000					150,000
Stormwater Emergency Support		50,000		50,000		100,000
<i>Future Stormwater Revenue Bonds</i>		50,000		50,000		100,000
Stormwater Master Plan				250,000		250,000
<i>Future Stormwater Revenue Bonds</i>				250,000		250,000
Creek Stormwater Modeling	75,000	75,000	75,000	75,000	75,000	375,000
<i>Future Stormwater Revenue Bonds</i>		75,000	75,000	75,000	75,000	300,000
<i>Self-Financed Stormwater Construction</i>	75,000					75,000
Terra Pond - Future Restoration Project				300,000		300,000
<i>Future Stormwater Revenue Bonds</i>				300,000		300,000
Unnamed Creek (Old West to Purple Sage)			650,000			650,000
<i>Future Stormwater Revenue Bonds</i>			650,000			650,000
<b>Stormwater Total</b>	<b>5,225,000</b>	<b>6,415,000</b>	<b>8,505,000</b>	<b>8,060,000</b>	<b>3,960,000</b>	<b>32,165,000</b>

## Transportation

Type B Eligible Projects	90,463,995	71,780,180	47,575,200	22,450,000	19,300,000	251,569,375
<i>CAMPO Funds</i>	27,106,320	920,396				28,026,716
<i>Federal/State/Local Grant Funds</i>	1,219,198					1,219,198
<i>Future CO Bond Issuance</i>	45,785,947	30,000,000	20,000,000			95,785,947
<i>Kalahari Bond Funds</i>	4,484,858					4,484,858
<i>Other Governmental Entity Funds</i>	6,262,546	9,638,160	9,725,000	6,250,000	4,675,000	36,550,706
<i>RR Trans &amp; EcoDev Corp</i>	5,605,126	31,221,624	17,850,200	16,200,000	14,625,000	85,501,950
Street Maintenance Non-Arterial	1,233,946	4,300,000	4,300,000	4,300,000	4,300,000	18,433,946
<i>General Self Financed Construction</i>	1,233,946	4,300,000	4,300,000	4,300,000	4,300,000	18,433,946
<b>Transportation Total</b>	<b>91,697,941</b>	<b>76,080,180</b>	<b>51,875,200</b>	<b>26,750,000</b>	<b>23,600,000</b>	<b>270,003,321</b>

## Water & Wastewater

Automated Meter Reading Ph 8	250,000	250,000				500,000
<i>Self-Finance Water Construction</i>	250,000	250,000				500,000
Chandler Creek 2 Wastewater Improvements	50,000	210,111	260,111			520,222
<i>Self-Finance Wastewater Construction</i>	50,000	210,111	260,111			520,222
SCADA WW Portion	300,000					300,000
<i>Self-Finance Wastewater Construction</i>	300,000					300,000
Forest Creek WW Force Main Rehab	1,000,000	3,800,000				4,800,000
<i>Self-Finance Wastewater Construction</i>	1,000,000	3,800,000				4,800,000
Brenda Lane 12" Waterline Annexation Improvements					2,074,000	2,074,000
<i>Self-Finance Water Construction</i>					2,074,000	2,074,000
Avery Center East 24" Waterline	500,000	2,204,000	1,456,000			4,160,000
<i>Self-Finance Water Construction</i>	500,000	2,204,000	1,456,000			4,160,000
Meadow Lake Waterline Improvements		250,000				250,000
<i>Self-Finance Water Construction</i>		250,000				250,000
Palm Valley 24" Waterline Improvements, Phase 1	513,000	667,000				1,180,000
<i>Self-Finance Water Construction</i>	513,000	667,000				1,180,000
Misc Water Treatment Plant Improvements		500,000	500,000	500,000	500,000	2,000,000
<i>Self-Finance Water Construction</i>		500,000	500,000	500,000	500,000	2,000,000
Misc Water System Improvements	500,000	500,000	500,000	500,000	500,000	2,500,000
<i>Self-Finance Water Construction</i>	500,000	500,000	500,000	500,000	500,000	2,500,000
AC Pipe Bursting	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	10,000,000
<i>Self-Finance Water Construction</i>	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	10,000,000
Tank Coating Rehab	1,500,000	1,030,860	2,502,650	1,750,000		6,783,510
<i>Self-Finance Water Construction</i>	1,500,000	1,030,860	2,502,650	1,750,000		6,783,510
McNeil GST Demolition		46,000				46,000
<i>Self-Finance Water Construction</i>		46,000				46,000
Lake Stillhouse Zebra Mussel Control Project	510,000					510,000
<i>Self-Finance Water Construction</i>	510,000					510,000
Sam Bass Rd. Phase 1	6,000,000	4,369,000	4,000,000			14,369,000
<i>Self-Finance Water Construction</i>	6,000,000	4,369,000	4,000,000			14,369,000
SCADA Update - Water Portion	1,400,000					1,400,000

# PROJECTS & FUNDING SOURCE BY DEPARTMENT

Department	2021	2022	2023	2024	2025	Total
<b>Self-Finance Water Construction</b>	<b>1,400,000</b>					<b>1,400,000</b>
Reuse - Ext. to Stoney Point High School	550,000					550,000
<b>Self-Finance Water Construction</b>	<b>550,000</b>					<b>550,000</b>
Reuse - Forest Creek GC Reuse Line Rehab	500,000					500,000
<b>Self-Finance Water Construction</b>	<b>500,000</b>					<b>500,000</b>
Palm Valley 24" Waterline Improvements, Phase 2			100,000	902,000		1,002,000
<b>Self-Finance Water Construction</b>			<b>100,000</b>	<b>902,000</b>		<b>1,002,000</b>
East Loop Line Phase 2 54"			500,000	4,000,000	3,295,000	7,795,000
<b>Self-Finance Water Construction</b>			<b>500,000</b>	<b>4,000,000</b>	<b>3,295,000</b>	<b>7,795,000</b>
2020 Water Master Plan & Modeling Support	40,000	40,000	40,000	40,000		160,000
<b>Self-Finance Water Construction</b>	<b>40,000</b>	<b>40,000</b>	<b>40,000</b>	<b>40,000</b>		<b>160,000</b>
Future Water Master Plan Study				250,000		250,000
<b>Self-Finance Water Construction</b>				<b>250,000</b>		<b>250,000</b>
Future Water Impact Fee Study	49,800	70,000				119,800
<b>Self-Finance Water Construction</b>	<b>49,800</b>	<b>70,000</b>				<b>119,800</b>
University/CR 110	900,000					900,000
<b>Self-Finance Water Construction</b>	<b>900,000</b>					<b>900,000</b>
Lake Georgetown Chemical Feed System	2,075,000					2,075,000
<b>Self-Finance Water Construction</b>	<b>2,075,000</b>					<b>2,075,000</b>
Reuse - Future Reuse Projects				1,000,000		1,000,000
<b>Self-Finance Water Construction</b>				<b>1,000,000</b>		<b>1,000,000</b>
Old Settlers 2 MG EST & Waterline					500,000	500,000
<b>Self-Finance Water Construction</b>					<b>500,000</b>	<b>500,000</b>
Chandler Creek 3 WW line					857,680	857,680
<b>Self-Finance Wastewater Construction</b>					<b>857,680</b>	<b>857,680</b>
Lake Creek 4 WW Line Segment	2,235,053					2,235,053
<b>Self-Finance Wastewater Construction</b>	<b>2,235,053</b>					<b>2,235,053</b>
WW Collection System Inspection & Rehab Program	2,403,983	1,863,907	1,957,295	2,500,000	2,500,000	11,225,185
<b>Self-Finance Wastewater Construction</b>	<b>2,403,983</b>	<b>1,863,907</b>	<b>1,957,295</b>	<b>2,500,000</b>	<b>2,500,000</b>	<b>11,225,185</b>
McNutt Creek WW Line Segments D2	1,750,000	3,873,543				5,623,543
<b>Self-Finance Wastewater Construction</b>	<b>1,750,000</b>	<b>3,873,543</b>				<b>5,623,543</b>
Lake Creek 1 WW Line Phase 1	300,000					300,000
<b>Self-Finance Wastewater Construction</b>	<b>300,000</b>					<b>300,000</b>
Lake Creek 1 WW Line Phase 2	250,000	2,682,665				2,932,665
<b>Self-Finance Wastewater Construction</b>	<b>250,000</b>	<b>2,682,665</b>				<b>2,932,665</b>
Kenny Fort 2/3 WW Line Upsize	750,000					750,000
<b>Self-Finance Wastewater Construction</b>	<b>750,000</b>					<b>750,000</b>
WW Master Plan	25,000	25,000	25,000			75,000
<b>Self-Finance Wastewater Construction</b>	<b>25,000</b>	<b>25,000</b>	<b>25,000</b>			<b>75,000</b>
McNutt Creek WW Line Segment C12				1,204,491		1,204,491
<b>Self-Finance Wastewater Construction</b>				<b>1,204,491</b>		<b>1,204,491</b>
McNutt Creek WW Line Segment 15				527,897		527,897
<b>Self-Finance Wastewater Construction</b>				<b>527,897</b>		<b>527,897</b>
McNutt Creek WW Line Segment D4				1,131,502		1,131,502
<b>Self-Finance Wastewater Construction</b>				<b>1,131,502</b>		<b>1,131,502</b>
McNutt Creek WW Line Segment C2		500,000	2,313,794			2,813,794
<b>Self-Finance Wastewater Construction</b>		<b>500,000</b>	<b>2,313,794</b>			<b>2,813,794</b>
Spanish Oak 1				1,491,858		1,491,858
<b>Self-Finance Wastewater Construction</b>				<b>1,491,858</b>		<b>1,491,858</b>
McNutt Creek WW Line Segment C10	1,265,887					1,265,887
<b>Self-Finance Wastewater Construction</b>	<b>1,265,887</b>					<b>1,265,887</b>
Wastewater Impact Fee Study	55,200					55,200
<b>Self-Finance Wastewater Construction</b>	<b>55,200</b>					<b>55,200</b>
Kenny Fort Seg 2/3 Waterline	900,000					900,000
<b>Self-Finance Water Construction</b>	<b>900,000</b>					<b>900,000</b>
Kenny Fort 24" Waterline Seg4		3,185,374	1,500,000			4,685,374
<b>Self-Finance Water Construction</b>		<b>3,185,374</b>	<b>1,500,000</b>			<b>4,685,374</b>
Northeast Downtown Utility Imp. Phase 1	1,200,000					1,200,000
<b>Self-Finance Wastewater Construction</b>	<b>600,000</b>					<b>600,000</b>
<b>Self-Finance Water Construction</b>	<b>600,000</b>					<b>600,000</b>
WTP & Lake Georgetown Pump Station Improvements	1,200,000					1,200,000
<b>Self-Finance Water Construction</b>	<b>1,200,000</b>					<b>1,200,000</b>
Sam Bass Rd. Phase 2	200,000	1,378,000				1,578,000
<b>Self-Finance Water Construction</b>	<b>200,000</b>	<b>1,378,000</b>				<b>1,578,000</b>
<b>Water &amp; Wastewater Total</b>	<b>31,172,923</b>	<b>29,445,460</b>	<b>17,654,850</b>	<b>17,797,748</b>	<b>12,226,680</b>	<b>108,297,661</b>
<b>Grand Total</b>	<b>201,476,630</b>	<b>199,732,582</b>	<b>121,733,442</b>	<b>71,876,288</b>	<b>57,548,705</b>	<b>652,367,647</b>

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# DEBT SUMMARY

## Outstanding By Type

	Amount Issued (Net of Refunding)	10/1/20 Amount O/S (Net of Refunding)
General Obligation Bonds, COs, and Leases	280,266,044	240,139,238
Hotel Occupancy & Venue Tax Revenue Bond:	12,170,000	9,780,000
Stormwater System Revenue Bonds	8,000,000	6,350,000
Utility System Revenue Bonds	126,360,000	119,500,000
RRTEDC - Type B Sales Tax Bonds	42,380,000	27,785,000
<b>Total</b>	<b>469,176,044</b>	<b>403,554,238</b>

## Refunding By Type

Bond Issue	Par Amount of Bonds	Net Debt Service Reduction	Average Annual Debt Service Reduction	Net Present Value Savings (\$)	Net Present Value Savings (%)
2015 GO Refunding	\$ 32,465,000	\$ 4,068,603	\$ 226,034	\$ 3,146,470	9.00%
2015 RRTEDC Refunding	10,930,000	935,313	133,616	881,552	8.19%
2016 GO Refunding	6,995,000	1,508,470	88,734	1,248,169	16.78%
2016 Utility Revenue Refunding	35,185,000	6,042,787	302,139	4,671,016	13.83%
2016 HOT Refunding	4,455,000	567,175	63,019	481,558	10.10%
2016 BCRUA Refunding	53,955,000	10,401,943	472,816	7,785,138	14.23%
2017 RRTEDC Refunding	10,140,000	544,981	90,449	600,951	6.06%
2019 GO Refunding	12,210,000	1,236,307	153,738	1,118,709	8.25%
2020 GO Refunding	6,485,000	372,529	53,218	344,589	5.01%
<b>Totals</b>	<b>\$172,820,000</b>	<b>\$ 25,678,108</b>	<b>\$ 1,583,763</b>	<b>\$ 20,278,152</b>	<b>11.05%</b>

## BOND RATINGS TABLE

	Agency	Rating	Date
<b>General Obligation</b>	Standard & Poor's	AAA	April 2019
	Moody's	Aa1	Feb 2014
<b>Utility</b>	Standard & Poor's	AAA	Dec 2017
	Moody's	Aa2	April 2010
<b>BCRUA</b>	Standard & Poor's	AAA	Dec 2017
	Moody's	Aa3	Jan 2008
<b>Type B</b>	Standard & Poor's	A+	April 2019
	Moody's	Aa3	Apr 2010
	Fitch	AA	Mar 2015
<b>Hotel</b>	Standard & Poor's	A+	Aug 2018
	Fitch	A+	Mar 2015

The Debt Rating represents the credit worthiness of corporate and government bonds. The ratings are published by credit rating agencies and used by investment professionals to assess the likelihood the debt will be repaid.

In October 2018, the City's General Obligation (GO) bonds received a rating upgrade to AAA from Standard & Poor's, the highest credit rating possible. This marks the second time in less than a year that the City's fiscal worthiness has been recognized with an AAA rating. **Round Rock is one of only eight cities in Texas with AAA ratings for both General Obligation and Utility debt and one of 36 cities in Texas with a AAA rating for GO Debt.** The highest rating will bring lower interest rates for future debt issuances, which reduces the City's borrowing costs. Standard & Poor's noted the upgrade "reflects Round Rock's very strong economy and maintenance of a strong financial position..(and) very strong management, with strong financial policies and practices."

**NOTE:** All hotel tax backed debt nationwide is on credit watch.



# BOND ISSUANCES AND AUTHORIZATIONS

## General Obligation Bonds Authorized

		2014 1st Issuance Actual	2017 2nd Issuance Actual	2022 3rd Issuance Projected	Total
<b>General Obligation Bonds</b>					
Proposition 1	Fire Facilities	7,000,000	9,500,000	-	<b>16,500,000</b>
Proposition 2	Parks & Recreation	46,225,000	10,275,000	-	<b>56,500,000</b>
Proposition 3	Library	1,500,000	-	21,700,000	<b>23,200,000</b>
Proposition 4	Public Safety Training	16,300,000	11,100,000	-	<b>27,400,000</b>
<b>Total</b>		<b>71,025,000</b>	<b>30,875,000</b>	<b>21,700,000</b>	<b>123,600,000</b>

## Future Debt Issuances

Type/Purpose		FY 2021	FY 2022	FY 2023	FY 2024	FY 2025	Total
<b>Kalahari-Related Debt Issuances</b>							
Type B Sales Tax Revenue Bonds	Convention Center (Taxable Debt)	21.3M					21.3M
COs	Offsite Infrastructure	15.4M					15.4M
COs	Onsite Infrastructure	15.4M					15.4M
<b>Sub-Total Kalahari-Related Debt</b>		<b>52.1M</b>					<b>52.1M</b>
<b>Brushy Creek Regional Wastewater System (BCRWWS) Debt Issuances</b>							
Utility Revenue Bonds	East Plant Expansion	29.2M	23.8M				53.0M
<b>Sub-Total BCRWWS Debt</b>		<b>29.2M</b>	<b>23.8M</b>				<b>53.0M</b>
<b>Stormwater Fund</b>							
Utility Revenue Bonds	Capital Projects		6.0M	7.5M	7.6M	3.9M	25.0M
<b>Sub-Total Stormwater Debt</b>		<b>-</b>	<b>6.0M</b>	<b>7.5M</b>	<b>7.6M</b>	<b>3.9M</b>	<b>25.0M</b>
<b>General Obligation Debt Issuances</b>							
GOs/COs	Library		21.7M	6.0M			27.7M
COs	Fire		8.0M				8.0M
COs	PARD - Trails	2.0M					2.0M
COs	Roads	30.0M	30.0M	20.0M			140.0M
<b>Sub-Total Tax Supported Debt</b>		<b>32.0M</b>	<b>59.7M</b>	<b>26.0M</b>			<b>177.7M</b>
<b>Citywide Debt Issuances</b>		<b>\$113.3M</b>	<b>\$89.5M</b>	<b>\$33.5M</b>	<b>\$7.6M</b>	<b>\$3.9M</b>	<b>\$247.8M</b>

# GO BOND PROJECT STATUS REPORT

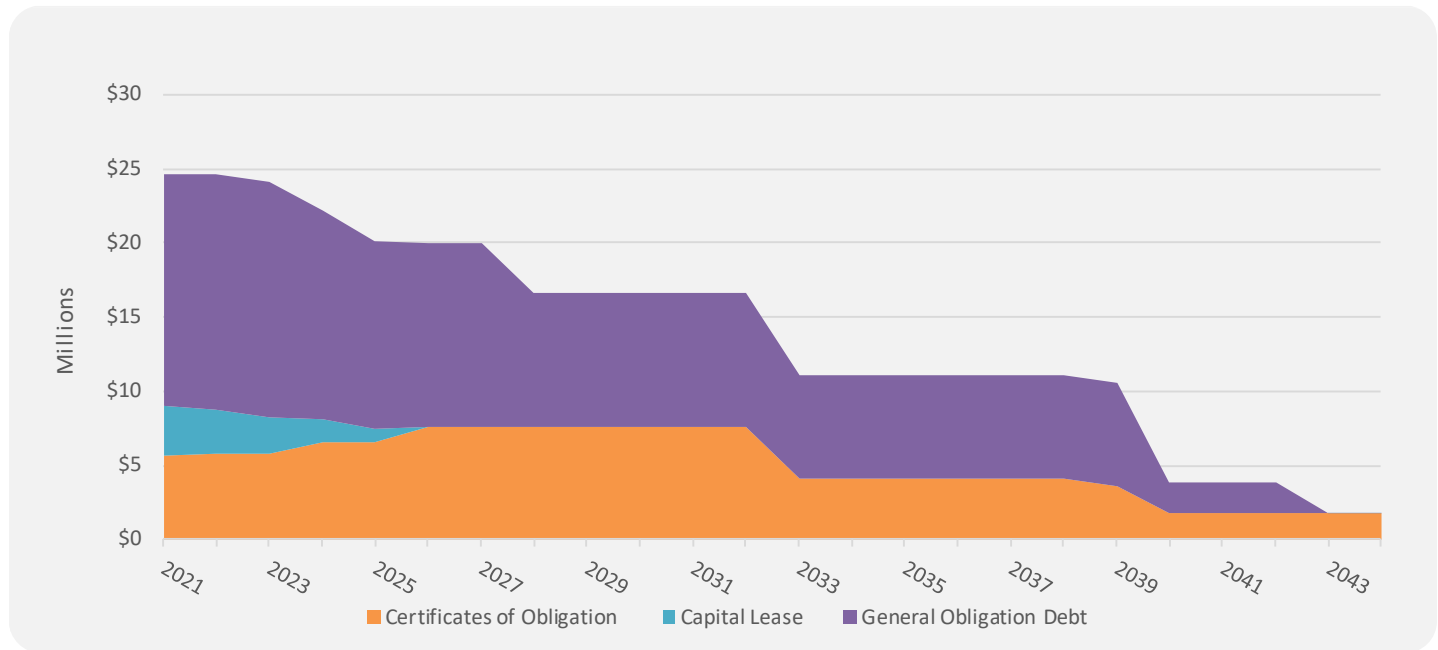
As of June 30, 2020

Projects	Original Bond Nov 2013 Projects	Current Bond Projects	Current Status	Comments
<b>Fire Facilities - Proposition 1</b>				
South East Fire Station-Red Bud	6,575,000	5,294,000	<b>Complete</b>	Opened December 2016
Fire Station #4 Relocation-Lisa Rae		5,098,000		
Fire Station #9	4,125,000	1,231,000	<b>Complete</b>	Opened in May 2017. Apparatus Bay construction completed October 2018.
Fire Station #3 Relocation & Reconstruction	2,800,000	4,811,000	Under Construction	Located in La Frontera. Estimated completion October 2020.
Remodel of Central Fire Station	3,000,000	66,000	<b>Complete</b>	Scope changed to remodel of restroom only
<b>Fire Facilities Authorization</b>	<b>16,500,000</b>	<b>16,500,000</b>		
<b>Parks and Recreation - Proposition 2</b>				
Park & Facility Improvements	9,000,000	6,235,000	<b>89% complete</b> Improvements completed at Stella Park, Lake Creek Park, Round Rock West Park, Lakeview Trail Extension, Creekside Trail ADA, Triple Crown Complex, CMRC Soccer Fields and Tennis Courts, Old Settlers Park Baseball Complex, Mesa Park and Veterans Park.	Remainder slated for FY 2020 and FY 2021
Trails	20,600,000	17,295,000	Construction complete of Brushy Creek Trail. W Heritage Trail construction contract approved on 6/25/20. Design and land acquisition are under way on Lake Creek & E Heritage trails.	Remaining trail improvements slated for FY 2020 (42%), FY 2021 (50%) and FY 2022 (8%)
OSP MultiPurpose Field Complex	11,000,000	18,320,000	<b>Complete</b>	Opened May 2017
OSP Soccer Complex and Field Upgrades	3,000,000	2,782,000	<b>Complete</b>	Opened June 2018
Rock'N River Aquatic Ctr. Phase 2	6,600,000	7,993,000	<b>Complete</b>	Opened in May 2016
Rock'N River Improvements		84,000	<b>Complete</b>	
OSP Adult Recreation Complex	3,300,000	3,791,000	<b>Substantially Complete</b>	Opened March 2020
Behrens Ranch	3,000,000		N/A - funds not available	\$1M funded from General Self Financed Construction. Planned improvements include a mountain bike trail and small concrete ADA loop trail. The project plans have been shared with the public.
<b>Parks and Recreation Authorization</b>	<b>\$56,500,000</b>	<b>\$56,500,000</b>		
<b>Library - Proposition 3</b>				
Library	\$23,200,000	\$23,200,000	Under design	Facility expected to open November 2023
<b>Public Safety - Proposition 4</b>				
Public Safety Training Facility	\$27,400,000	\$27,400,000	<b>Complete</b>	Opened September 2018
<b>TOTAL AUTHORIZATION</b>	<b>\$123,600,000</b>	<b>\$123,600,000</b>	<b>75% of approved projects are complete or under construction</b>	

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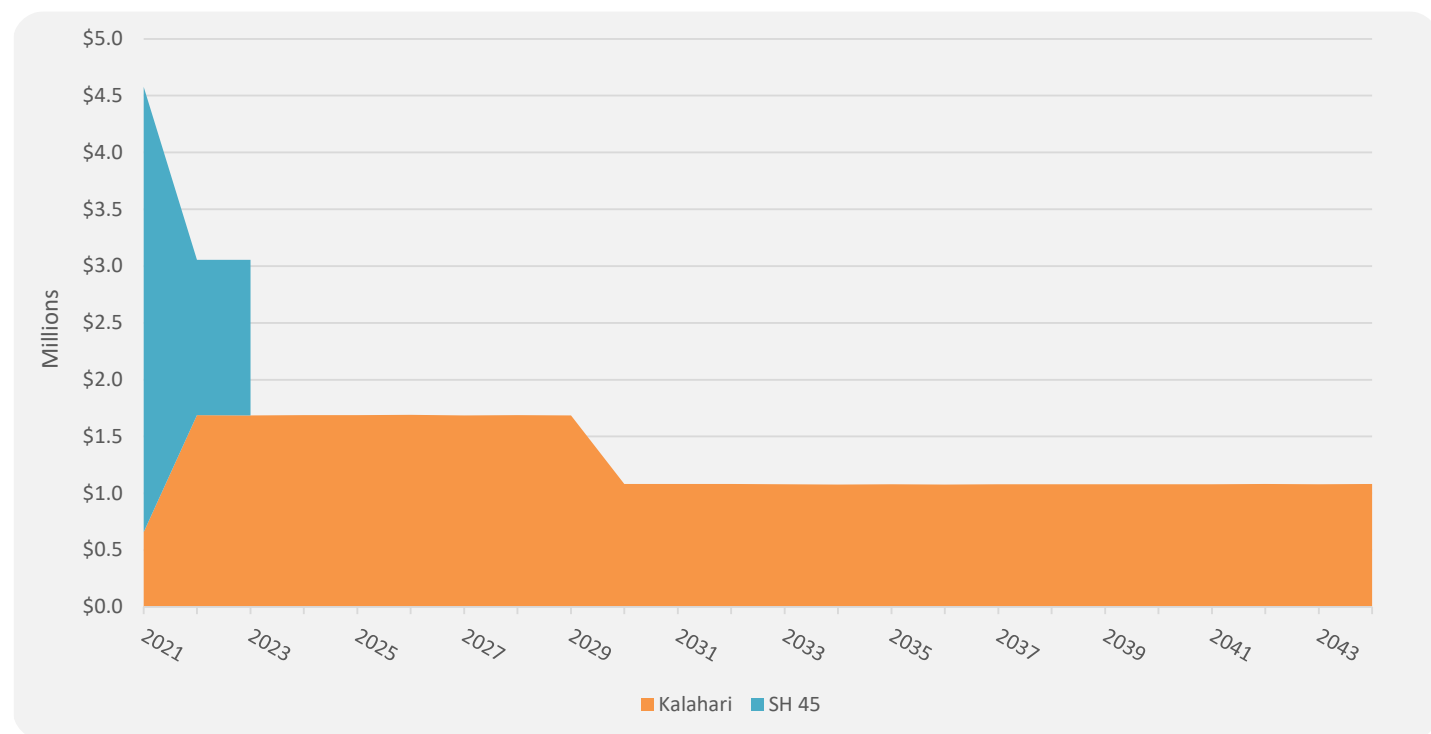
# AMORTIZATION BY TYPE

# AD VALOREM TAX SUPPORTED DEBT PAYMENTS



Year End September 30	Certificates Of Obligation	Capital Lease & LTNs	Voter Approved General Obligation Debt	Total Requirements
2021	5,709,250	3,282,327	15,685,639	24,677,217
2022	5,737,986	3,046,967	15,788,910	24,573,863
2023	5,737,895	2,499,415	15,921,537	24,158,847
2024	6,577,802	1,545,672	14,003,982	22,127,456
2025	6,578,914	935,638	12,586,173	20,100,724
2026	7,561,411	-	12,397,408	19,958,819
2027	7,576,247	-	12,399,344	19,975,590
2028	7,587,365	-	9,003,206	16,590,571
2029	7,594,717	-	8,994,006	16,588,723
2030	7,622,351	-	9,002,656	16,625,007
2031	7,622,453	-	8,999,706	16,622,159
2032	7,646,563	-	9,004,156	16,650,719
2033	4,102,450	-	7,022,256	11,124,706
2034	4,102,400	-	7,023,219	11,125,619
2035	4,103,169	-	7,022,819	11,125,988
2036	4,104,600	-	7,022,731	11,127,331
2037	4,101,513	-	7,017,306	11,118,819
2038	4,103,906	-	7,019,306	11,123,213
2039	3,571,425	-	7,022,619	10,594,044
2040	1,812,600	-	2,019,400	3,832,000
2041	1,813,000	-	2,022,600	3,835,600
2042	1,811,000	-	2,022,800	3,833,800
2043	1,811,600	-	-	1,811,600
2044	1,809,600	-	-	1,809,600
	<b>\$ 120,800,215</b>	<b>\$ 11,310,019</b>	<b>\$ 199,001,780</b>	<b>\$ 331,112,015</b>

# TYPE B DEBT PAYMENTS

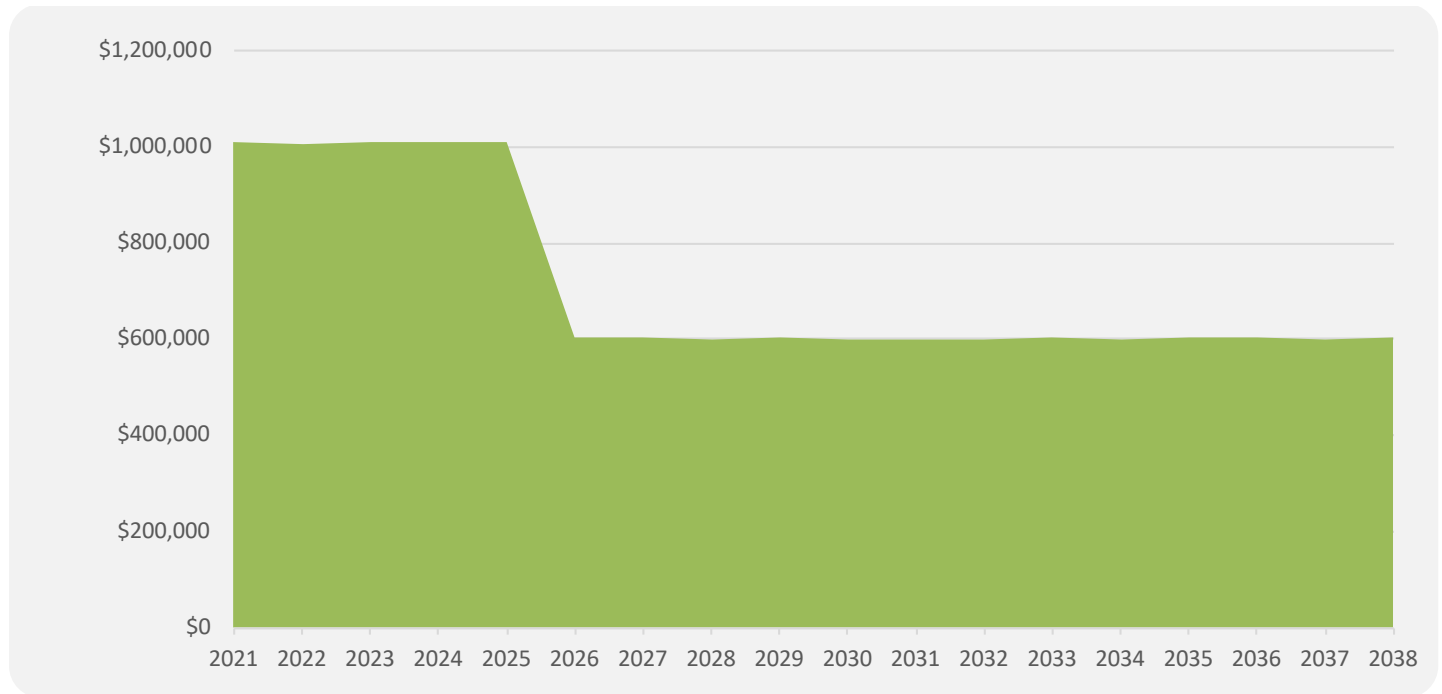


Year Ending	Outstanding			Total
September 30	Beginning of Year	Interest	Principal	Requirements
2021	6,475,000	111,097	3,810,000	3,921,097
2022	2,665,000	47,437	1,320,000	1,367,437
2023	1,345,000	23,941	1,345,000	1,368,941
<b>Type B - SH 45 Improvements</b>		<b>\$ 182,475</b>	<b>\$ 6,475,000</b>	<b>\$ 6,657,475</b>

<b>Kalahari Resorts (Self-Supporting)</b>				
Year Ending	Outstanding			Total
September 30	Beginning of Year	Interest	Principal	Requirements
2021	21,310,000	657,279	-	657,279
2022	21,310,000	657,279	1,030,000	1,687,279
2023	20,280,000	635,103	1,050,000	1,685,103
2024	19,230,000	611,709	1,075,000	1,686,709
2025	18,155,000	587,221	1,100,000	1,687,221
2026	17,055,000	559,666	1,130,000	1,689,666
2027	15,925,000	530,229	1,155,000	1,685,229
2028	14,770,000	498,709	1,190,000	1,688,709
2029	13,580,000	465,758	1,220,000	1,685,758
2030	12,360,000	430,879	650,000	1,080,879
2031	11,710,000	411,515	670,000	1,081,515
2032	11,040,000	391,221	690,000	1,081,221
2033	10,350,000	369,976	710,000	1,079,976
2034	9,640,000	347,405	730,000	1,077,405
2035	8,910,000	323,833	755,000	1,078,833
2036	8,155,000	296,804	780,000	1,076,804
2037	7,375,000	268,880	810,000	1,078,880
2038	6,565,000	239,882	840,000	1,079,882
2039	5,725,000	209,810	870,000	1,079,810
2040	4,855,000	178,664	900,000	1,078,664
2041	3,955,000	145,544	935,000	1,080,544
2042	3,020,000	111,136	970,000	1,081,136
2043	2,050,000	75,440	1,005,000	1,080,440
2044	1,045,000	38,456	1,045,000	1,083,456
<b>Type B - Kalahari Resorts</b>		<b>\$ 9,042,399</b>	<b>\$ 21,310,000</b>	<b>\$ 30,352,399</b>

Kalahari-related debt is self-supporting with debt service to be paid with project revenues

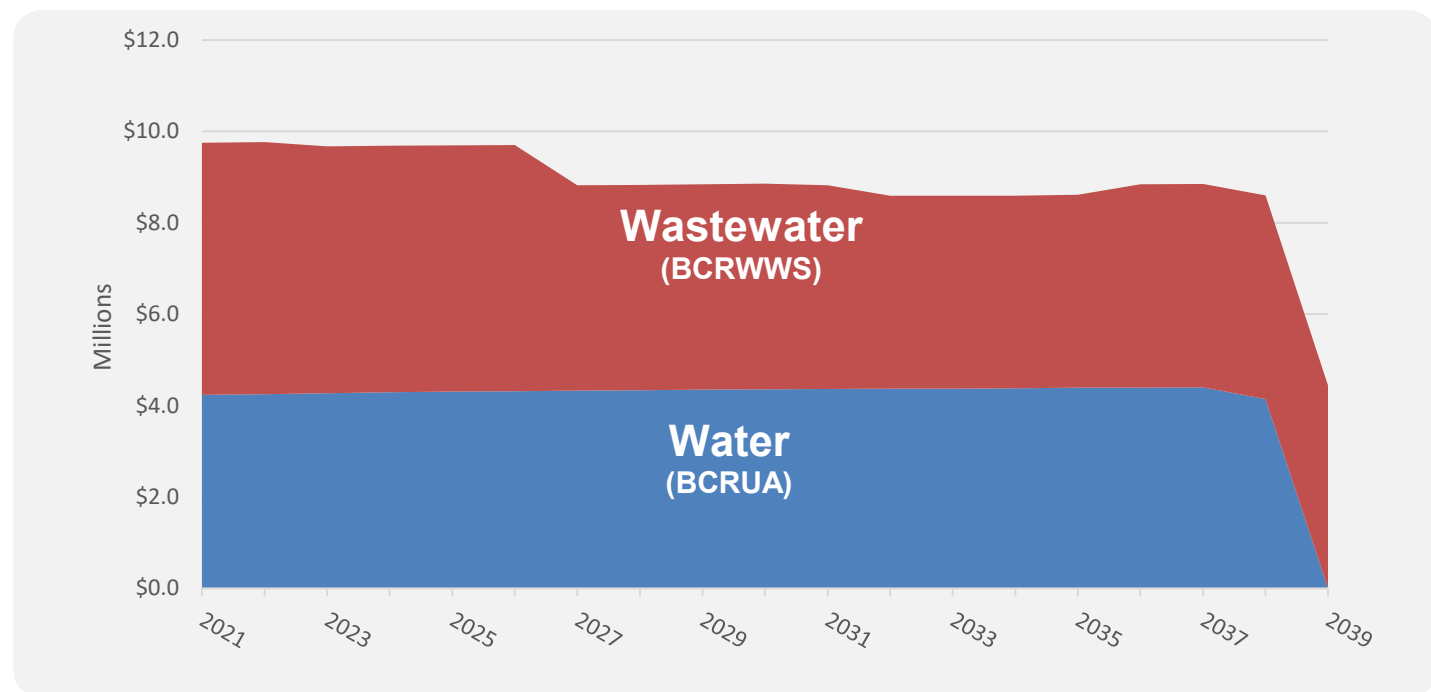
# HOTEL OCCUPANCY TAX DEBT PAYMENTS



Year Ending September 30	Beginning of Year	Interest	Principal	Total Requirements
2021	9,780,000	343,280	665,000	1,008,280
2022	9,115,000	317,505	690,000	1,007,505
2023	8,425,000	290,680	720,000	1,010,680
2024	7,705,000	262,780	745,000	1,007,780
2025	6,960,000	233,805	775,000	1,008,805
2026	6,185,000	213,180	390,000	603,180
2027	5,795,000	201,330	400,000	601,330
2028	5,395,000	189,180	410,000	599,180
2029	4,985,000	176,655	425,000	601,655
2030	4,560,000	163,755	435,000	598,755
2031	4,125,000	150,255	450,000	600,255
2032	3,675,000	135,840	465,000	600,840
2033	3,210,000	118,700	485,000	603,700
2034	2,725,000	99,000	500,000	599,000
2035	2,225,000	78,500	525,000	603,500
2036	1,700,000	57,100	545,000	602,100
2037	1,155,000	34,900	565,000	599,900
2038	590,000	11,800	590,000	601,800
		<b>\$ 3,078,245</b>	<b>\$ 9,780,000</b>	<b>\$ 12,858,245</b>

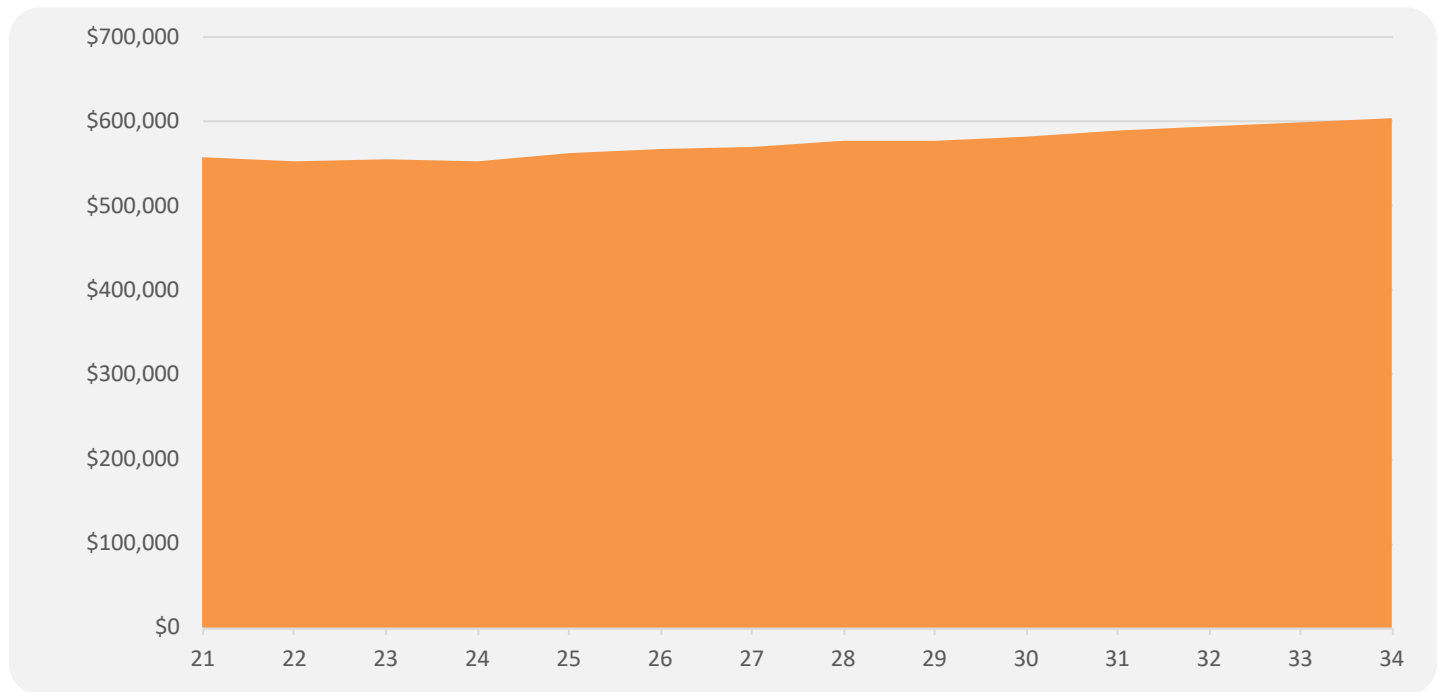
**Note:** Debt for Dell Diamond and Sports Center

# WATER & WASTEWATER DEBT PAYMENTS



Year Ending September 30	BCRUA Water	BCRWWS Wastewater	Total Utility Debt
2021	4,235,606	5,516,400	9,752,006
2022	4,253,246	5,509,650	9,762,896
2023	4,271,846	5,400,950	9,672,796
2024	4,291,375	5,393,750	9,685,125
2025	4,306,521	5,389,450	9,695,971
2026	4,317,380	5,386,700	9,704,080
2027	4,328,871	4,495,450	8,824,321
2028	4,335,925	4,492,575	8,828,500
2029	4,350,929	4,492,950	8,843,879
2030	4,358,233	4,498,800	8,857,033
2031	4,362,115	4,462,175	8,824,290
2032	4,370,761	4,221,175	8,591,936
2033	4,373,920	4,219,525	8,593,445
2034	4,381,608	4,213,725	8,595,333
2035	4,393,467	4,218,125	8,611,592
2036	4,395,067	4,447,125	8,842,192
2037	4,401,104	4,447,113	8,848,217
2038	4,145,750	4,450,300	8,596,050
2039	-	4,446,263	4,446,263
	<b>\$77,873,722</b>	<b>\$89,702,201</b>	<b>\$167,575,923</b>

# STORMWATER DEBT PAYMENTS



Year Ending September 30	Outstanding Beginning of Year	Interest	Principal	Total Requirements
2021	6,350,000	202,181	355,000	557,181
2022	5,995,000	187,981	365,000	552,981
2023	5,630,000	180,681	375,000	555,681
2024	5,255,000	169,431	385,000	554,431
2025	4,870,000	157,882	405,000	562,882
2026	4,465,000	147,250	420,000	567,250
2027	4,045,000	134,650	435,000	569,650
2028	3,610,000	121,600	455,000	576,600
2029	3,155,000	107,382	470,000	577,382
2030	2,685,000	92,106	490,000	582,106
2031	2,195,000	76,182	515,000	591,182
2032	1,680,000	58,800	535,000	593,800
2033	1,145,000	40,075	560,000	600,075
2034	585,000	20,475	585,000	605,475
		<b>\$1,696,676</b>	<b>\$ 6,350,000</b>	<b>\$ 8,046,676</b>





## DEPARTMENTS (EXPENDITURES)

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# CITY ORGANIZATIONAL CHART





# ADMINISTRATION 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	1,587,976	1,714,448	1,726,628	1,789,260	1,821,701
<b>Operating Expenses</b>	229,194	222,707	234,150	156,050	208,760
<b>Capital Outlay</b>	-	-	-	-	-
<b>Total Expenditures:</b>	\$ 1,817,170	\$ 1,937,155	\$ 1,960,778	\$ 1,945,310	\$ 2,030,461
<b>Expenditure % Change:</b>	-2.6%	6.6%	1.2%	-0.8%	4.4%
<b>Expenditures per Capita:</b>	\$ 16.20	\$ 16.68	\$ 16.43	\$ 16.30	\$ 16.59
<b>FTEs:</b>	10.500	10.500	10.500	10.500	10.500

## FY 2016/17

- \$15,000 for Community Service Grant Matching Program
- 6 FTEs transferred to new Communications & Marketing Department - 5 FTEs to Communications in General Fund and 1 FTE to Arts in the HOT Fund
- 1 FTE added for Neighborhood Assistant through Mid-Year Budget Amendment
- 0.50 FTE transferred in to account for Part-Time Admin Tech

## FY 2017/18

- Administration reduced their operating budget by \$74,000 through the Zero-Based Budgeting process
- 1 FTE added as a Management Analyst (Hire Date: 6/1/18) at the Mid-Year Budget Amendment for \$24,363. Annual ongoing personnel costs of \$84,000
- 2 FTEs transferred to new Community Development Division in Planning and Development Services

## FY 2018/19

- No major programs or notable additions were proposed for FY 2018/19

## FY 2019/20

- No major programs or notable additions were proposed for FY 2019/20
- Identified \$78,100 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$15,700
  - Other Operating Savings = \$62,400

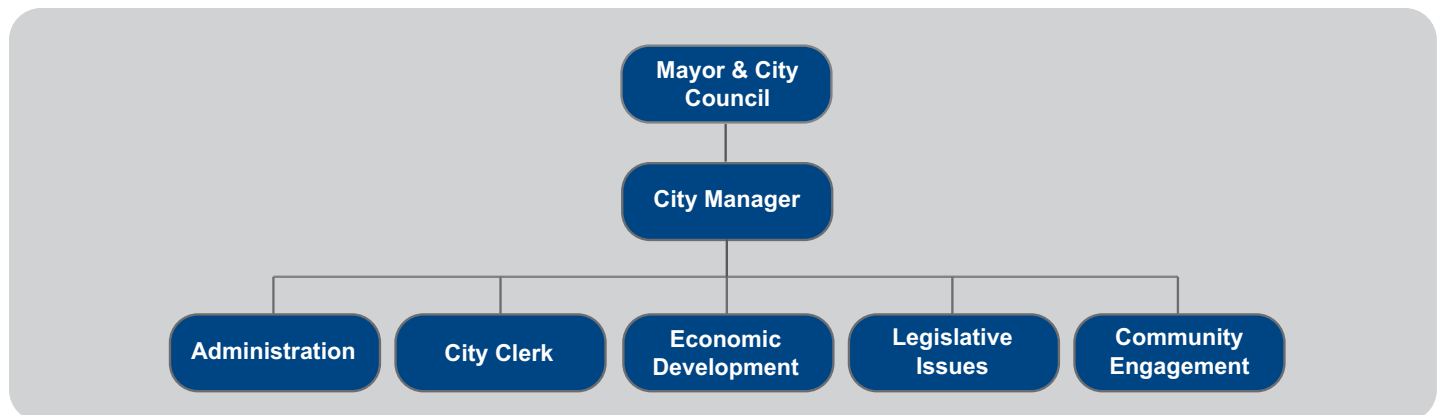
## PROPOSED FY 2020/21

- Identified \$15,457 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$14,000
  - Other Operating Savings = \$1,457



## ADMINISTRATION

The Administration Department consists of the Mayor and six Council members and the office of the City Manager. These two bodies, working together, are responsible for the formulation and execution of public policy to meet community needs and assure orderly development in the City. The City Manager's Office also provides for the general administration of a multi-million-dollar organization providing a full range of municipal services to over 100,000 customers.



### VISION:

Round Rock is a family-friendly community that is safe and distinctive by design, is recognized as the "Sports Capital of Texas" and a major medical and educational destination and has an authentic downtown and choice of great neighborhoods.

### MISSION:

Our mission is to provide leadership and foster a culture of high performance, thereby delivering customer value and enhancing public confidence and satisfaction in Round Rock city government.

### GUIDING COUNCIL STRATEGIC GOALS:



# ADMINISTRATION

---

## **FY 2020 HIGHLIGHTS and COVID-19 RESPONSE:    FY 2022 OVERVIEW AND BEYOND:**

- Renegotiated a contract with the Round Rock Chamber of Commerce.
- Completed the land sale for the Depot Development project.
- Managed and distributed federal CDBG funds and CARES Act funding to the Round Rock business community and the local serving center during the COVID-19 pandemic.
- Continue to monitor legislation regarding streamlined sales tax and how changes could potentially affect the City of Round Rock.
- Continue discussions regarding potential future bond elections.

## **FY 2021 OVERVIEW & SIGNIFICANT CHANGES:**

- Continue to manage the outcomes of the COVID-19 pandemic as related to local businesses and the Round Rock community.
- Kalahari Resorts & Convention grand opening is scheduled for November 2020.

## **WORKLOAD INDICATORS:**

<b>Indicator</b>	<b>FY 2017 Actual</b>	<b>FY 2018 Actual</b>	<b>FY 2019 Actual</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Target</b>
Agendas Posted	250	118	105	100	100
Public Notices Posted	100	67	74	75	75
City Council Items Processed	360	356	458	400	400
Open Record Requests Processed	1,400	1,402	1,984	1,600	1,600



# COMMUNICATIONS AND MARKETING 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	536,057	678,029	695,089	742,817	750,333
<b>Operating Expenses</b>	183,738	424,285	536,564	441,867	412,344
<b>Capital Outlay</b>	-	2,427	-	-	-
<b>Total Expenditures:</b>	\$ 719,796	\$ 1,104,742	\$ 1,231,653	\$ 1,184,684	\$ 1,162,677
<b>Expenditure % Change:</b>	-1.8%	53.5%	11.5%	-3.8%	-1.9%
<b>Expenditures per Capita:</b>	\$ 6.42	\$ 9.51	\$ 10.32	\$ 9.92	\$ 9.50
<b>FTEs:</b>	5.000	6.000	6.000	6.000	6.000

## FY 2016/17

- New Communications & Marketing Department split out from Administration
- \$11,820 was added for an Annual Car Show

## FY 2017/18

- Added \$20,000 for a Graphic Design Contract to improve City publications
- Added \$15,000 for a Citywide Photoshoot of City services to improve City publications
- Added \$14,600 for cost increases for marketing & advertising

## FY 2018/19

- Continuation of Hometown Holiday Lights \$221,149- paid from GSFC one-time pilot program in FY 2017/18
- Added One (1) FTE as a Web/Graphic Design Coordinator (Hire Date:2/1/19) \$51,564
- Graphic Design Services \$10,000
- Social Media Advertising Campaign \$25,000
- Community Impact Advertising Campaign \$61,380

## FY 2019/20

- No major programs or notable additions were proposed for FY 2019/20
- Identified \$80,697 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$24,600
  - Vacany Savings = - \$14,000
  - Other Operating Savings = \$79,097

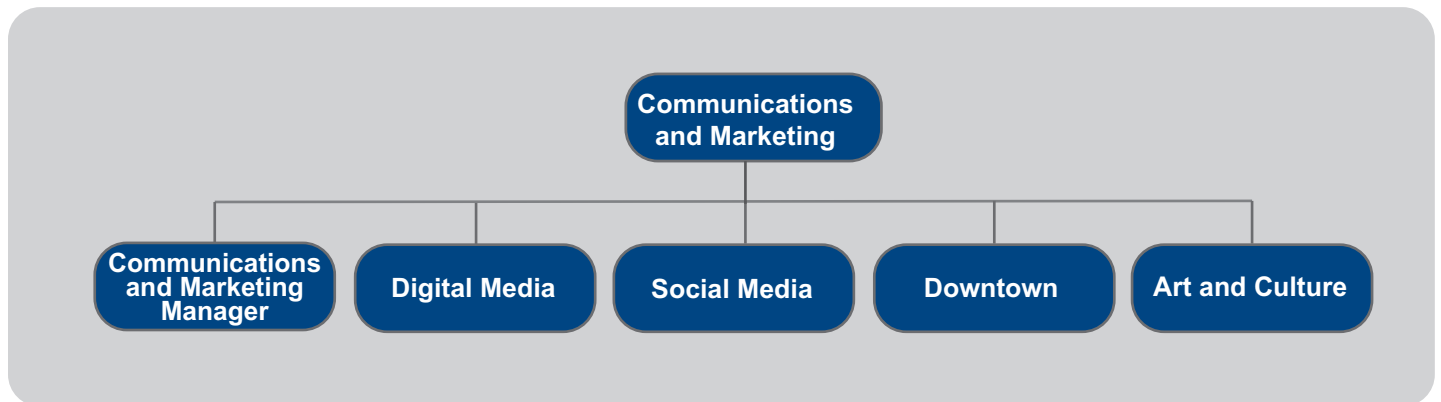
## PROPOSED FY 2020/21

- Identified \$117,783 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$24,500
  - Other Operating Savings = \$93,283



# COMMUNICATIONS AND MARKETING

The Communications and Marketing Department develops internal and external communications and citizen participation initiatives. The department is also responsible for marketing Downtown Round Rock and the Shop the Rock initiative. Most media relations are handled through this department. Emphasis is placed on engaging citizens in an ongoing dialogue about City policies and programs in a manner designed to instill trust and understanding in local decision making. Transparency in government is achieved through use of social media, local access television, newsletters and the City's website, keeping citizens apprised of public forums for citizen involvement. The department serves its internal customers through the employee intranet, newsletter, blogs, and social media. Focus on promoting the heart of our city, Downtown Round Rock, has been a City Council priority since 2010.



## VISION:

We communicate openly and honestly about all the things that make Round Rock a great place to live and do business.

## MISSION:

Our mission is to provide open communication which is vital for city government to build trust with the public and effectively govern. Our purpose is to communicate openly with citizens, employees, the media, public and private organizations, and visitors.

## GUIDING COUNCIL STRATEGIC GOALS:



# COMMUNICATIONS AND MARKETING

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## **FY 2020 HIGHLIGHTS & COVID-19 RESPONSE::**

- Earned four awards at national City-County Communications & Marketing Association (3CMA), seven awards at statewide Texas Association of Municipal Information Officers and four awards at Texas Association of Telecommunications Officers and Advisors.
- Continued making enhancements to the City's digital presence, including redesigns to roundrocktexas.gov.
- Redesigned the Shop the Rock website, shophtherock.com, to support more development-oriented news as new businesses continue to open.
- Created new video series, "Explore Round Rock," that encourages residents and visitors to visit local shops and restaurants to help generate sales tax revenues.
- Started Driving Progress campaign to push information to residents about the City's five-year transportation plan.
- Started building a new video production studio using PEG funds for expanded and varied video programming as the digital communications landscape continues to evolve.
- Implemented five-year purchase outlook and inventory for PEG-funded equipment related to video production.
- Promoted public involvement in the Round Rock 2030 Comprehensive Plan and created a web format for stakeholders to learn more about the plan.
- Supported communication with downtown stakeholders on parklets project.
- Launched a new tool called PublicInput to consolidate information from in-person and online public engagement efforts. This tool has been used so far to gather input on Parks and Transportation projects, as well as impacts of COVID-19 on residents.
- Created a comprehensive web hub in response to COVID-19 with information about City closures and cancellations, executive orders and resources for affected individuals and families.
- Created and disseminated public health information via social media, including videos and graphics designed in house, during COVID-19 pandemic.
- Increased call to Shop the Rock via ads

on social media and in traditional media encouraging people to support local businesses during COVID-19 pandemic.

- Provided support and resources to the Round Rock Cares initiative, which raised \$400,000 for local small businesses impacted by COVID-19

## **FY 2021 OVERVIEW & SIGNIFICANT CHANGES:**

- Communications and Marketing will enter FY 2021 with the launch of a new design for roundrocktexas.gov.
- No new programs were requested.

## **FY 2022 OVERVIEW & BEYOND:**

- Continue to create dynamic, compelling content on available platforms, be they print or digital, on critical issues like capital needs (i.e. bonds), transportation challenges, budget and tax.
- Build and enhance the Downtown brand as the area grows and evolves.
- Increased focus on advertising in digital media as a potential avenue to disseminate messaging.



# COMMUNICATIONS AND MARKETING

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Roundrocktexas.gov sessions	2.0 M	1.9 M	2.0 M	2.7 M	2.2 M
Roundrocktexas.gov users	992,000	993,000	1.1 M	1.2 M	1.2 M
Citywide Newsletters Created	12	12	22	35	35
City Facebook Page Inbound Messages and Comments	13,619	13,969	20,431	24,000	21,000
City Social Media Fans (Facebook, Twitter, Instagram)	53,818	63,090	71,775	82,000	90,000
Videos Created	77	58	129	100	130



# ARTS AND CULTURE (HOT FUND) 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
Personnel Services	108,630	114,943	120,755	120,755	125,564
Operating Expenses	177,316	162,158	275,481	221,354	200,200
Capital Outlay	-	-	-	-	-
<b>Total Expenditures:</b>	<b>\$ 285,946</b>	<b>\$ 277,102</b>	<b>\$ 396,236</b>	<b>\$ 342,109</b>	<b>\$ 325,764</b>
<b>Expenditure % Change:</b>	41.9%	-3.1%	43.0%	-13.7%	-4.8%
<b>Expenditures per Capita:</b>	<b>\$ 2.55</b>	<b>\$ 2.39</b>	<b>\$ 3.32</b>	<b>\$ 2.87</b>	<b>\$ 2.66</b>
<b>FTEs:</b>	1.000	1.000	1.000	1.000	1.000

## FY 2016/17

- A dedicated funding source for the Arts was established in the HOT fund, set by policy of at least 5% of Hotel Occupancy Tax revenues

## FY 2017/18

- \$84,000 for one-time Funding for Arts Facility Marketing Campaign

## FY 2018/19

- Arts Grants – Space Rentals and seasonal Special Events Assistant \$60,000

## FY 2019/20

- Continuation of Arts programs, marketing and events \$114,000
  - Chalk Walk – \$35,000
  - Arts and Culture Guide – \$13,000
  - Arts Grants – \$20,000
  - ArtSpace Improvements – \$25,000
  - Art Installations – \$16,000
  - Signal Box Art – \$5,000
- Identified \$54,127 in other operating savings for FY 2020 to help adapt to the economic shifts created by COVID-19

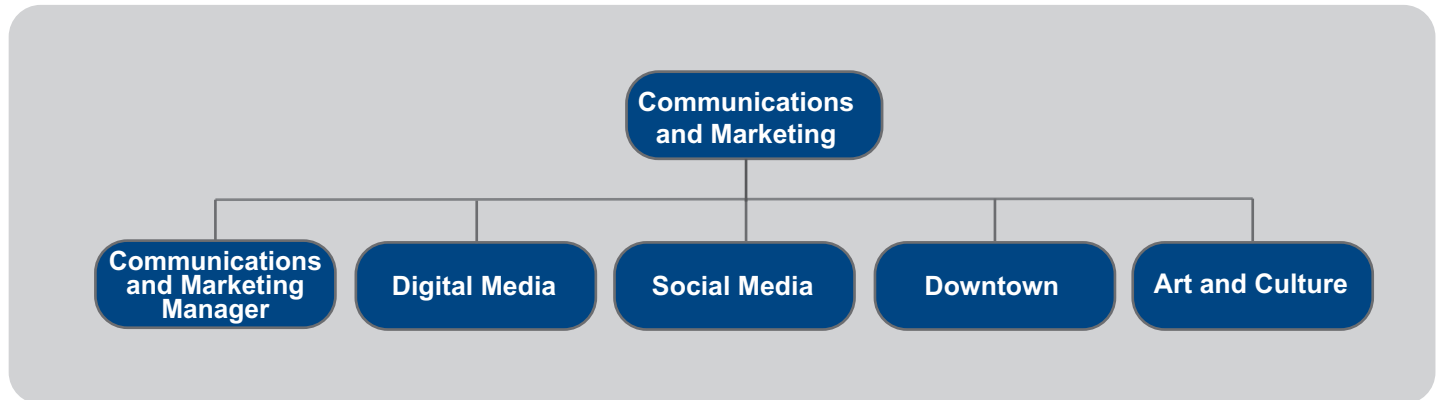
## PROPOSED FY 2020/21

- Identified \$77,481 in other operating savings for FY 2021 to help adapt to the economic shifts created by COVID-19



## ARTS AND CULTURE

The Arts and Culture Division is responsible for implementing the City's Arts and Culture Master Plan. This office supports the economic development of the community by adding value through the arts to downtown Round Rock and the surrounding areas. A focus on promoting the heart of our city, Downtown Round Rock, has been a City Council priority since 2010. Arts and culture are important to quality of life, strong communities, and creating a fun and interesting place to live. The development of the Arts initiatives involves coordination with various local art and cultural organizations to promote a vision of the Arts and Culture Master Plan.



### VISION:

Arts and culture are important to Round Rock's quality of life, strengthening our community, inspiring more investment, and creating a greater sense of place.

### MISSION:

To enrich the quality of life, support and foster the enjoyment, understanding, and development of the arts through diverse and engaging experiences. They contribute to the economy and tourism in the community. The arts and culture division also provide resources, education, and artistic initiatives to support and serve individual artists and arts organizations in our community.

### GUIDING COUNCIL STRATEGIC GOALS:



# ARTS AND CULTURE

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## **FY 2020 HIGHLIGHTS and COVID-19 RESPONSE: Special Arts and Culture Events:**

### **Arts Around the City:**

- Estimated audience of 250,000 attend Arts and Culture events annually in Round Rock
  - Translates to: \$7,867,500 directly back into our local economy (\$31.47 pp)
- Arts Grants to local nonprofit arts groups
- Major arts and culture festivals: Chalk Walk, Beaujolais Nights, DiwaliFest, Dia de los Muertos, Classical Chamber Concert and a Virtual SculptFest
- Showcase various artists in City Council Chambers
- Print Art Show (January 2020 – February 2020, part of Print Austin) in Downtowner Gallery
- Downtowner Gallery additional hours and programming with workshops and exhibits
  - Downtowner Gallery Re-opening with new exhibits and workshops
  - New flooring and sink installed for artists
  - 8 Art Exhibits (143 Artists represented)
  - 10 plus Workshops
  - Model Trains with over 20,000 people viewers during holidays
- On-going Art Sculptures for Downtown areas and local private facilities (ex: Baylor Scott & White)
- Kinetic Art pieces in Downtown (At Lampasas and Sheppard Streets)
- New sculptures exhibit at Centennial Plaza with 26 sculpture pieces
- Signal Box Art in Downtown areas
- Arts and Cultural Guide produced and distributed to all hotels in the city
- Partnership of Round Rock ISD Council of the Arts and Student Art Show in City Council Chambers during the Spring

### **COVID-19 Response:**

- Resources to all arts groups and arts businesses with weekly emails
- Social media outlets to help highlight and sell art. Stay at Home Shop series, COVID and You art exhibit, What's on Your Walls
- Round Rock Hearts thanking our caregivers
- Round Rock Cares: Promotion through personal emails and working with Greater Round Rock Community Foundation on developmental ideas

- PopUp Art Shows at Prete Plaza
- Art Walk
- Music on Main (Fall)
- RRPD Car Show Bands
- Round Rock Calk Walk Arts Festival
- Hometown Halloween
- Beaujolais Nights: Jazz and Wine Uncorked

## **FY 2021 OVERVIEW & SIGNIFICANT CHANGES:**

- Focus on maintaining and growing arts groups with audience development tools and resources
- Doing more development work and fundraising for all arts groups: grant and foundation support
- Growing more “making and doing” arts types of events in Downtown throughout the year
- Doing more smaller groups with high impact and low-cost activities
- Due to pandemic, re-thinking arts and culture festivals already established with the possibility of taking them to the neighborhoods
- Focus will be murals and signal box art
- Maintaining special events for the City of Round Rock
- Additional Downtowner Gallery Workshops and Exhibits
- Additional Grant support at arts groups in Round Rock through developmental work
- Virtual Arts and Culture Marketing Guides and marketing through social media outlets

## **FY 2022 OVERVIEW AND BEYOND:**

- Extending the Arts Masterplan into its final phase: Identifying flexible type spaces for performing and visual arts, with emphasis on development and fundraising.

# ARTS AND CULTURE

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Number of City Arts Events	2	4	17	18	10
City Arts Events Attendance	50,000	60,000	78,000	82,500	50,000
Number of Special Events	10	10	18	18	6
City Special Events Attendance	4,500	6,000	7,500	8,100	5,000
Downtown Exhibits	10	10	12	20	8
Number of Sculptures Displayed	23	40	40	51	40
Downtown Gallery Attendance	50,000	55,000	58,000	61,800	25,000
RR Cares/Virtual Videos	-	-	-	20	40
Virtual Engagement Attendance	-	-	-	12,000	20,000
Total number of Community Arts Events	168	210	278	310	175
Total Community Arts Event Attendance	180,000	200,000	250,000	200,000	180,000



# FINANCE

## 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
Personnel Services	3,037,020	3,408,549	3,707,316	3,798,238	3,907,547
Operating Expenses	167,562	199,877	207,217	167,717	164,267
Capital Outlay	-	-	-	-	-
<b>Total Expenditures:</b>	<b>\$ 3,204,582</b>	<b>\$ 3,608,426</b>	<b>\$ 3,914,533</b>	<b>\$ 3,965,955</b>	<b>\$ 4,071,814</b>
<b>Expenditure % Change:</b>	<b>-0.1%</b>	<b>12.6%</b>	<b>8.5%</b>	<b>1.3%</b>	<b>2.7%</b>
<b>Expenditures per Capita:</b>	<b>\$ 28.56</b>	<b>\$ 31.07</b>	<b>\$ 32.79</b>	<b>\$ 33.22</b>	<b>\$ 33.28</b>
<b>FTEs:</b>	<b>39.750</b>	<b>40.750</b>	<b>40.750</b>	<b>40.750</b>	<b>40.750</b>

### FY 2016/17

- Department budget included costs implemented in FY 2016/17

### FY 2017/18

- Continued reorganization of positions which generated personnel savings for FY 2017/18 Base Budget
- 1 FTE added as a Purchasing Supervisor at Mid-Year Budget Amendment \$24,000
- 1 FTE transferred to the new Community Development division in Planning and Development Services

### FY 2018/19

- Added 1 FTE as an Accountant-Senior \$89,000
- Hotel Occupancy Tax (HOT) Audit \$35,000
- Full year of expense for Purchasing Supervisor was budgeted

### FY 2019/20

- No major programs or notable additions were proposed for FY 2019/20
- Identified \$119,622 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$23,000
  - Overtime = \$3,300
  - Vacancy Savings = \$43,000
  - Hiring Delay = \$33,822
  - Other Operating Savings = \$16,500

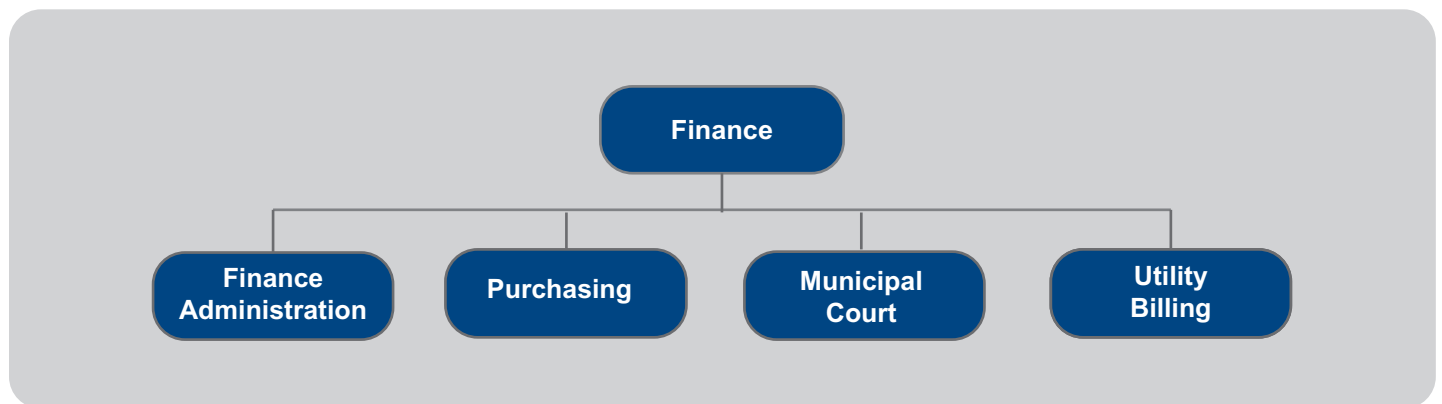
### PROPOSED FY 2020/21

- Identified \$107,847 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$20,000
  - Overtime = \$5,000
  - Positions Held for FY 2021 = \$67,644
  - Other Operating Savings = \$15,203
- Saving the City \$67,644 in personnel costs by delaying the hiring of 1 FTE:
  - 1.00 FTE - Purchasing Tech (entire fiscal year)



# FINANCE

Management responsibility for all the City's financial activity is centralized in the Finance Department. The Chief Financial Officer develops, oversees, and communicates strategic financial planning, the City's financial policies, debt management, and the day-to-day operations of the Finance Department and its divisions. Major areas of responsibility include: 1) Accounting & Treasury, 2) Budget and Analysis, 3) Municipal Court, 4) Purchasing, and 5) Utility Billing. These functions include purchasing of commodities and services; annual budget preparation; long-term financial planning; revenue forecasting; economic development analysis and support; treasury management, payroll, accounts payable, fixed asset reporting and monitoring capital projects while maintaining accurate and transparent reporting to the citizens of Round Rock.



## VISION:

Provide leadership and direction to maintain the financial stability of the City and prepare for future growth.

## MISSION:

The Finance Department is responsible for financial planning, policy development, and administration of the City's financial activities.

Finance will achieve our Vision and Mission by focusing on the six Finance Foundations:

**Drive** – We are dedicated and passionate about providing a positive work environment to enhance motivation. We are self-motivated and strive to improve service excellence.

**Transparency** – We maintain a level of integrity to promote ethical behavior, openness, and communication. We operate in a way that is easy for others to see what actions are performed to inspire trust.

**Quality** – We embrace the ongoing process of providing service excellence by assessing,

anticipating, and fulfilling the stated and implied needs of our customers.

**Respect** – We are a motivated team inspiring a sincere working environment that supports different viewpoints and personalities.

**Innovation** – We inspire inventive and forward-thinking teams that network, observe, and connect ideas to achieve innovation.

**Leadership** – We lead by example, providing encouragement and guidance with a positive attitude and are responsible stewards of the management and care of resources. We apply ethical principles to make a significant difference.

## GUIDING COUNCIL STRATEGIC GOALS



# FINANCE

## FY 2020 HIGHLIGHTS AND COVID-19 RESPONSE: FY 2021 OVERVIEW AND SIGNIFICANT CHANGES:

- Conducted economic impact studies on tourism (in coordination with Sports Management and Tourism)
  - Expanded internal control reviews to the “requisition to check” process to ensure efficient and effective use of city funds as we grow
  - Applied for the final transparency star – Contracts & Procurement – from the Texas Comptroller of Public Accounts
  - Upgraded citywide financial software system to the newest version
  - Conducted hotel occupancy tax audit to help ensure the City is receiving the correct level of tourism-related revenues
  - Modeled revenue impacts stemming from the COVID-19 response
  - Collaborated with all city departments to temporarily reduce the FY 2020 budget to offset anticipated COVID-19 revenue losses
- No new programs
  - Continue to monitor revenues and adjust projections as needed based on economic impacts of COVID-19
  - Closely monitor and provide support for sales tax rule changes and potential legislative changes

## FY 2022 OVERVIEW AND BEYOND:

- Continue to proactively monitor and manage long-term financial stability for the City
- Develop and implement contract management and tracking policies and systems

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Accounts Payable Payments Processed	9,700	9,800	10,100	9,900	10,000
Payroll Payments Processed	42,750	43,000	43,450	43,500	43,750
Numbers of Purchase Orders Processed	1,350	1,350	1,683	1,430	1,500
Court Cases Filed	10,974	12,140	17,313	12,600	15,600
Courtroom Appearances	3,513	4,577	6,084	4,800	6,000





# UTILITY BILLING 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
Personnel Services	964,573	1,096,829	1,105,656	1,140,982	1,124,805
Operating Expenses	1,127,833	843,576	1,041,445	1,041,445	1,073,678
Capital Outlay	-	-	-	-	-
<b>Total Expenditures:</b>	<b>\$ 2,092,406</b>	<b>\$ 1,940,405</b>	<b>\$ 2,147,101</b>	<b>\$ 2,182,427</b>	<b>\$ 2,198,483</b>
<b>Expenditure % Change:</b>	8.1%	-7.3%	10.7%	1.6%	0.7%
<b>Expenditures per Capita:</b>	<b>\$ 18.65</b>	<b>\$ 16.71</b>	<b>\$ 17.99</b>	<b>\$ 18.28</b>	<b>\$ 17.97</b>
<b>FTEs:</b>	15.500	16.000	17.000	18.000	18.000

## FY 2016/17

- Upgrade to Utility Billing Software - Customer Information System included beginning in FY2017
- During review of position control, transferred 0.375 FTE from Water to Utility Billing

## FY 2017/18

- Extension of Utility Billing Temp Position through the software upgrade \$23,600
- Additional hours (0.25 FTE) for PT Customer Service Rep in Utility Billing \$33,600
- Decreased 0.625 FTE Meter Services Rep to repurpose vacant position as a Purchaser in the General Fund at FY 2017/18 Mid-year Budget Amendment \$23,000

## FY 2018/19

- Utility Billing Office Remodel to change in office workflow needs due to new software implementation and closure of the drive-through window \$35,000
- Contract labor costs decreased \$56,500 due to two Customer Service Rep positions being increased from 0.750 to 1.00 FTE to help with increased software processing times.

## FY2019/20

- Customer Service Rep (1.0 FTE) was added to help with longer processing times due to the new software.
- Scheduled line item budget increased for computer maintenance contracts and bank service fees \$90,600
- Identified \$33,200 in other operating savings for FY 2020 to help adapt to the economic shifts created by COVID-19

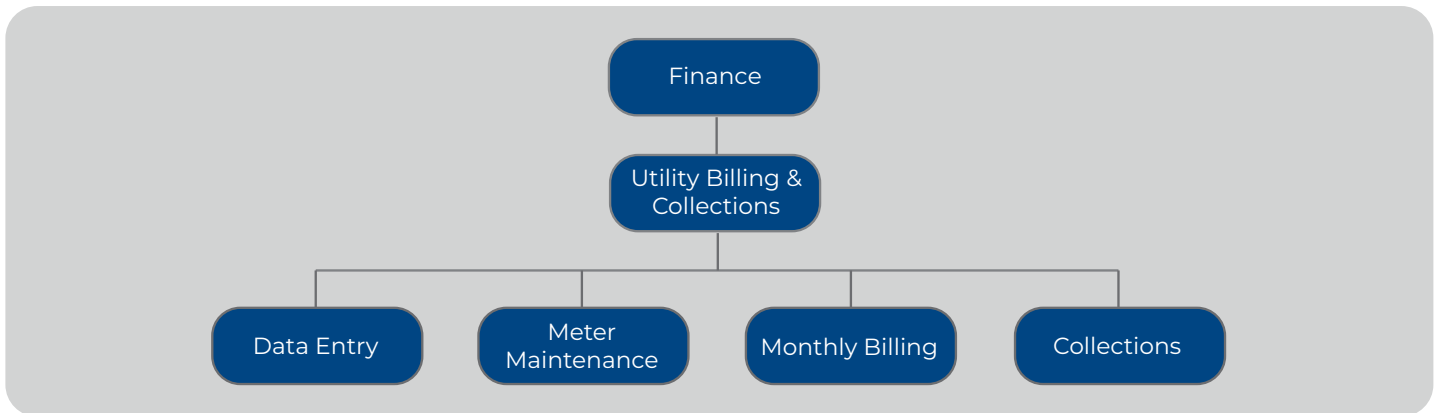
## PROPOSED FY2020/21

- No new programs or notable additions requested for FY 2020/21



# UTILITY BILLING

Utility Billing Office Division is part of the Finance Department and is responsible for the accounting, billing, and collection of all customer water, sewer, and garbage billings; connecting and disconnecting services; and helping customers.



## VISION:

Provide leadership and direction to maintain the financial stability of the City and prepare for future growth.

## MISSION:

The Finance Department is responsible for financial planning, policy development, and administration of the City's financial activities.

Utility Billing will achieve our Vision and Mission by focusing on the six Finance Foundations:

**Drive** – We are dedicated and passionate about providing a positive work environment to enhance motivation. We are self-motivated and strive to improve service excellence.

**Transparency** – We maintain a level of integrity to promote ethical behavior, openness, and communication. We operate in a way that is easy for others to see what actions are performed to inspire trust.

**Quality** – We embrace the ongoing process of providing service excellence by assessing, anticipating, and fulfilling the stated and implied

needs of our customers.

**Respect** – We are a motivated team inspiring a sincere working environment that supports different viewpoints and personalities.

**Innovation** – We inspire inventive and forward-thinking teams that network, observe, and connect ideas to achieve innovation.

**Leadership** – We lead by example, providing encouragement and guidance with a positive attitude and are responsible stewards of the management and care of resources. We apply ethical principles to make a significant difference.

## GUIDING COUNCIL STRATEGIC GOALS:



# UTILITY BILLING

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## **FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:    FY 2022 OVERVIEW AND BEYOND:**

- Worked to maximize available portal capabilities with system integrations
  - Upgraded customer information system to the newest version
  - Responded to customer needs during COVID-19 by delaying service interruptions, waiving fees and providing payment plans
  - Documented all functions of the division for continuity and cross training of staff
- Continue to provide excellent customer service and technology enhancements to our customers

## **FY 2021 OVERVIEW & SIGNIFICANT CHANGES:**

- Increase functionality of customer portal
- Hire a new Utility Billing manager
- Develop quarterly and annual statistical reporting and analysis

## **WORKLOAD INDICATORS:**

<b>Indicator</b>	<b>FY 2017 Actual</b>	<b>FY 2018 Actual</b>	<b>FY 2019 Actual</b>	<b>FY 2020 Projected</b>	<b>FY 2021 Target</b>
Customer Service Call Volume	31,472	47,436	33,844	34,000	34,000
Field Trips	14,018	10,100	16,793	12,000	12,000
Active Customers	33,733	34,706	35,786	36,600	37,000
Consumption Billed	6.85B	7.07B	6.58B	6.72B	6.73B
Dollars Billed	\$57.7M	\$61.9M	\$63.9M	\$64.9M	\$65.4M
Collection Rate	99%	99%	99%	99%	99%



# FISCAL SUPPORT SERVICES & LEGAL 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	333,894	-	2,726,000	-	1,098,438
<b>Operating Expenses</b>	3,013,920	3,016,893	3,967,743	3,967,743	3,721,585
<b>Legal Expenses</b>	1,030,827	1,082,153	1,275,000	1,275,000	1,275,000
<b>Capital Outlay</b>	8,980	-	-	-	-
<b>Total Expenditures:</b>	\$ 4,387,621	\$ 4,099,046	\$ 7,968,743	\$ 5,242,743	\$ 6,095,023
<b>Expenditure % Change:</b>	8.3%	-6.6%	94.4%	-34.2%	16.3%
<b>Expenditures per Capita:</b>	\$ 39.11	\$ 35.30	\$ 66.76	\$ 43.92	\$ 49.81
<b>FTEs:</b>	0.000	0.000	0.000	0.000	0.000

## History

- Operating expenses include social service funding, tax district and tax collection expenses, power and light, professional services, Economic Development payments, and City Manager Contingency
- The Legal Department includes the contract for City Attorney and all other outside counsel services required for the General Fund Departments and projects

## FY 2017/18

- No major programs or notable additions for FY 2017/18

## FY 2018/19

- Funding of \$250,000 was added to base budget for miscellaneous potential economic development agreements that are not Type B eligible

## FY 2019/20

- Funding for pay raises and market adjustments for FY 2019/20
- Minimum pay rate adjustments \$1,000,000
- Identified \$761,086 to transfer out for FY 2020 to help adapt to the economic shifts created by COVID-19

## PROPOSED FY 2020/21

- Funding for pay raises and market adjustments for FY 2020/21
- Identified \$994,862 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$5,000
  - Positions Held for FY 2021 = \$727,200
  - Other Operating Savings = \$262,862

See detailed breakout of Legal Service expenses on next page and a detailed description of what is included in Fiscal Support Services for FY 2020/21 on the following page.



# LEGAL SERVICES DETAIL

## Legal Fees Paid by Fund and Vendor

		FY 2020 YTD	FY 2019 Actual	FY 2018 Actual	FY 2017 Actual	FY 2016 Actual
<b>Vendor</b>						
Cary L Bovey		-	3,237	175	-	-
Clarence A West		-	-	-	-	345
Herrera & Boyle		-	-	-	-	1,250
Lynn Ross & Gannaway <sup>2</sup>		-	-	-	4,141	2,933
McCall, Parkhurst & Horton		-	-	-	-	-
McCreary Veselka Bragg & Allen PC <sup>3</sup>		27,255	96,135	89,721	107,330	95,923
Patrick H Wood III		-	-	-	8,333	-
Paul Horsby & Company		177,893	210,514	140,219	-	-
Sheets & Crossfield		772,083	1,090,214	1,028,962	1,046,733	1,041,913
Wright & Greenhill		-	-	4,276	43,476	-
Taylor, Olson, Adkins Sralla & Elam		117	1,293	2,296	1,740	-
The Gober Group		-	-	4,198	-	-
<b>General Fund Legal Expenses</b>		<b>977,348</b>	<b>1,401,392</b>	<b>1,269,846</b>	<b>1,211,754</b>	<b>1,142,364</b>
<b>Utility Fund</b>	Sheets & Crossfield	153,494	221,366	148,305	140,939	105,420
<b>Utility Fund</b>	Herrera & Associates	79,160	239,578	-	-	-
<b>Type B</b>	Sheets & Crossfield	137,790	271,628	183,674	184,865	113,126
<b>HOT - CVB</b>	Sheets & Crossfield	-	5,238	8,020	4,351	4,340
<b>HOT - Sports Center</b>	Sheets & Crossfield	1,823	2,319	368	5,424	3,530
<b>Multi Comp</b>	Sheets & Crossfield	6,570	4,635	1,080	5,548	-
<b>Health Insurance Fund</b>	Sheets & Crossfield	-	-	8,814	4,380	-
<b>Other Funds Legal Expenses</b>		<b>378,836</b>	<b>744,763</b>	<b>350,261</b>	<b>345,507</b>	<b>226,416</b>
<b>Total City-Wide Legal Expenses - Actuals</b>		<b>1,356,184</b>	<b>2,146,156</b>	<b>1,620,107</b>	<b>1,557,260</b>	<b>1,368,780</b>
<b>Total City-Wide Legal Expenses - Budget</b>		<b>1,803,836</b>	<b>2,169,763</b>	<b>1,775,261</b>	<b>1,770,507</b>	<b>1,643,000</b>
<b>Total City-Wide Legal Expenses - % of Budget</b>		<b>75.2%</b>	<b>98.9%</b>	<b>91.3%</b>	<b>88.0%</b>	<b>83.3%</b>

## Professional Services Fees Paid by Fund and Vendor

		FY 2020 YTD	FY 2019 Actual	FY 2018 Actual	FY 2017 Actual	FY 2016 Actual
<b>Vendor</b>						
Julianne Acevedo <sup>1</sup>		29,250	38,250	30,000	22,500	30,000
John Knoll <sup>1</sup>		14,265	22,060	-	-	-
Randy C Cain <sup>1</sup>		65,250	85,750	72,000	60,000	72,000
<b>General Fund Professional Services Expenses</b>		<b>108,765</b>	<b>146,060</b>	<b>102,000</b>	<b>82,500</b>	<b>102,000</b>
<b>Total City-Wide Professional Services Expenses - Actuals</b>		<b>108,765</b>	<b>146,060</b>	<b>102,000</b>	<b>82,500</b>	<b>102,000</b>
<b>Total City-Wide Professional Services Expenses - Budget</b>		<b>102,000</b>	<b>102,000</b>	<b>102,000</b>	<b>102,000</b>	<b>102,000</b>
<b>Total City-Wide Professional Services Expenses - % of Budget</b>		<b>106.6%</b>	<b>143.2%</b>	<b>100.0%</b>	<b>80.9%</b>	<b>100.0%</b>

### Notes:

1 - Lobbying Fee

2 - Human Resources related

3 - Municipal Court fine collections. Pass through cost paid by defendants.



## FISCAL SUPPORT DETAIL

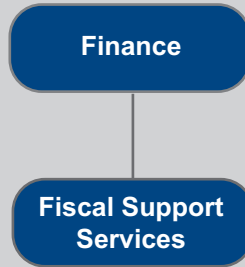
### FY 2021 Fiscal Support Services

Description	Amount
<b>Personnel Services</b>	
Non-Public Safety Salary Adjustments	\$ 1,098,438
<b>Total Personnel Services</b>	<b>\$ 1,098,438</b>
<b>Operating Expenses</b>	
Social Service Funding	182,000
Intergovernmental Charges (Tax District, Health Unit, etc.)	646,000
Insurance - General Liability	400,000
City Manager's Contingency	500,000
Economic Development Payments (Dell PT, Emerson, etc.)	1,200,000
Dues & Fees (NLC, CAMPO, TML, etc.)	16,000
Investment Advisory Services	75,000
External Audit Services	105,305
Sales Tax Consultant	126,000
Strategic Planning Services	44,695
Miscellaneous Expenses	426,585
<b>Total Operating Expenses</b>	<b>\$ 3,721,585</b>
<b>Legal Services</b>	<b>\$ 1,275,000</b>
<b>Grand Total</b>	<b>\$ 6,095,023</b>



## FISCAL SUPPORT SERVICES

The Fiscal Support Services section of the budget provides funding for general government expenditures that are not allocable to any specific department. Due to the general, strictly financial nature of the Department's charge, oversight of the Fiscal Support Services Department's activities is the responsibility of the City's Finance Department.



**VISION:** Provide leadership and direction to maintain the financial stability of the City and prepare for future growth.

**MISSION:** The mission of the Fiscal Support Services Department is to provide general financial monitoring, oversight, and support to the City of Round Rock for all expenditures that are not allocable to any specific department.

### MAJOR BUSINESS FUNCTIONS

**Fiscal Support Services:** is a support department for the General Fund. This purely fiscal responsibility center captures expenditures associated with non-allocable costs for general fund related items. Examples of expenditures include various utility and maintenance costs for City Hall and Business Center and general fund department's expenditure items such as taxes and insurance. Finally, funding is also provided for not-for-profit social service agencies, compensation consultants, legislative lobbying, and City participation in state and national organizations such as the Texas Municipal League and the National League of Cities.



# FIRE 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	18,843,906	20,945,354	21,831,551	21,311,901	22,457,048
<b>Operating Expenses</b>	2,994,430	2,739,447	2,040,343	1,960,343	2,046,768
<b>Capital Outlay</b>	67,533	16,896	-	-	-
<b>Total Expenditures:</b>	<b>\$ 21,905,869</b>	<b>\$ 23,701,697</b>	<b>\$ 23,871,894</b>	<b>\$ 23,272,244</b>	<b>\$ 24,503,816</b>
<b>Expenditure % Change:</b>	<b>17.7%</b>	<b>8.2%</b>	<b>0.7%</b>	<b>-2.5%</b>	<b>5.3%</b>
<b>Expenditures per Capita:</b>	<b>\$ 195.24</b>	<b>\$ 204.11</b>	<b>\$ 199.98</b>	<b>\$ 194.96</b>	<b>\$ 200.27</b>
<b>FTEs:</b>	158.000	162.000	163.000	163.000	163.000
<b>No. Sworn FTEs</b>	149.000	152.000	153.000	153.000	153.000

## FY 2018/19

- 3 FTEs added for Station Nine (FM 3406) \$194,774
- Public Safety Training Facility (PSTF) Equipment Certifications & Dues \$43,000
- Public Safety Training Facility (PSTF) Equipment totaling \$274,000 was funded through existing project budget
- 1 FTE added Executive Command Staff Admin Asst. \$40,897
- MCC 7500- US digital dispatching system \$450,000 was funded from General Self Finance
- ESO- Electronic Medical Records software \$45,000 funded from FY19 IT Bucket funds

## FY 2019/20

- Added 1 FTE as a Fire Code Inspector \$101,000
- No additional grant funding – last year for Opioid grant was FY 2018/19 \$750,000
- Identified \$642,388 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$57,000
  - Vacancy Savings = \$83,000
  - Hiring Delay = \$479,388
  - Other Operating Savings = \$23,000

## PROPOSED FY 2020/21

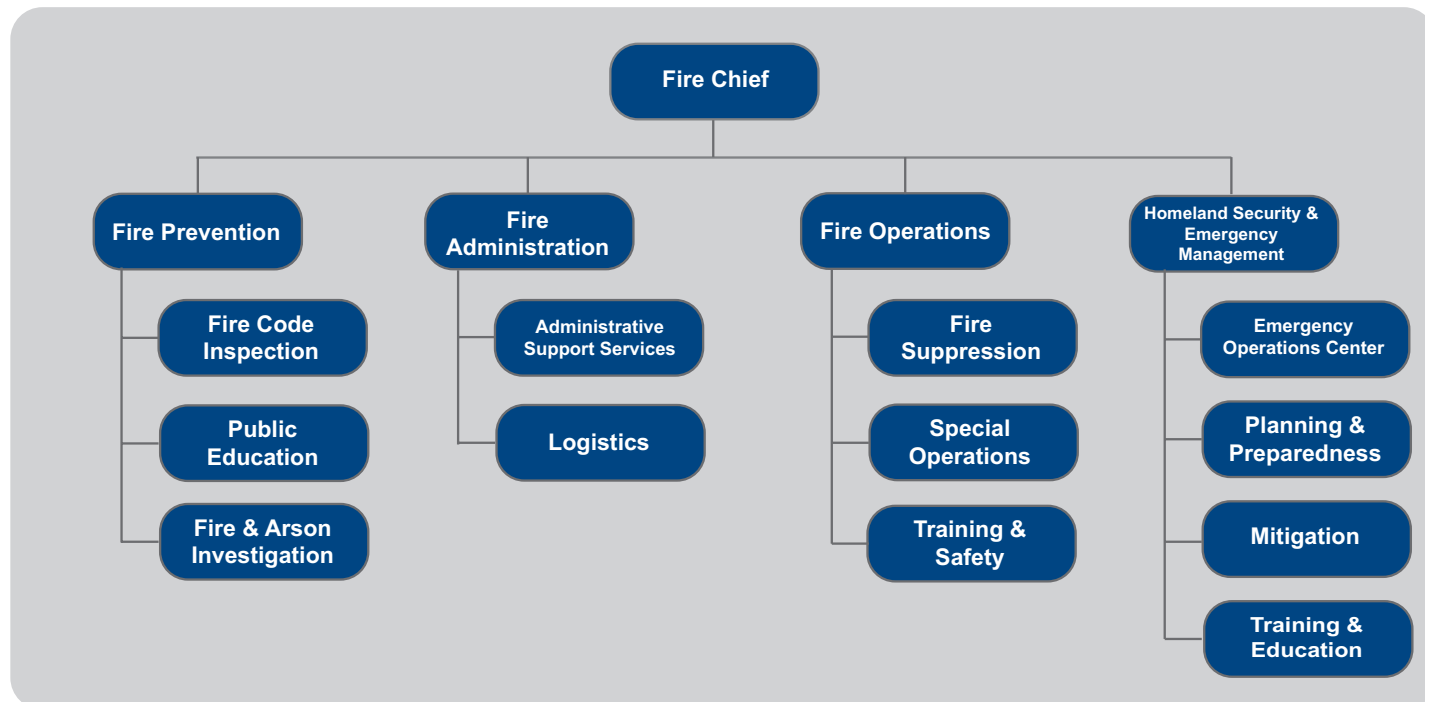
- Identified \$256,416 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$57,000
  - Hiring Delay = \$239,694
  - Other Operating Savings = - \$38,278
- Saving the City \$239,694 in personnel costs by delaying the hiring of 10 FTEs:
  - 1.00 FTE - Logistics Officer (Hire 1/1/2021)
  - 1.00 FTE - Administrative Assistant (Hire 1/1/2021)
  - 2.00 FTE - Fire Lieutenants (Hire 1/1/2021)
  - 4.00 FTE - Firefighters (Hire 1/1/2021)
  - 2.00 FTE - Driver (Hire 1/1/2021)





The Round Rock Fire Department provides many services to our citizens and visitors such as emergency response, fire prevention, public education, community outreach, and disaster preparedness planning for our community. The Department's guiding strategic goals are: Operational Excellence, Team Health, Safety and Success, Forward Leaning, and Community Involvement.

These foundational tenants create a resilient service delivery that is capable of adapting to our community needs. Our department relies on the support of many other city departments to be able to deliver the quality of service the community has come to expect. We continue our commitment of being part of the Round Rock team in serving our community.



## VISION:

To make a measurable difference in our community accomplished through excellent and compassionate service delivery.

## MISSION:

"We Care"

This simple statement encompasses our everyday service to the citizens and visitors of our great City. Through this foundational mission, we deliver the highest level of fire suppression, emergency medical, fire prevention and disaster management services.

## GUIDING COUNCIL STRATEGIC GOAL:



# FIRE

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- New inspector position
- Initiated the Community Risk Reduction Program
- Assisted in COVID-19 testing at long term health care facilities in Round Rock and Williamson County
- Assisted in COVID-19 testing Williamson County EMS employees
- Emergency Operations Center assisted in information exchange, resource procurement and distribution, and tracking of expenses related to COVID-19

- Programming for construction of Fire Station 10
- Implement a new reporting and scheduling system
- Open new Fire Station 3
- Revising the incident report QA/QI process to ensure data accuracy
- Initiate development of a Fire Training Academy for uncertified new hires

## FY 2022 OVERVIEW AND BEYOND:

## FY 2021 OVERVIEW AND SIGNIFICANT CHANGES:

- Initiate Engine Company Training in Community Risk Reduction
- Request ISO Grading
- Initiate the Heart Monitor Replacement Program
- Explore options for Pre-Incident Planning Software to better leverage technology

- Take delivery of new ladder truck for Fire Station 3, this apparatus' arrival will allow for 2 ladder trucks in reserve
- Begin the construction process for Fire Station 10
- Consider land purchase for Central Fire Station re-locate
- Program for a larger fire maintenance shop and logistics

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Total Calls	10,250	11,328	11,813	10,500	11,800
Total Unit Responses	14,000	15,194	15,865	14,500	15,800
Total Number of EMS Incidents	6,200	6,430	6,604	5,400	6,600
Total Number of Motor Vehicle Incidents	850	759	981	800	1,000
Hours of Fire Training	28,500	31,025	30,978	20,117	30,000
Hours of EMS Training	4,145	4,211	2,190	2,316	4,000
Hours of Fire Training at the PSTC	-	-	10,393	6,500	10,000
New Construction Inspected	2,301	2,567	2,495	2,650	2,600
Existing Construction Inspected	3,335	5,497	3,324	4,000	4,200
Public Education Number of Events	250	25	83	55	60



# GENERAL SERVICES

## 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	3,336,877	3,746,587	4,008,615	4,174,418	4,147,484
<b>Operating Expenses</b>	1,054,696	1,153,472	1,276,838	1,140,038	1,211,898
<b>Capital Outlay</b>	46,994	18,828	20,000	20,000	20,000
<b>Total Expenditures:</b>	\$ 4,438,567	\$ 4,918,887	\$ 5,305,453	\$ 5,334,456	\$ 5,379,382
<b>Expenditure % Change:</b>	6.9%	10.8%	7.9%	0.5%	0.8%
<b>Expenditures per Capita:</b>	\$ 39.56	\$ 42.36	\$ 44.45	\$ 44.69	\$ 43.97
<b>FTEs:</b>	48.000	52.000	53.000	53.000	53.000

### FY 2017/18

- 1 FTE added as a Custodian for the Police Department for \$39,500
- 1 FTE added as a Facility Maintenance Tech for Clay Madsen Rec Center for \$79,500
- 1 FTE added as a Downtown Maintenance Tech for \$58,200
- 1 FTE added as Building Construction Superintendent added in the FY 2018 Mid-Year Budget Amendment \$26,600

### FY 2018/19

- Public Safety Training Center Staffing - Added 2 FTEs as a Facility Maintenance Tech and a Custodian \$116,000
- Added 1 FTE as a Mechanic to serve the Fire Fleet \$47,200
- Added 1 FTE to convert 2 part-time Custodians to full-time \$51,600
- Full year expense budgeted for Building Construction Superintendent
- Base budget increased for software, janitorial supplies and building repair and maintenance based on usage

### FY 2019/20

- 1 FTE added as an Administrative Associate for Fleet Maintenance
- Identified \$185,820 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$36,8000
  - Vacany Savings = \$18,000
  - Hiring Delay = \$31,020
  - Other Operating Savings = \$100,000

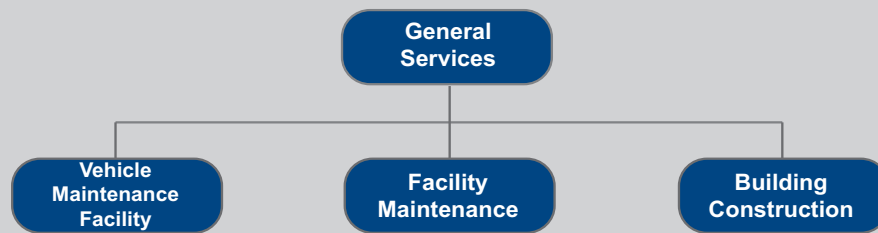
### PROPOSED FY 2020/21

- Identified \$175,631 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$6,000
  - Positions Held for FY 2021 = \$122,400
  - Other Operating Savings = \$47,232
- Saving the City \$122,400 in personnel costs by delaying the hiring of 2 FTEs:
  - 1.00 FTE - Parts Inventory Specialist (entire fiscal year)
  - 1.00 FTE = Development Construction Manager (entire fiscal year)



# GENERAL SERVICES

General Services is responsible for overseeing the fleet operations, building construction, and the repair and maintenance of the City's facilities. The Vehicle Maintenance Facility provides maintenance and repair of the City's vehicle fleet and equipment. The Building Construction Division handles all remodels and new construction. Facility Maintenance is responsible for repairs, maintenance, and custodial duties for most City buildings.



## VISION:

To be a leader and provide exceptional customer service.

## MISSION:

To provide a quality and safe environment for our customers and employees, by demonstrating world-class stewardship of our physical assets.

## GUIDING COUNCIL STRATEGIC GOAL:



## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Building Construction Division
  - Complete design of Public Library
  - Upgrade badging and security infrastructure
  - Complete Sharon Prete Plaza Restroom
  - Utilities and Transportation Service Center (Bob Bennett Building) Completion
- Facility Maintenance
  - Able to internally re-organize our custodial services to disinfect all buildings daily with no service interruptions or outside vendors.
  - Completed Central Fire Station Remodel and Mold Mitigation
  - Flood restoration at Public Works building
  - Replaced 4 Pumps at Clay Madsen Rec Center
  - Fire Station No. 5 Sprinkler Line Replacement and Flood Mitigation due to line burst
  - Clay Madsen Rec Center Interior Painting Project Completed during the one-week shutdown
- Vehicle Shop
  - Honorable Mention on the 100 Best Fleets

# GENERAL SERVICES

## FY 2021 OVERVIEW AND SIGNIFICANT CHANGES:

- Start Construction Public Library
  - Start Construction CORR/ISD Washing System
  - Police Department HVAC upgrade
  - Fire Station #3 Completion
- Complete Construction Public Library
  - Elevator Upgrades throughout the City

## FY 2022 OVERVIEW AND BEYOND:

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Facility Maintenance Work Orders	1,700	5,536	3,507	4,000	4,000
City Buildings Maintained	56	53	60	61	61
Trade Contracts	18	15	15	15	15
Service Contracts	20	20	20	20	20
Generators Maintained	27	27	28	29	29
City Vehicle/Equipment Owned	1,516	1,520	1,625	1,583	1,583
Vehicle Maintenance Work Orders	5,249	4,733	4,935	5,230	5,230
Fuel Used (Gallons)	414,375	371,664	352,490	360,000	360,000
New City Buildings Under Construction	2	1	1	1	1



# HUMAN RESOURCES

## 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
Personnel Services	1,071,317	1,182,345	1,272,157	1,292,774	1,371,569
Operating Expenses	165,894	161,422	227,430	194,830	189,453
Capital Outlay	-	-	-	-	-
<b>Total Expenditures:</b>	<b>\$ 1,237,210</b>	<b>\$ 1,343,767</b>	<b>\$ 1,499,587</b>	<b>\$ 1,487,604</b>	<b>\$ 1,561,022</b>
<b>Expenditure % Change:</b>	<b>-1.1%</b>	<b>8.6%</b>	<b>11.6%</b>	<b>-0.8%</b>	<b>4.9%</b>
<b>Expenditures per Capita:</b>	<b>\$ 11.03</b>	<b>\$ 11.57</b>	<b>\$ 12.56</b>	<b>\$ 12.46</b>	<b>\$ 12.76</b>
<b>FTEs:</b>	<b>11.750</b>	<b>12.750</b>	<b>12.750</b>	<b>12.750</b>	<b>12.750</b>

### FY 2016/17

- Additional line item requests for Education Assistance Program, Vending & Food Services, Office & Employee Recognition Supplies were added for \$18,900

### FY 2017/18

- Personnel costs included funding for health insurance cost increases
- Added \$5,200 in the base budget for Operating Expenses for the Customer Service Week which had been paid out of City Manager's Contingency in Fiscal Support in prior years

### FY 2018/19

- HR Remodel & Expansion \$80,000 was funded from General Self Finance
- 1 FTE added as an Organizational Development Trainer \$93,040

### FY 2019/20

- No major programs or notable additions were proposed for FY 2019/20
- Identified \$77,200 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$18,600
  - Overtime = \$2,600
  - Vacancy Savings = \$42,000
  - Other Operating Savings = \$14,000

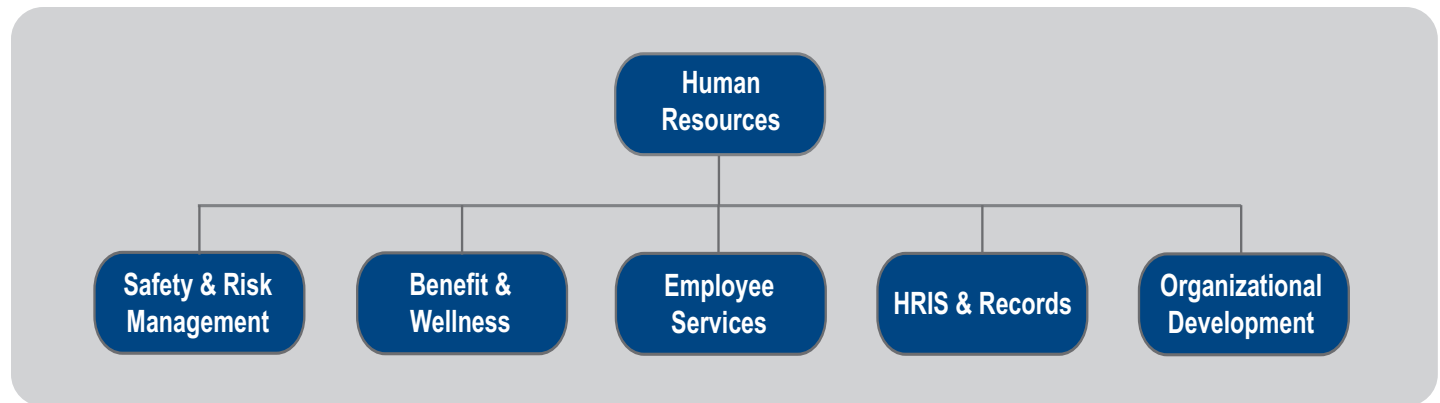
### PROPOSED FY 2020/21

- Identified \$36,513 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$18,600
  - Overtime = \$2,600
  - Other Operating Savings = \$15,313



# HUMAN RESOURCES

The Human Resources (HR) Department is responsible for providing direction and leadership in human resource matters that support the City's success. Our focus is to provide the following major HR business functions: Safety and Risk Management, Benefits and Wellness, Employee Services (Compensation, Talent Management & Employee Relations), manage the Human Resource Information System/Records, and Organizational Development.



## VISION:

To encourage and support a work/life balance for employees while maintaining a diverse, respectful, and safe work environment

## MISSION:

Human Resources will set the service standard of excellence with talent and innovation to create a positive work culture

## HUMAN RESOURCES CORE VALUES:

Human Resources will achieve the mission by living our core values to **SERVE**:

**S** - Support - Work Together to meet the needs of the City

**E** - Engage - Involve others to promote individual and organizational growth

**R** - Respect - Treat everyone with dignity at all times

**V** - Voice - Tell us; we will listen and act

**E** - Empower - Provide the resources and environment to succeed

## GUIDING COUNCIL STRATEGIC GOAL:



# HUMAN RESOURCES

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Implemented Employee Performance Management Module in Munis
- Implemented Alight Health Navigation benefit and \$0 copays at CVS Minute Clinics, which offer assistance to employees to select cost effective care and reduced expenditures from the self-funded health plan budget
- Developed eLearning course, *Managing Remote Teams*
- Developed eLearning course, *Best Practices for Working From Home*

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- No new programs were requested
- Reduction in operating budget

## FY 2022 OVERVIEW AND BEYOND:

- Partner with departments to hire, train and retain a diverse workforce to continue to provide exceptional service in a positive work culture
- Maintain compensation and benefit strategy to ensure the City remains competitive to recruit exceptional talent

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Number of Employment Verifications	136 -	95	112	100	125
Number of Verbal Employment Verifications	-	-	-	216	200
Number of Applicant Backgroup Checks	180	276	363	350	350
Number of Drug Screens	-	-	223	200	350
Number of Post-Accident and Random Drug Screens	56	54	65	60	65
Number of Exit Interviews	45	26	30	45	35
Number of Compensation Surveys	3	52	21	26	25
Number of Employee Development Trainings	72	64	74	60	65
Number of Safety Trainings	-	-	34	40	35
Number of Employment Applications	14,049	18,198	11,347	14,500	13,500
Number of Open Records Requests	21	22	28	40	25





# INFORMATION TECHNOLOGY 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	2,276,592	2,215,179	2,230,836	2,074,626	2,091,432
<b>Operating Expenses</b>	2,160,420	2,299,485	2,574,617	2,524,117	2,953,905
<b>Capital Outlay</b>	65,061	75,000	75,000	75,000	75,000
<b>Total Expenditures:</b>	\$ 4,502,073	\$ 4,589,664	\$ 4,880,453	\$ 4,673,743	\$ 5,120,337
<b>Expenditure % Change:</b>	6.9%	1.9%	6.3%	-4.2%	9.6%
<b>Expenditures per Capita:</b>	\$ 40.13	\$ 39.53	\$ 40.88	\$ 39.15	\$ 41.85
<b>FTEs:</b>	21.000	22.000	20.000	20.000	20.000

## History

- Funding is provided in the General Capital Projects/Equipment Fund in the amount of \$750,000 or technology expansions and improvements

## FY 2018/19

- No major programs requested for FY 2018/19
- An examination of all software licensing costs was conducted, and the base budget increased accordingly to reflect the growing costs of software services
- 1 FTE transferred from Parks and Recreation

## FY 2019/20

- No major programs or notable additions were proposed for FY 2019/20
- Transferred 2 FTES, GIS Analysts to Planning
- Identified \$282,770 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$32,200
  - Vacancy Savings = \$130,000
  - Hiring Delay = \$124,040
  - Other Operating Savings = - \$3,479

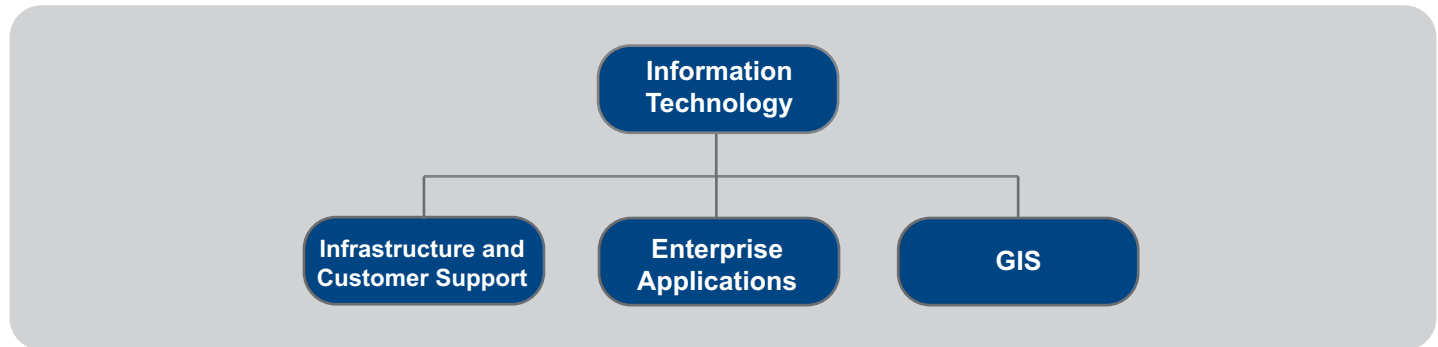
## PROPOSED FY 2020/21

- Identified \$107,847 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$38,200
  - Overtime = \$5,000
  - Positions Held for FY 2021 = \$107,940
  - Hiring Delay for a Portion of FY 2021 = \$16,100
  - Other Operating Savings = \$50,278
- Saving the City \$124,040 in personnel costs by delaying the hiring of 2 FTEs:
  - 1.00 FTE - Senior GIS Analyst (Hire 12/1/2020)
  - 1.00 FTE - Network Administrator (entire fiscal year)



# INFORMATION TECHNOLOGY

The Information Technology (IT) Department's function is to maintain and support the hardware and software infrastructure within the City government, assist in procurement and training for all major software systems, and help guide all departments in forming plans for their future use of technology.



## MISSION:

The IT Department's mission is to assist all City departments to become more productive using technology, to safeguard relevant data, and to increase the sharing of important governmental information between City departments.

## GUIDING COUNCIL STRATEGIC GOALS:



## FY 2020 HIGHLIGHTS & COVID-19 RESPONSES:

- Expanded Microsoft Azure cloud datacenter capabilities
  - Streamlined computer deployment with Microsoft Intune and Dell Autopilot
  - Streamlined mobile device program by implementing Mobile Device Management solution on all City issued phones. Deployed 650 replacement mobile devices throughout the City
  - Replaced Police In-Car\Body Worn Camera's, cloud-based evidence management platform
  - Implemented planned technology replacement programs – AV Systems, network infrastructure, security camera endpoints, and computer\server replacements
  - Upgraded Tyler Technology software from Munis 11.3 to Munis 19.1
  - Migrated all City Departments to SharePoint enabling cloud access to organizational data
  - Met state requirements for completing the first year of yearly required Security training for all City employees
  - Launched Round Rock Learning – the City's first Learning Management Platform built on the SharePoint cloud
  - Built Round Rock Coronavirus Case Dashboard containing live WCCHD information to the public
  - Enabled 100 employees to work from home by using Microsoft Cloud technology, deploying laptops, allowing employees to take
- Implement and Configure ArcGIS Enterprise
  - Deploy ArcGIS Hub - Open Data Portal
  - Migrate ArcMap users to ArcGIS Online & ArcGIS Pro
  - Revamp Cityworks UX/UI
  - Completed Fiber and RRTX-WiFi expansion to City facilities including the Waste-Water Treatment Plant, new Fire Station 3 and East Round Rock redundant ring

# INFORMATION TECHNOLOGY

workstations home, providing mobile hotspots and webcams

- Upgraded AV system in Council Chamber to support virtual public meetings using Zoom
- Enabled employees to meet Social Distancing guidelines through the use of Microsoft Teams

## FY 2021 OVERVIEW AND SIGNIFICANT CHANGES:

- Continue focus on digital transformation – migrating organization to consolidated Microsoft cloud technologies for data, business process, and communication.
- Land management and permitting system replacement
- Identity Management, Data Classification and Security in the Microsoft Cloud
- Planning and execution of move to cloud hosted VOIP system citywide
- Evaluate best options for fiber network redundancy
- Fire Records Management system replacement
- Technology planning for the new Library
- Evaluate options for replacing Public Safety CAD and Records Management Systems

## FY 2022 OVERVIEW AND BEYOND:

- Incorporate use of cognitive bots and machine learning into business process
- Replace Public Safety CAD and Records Management Systems
- Data analytics and insights into enterprise application data across lines of business
- Expansion in use of cloud technologies and the Azure datacenter
- Continued expansion of citywide fiber network and RRTX-WiFi
- Technology planning for the new City facilities – Fire Station 10/11

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Help Desk Tickets Resolved	5,400	9,301	9,694	10,100	10,500
PC Replacement	230	150	102	130	200
Page Visits for Public Facing Websites	4,359,352	4,785,132	5,086,053	5,300,000	5,700,000
Usage of RRTX-Wi-Fi (Total Traffic)	48 TB	65 TB	79 TB	135 TB	150 TB
Total Email Messages Processed	-	8,060,000	7,600,000	8,200,000	8,400,000
Email Malware Campaigns Blocked*	-	39,600	600	650	675
Email Threats Blocked	-	1,196,000	710,370	945,000	945,000

\* Not individual email count. Number represents campaign events.



# LIBRARY 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	2,087,193	2,261,141	2,421,122	2,432,940	2,543,833
<b>Operating Expenses</b>	678,349	664,437	728,252	693,952	699,422
<b>Capital Outlay</b>	-	-	-	-	-
<b>Total Expenditures:</b>	\$ 2,765,542	\$ 2,925,578	\$ 3,149,374	\$ 3,126,892	\$ 3,243,255
<b>Expenditure % Change:</b>	0.4%	5.8%	7.6%	-0.7%	3.7%
<b>Expenditures per Capita:</b>	\$ 24.65	\$ 25.19	\$ 26.38	\$ 26.19	\$ 26.51
<b>FTEs:</b>	31.000	31.750	32.750	32.750	32.750

## FY 2016/17

- A Library Safety Monitor was added as a contract position for \$6,100
- \$26,900 was added for additional funding for Contract Labor, Office Supplies and Leased Library materials

## FY 2017/18

- Added 0.5 FTE Part-Time Adult Services Assistant \$17,100
- \$15,000 for additional funding for CollectionHQ software funded from the IT Tech Fund

## FY 2018/19

- Added 0.5 FTE as a part-time Library Assistant- Youth Svcs \$22,800
- Additional funding for leased library material \$12,800

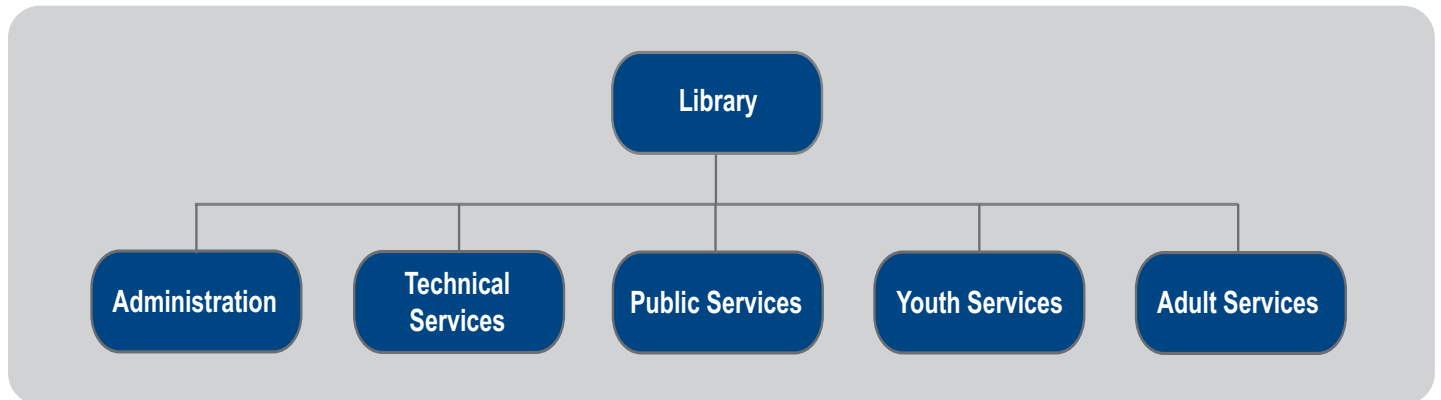
## FY 2019/20

- 1 FTE added as a Library Manager \$111,000
- Identified \$38,700 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$1,600
  - Overtime = \$3,500
  - Vacancy Savings = \$57,000
  - Hiring Delay = \$35,327
  - Other Operating Savings = \$38,700

## PROPOSED FY 2020/21

- Identified \$28,805 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$3,500
  - Overtime = \$3,500
  - Other Operating Savings = \$21,805

The Round Rock Public Library System provides our growing and diverse community a variety of exceptional programs and services. Our caring and knowledgeable staff maintains an attractive and dynamic environment in which to find information, enjoyment, and enrichment.



## MISSION:

The Round Rock Public Library proudly serves its dynamic and growing community by providing high quality resources, services, and programs.

## GUIDING COUNCIL STRATEGIC GOALS:



## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Earned the 2019 Achievement in Excellence in Libraries Award from the Texas Municipal Directors Association
- Hired the adult services manager and formed the new adult services division
- Teen Writing Group recognized in National Writing Competition
- 2019 Texas Book Festival Collections Enhancement Grant recipient
- Introduced New Lucky Day Collection
- Enhanced Kits-to-Go collection with curb painting kits, in partnership with Neighborhood Services division
- Launched mobile printing service
- Presented panel discussion with leaders from IBM and NASA who were instrumental in success of the space program
- Produced new health and wellness series in partnership with St. David's Round Rock Medical Center
- Implemented modified services during COVID-19 including curbside pick-up, E-Cards, virtual programs, while continuing to answer customer calls and emails
- Inventoried more than 260,000 items, moved 43,000 items in the fiction collection,

# LIBRARY

repackaged music CDs, and reorganized the junior series collection

- Served at the office of emergency management assisting the coordination of donations and answering the COVID19 hotline; more than 290 masks were made by library staff

## FY 2022 OVERVIEW AND BEYOND:

- Hire, train, and retain a diverse workforce with a passion to deliver exceptional customer service
- Complete bond project on time and within budget

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- No new programs
- Reduction in operating budget

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Reference Transactions	50,000	34,086	27,170	20,000	33,000
Volunteer Hours	8,000	6,920	7,456	3,100	6,900
Community Outreach Contacts	5,000	4,656	2,068	1,900	4,600
Circulation	1,000,000	1,229,141	1,315,186	1,400,000	1,500,000
Interlibrary Loans	4,000	3,673	3,823	1,600	3,600
Public Access Computer Uses	70,000	61,728	60,147	30,000	62,000
Database Uses	25,000	23,906	42,223	23,000	26,000
Program Attendance	50,000	47,545	55,364	25,000	50,000
Library Visits	390,000	388,732	416,391	320,000	400,000



# PARKS AND RECREATION 5 YEAR SUMMARY IF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	8,103,389	8,812,967	8,846,252	8,844,071	9,227,524
<b>Operating Expenses</b>	3,502,372	3,729,810	3,782,347	3,688,987	3,620,327
<b>Capital Outlay</b>	61,555	80,379	97,000	85,110	82,000
<b>Total Expenditures:</b>	\$ 11,667,317	\$ 12,623,156	\$ 12,725,599	\$ 12,618,168	\$ 12,929,851
<b>Expenditure % Change:</b>	1.4%	8.2%	0.8%	-0.8%	2.5%
<b>Expenditures per Capita:</b>	\$ 103.99	\$ 108.71	\$ 106.61	\$ 105.71	\$ 105.67
<b>FTEs:</b>	105.375	107.375	108.375	108.375	108.375

## FY 2018/19

- Continued enhancements to the annual July 4<sup>th</sup> parade \$56,900
- PARD Irrigation Team – 2 FTEs (Hire Date:2/1/19) added \$76,400
- Added 1 FTE as a Park Ranger (Hire Date:2/1/19) \$77,266
- Aquatics Software to be funded through FY19 IT Bucket fund \$16,500
- Additional funding for Seasonal Lifeguard salaries \$145,600

## FY 2019/20

- Added 1 FTE as a Fitness Instructor \$44,000
- Additional funding for Pop Up Play \$12,000
- \$8,000 added for Athletic Field Maintenance
- Identified \$605,374 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$22,000
  - Overtime = \$82,100
  - Vacancy Savings = \$153,000
  - Hiring Delay = \$165,024
  - Other Operating Savings = \$183,250

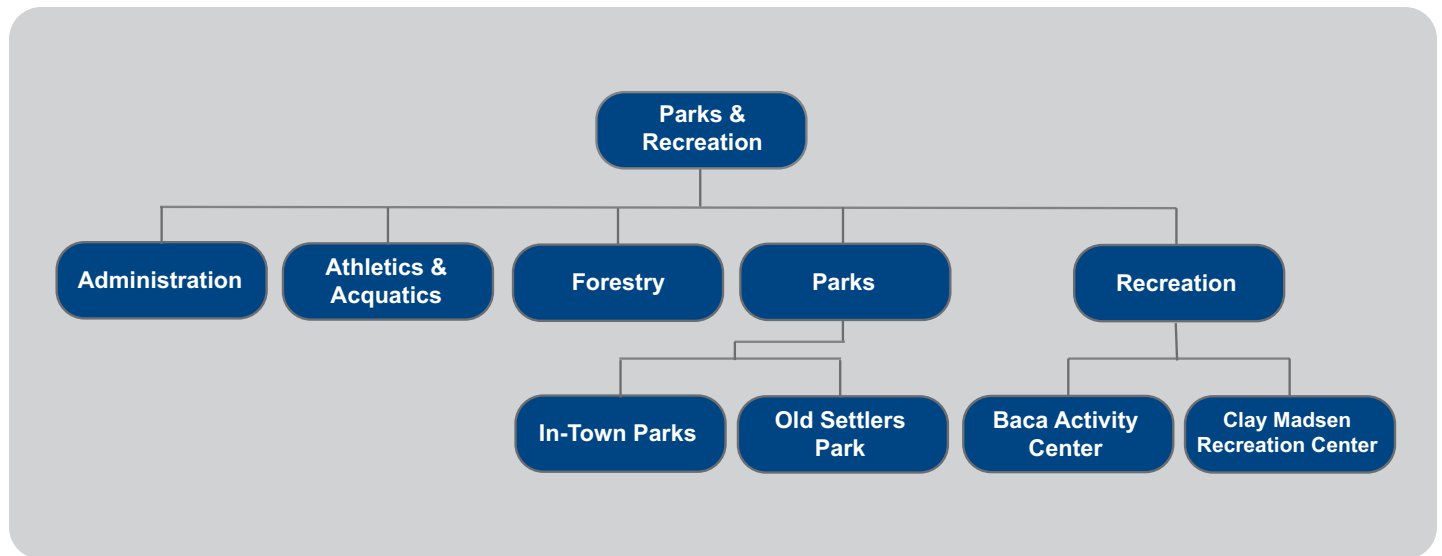
## PROPOSED FY 2020/21

- Identified \$688,309 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$30,281
  - Overtime = \$71,425
  - Positions Held for FY 2021 = \$334,356
  - Hiring Delay for a Portion of FY 2021 = \$37,572
  - Other Operating Savings = \$214,675
- Saving the City \$371,928 in personnel costs by delaying the hiring of 4.76 FTEs:
  - 0.50 FTE - Administrative Associate (entire fiscal year)
  - 1.00 FTE - Parks Maintenance Worker (entire fiscal year)
  - 1.00 FTE - Electrician- Journey (Hire 2/1/2021)
  - 0.63 FTE - Recreation Assistant (entire fiscal year)
  - 0.63 FTE - Bus Driver (entire fiscal year)



# PARKS AND RECREATION

The Parks and Recreation Department (PAR) is responsible for the acquisition, design, development, and maintenance of the City's park system and the planting, conservation, and maintenance of trees. In addition, PAR is responsible for organized recreation programs; which include athletics, aquatics, instructional classes, special events, and senior citizen activities. PAR also manages the Clay Madsen Recreation Center and the Allen R. Baca Senior/Activity Center.



## VISION:

To provide an active, vibrant, and beautiful city with a quality and diversified parks and recreation system that produces economic, health, and social benefits for the entire community.

## MISSION:

People dedicated and empowered to create positive and memorable experiences in people's lives.

## GUIDING COUNCIL STRATEGIC GOALS:





# PARKS AND RECREATION

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## **FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:**

- Awarded a Bronze Telly Award for the Lifeguard Recruitment Video
- Awarded the TRAPS Marketing & Promotions Excellence Award
- Maintenance Team Members won 2 State Championships in the TRAPS Maintenance Rodeo Competition
- Baca Center awarded the Senior Resource Guide's Best of 2019 Award for the 4th consecutive year
- Opening of the Brushy Creek Trail – Veterans Park to Rabb Park section
- Opening of the The Yard at CMRC
- Opening of the Adult Recreation Complex
- Continuation of PARD Repair & Replacement Program which included: Bradford Park Playground Replacement, Mesa Village Park Playground Replacement, Meadow Lake Park Playground Replacement and Old Settlers Park Tennis Court Renovations
- Began construction of Heritage Trail West Project
- Trail and park use increased by 51% during COVID as people looked to parks and trails for exercise and mental health benefits
- Started the Free Residential Mulch Delivery Program during COVID delivering over 2,200 cubic yards of mulch to over 350 residents in 72 neighborhoods
- Created numerous virtual recreation programs during COVID to keep our citizens and customers active during the Stay at Home orders
- Moved the Donut Dash and Flashlight Egg Hunt events to a virtual format during COVID and had great participation in both events including from other states
- Assisted Opportunities of Williamson & Burnet Counties with congregate meal and Meals on Wheels deliveries during COVID
- While numerous facilities were closed due to COVID, performed numerous deferred maintenance tasks (painting, mulching, deep cleaning) that are difficult to complete when facilities are in-use

## **FY 2021 OVERVIEW & SIGNIFICANT CHANGES:**

- Completion of Heritage Trail West
- Construction of Lake Creek Trail – RR West Park to Centennial Plaza
- Continued inclusion of virtual programming into many programs/events to expand services to other customers

## **FY 2022 OVERVIEW AND BEYOND:**

- Construction of Behrens Ranch Park – Phase 1
- Continuation of PARD Repair & Replacement Program

# PARKS AND RECREATION

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
<b>Parks Division Measures</b>					
Acres of Parkland and Open Space	2,270	2,270	2,285	2,295	2,295
Hours of Athletic Field Use	25,000	24,346	18,006	18,000	20,000
Miles of Trail	23.19	23.20	24.70	27.70	24.70
Average Park Certification Score	93.33	94.02	94.06	94.00	94.00
<b>Recreation Division Measures</b>					
Clay Madsen Recreation Center Users**	713,035	645,156	407,395	310,000	400,000
Baca Center Users	253,625	285,051	268,949	200,000	250,000
Recreation Program Participants	75,219	93,896	100,482	75,000	95,000
<b>Athletics/Aquatics Division Measures</b>					
Total Pool Attendance	136,478	115,991	116,044	80,000	100,000
Swim Lesson Participants	1,036	1,397	1,466	1,000	1,300
Participants in Athletic Leagues	7,052	6,522	7,050	5,000	6,500
<b>Forestry Division Measures</b>					
Trees Pruned in Parks	1,625	2,776	3,444	2,500	2,000
Residential Tree Inspection Services	125	163	165	150	150
Cubic Yards of Brush Recycled	23,758	25,063	27,018	27,000	27,000
<b>Administration Division Measures</b>					
Value of Positive Media Impressions	\$225,278	\$92,302	\$61,821	\$70,000	\$60,000
Sponsorships and Donations Received	\$329,285	\$48,285	\$27,311	\$25,000	\$25,000
Park Ranger Patrol Hours	7,275	4,307	5,582	5,200	5,200
Number of Special Events and Tournaments	161	189	155	100	150
Facility Rental Hours	38,128	38,305	34,544	26,000	30,000

\* Projected ~ 25% decrease in users/participants for FY 2020 due to COVID and related facility closures

\*\* Door counter hardware and count procedure changed in FY 2019



# PLANNING AND DEVELOPMENT SERVICES 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	4,426,429	4,824,092	5,224,846	5,291,515	5,364,282
<b>Operating Expenses</b>	321,002	400,380	441,413	363,513	304,760
<b>Capital Outlay</b>	5,523	-	-	-	-
<b>Total Expenditures:</b>	\$ 4,752,954	\$ 5,224,472	\$ 5,666,259	\$ 5,655,028	\$ 5,669,042
<b>Expenditure % Change:</b>	23.3%	9.9%	8.5%	-0.2%	0.2%
<b>Expenditures per Capita:</b>	\$ 42.36	\$ 44.99	\$ 47.47	\$ 47.37	\$ 46.33
<b>FTEs:</b>	52.000	52.000	55.000	55.000	55.000

## FY 2017/18

- Added 5 FTEs- Senior Engineer, Senior Engineer Tech, & Code Enforcement Officer for \$255,800
- \$93,600 for a Landscape Inspection Contract
- Transferred in 3 FTEs to new Community Development division in Planning and Development Services including operating expenses of \$68,000
- FY 2018 Mid-Year Budget Amendment increased the FTE authorization of one position by 0.25 FTE
- Added contract services budget for certifications and inspections

## FY 2018/19

- Added funding for Comprehensive Plan 2030 \$50,000
- New Truck for the Chief Building Inspector to be funded through General Self Finance \$30,000

## FY 2019/20

- Adding 1 FTE as a Long-Range Planner \$58,000
- Funded a Temporary Intern and Planning Tech \$22,000
- Saved in Professional Service expenses \$25,000
- 2 FTEs, GIS Analysts, transferred from IT
- Identified \$260,654 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$25,600
  - Overtime = \$13,000
  - Vacancy Savings = \$77,000
  - Hiring Delay = \$92,754
  - Other Operating Savings = \$52,300

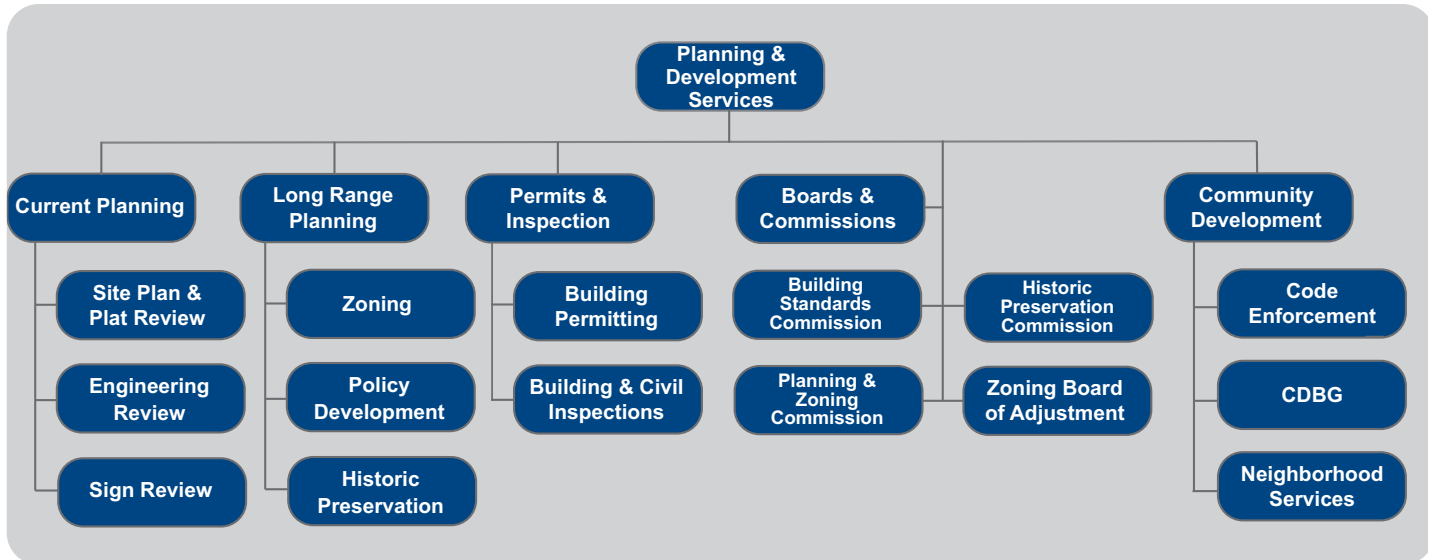
## PROPOSED FY 2020/21

- Identified \$200,231 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$37,200
  - Overtime = \$26,012
  - Hiring Delay for a Portion of FY 2021 = \$46,377
  - Other Operating Savings = \$90,642
- Saving the City \$46,377 in personnel costs by delaying the hiring of 2 FTEs:
  - 1.00 FTE - Long Range Planner (Hire 4/1/2021)
  - 1.00 FTE - Neighborhood Serviced Coordinator (Hire 4/1/2021)



# PLANNING AND DEVELOPMENT SERVICES

The Planning and Development Services Department is responsible for land use policy, administration of land development regulations, permit review and inspections, community development, neighborhood services, and code enforcement for the City under the leadership of the Planning and Development Services Director.



## VISION:

Round Rock is a diverse, historic, and family-oriented community with a distinct identity as a desirable place to live, work, and play. Residents, government, and businesses are committed to working together to build a quality community.

## MISSION:

To provide the citizens and development community with efficient, consistent, fair, and effective development review services; promote quality development and planning programs to enhance the quality of life and facilitate economic growth; and improve the built environment.

## GUIDING COUNCIL STRATEGIC GOAL:



# PLANNING AND DEVELOPMENT SERVICES

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Completed and secured adoption of the Comprehensive Plan 2030.
- Maintained all development services during the COVID-19 lockdown, including inspections.
- Met or exceeded all of our deadlines on development applications, including during COVID-19.
- Successfully managed another year of high growth to the tune of a projected \$200 million in new non-residential and multi-family projects, in accordance with established performance measures.
- Worked with the Utilities Department to provide outreach for the Atlas 14 data with all landowners (5 acres +) in the City; all civil engineers that have worked in the City since 2017; and the Austin Contractors & Engineers Association (ACEA). Most occurred during the COVID-19 lockdown.
- Secured adoption of several land development code amendments to improve ease of use.
- During COVID-19 lockdowns, we relaxed temporary signage enforcement for restaurants; extended deadlines for compliance on residential violations; increased lending on lawnmowers, tree saws, and trimmers for homeowners to address violations and had Code Enforcement provide the delivery.

- Revised the CDBG Action Plan to provide rent and utility assistance in the amount of \$397,275 to low income residents during COVID-19.
- Planning staff provided all Historic Preservation tax exemption inspections this year due to COVID-19.

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- Continued inclusion of virtual opportunities to maintain and improve service during COVID-19.
- Work with Transportation on the implementation of the Roadway Impact Fees.
- Work with IT on implementation of the new land management software system, including electronic plan reviews and application submittals.
- Facilitate the development process of the District, City Library, Depot, and Project Tower.

## FY 2022 OVERVIEW AND BEYOND:

- Consolidate all customer intake areas into a single, comprehensive development assistance counter.
- Play an instrumental role in downtown redevelopment.
- Comprehensive Plan 2030 Implementation

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Total Approved Plats	65	44	83	61	70
Development Permits Received	100	100	84	71	70
Development Permits Issued	85	54	59	59	60
Number of Building Permits	2,950	4,193	4,124	3,560	3,600
Number of Inspections	16,225	27,700	26,792	19,400	20,000
Total Bandit Signs	5,500	4,557	4,826	4,335	5,202
Total Notified Code Violations	3,500	4,354	4,271	4,611	5,533



# POLICE 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	27,026,612	28,572,064	29,552,600	29,441,975	30,554,945
<b>Operating Expenses</b>	3,782,863	3,661,909	4,068,963	3,819,034	3,655,988
<b>Capital Outlay</b>	123,909	46,209	50,432	36,304	20,432
<b>Total Expenditures:</b>	<b>\$ 30,933,384</b>	<b>\$ 32,280,182</b>	<b>\$ 33,671,995</b>	<b>\$ 33,297,313</b>	<b>\$ 34,231,365</b>
<b>Expenditure % Change:</b>	<b>7.8%</b>	<b>4.4%</b>	<b>4.3%</b>	<b>-1.1%</b>	<b>2.8%</b>
<b>Expenditures per Capita:</b>	<b>\$ 275.70</b>	<b>\$ 277.99</b>	<b>\$ 282.08</b>	<b>\$ 278.94</b>	<b>\$ 279.77</b>
<b>FTEs:</b>	<b>250.900</b>	<b>253.975</b>	<b>257.975</b>	<b>257.975</b>	<b>257.975</b>

Each year reflects cost increases for scheduled step pay raises, any market or cost of living adjustments and health insurance costs

## FY 2018/19

- Two additional 0.025 FTEs for increased authorization for 2 existing part-time positions
- Added 2 FTEs as Police Officers \$486,900
- Parking cover for Tactical Vehicles to be funded from General Self Finance \$100,000
- Additional funding for PD Special Events Pay & Hardware \$191,000
- Police Radio Replacements (Year 5 of 7) \$368,000 from General Self Finance
- 26 police vehicles proposed for replacement at a cost of \$1,427,000 from General Capital Projects/Equipment Fund

## PROPOSED 2019/20

- Added 2 FTEs as Police Officers and 2FTEs as Victim Service Advocates (partial grant funding) for a total cost of \$236,000
- Budget Line Item increase for radio maintenance contracts \$50,000
- Replaced Body Worn Cameras and In-Car Video Systems \$250,000
- Identified \$616,789 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$181,571
  - Vacancy Savings = \$32,000
  - Hiring Delay = \$320,730
  - Other Operating Savings = \$82,488

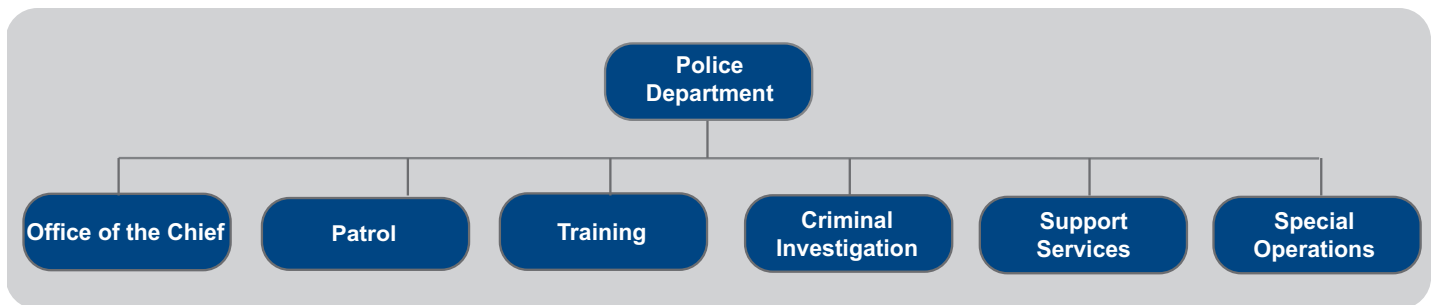
## PROPOSED FY 2020/21

- Identified \$253,937 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$47,000
  - Hiring Delay for a Portion of FY 2021 = \$174,482
  - Other Operating Savings = \$32,455
- Saving to City \$174,482 in personnel costs by delaying the hiring of 3 FTEs:
  - 1.00 FTE - Police Officer (Hire 1/1/2021)
  - 1.00 FTE - Victim Services Advocate (Hire 1/1/2021)
  - 1.00 FTE - Community Affairs Specialist (Hire 1/1/2021)





The Police Department provides public safety and enforces federal, state, and city laws and ordinances through proactive and responsive patrol of the City by state-commissioned peace officers. As its business model, the Department believes the best way to fight crime is to forge strategic partnerships that address quality-of-life issues before they become serious public safety or crime problems. The Department also is responsible for animal control; fire and police radio dispatch functions in the City limits; and maintaining the recruiting, training, crime victim, and support functions necessary to maintain a police force of the highest quality.



#### VISION:

Effectively adapt to the challenges created by a rapidly growing community that is striving to maintain its low crime rate and high quality of life. Deliver policing that responds to the needs of the community and engages them to share in the responsibility of keeping Round Rock a great community.

#### MISSION:

The Round Rock Police Department, in alliance with our community, provides public safety and promotes a high quality of life.

#### VALUES:

Community • Honor • Integrity • Pride

#### GUIDING COUNCIL STRATEGIC GOAL:



#### FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- With IT, completed a \$2.5 million implementation of a new body worn and in-car camera system
- Added two grant-funded, full-time Victim Advocates to PD's Victim Services Unit – doubling its size
- The annual Operation Front Porch program to reduce holiday package thefts was the 2019 Leadership in Crime Prevention Award from the International Association of Chiefs of Police in October
- Created the new Special Operations Division and added a new commander to manage traffic-related and Animal Control functions, as well as several programs.
- In the summer of 2020, PD was looking forward to its first virtual accreditation review. The Department has been accredited through the Commission on the Accreditation of Law Enforcement agencies since 2004
- Overall, the lobby remained open and PD officers and staff kept working throughout the coronavirus pandemic in 2020, taking some steps related to social distancing. Some response was limited to telephone contact, and

# POLICE

- some public services and events were curtailed
- Unveiled Police-to-Citizens (P2C), a website that allows citizens to directly file police reports and provides a map-based way to view police activity in their neighborhood in nearly real-time
- As schools were closed as a result of COVID-19, School Resource Officers were shifted to patrol and investigative functions
- Managed both the Governor's and County Judge's "Stay Home Stay Safe" orders via an education vs. enforcement approach
- Established protocols for field personnel to continue to conduct business during the COVID-19 pandemic, as well as for employees who may have developed symptoms or been exposed while serving the public

- source Officer program
- Complete the transition from Uniform Crime Reports (UCR) to the federal National Incident-Based Reporting System (NIBRS) in order to meet deadlines and gain richer criminal offense data
- Evaluate ways to bridge the gap in existing law enforcement services to mentally ill subjects
- Design the rebuild of the Obstacle Course

## FY 2022 OVERVIEW AND BEYOND:

- Fully establish a downtown beat to better work with business owners and patrons

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- Resume the Department's normal operations as the pandemic eases
- Complete the phase-out of the School Re-

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
External Calls for Service	70,067	70,169	70,278	83,558	72,000
Self- Initiated Events	51,003	58,752	68,964	52,346	70,000
Total Police Events	121,070	128,921	139,242	135,904	142,000
Felony Arrests	689	595	772	841	800
DWI Cases	392	345	461	549	500
Police Reports Taken	9,672	9,513	9,528	9,078	9,700
Traffic Stops	23,195	28,485	36,898	25,897	34,000
Foot Patrols	1,490	1,256	1,362	1,637	1,500





# CONVENTION AND VISITORS BUREAU (HOT FUND)

	FY 2018 Actuals	FY 2019 Actuals	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
<b>Personnel Services</b>	294,136	337,714	359,376	343,676	385,393
<b>Operating Expenses</b>	581,483	1,021,901	1,438,971	789,071	1,046,495
<b>Capital Outlay</b>	-	-	-	-	-
<b>Total Expenditures:</b>	<b>\$ 875,619</b>	<b>\$ 1,359,615</b>	<b>\$ 1,798,347</b>	<b>\$ 1,132,747</b>	<b>\$ 1,431,888</b>
<b>Expenditure % Change:</b>	33.6%	55.3%	32.3%	-37.0%	26.4%
<b>Expenditures per Capita:</b>	<b>\$ 7.80</b>	<b>\$ 11.71</b>	<b>\$ 15.07</b>	<b>\$ 9.49</b>	<b>\$ 11.70</b>
<b>FTEs:</b>	3.000	3.000	4.000	4.000	4.000

## FY 2016/17

- 1 FTE added as an Events Manager for \$107,000

## FY 2017/18

- Added 1 FTE as a Coordinator – Marketing & Advertising to expand tourism marketing into the conventions and meetings industry. The total cost for the new program was \$574,000.

## FY 2018/19

- Fiscal Impact Analysis and Reporting to evaluate impact of sports tourism for current/planned programs \$100,000
- Budget line items increased for professional services, advertising, and special events programs \$477,000

## FY 2019/20

- Additional \$80,000 for Fiscal Impact Analysis – Tourism Study
- 1 FTE transferred from Sports Center
- Identified \$665,600 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$81,900
  - Overtime = \$1,700
  - Vacancy Savings = \$14,000
  - Other Operating Savings = \$568,000

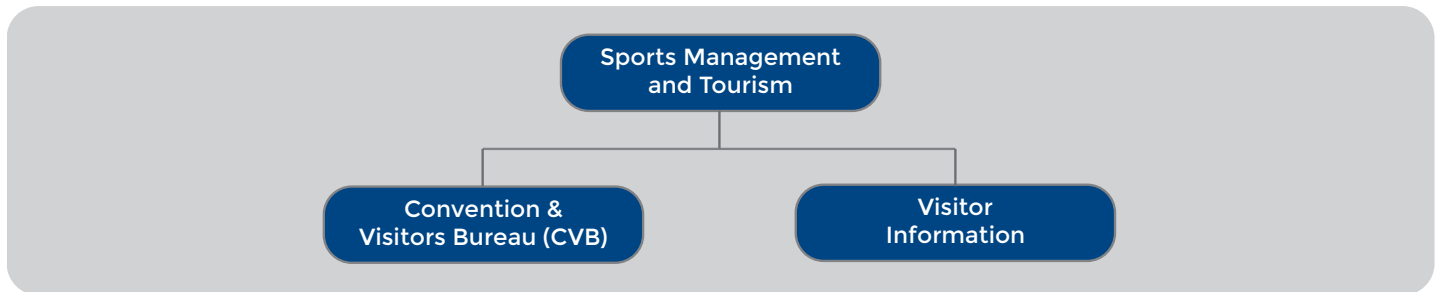
## PROPOSED FY 2020/21

- Identified \$200,231 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$58,000
  - Positions Held for FY 2021 = \$14,000
  - Other Operating Savings = \$237,500



# CONVENTION AND VISITORS BUREAU

The function of this department is to implement the City's long-term Tourism Plan and represent the City in all other functions related to tourism and the Convention and Visitors Bureau (CVB). The CVB is the designated sales and marketing department for the City of Round Rock (designated DMO - "Destination Marketing Organization"). The tourism department's mission aligns with the strategic plan by directly contributing to the economic vitality and enhancing the quality of life in Round Rock.



## VISION:

To help promote Round Rock as the city of choice for tourists and citizens.

## MISSION:

To promote economic diversity by developing the tourism industry in Round Rock.

## GUIDING COUNCIL STRATEGIC GOALS:



## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

### Highlights:

- Hosted 26 event organizers for site visits
- Tournaments and events totaled 144,955 participants/guests
- Held two national tournaments
- Received \$97,950.24 back in Events Trust Fund in FY 2019
- Number of visitors in the Visitor Center totaled 2,113
- Website launch of GoRoundRock.com on 4/19/19
- Digital: Website sessions up 10.23% between 2018 to 2019
- Website users increased 13.74% between 2018 to 2019 Website pageviews increased 18.35%
- Social Media statistics comparing 2018 to 2019:
- Facebook:
  - Reactions: 57.94% increase
  - Comments: 5.66% increase
  - Shares: 18.29% increase
- Instagram:
  - Likes: 30.27% increase
  - Comments: 90.32% increase

# CONVENTION AND VISITORS BUREAU

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- Twitter:
  - Likes: 5.2% increase
  - Post Link Clicks: 72.8% increase

## Response to COVID19:

- Reduction in budget includes a 58% reduction in professional services and marketing strategies from April through September (remainder of fiscal year)
- Reduction in budget includes a 55% reduction in advertising from April through September (remainder of fiscal year)
- Turned social media focus to creating fun, interactive activities for people to do at home, while learning about Round Rock and all that awaits for them once they feel ready to travel again. Also focused on the beauty shots of Round Rock and showcasing the outdoor adventures that people could have while maintaining a safe, social distance.
- Closed Visitor Center from March 19, 2020 and reopened on May 18, 2020

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- **23% reduction in overall budget** (cuts to marketing and advertising remain but able to keep line item for bidding on tournaments and events)
- Continue positioning Round Rock as the Sports Capital of Texas and a leisure destination including Kalahari

## FY 2022 OVERVIEW & BEYOND:

- Continue to market Round Rock as the destination of choice for sports, meetings and leisure travelers

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Events Held	55	60	60	50	60



# FOREST CREEK GOLF CLUB (GOLF FUND)

	FY 2018	FY 2019	2020 Original	2020 Revised	2021 Proposed
	Actuals	Actuals	Budget	Budget	Budget
Personnel Services	939,697	843,433	1,026,732	1,026,732	1,099,862
Operating Expenses	404,610	1,086,153	945,473	945,473	1,132,347
Capital Outlay	-	76,663	100,000	100,000	-
<b>Total Expenditures:</b>	<b>\$ 1,344,307</b>	<b>\$ 2,006,249</b>	<b>\$ 2,072,205</b>	<b>\$ 2,072,205</b>	<b>\$ 2,232,209</b>
<b>Expenditure % Change:</b>	<b>-19.9%</b>	<b>49.2%</b>	<b>3.3%</b>	<b>0.0%</b>	<b>7.7%</b>
<b>Expenditures per Capita:</b>	<b>\$ 11.98</b>	<b>\$ 17.28</b>	<b>\$ 17.36</b>	<b>\$ 17.36</b>	<b>\$ 18.24</b>
<b>FTEs:</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

## FY 2016/17

- New management company, Kemper Sports, began oversight of the Forest Creek Golf Club; with change a more detailed record of the expenditures are being tracked and monitored
- Under the new management several items were repaired or replaced to improve the appearance and functionality of the course – initial start-up costs were incurred

## FY 2017/18

- Course closed for renovations from December 2017- September 2018
- \$740K transferred from General Self Finance to cover operating costs while the Golf Club is closed

## FY 2018/19

- Golf Course anticipates re-opening in September 2018.
- Projected revenues of \$2.0M anticipated to fully cover expenses

## FY 2019/20

- \$200,000 transfer from GSFC for future capital improvements and reserves
- No budget reductions were needed as a result of COVID-19. The Golf Club remained open and profitable throughout quarantine and saw a rise in patrons because they are perfect for social distancing while remaining active.

## PROPOSED FY 2020/21

- No new program requests or additional funding requested for FY 2020/21



# FOREST CREEK GOLF CLUB

Forest Creek Golf Club provides hill country golf at its finest. Extensively renovated in 2018, the 7,147 yard par-72 golf course has been nationally recognized as one of the finest public golf courses in central Texas. Other amenities of the course include a full-service bar and grill, event space and an outstanding practice facility enhanced by LED lighting, allowing for practice into the evenings.

Named “Best Public Golf Course in Central Texas” by Golf Digest, Forest Creek offers some of the most fabulous golf in the Texas hill country. Our Golf Course is replete with rolling fairways, pristine greens, and an abundance of native foliage. Our meticulous course maintenance and accommodating staff naturally complement the fantastic course design to create the total golf package.



## MISSION:

Differentiate Forest Creek Golf Club from competitors as the premier golf experience in the Round Rock area.

## VISION:

Brand and establish Forest Creek Golf Club as a premier golf destination for public play, tournaments, and non-golf events in Round Rock and surrounding areas.

## GUIDING COUNCIL STRATEGIC GOAL:



# FOREST CREEK GOLF CLUB

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- With ultimate flexibility and within the County Judge and then the Governor's guidelines, the golf club was able to remain open and operational throughout the Covid-19 quarantine period
- Provided a much needed 'escape' for many local residents and guests in a safe outdoor environment
- In following the guidelines, the golf club staff implemented many different policies and procedures at various times as dictated by the guidelines:
  - Removed 'touch points' such as sand rakes, water jugs, sand bottles, bag stands, etc., from the course
  - Closed the clubhouse for a period of time; requiring all golf course business including food and beverage to be conducted outside of the main clubhouse facility
  - Instituted a 'single rider cart' only policy for a period of time. Instituted a full cart sanitization program between each new user
  - Elevated the golf holes to an above ground position for a period of time
  - Re-opened the clubhouse with the 25%, 50%, 75% guidelines for both the golf shop and our food and beverage service
- Overcame all of the obstacles listed above and are currently ahead of projected net income
- Maintained 66 full golf memberships and 96 player development memberships through May 2020
- Forest Creek Golf Club was selected as a finalist for Golf Inc.'s Renovation of the Year award. A highly prestigious honor within the

golf industry

- Added a new 80-cart golf cart fleet to our inventory in July 2020. Each new cart is equipped with GPS touch screen technology – a feature that sets us above almost all other municipal and even public courses within the central Texas market
- Full renovation of the clubhouse restrooms – a much needed improvement that was not completed with the main course renovation project

## FY 2021 OVERVIEW AND SIGNIFICANT CHANGES:

- Continue to grow our customer base and further build relationships with our local community
- Increase number of full golf and player development memberships
- Continue to re-invest in the golf course with capital improvement projects funded directly by golf club fund annual net income

## FY 2021 OVERVIEW AND BEYOND:

- Continue to operate the golf club as a fiscal 'self-supportive' venue, and roll annual income into the courses Capital Improvement fund
- Fully establish Forest Creek as 'the place' for both local players and out of town guests to visit and enjoy the great game of golf at the best run and most well-maintained course in central Texas

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Total Rounds	37,575	5,012	36,303	36,260	41,914
Operating Reveune	\$703,884	\$238,385	\$1,982,177	\$1,972,405	\$2,232,211



## MULTIPURPOSE COMPLEX 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
Personnel Services	379,948	450,871	627,871	549,271	582,157
Operating Expenses	506,640	589,612	626,011	597,726	584,691
Capital Outlay	57,067	55,470	50,000	50,000	50,000
<b>Total Expenditures:</b>	<b>\$ 943,655</b>	<b>\$ 1,095,953</b>	<b>\$ 1,303,882</b>	<b>\$ 1,196,997</b>	<b>\$ 1,216,848</b>
<b>Expenditure % Change:</b>	141.9%	16.1%	19.0%	-8.2%	1.7%
<b>Expenditures per Capita:</b>	<b>\$ 8.41</b>	<b>\$ 9.44</b>	<b>\$ 10.92</b>	<b>\$ 10.03</b>	<b>\$ 9.95</b>
<b>FTEs:</b>	4.500	5.500	7.000	7.000	7.000

### FY 2016/17

- New fund created to account for the operations, maintenance, and programming for the Multipurpose Complex
- The Personnel Services costs included a budget for 5 FTEs which were originally approved through the FY 2015/16 Mid-Year Budget Amendment for the Multipurpose Complex. One FTE (a Reservation Specialist) became a Parks & Recreation Department position in General Fund.

### FY 2017/18

- Added 0.50 FTE for a full-time Assistant Coordinator which splits their time 50/50 between the Sports Center & the Multipurpose Complex. The cost added for the Multipurpose Complex Fund was \$40,300.

### FY 2018/19

- 1 FTE as a Sports Facility Maintenance Worker \$57,494
- Additional line items for Seasonal employee salaries \$80,738, which were not in the original pro forma for the facility.

### FY 2019/20

- No major programs or notable additions were proposed for FY 2019/20
- 1.50 FTE transferred from Sports Center
- Identified \$106,885 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$6,500
  - Overtime = \$30,600
  - Vacancy Savings = \$48,000
  - Other Operating Savings = \$21,785

### PROPOSED FY 2020/21

- Identified \$156,600 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$6,000
  - Overtime = \$35,000
  - Other Operating Savings = \$115,600



## MULTIPURPOSE COMPLEX

The Round Rock Multipurpose Complex (RRMPC) is a world-class outdoor athletic facility that was completed in May 2017. The facility can host a wide variety of sport tournaments and special events such as soccer, lacrosse, rugby, football, ultimate Frisbee and any other sport needing a large flat field. RRMPC features 2 championship fields (one natural grass and one synthetic turf) and 4 natural grass and 4 synthetic turf multipurpose fields with all 10 fields lit with Musco lights. The clubhouse building features 2 team rooms, restrooms, concessions, officials locker room and storage. There are 2 additional restroom buildings located on either end of the quad multipurpose fields; spectator shade structures throughout the facility; 2 playground areas; a festival area; and over 900 parking spaces.



### MISSION:

To help promote the Round Rock Multipurpose Complex as a keystone to the sports tourism marketing program of Round Rock.

### VISION:

To promote economic diversity by developing the tourism industry in Round Rock.

### GUIDING COUNCIL STRATEGIC GOAL:





# MULTIPURPOSE COMPLEX

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Hosted NIRSA Soccer National Championships
- Hosted NIRSA Flag Football National Championships
- Worked closely with booked events during COVID-19 period to reschedule to open dates in 2020 and beyond or refund payments as needed if events were canceled
- Increased cleaning frequencies of touch points and high traffic areas. Reconfigured field set-ups and installed adjustable door stops, etc., to reduce congestion areas and reduce overall touch points throughout the facility.
- Performed numerous maintenance tasks throughout the facility that are difficult to complete when facility is in use.

## FY 2021 OVERVIEW AND SIGNIFICANT CHANGES:

- Continue to recruit, develop, maintain and build relationships with regional and national sports governing bodies and event holders to host their events in Round Rock
- Increase participation in local training programs, camps, and facility-operated events

## FY 2022 OVERVIEW AND BEYOND:

- Continue to provide routine and preventative maintenance along with daily cleaning to maintain the City's "first class" outdoor sports facility
- Add inventory to allow for events to expand and for us to host simultaneous events

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Target	FY 2021 Projected
Multi-day Tournaments/Events Hosted	14	28	29	22	25
One Day Tournaments/Events Hosted	2	7	2	5	4
Total # of Field Bookings	1,150	4,274	3,874	3,300	3,500
Total Revenues	\$1,378,685	\$1,213,456	\$1,453,427	\$1,305,000	\$1,065,000



# SPORTS CENTER 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	2020 Original Budget	2020 Revised Budget	2021 Proposed Budget
Personnel Services	1,117,220	1,209,932	1,115,196	1,054,696	1,268,068
Operating Expenses	606,037	662,668	640,337	534,402	567,873
Capital Outlay	204,190	18,574	50,000	26,519	50,000
<b>Total Expenditures:</b>	<b>\$ 1,927,447</b>	<b>\$ 1,891,174</b>	<b>\$ 1,805,533</b>	<b>\$ 1,615,617</b>	<b>\$ 1,885,941</b>
<b>Expenditure % Change:</b>	<b>18.2%</b>	<b>-1.9%</b>	<b>-4.5%</b>	<b>-10.5%</b>	<b>16.7%</b>
<b>Expenditures per Capita:</b>	<b>\$ 17.18</b>	<b>\$ 16.29</b>	<b>\$ 15.13</b>	<b>\$ 13.53</b>	<b>\$ 15.41</b>
<b>FTEs:</b>	<b>13.50</b>	<b>13.50</b>	<b>11.00</b>	<b>11.00</b>	<b>11.00</b>

## FY 2016/17

- Capital Outlay included \$100,000 for Stormwater improvements at the Sports Center

## FY 2017/18

- Added 0.50 FTE Assistant Coordinator which splits their time 50/50 between the Sports Center & the Multipurpose Complex. The cost for the Sports Center Fund is \$40,300
- Capital Outlay included \$100,000 for an office remodel project at the Sports Center

## FY 2018/19

- No new program requests or additional funding requested for FY 2018/19
- 2 FTEs were transferred from the Convention and Visitors Bureau (CVB) to the Sports Center as part of a department re-organization

## FY 2019/20

- No major programs or notable additions are proposed for FY 2019/20
- 2.5 FTEs transferred out - 1 FTE to CVB and 1.50 FTE to MPC
- Identified \$216,435 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$19,500
  - Overtime = \$14,500
  - Vacancy Savings = \$46,000
  - Other Operating Savings = \$136,435

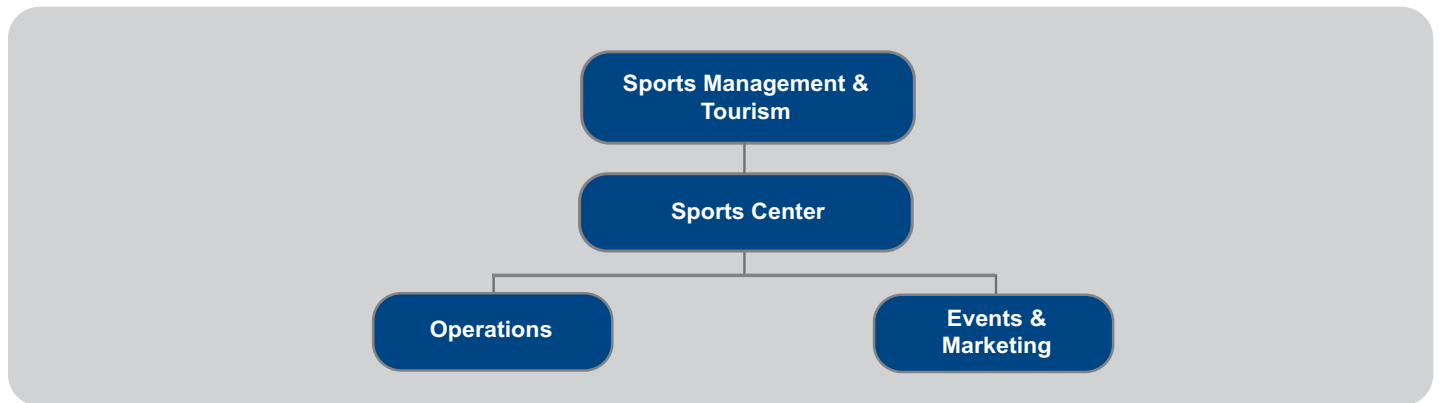
## PROPOSED FY 2020/21

- Identified \$251,100 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$22,000
  - Overtime = \$11,400
  - Other Operating Savings = \$217,700



## SPORTS CENTER

The Round Rock Sports Center is a world-class indoor sports facility. The facility hosts over 70,000 players and 120,000 spectators annually for tournaments, leagues, and club sports. Focused on national and regional sporting events, the RRSC provides a premier players environment with spectator comfort and family entertainment opportunities. The center features a multi-sport building, outdoor pavilion and plaza, and over 500 parking spots.



**VISION:** To help promote Round Rock Sports Center as a keystone to the sports tourism marketing program of Round Rock.

### GUIDING COUNCIL STRATEGIC GOALS:

**MISSION:** To promote economic diversity by developing the tourism industry in Round Rock.



# SPORTS CENTER

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Hosted 3 separate state championship tournaments for home school and parochial school athletes
- Hosted US Ismaili Games with over 5,000 individuals in attendance over 4 days
- Hosted the Texas USA Wrestling state championships
- Worked closely with booked events during COVID-19 period to reschedule to open dates in 2020 and beyond or refund payments as needed if events were canceled
- Virtual Basketball Training program implemented for 'at-home' activity during COVID-19
- Added one-way traffic dividers at the entrance to help minimize person-to-person contact
- Consulted with users groups on 'best practices' for re-opening and worked together to ensure a safe return to sports and activity
- Performed numerous maintenance tasks

throughout the facility that are difficult to complete when facility is in use

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- Maintain facility appearance & cleanliness to help visitors feel safe
- Increase program and camp participation back to pre-COVID levels

## FY 2022 OVERVIEW & BEYOND:

- Continue to maintain positive relationships with local organizations and tournament directors to ensure the future success as new facilities continue to be developed across the region
- Add inventory to allow for expansion of events and to operate multiple events simultaneously

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Multi-Day Tournaments/Events Hosted	38	34	33	19	30
One Day Tournaments/Events Hosted	12	9	13	9	8
Total Number of Court Bookings	7,200	6,780	7,077	5,500	6,500
Total Revenues	\$2,583,744	\$2,632,520	\$2,809,220	\$1,600,000	\$2,500,000



# TRANSPORTATION 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	3,696,739	3,963,901	4,662,263	4,671,610	4,853,019
<b>Operating Expenses</b>	4,799,534	4,718,226	5,760,201	5,461,151	5,412,145
<b>Street Maintenance</b>	3,000,000	4,300,000	4,300,000	-	1,484,000
<b>Capital Outlay</b>	66,030	143,194	186,000	50,000	136,000
<b>Total Expenditures:</b>	\$ 11,562,303	\$ 13,125,321	\$ 14,908,464	\$ 10,182,761	\$ 11,885,164
<b>Expenditure % Change:</b>	14.1%	13.5%	13.6%	-31.7%	16.7%
<b>Expenditures per Capita:</b>	\$ 103.05	\$ 113.03	\$ 124.89	\$ 85.30	\$ 97.14
<b>FTEs:</b>	55.00	57.00	59.00	59.00	59.00

## FY 2018/19

- Added 2 FTEs as Asphalt Maintenance-Equipment Operators \$80,300
- Added 1 FTE as a Traffic Management Operator \$86,200
- Roadway Lighting Maintenance Contract \$75,000
- Transferred 2 FTEs in Stormwater Operations from General Fund to Stormwater Fund- savings of \$130,000 in General Fund with transfer to Stormwater
- Additional \$1.3M allocated to Neighborhood Street Maintenance for a total of \$4.3 million
- Enhancements to the transit program added \$460,000 in gross cost

## FY 2019/20

- Added 1 FTE as a CIP Infrastructure Inspector \$86,000
- 1 FTE transferred from Stormwater back to Transportation
- Identified \$4,905,750 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Transfer Out = \$4,300,000 for Neighborhood Street Maintenance
  - Travel and Training = \$47,100
  - Overtime = \$31,700
  - Vacancy Savings = \$139,000
  - Other Operating Savings = \$387,950

## PROPOSED FY 2020/21

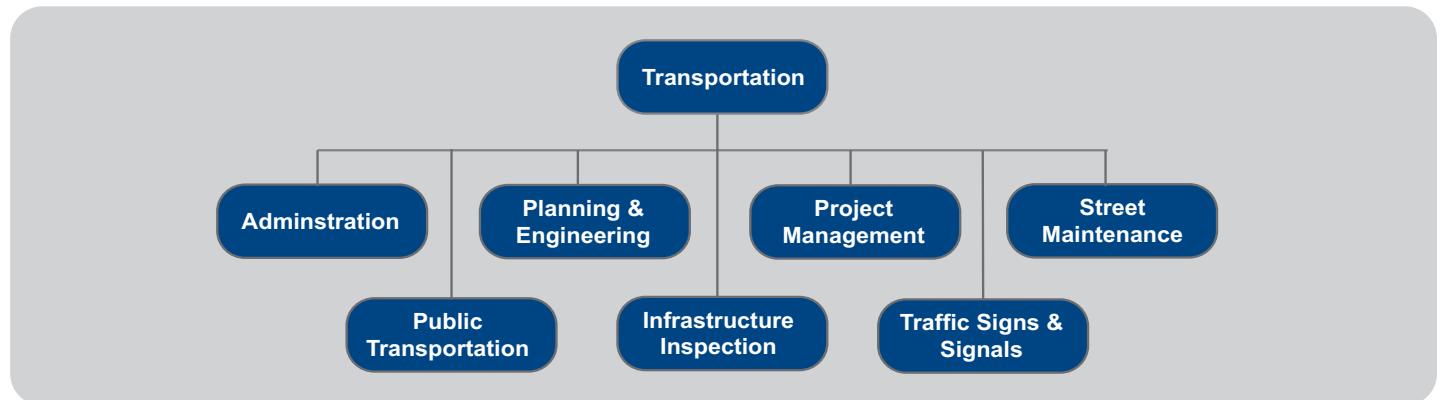
- Identified \$3,217,021 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Transfer Out = \$2,816,000 for Neighborhood Street Maintenance
  - Travel and Training = \$40,905
  - Overtime = \$34,965
  - Other Operating Savings = \$325,151



# TRANSPORTATION

The Transportation Department consists of seven divisions: Administration, Transit and Public Transportation, Planning and Engineering, CIP/Infrastructure Inspection, CIP Project Management, Traffic Signs and Signals, and Street Maintenance.

The Transportation Department is responsible for planning, building, and maintaining the City's transportation infrastructure. In addition, the department oversees the City's Transit and Public Transportation activities. The Department also works extensively with regional partners for improved planning and project coordination.



## VISION:

The Department strives to be a premier organization that values innovation, trust, teamwork, professionalism, and regional cooperation.

We will:

- Accept the challenge of change and be committed to continually enhancing the safety, environment, quality of life, and economic vitality of our community
- Be accountable for our performance and our organization's success and be recognized for our achievements
- Be committed to provide our employees a stable work environment with equal opportunity for learning and personal growth
- Be respectful of each other and the internal and external customers we support

## MISSION:

Cost-effectively plan, build, and maintain the City's transportation infrastructure and provide public transportation in a manner that meets the needs of the community and supports the safety and welfare of our citizens.

## GUIDING COUNCIL STRATEGIC GOALS:



## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Despite the COVID-19 Pandemic – Project management and Maintenance Operations continued with in-house and contracted efforts. Some public meetings were impacted and coordination with outside partners were impacted, but projects have stayed relatively on track.
- Adapted transit operations to fit demand during pandemic in conjunction with partner agencies. Continued to provide critical services to transit dependent populations during pandemic.

# TRANSPORTATION

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- HA5 surface treatment completed in Forest Ridge and Settlers Landing
- Two arterial and two neighborhood SMP's underway
- RM 620 handed off to TxDOT For Construction
- Downtown Parklets under construction – changing and improving downtown character.
- McNeil Phase II under construction
- Worked with Meritage to award construction on Kenney Fort 4b.
- Completed Citywide Sign Replacement Program.
- Completed Right-turn lane at Round Rock Avenue and Lee Street (in-house)
- US 79 and Brushy Creek Plant Rd. improvements to support the Kalahari Development are substantially complete.
- Kenney Fort Blvd. turn lanes to support Kalahari are substantially complete.
- Roadway Impact Fee implementation process essentially finalized.
- Continuing development/implementation of pavement management program.
- Relocated and consolidated operations to Luther Peterson Facilities
- Completed repairs to Harrell Parkway low water crossing approaches and Rabb House service road

## RECENTLY COMPLETED PROJECTS:

- Traffic signal at RM 1431 and TCS – Many years coming.
- Traffic signal at Old Settlers and Kenney Fort Blvd
- Traffic Signal at Old Settlers Blvd and Mesa Park
- Traffic Signal at Bartz Tract and University Blvd
- 2017 SMP Project 6
- SWDT 5A
- SWDT 5B
- 2016 CDBG – Greenhill Phase 2 sidewalks
- 2016 CDBG – Austin Ave sidewalk
- Red Bud at US 79 – NB lane improvements
- Chisholm Trail Rd – Storm outfall at Brushy Creek
- Southwest Downtown 5A and 5B

## CURRENT CONSTRUCTION PROJECTS:

- McNeil Rd. Extension - Phase 2
- US 79 at Harrell Parkway & Brushy Creek Plant Road
- Downtown Streetscape (Parklets)
- Rail Road Quiet Zones
- University Blvd. – IH 35 to Sunrise
- 2019 SMP – Project A (neighborhoods)
- 2019 SMP – Project B (neighborhoods)
- 2019 SMP Arterial
- 2020 SMP Arterial – Project A
- Logan Street Bridge Connection
- Kenney Fort Blvd – Segment 4B
- Chisholm Trail Extension North (Amazon)
- 2017 CDBG – Chisholm Valley Subdivision sidewalks
- Sunrise at Luther Peterson – Traffic Signal Project

## UPCOMING 2020-2021 CONSTRUCTION PROJECTS:

- Gattis School Rd – Seg. 6
- Oakmont Connection - N Mays to Sunrise
- Kenney Fort Blvd – Seg. 2 & 3
- Northeast Downtown Improvements – (Library)
- University Blvd. East – AW Grimes to SH 130
- Mays St. South Beautification
- Hesters Crossing and LaFrontera Signal Installation
- 2019 SMP – Project D
- Kenney Fort Overlay project

## PROJECTS UNDER DEVELOPMENT:

- Gattis School Rd – Seg. 3
- CR 112 East – CR 117 to CR 110
- CR 112 West – AW Grimes to CR 117
- Old Settlers Blvd – Red Bud to CR 110
- SH 45 Frontage Roads – Heatherwilde to Donnell
- Kenney Fort Blvd – Segment 4C
- N. Mays Gap Segments
- AW Grimes RTL at Old Settlers Blvd
- Wyoming Springs – Segment 1 Creek Bend to Sam Bass Rd



# TRANSPORTATION

- Red Bud North – US 79 to CR 122/117
- Red Bud South – US 79 to Gattis School Rd
- Old Settlers Blvd at College Park/Water Spaniel - Signal
- Greenlawn Blvd – IH 35 to SH 45
- Mays St – Traffic signals at Bowman and Logan replacement

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- Continued focus on delivering 5-year plan/bond projects.
- Construction anticipated on University East and West
- Construction on Kenney Fort 2/3 anticipated.
- Roadway Impact Fee will become effective.
- Additional downtown improvements in support of Library.
- Will continue to support neighborhoods with concrete and asphalt repairs.

## FY 2022 OVERVIEW AND BEYOND:

### PROJECTS UNDER DEVELOPMENT:

- Gattis School Rd – Seg. 3
- CR 112 East – CR 117 to CR 110
- CR 112 West – AW Grimes to C 117
- Old Settlers Blvd – Red Bud to CR 110
- SH 45 Frontage Roads – Heatherwilde to Donnell
- Kenney Fort Blvd – Segment 4C
- N. Mays Gap Segments
- AW Grimes RTL at Old Settlers Blvd
- Wyoming Springs – Segment 1 Creek Bend to Sam Bass Rd
- Red Bud North – US 79 to CR 122/117
- Red Bud South – US 79 to Gattis School Rd
- Old Settlers Blvd at College Park/Water Spaniel - Signal
- Greenlawn Blvd – IH 35 to SH 45
- Mays St – Traffic signals at Bowman and Logan replacement

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Concrete Structures Repaired: side-walks, curb and gutters, headwalls, vallet gutters, trickle drains, etc.	1,200 cubic yards	1,400 cubic yards	1,400 cubic yards	1,600 cubic yards	1,600 cubic yards
Pavement Maintenance: street, alley, parking lot repairs	2,000 tons	2,200 tons	2,400 tons	2,400 tons	2,500 tons
Right-of-Way Mowing	240 acres	240 acres	240 acres	270 acres	270 acres
	450,000	450,000	450,000	450,000	450,000
Pavements Maintenance: crack fill	linear feet	linear feet	linear feet	linear feet	linear feet





# UTILITIES ADMINISTRATION 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	1,835,615	1,845,274	2,850,869	2,850,869	2,717,646
<b>Operating Expenses</b>	1,754,536	1,567,085	1,667,464	1,667,464	1,724,421
<b>Capital Outlay</b>	-	5,044	614,500	614,500	23,500
<b>Total Expenditures:</b>	<b>\$ 3,590,151</b>	<b>\$ 3,417,404</b>	<b>\$ 5,132,833</b>	<b>\$ 5,132,833</b>	<b>\$ 4,465,567</b>
<b>Expenditure % Change:</b>	11.9%	-4.8%	50.2%	0.0%	-13.0%
<b>Expenditures per Capita:</b>	<b>\$ 32.00</b>	<b>\$ 29.43</b>	<b>\$ 43.00</b>	<b>\$ 43.00</b>	<b>\$ 36.50</b>
<b>FTEs:</b>	20.000	20.000	25.000	25.000	25.000

\* Utility Administration includes: Utility Administration & Environmental Services, & Fiscal Support Services

Capital Outlay includes funding for Fleet Replacements for the Utility Fund

## FY 2015/16

- 1 FTE transferred from Water Systems Support to Utilities Administration

## FY 2017/18

- Added 1 FTE as an Environmental Services - Pretreatment Tech for \$35,000
- Added 1 FTE Utility Inspector for \$51,000
- Added \$174,300 for additional funding for Contract Services and Materials & Supplies
- Variance from FY 2016/17 Actuals to FY 2017/18 is due to operational cost savings

## FY 2018/19

- Linko computer software \$11,030

## FY 2019/20

- Solid Waste Coordinator (1 FTE) transferring to Recycling department.
- Funds for PFP increases and nonpublic safety increases added to Fiscal Support \$372,000
- Water Conservation Program transferred to Utility Admin \$70,000
- 5 FTEs transferred from Water and Wastewater Systems Support in department reorganization
- Identified \$89,990 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$6,000
  - Other Operating Savings = \$83,990

## PROPOSED FY 2020/21

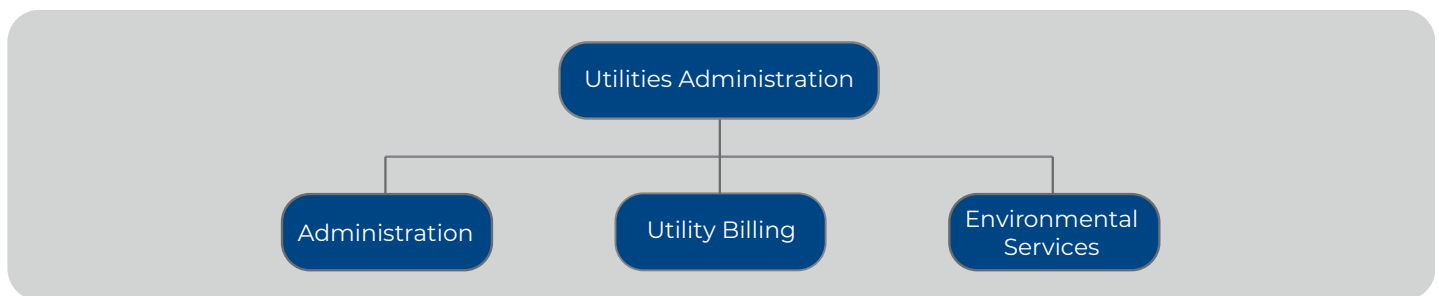
- No major programs or notable additions were proposed for FY 2020/21



## UTILITIES ADMINISTRATION

The Administration Division is responsible for providing support and oversight to the seven other divisions of the Utilities & Environmental Services Department which include: Water Treatment, Water Distribution, Wastewater Collection, Wastewater Treatment, Environmental Services, Stormwater Engineering, Operations and Maintenance, and Solid Waste/Recycling Services. This Division oversees the Planning, Engineering, and Construction of the Water, Reuse Water, Stormwater, and Wastewater Systems ensuring proper design and construction of all utility capital improvement projects. This Division also oversees records management, Utility GIS and GPS services, and marketing/communication efforts for the Utilities & Environmental Services Department.

The Environmental Services Division is responsible for the Industrial Waste Pretreatment Program and Laboratory Services. These activities are accomplished by implementing and encouraging pollution prevention activities, enforcing environmental regulations, and quantifying pollutant concentrations.



### VISION:

Be the best at what we do.

### MISSION:

Provide excellent service at the best value.

We will achieve our Vision and Mission by focusing on our five **Guiding Principles**:

**Public Health, Safety, and the Environment:** ensure efficient compliance with regulations, minimization of risk, and proactive efforts toward preserving and enhancing our natural resources.

**Financial Strength:** strive to know the true cost of service, be transparent and competitive in our rates and fees, and provide a solid fiscal foundation for our customers and financiers.

**Employee Success:** select and promote the best, encourage empowerment and leadership at every level, and foster development through continued education and knowledge sharing.

**System Management:** proactively plan,

monitor, replace, and expand our utility systems to ensure infrastructure stability, orderly and sustainable growth, and cost efficiencies.

**Operational Excellence:** efficiently operate and maintain systems, embrace technology and creative strategies, and strive for continuous improvement in managing and reducing costs.

### GUIDING COUNCIL STRATEGIC GOALS:



# UTILITIES ADMINISTRATION

## FY 2020 HIGHLIGHTS AND COVID-19 RESPONSES:

### Administration:

- Update the City's Water and Wastewater Master Plans and Impact Fees.
- Move into the new Utilities and Transportation – Bob Bennett Building.
- Increased focus on the Department's Employee Success Guiding Principle to foster and sustain a positive and effective work culture by furthering employee appreciation initiatives and team collaboration opportunities.
- Developed a new and improved Employee Recognition Program to recognize employees throughout the year, encourage peer to peer recognition, and acknowledge and promote positivity in the workplace.
- Canceled Fix a Leak Week and Drinking Water Week activities due to COVID. Leak detection kits, cease the grease packets, drip gauges, and more were delivered to citizens.

### Environmental Services:

- Implement a Laboratory Management System in order to better manage sample log-in, analysis results and reporting, and billing for the laboratory.
- Due to COVID-19 the laboratory lobby is closed to customers. A table is currently outside the laboratory for customer sample drop off

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

### Administration:

- Complete design of 10 MGD Brushy Creek East Regional Wastewater Treatment Plant Expansion.
- Continue to design and obtain easements for Phase 2 Deep Water Intake for the Brushy Creek Regional Utility Authority (BCRUA).
- Complete permanent Zebra Mussel Controls at intake structure at Lake Georgetown and Stillhouse Hollow Lake.

- Continue to work toward replacing asbestos-cement waterlines located in the City.
- Continue to build a redundant water distribution system to minimize customer outages and improve fire safety.

### Environmental Services:

- Continue to maintain NELAP certifications for both water and wastewater laboratories.
- Improve web-based compliance tools for the Pretreatment Program.
- Evaluate strategies for applying the wastewater surcharge to all nondomestic wastewater discharges.

## FY 2022 OVERVIEW AND BEYOND:

### Administration:

- Construct Phase 2 Deep Water Intake for the BCRUA from approximately 2022-2026.
- Complete construction of the 10 MGD Brushy Creek East Regional Wastewater Treatment Plant Expansion in 2023.
- Continue to utilize technology throughout the department to help operate more effectively and efficiently.
- Continue efforts to sustain a positive, effective work culture through team collaboration and employee recognition.

### Environmental Services:

- Continue to expand the City's Pretreatment Program to ensure that all Significant Industrial Users are in compliance with the City's regulations.
- Continue to operate and expand the City's Water and Wastewater Laboratory.

# UTILITIES ADMINISTRATION

## WORKLOAD INDICATORS:

### Administration:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Water and Wastewater Rate/Impact Fee Comparison with other Utilities and Updated to Cover Cost of Service	100%	100%	100%	100%	100%
CIPS on time and within budget	100%	100%	100%	100%	100%
% of system converted to an Automated Meeting Infrastructure	97%	98%	98%	99%	99%

### Environmental Services:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Wastewater Samples Analyzed	1,163	1,288	3,983	4,750	4,800
Bacteriological Samples Analyzed	12,960	13,138	15,032	15,700	16,000
Pretreatment Sampling Events	567	466	223	450	450



# WATER 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	4,309,177	4,756,328	4,689,897	4,689,897	4,903,324
<b>Operating Expenses</b>	9,117,432	8,612,671	10,542,008	10,542,008	10,073,652
<b>Capital Outlay</b>	-	-	65,000	65,000	200,000
<b>Total Expenditures:</b>	<b>\$ 13,426,609</b>	<b>\$ 13,368,999</b>	<b>\$ 15,296,905</b>	<b>\$ 15,296,905</b>	<b>\$ 15,176,976</b>
<b>Expenditure % Change:</b>	7.1%	-0.4%	14.4%	0.0%	-0.8%
<b>Expenditures per Capita:</b>	\$ 119.67	\$ 115.13	\$ 128.15	\$ 128.15	\$ 124.04
<b>FTEs:</b>	61.625	61.625	62.625	62.625	62.625

## FY 2016/17

- Personnel Services costs reflect market and merit salary increases implemented in FY 2016/17
- \$195,000 was added for Entry Point Monitoring, Reuse System Spare Parts, and cost increases for Contractual Services, Materials & Supplies and Other Services & Charges
- \$1.4 million was added as an expense for projected costs associated with a contract to sell water to the City of Georgetown
- 1.375 FTEs were transferred internally from Water to Wastewater (1 FTE) and to Utility Billing (0.375 FTEs)

## FY 2017/18

- Added 2 FTEs Backflow Technician and a System Mechanic for a cost of \$182,000
- The contract to sell water to the City of Georgetown was removed from the budget
- Variance from FY 2017 Actuals to FY 2018 Budget is due to operational cost savings

## FY 2018/19

- 3 Water Utilities vehicles proposed for replacement at a cost of \$179,308 from Utility Capital Projects/ Equipment Fund
- Budget line item expenses in Chemicals, Power and Light, and LCRA Reserve increased \$ 917,576

## FY 2019/20

- No major programs or notable additions are proposed for FY 2019/20
- 1 FTE transferred from Water and Wastewater Systems Support in department reorganization
- Identified \$86,500 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Overtime = \$15,000
  - Other Operating Savings = \$71,500

## PROPOSED FY 2020/21

- No major programs or notable additions were proposed for FY 2020/21

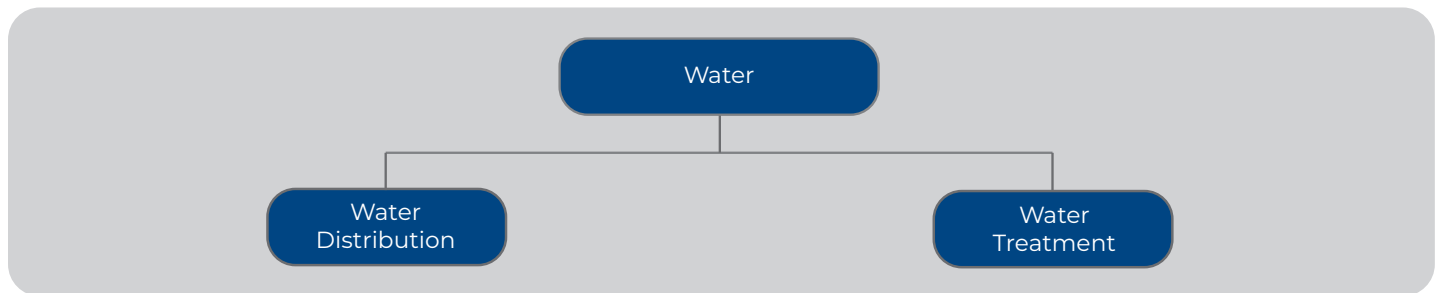


# WATER

The City provides water to over 150,000 people living in the City Limits and ETJ. The sources of water are from the Edwards Aquifer, Lake Georgetown, Lake Stillhouse Hollow, and, in the future, Lake Travis. The Operations are divided up into the Water Treatment and Water Distribution Divisions.

The Water Treatment Division handles the treatment of surface water, ground water, and reuse water to a level that meets or exceeds state and federal regulations. This is accomplished by utilizing sophisticated equipment, innovative treatment technologies, and state certified waterworks operators. This Division maintains numerous elevated and ground water storage tanks and several pump stations. The Water Treatment Division is also responsible for the operations of the Supervisory Control and Data Acquisition (SCADA) computer system used to monitor and control the treatment and distribution of water and the collection of wastewater.

The Water Distribution Division is responsible for the operation, maintenance, accountability, and repair of the City's water distribution system. This Division maintains approximately 579 miles of waterlines, 13,481 valves, approximately 31,534 water meters, and 5,036 fire hydrants in the City's water distribution system.



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**Operational Excellence:** efficiently operate and maintain systems, embrace technology and creative strategies, and strive for continuous improvement in managing and reducing costs.

# WATER

## GUIDING COUNCIL STRATEGIC GOALS:



## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

### Water Treatment:

- Reduced power costs at the Water Treatment Plant (WTP) by replacing all interior and exterior lighting to energy-efficient LED lighting.
- Implemented a Self Contained Breathing Apparatus' to be compatible with the Fire Department.
- HAZMAT training and certification of employees through TEEX and conducted a HAZMAT training of real-life scenarios with the Round Rock's Fire Department, and HAZMAT Team, and HAZMAT teams of Williamson County, Cedar Park, Georgetown, and Leander.
- Cross-train Utility Support employees on the WTP operations.
- Reduced electrical costs with electrical improvements on the high service pumps at the WTP, as well as the raw water pumps at Lake Georgetown.
- Rehab of PRVs 2, 4, and 9 making the system run more efficiently.
- Our staff completed the replacement of the Phase 3 Raw Water slide gate, typically done

by a contractor.

- Our system and pumps were split into an East and West, and were recently combined, allowing more flexibility and reliability in providing water to our customers.
- Transformed South 81 into an operational pump station, allowing us to use more groundwater in the system, boosting our system reliability.
- Programmed and adjusted valves in distribution to combine High Country Elevated Storage Tank (EST) and Chisholm Valley EST to fill together from the Southeast Elevated pump station and South 81 EST pump station. By combining these facilities, we have the flexibility to take one tank off-line for maintenance, while still providing service to our customers.
- Installed a flow meter at the Barton Hill site on our emergency interconnect with the City of Georgetown, to monitor Georgetown's usage in real-time,
- We started taking water from Brushy Creek Regional Utility Authority (BCRUA) to provide water to the City of Georgetown and to the northwest pressure plain in Round Rock.
- Installed and wired the new Process Logic Control programming for installation of SC200, pH monitoring, and temperature monitoring for treated water from the Disinfection Basin at the WTP.

### Water Distribution:

- Installed five additional water sample/pressure monitoring sites. This will allow to be proactive as it gives us a real time representation of what our chlorine residuals and pressure are in certain areas of town.
- Added a tie-in from our Reuse system to our wastewater plant to allow our operators to use cleaner water for wash down areas.



# WATER

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Benefits include using less power, reduce labor required, and proved better water quality.

- Leak detected 38 miles of waterlines with 17 leaks identified. All leaks have been repaired with a savings of 31 gallons a minute. This saves on water loss, chemicals, and power.
- Meter program identified 190+ 3" or greater meters needing to be changed out to our AMI meters. We now change out five per month and the goal is to have all completely changed out in three years. This will increase accuracy of our meter readings and has the potential to increase revenue.
- Implementation of Store Room software to accurately account for all department inventory.

## **FY 2021 OVERVIEW & SIGNIFICANT CHANGES:**

### **Water Treatment:**

- Raw water intake screen replacement at Lake Georgetown.
- Design and install a Chlorine Scrubber at Lake Creek Groundwater Well site.
- Permanent chemical feed system at Lake Georgetown for Zebra Mussel control.
- Consider replacement of Phase 4 Raw Water slide gate.
- Design SCADA upgrades and improvements for the WTP. Communications of the WTP and Distribution system will be upgraded along with the SCADA. Switch to Ignition software, allowing operators to better utilize monitoring and trending of the water treatment process from start to finish.
- Create and implement a succession plan to prepare for employees nearing retirement.

### **Water Distribution:**

- Continue with our leak detection program.

This program has helped reduce our water loss insuring we stay below the recommended national average of 10% loss.

- Continue with our valve, fire hydrant, air release and flushing programs. Ensuring that all assets are maintained and are working properly as well as staying in compliance with TCEQ, EPA and AWWA rules and regulations.
- Continue adding AMI meters as well as sample/pressure monitoring sites. This will improve our water system with accurate water use readings, chlorine residuals, and pressure readings.

## **FY 2022 OVERVIEW AND BEYOND:**

### **Water Treatment:**

- Consider the replacement of filter media, valves, and actuators for filters #9-16 at the plant.
- Continue cross-training of Water Treatment Plant personnel.
- Continue implementation of succession plan.
- Continue training and educating employees to enable them to expand their skills and knowledge in Water Treatment to provide them with the ability to succeed in their profession.
- Continue to embrace the use of new technology to enhance the efficiency of the Water Treatment process.

### **Water Distribution:**

- Continue to promote staff to upgrade licenses and become dual-licensed if not already.
- Continue to improve our valves, fire hydrant, flushing, and leak detection programs by cross-training, obtaining dual-licensing for employees.
- Continue to update maps and provide tools necessary for staff to access utility maps on



# WATER

the job site.

- Continue promoting the Leadership Development Academy and Fred Pryor training to encourage employee growth and a sustainable workforce.
- Install additional sample/pressure monitoring sites around town to control water pressure planes and water quality better.

## WORKLOAD INDICATORS:

### Water Treatment

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Gallons of Water Treated	6,895,102,560	7,253,368,000	6,741,376,000	7,000,000,000	7,100,000,000
Hours of Maintenance	88	2,080	2,080	2,080	2,080
Gallons of Type I Reuse	185,005,728	252,045,000	228,830,000	260,000,000	265,000,000

### Water Distribution

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
KW Usage Reduction	5%	5%	3%	2%	2%
AMI Meter Installations	96%	97%	98%	99%	100%
Water Loss	6%	6%	6%	6%	6%
KWH/MG Water Treated + Distributed (Surface)	2,500	2,450	2,450	2,400	2,400
Valve Maintenance - 13,124 Valves	50%	50%	50%	50%	50%
Fire Hydrant Maintenance - 4.961 FH	94%	94%	94%	94%	94%
Customer Service Satisfac- tion Surveys	97%	98%	98%	98%	100%



# WASTEWATER 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	2,050,648	3,327,923	3,389,373	3,389,373	3,488,469
<b>Operating Expenses</b>	4,545,389	4,584,087	4,937,564	4,937,564	4,714,544
<b>Capital Outlay</b>	-	147,260	294,000	294,000	188,000
<b>Total Expenditures:</b>	<b>\$ 6,596,037</b>	<b>\$ 8,059,270</b>	<b>\$ 8,620,937</b>	<b>\$ 8,620,937</b>	<b>\$ 8,391,013</b>
<b>Expenditure % Change:</b>	-1.1%	22.2%	7.0%	0.0%	-2.7%
<b>Expenditures per Capita:</b>	<b>\$ 58.79</b>	<b>\$ 69.40</b>	<b>\$ 72.22</b>	<b>\$ 72.22</b>	<b>\$ 68.58</b>
<b>FTEs:</b>	33.000	53.000	46.000	46.000	46.000

FY19: Added 20 FTEs to WWTP

- Several years show increased Personnel costs resulting from market and merit salary increases in each year
- Each year reflects an increase in Contractual Services due to increased O&M costs at the Brushy Creek Regional Wastewater System

## FY 2016/17

- 1 FTE transferred from Water to Wastewater

## FY 2017/18

- \$13,000 added for cost increases Pump Replacement & Maintenance lift stations
- Variance from FY 2017 Actuals to FY 2018 Budget is due to operational cost savings

## FY 2018/19

- Assuming operational control of regional wastewater treatment plant from BCRWWS which includes adding 20 FTEs, expenses of \$6 million and anticipated revenues from regional partners of \$3.2 million
- Additional line items for Training & Licenses \$2,000
- 6 wastewater vehicles proposed for replacement at a cost of \$303,293 from Utility Capital Projects/Equipment Fund

## FY 2019/20

- No major programs or notable additions are proposed for FY 2019/20
- 7 FTEs transferred to Water Divisions in department reorganization
- Identified \$84,000 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$4,000
  - Overtime = \$20,000
  - Other Operating Savings = \$60,000

## PROPOSED FY 2020/21

- No major programs or notable additions were proposed for FY 2020/21



# WASTEWATER

Operations of wastewater services in the City are divided up into the Wastewater Collection and Wastewater Treatment divisions.

The Wastewater Collections Division is responsible for the maintenance and repair of 7,973 manholes and approximately 468 miles of wastewater line in the City's Wastewater Collection System. This Division is responsible for the operation, maintenance and repair of the City's Wastewater Collection System Lift Stations.

The Wastewater Treatment Division is responsible for the treatment of residential, commercial and industrial wastewater to a level that meets or exceeds state and federal regulations. This is accomplished by using sophisticated equipment, advanced treatment technologies, and state certified wastewater treatment plant operators provided by the City of Round Rock. The City jointly owns the Brushy Creek Regional Wastewater Treatment Plants with the Cities of Austin, Cedar Park, and Leander.



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## GUIDING COUNCIL STRATEGIC GOALS:





# WASTEWATER

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

### Wastewater Collections:

- Improved the in-house Edwards Aquifer Program by adding IT Pipes/Manhole software. This has allowed us to inspect our manholes and allowed rehabilitation and repairs to happen more efficiently. This will reduce Inflow and Infiltration into our system, which in turn allows the Wastewater Treatment Plant (WWTP) to treat less wastewater.
- Hiring Nezat to consult and train our staff on how to safely and efficiently operate our vactor trucks. This will reduce equipment downtime, reduce maintenance/operational costs, as well as increase production rates. The goal is always to reduce or minimize sewer overflows.
- Created a program to inspect our regional wastewater lines and document with an interactive map. Has helped to ensure our regional partners are informed about the shared infrastructure and that the infrastructure is properly maintained.
- Created an internal Fat Oil Grease (FOG) team that has helped communication between the wastewater line maintenance team and our pretreatment team. The goal is to minimize spills and improve communication when businesses have issues with their grease traps.

### Wastewater Treatment:

- Continue upgrading and repairing equipment to ensure the effluent water complies with the limits on the permit set by the Texas Commission on Environmental Quality
- Upgraded field lighting and building lighting, upgraded UV efficiency equipment with automatic flow-paced adjustments, replaced all ILS pump VDFs, Blanket detectors, and flow meters.
- Inspected Bio-filter Odor Control System for equipment reliability and filter media effectiveness. Rehabilitated both bio-filter fields in-house and saved \$200,000. Also worked with PARD to get the filter media. (new media mulch, pipe bedding, & geotextile)
- East Regional Wastewater Treatment Plant

Expansion construction began spring of 2020.

- Upgraded blower operation, which provides air to our treatment process. This improvement is more efficient which in turn saves on power costs.
- Installed two new influent lift station pumps for more reliability when pumping wastewater into the treatment process.
- Added a weather monitoring station as well as odor sample monitoring sites around the perimeter of the WWTP to help us be more proactive in controlling odors. This is connected to our SCADA system.
- Installed Hydrogen Sulfide sensors at the WWTP to monitor gas and ensure staff safety by alarming staff if there's a high reading.
- Increased revenue from our septic hauling program by extending the hours for haulers to access the WWTP.

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

### Wastewater Collections:

- Purchase scanning equipment that allows the wastewater staff to quickly and accurately scan and collect manhole data to use in our Edwards Aquifer program.
- Conduct confined space entry training and purchase new equipment so that our team can enter into confined spaces and safely inspect and repair manholes.
- Install chemical feed equipment on vactor trucks allowing the wastewater team to use chemicals that help reduce grease while cleaning wastewater lines.

### Wastewater Treatment

- Continue upgrading and repairing equipment to ensure the effluent water complies with the limits on the permit set by the Texas Commission on Environmental Quality. (New ILS Pump, new scum pumps, new auto-polymer feed System, BCE Security Cameras, complete plant lighting upgrades)
- Continue East Regional Wastewater Treatment Expansion construction. This will include an

# WASTEWATER

additional 10 MGD capacity, as well as upgrades to older plant design and equipment. Coordinate, schedule, and execute operations, and maintenance in the facility during construction. This will prepare us for the future growth of the community and surrounding areas.

has improved customer service, reduced labor costs, and maximized overall staff efficiencies.

## Wastewater Treatment:

### FY 2022 OVERVIEW AND BEYOND:

- Continue East Regional Wastewater Treatment Expansion construction.

### Wastewater Collections:

- Continue to improve our inflow and infiltration and wastewater repair programs by cross training and obtaining dual licensing for employees.
- Continue to update maps and provide hardware necessary for field personnel so that they will be able to access utility maps and the jobsite.
- Continue promoting the leadership development academy as well as Fred Pryor Seminars. This training will allow us to identify, grow and promote a sustainable workforce, which in turn will help succession planning.
- Continue with cross-training program approved by Human Resources. Adopting this program

## WORKLOAD INDICATORS:

### Wastewater Line Maintenance

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Manholes Inspected in Selected Edwards Aquifer Basins	100%	100%	100%	100%	100%
Miles of Lines Inspected in Selected Edwards Aquifer Basins	100%	100%	100%	100%	100%

### Wastewater Treatment Plant

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
KWH/MG of Wastewater Treated	2,300	2,500	2,400	2,300	2,300
Polymer Usage (Lbs of Polymer/Dt of Sludge)*	-	18-	16	14	12
Effluent Parameters Reduction (BOD, TSS, and Ammonia)	98%	98%	98%	98%	98%



# STORMWATER 5 YEAR SUMMARY OF EXPENDITURES

	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Original Budget	FY 2020 Revised Budget	FY 2021 Proposed Budget
<b>Personnel Services</b>	1,562,746	1,494,175	1,787,301	1,787,301	1,880,877
<b>Operating Expenses</b>	444,307	414,290	842,555	842,555	764,586
<b>Capital Outlay</b>	379,238	105,613	290,000	290,000	80,000
<b>Total Expenditures:</b>	<b>\$ 2,386,291</b>	<b>\$ 2,014,078</b>	<b>\$ 2,919,856</b>	<b>\$ 2,919,856</b>	<b>\$ 2,725,463</b>
<b>Expenditure % Change:</b>	17.9%	-15.6%	45.0%	0.0%	-6.7%
<b>Expenditures per Capita:</b>	<b>\$ 21.27</b>	<b>\$ 17.34</b>	<b>\$ 24.46</b>	<b>\$ 24.46</b>	<b>\$ 22.27</b>
<b>FTEs:</b>	22.000	24.000	23.000	23.000	23.000

## FY 2015/16

- Stormwater Crew (3 FTEs) was added at a cost of \$192,000 to accommodate growth in the stormwater system

## FY 2016/17

- \$15,000 was added for Storage Building for Stormwater Operation & Maintenance Crews.
- \$21,500 was added for cost increases for Contractual Services, Materials & Supplies

## FY 2017/18

- \$39,000 added for New Equipment Trailer
- \$385,000 added for replacing a Combo Truck
- \$11,500 added for cost increases for contract services, materials & supplies
- Variance from FY 2017 Actuals to FY 2018 Budget is due to operational cost savings

## FY 2018/19

- Transfer 2 FTEs from General Fund to Stormwater Fund- \$129,780 (Transfer Effective Date: 10/1/18)
- 4 Stormwater vehicles proposed for replacement at a cost of \$273,000 from General Capital Projects/ Equipment Fund

## FY 2019/20

- 1 FTE transferred back to Transportation
- 1 stormwater vehicle and operating cost added for a Stormwater Supervisor position at a cost of \$45,530
- Identified \$265,600 in savings for FY 2020 to help adapt to the economic shifts created by COVID-19
  - Travel and Training = \$10,000
  - Overtime = \$10,000
  - Other Operating Savings = \$245,600

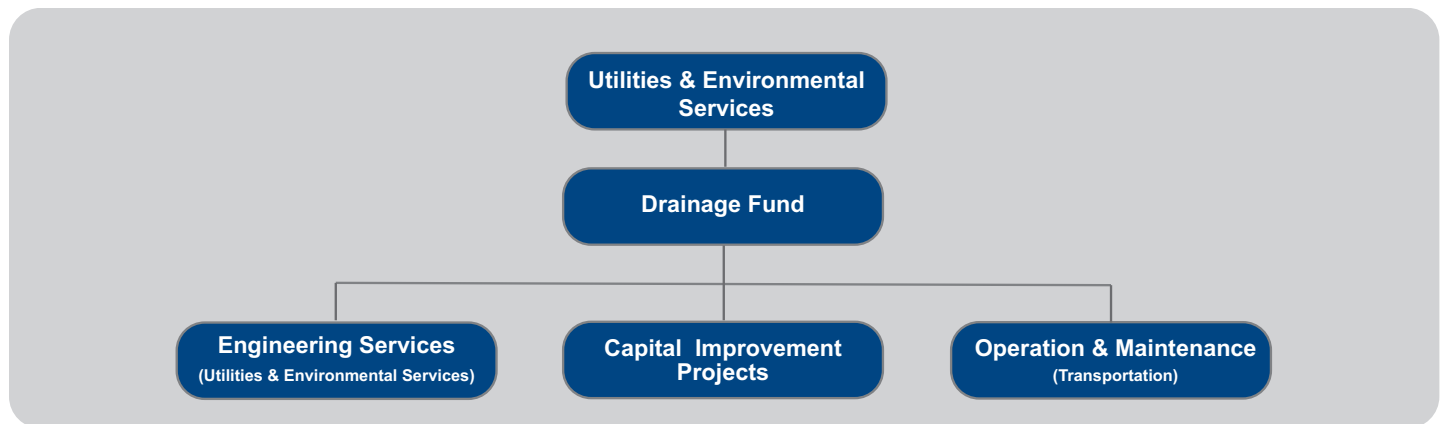
## PROPOSED FY 2020/21

- No major programs or notable additions were proposed for FY 2020/21



# STORMWATER

The Stormwater (Stormwater) Utility funds all aspects of the Stormwater Program associated with stormwater stormwater, floodplain management, and water quality management. The Stormwater Program is composed of two divisions, Stormwater Administration and Stormwater Operations.



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## GUIDING COUNCIL STRATEGIC GOALS:





# STORMWATER

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Lake Creek Flood Mitigation - Completed 30% design for Dam 101 and updated Interlocal Agreement.
- Began construction on the two remaining creek flood mitigation projects that were anticipated in the Stormwater Master Plan and initial debt issuance (Dry Branch and Kensington Detention).
- Initiate analysis, prioritization, and cost estimates for Neighborhood Stormwater projects.
- Submitted the revised Stormwater Management Plan to renew the City's 5-year TPDES MS4 Permit.
- FEMA finalized the new Flood Insurance Risk Map (FIRM) based on modernized flood models.
- Developed a prioritized list of creek cleanup projects.
- Consolidated Stormwater Operations and

Stormwater Engineering into one management structure.

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- Review existing Stormwater (Stormwater) Utility Fee and recommend any necessary changes.
- Determine funding plan for Neighborhood Stormwater projects.
- Implement revised Stormwater Management Plan for intensified 5-year TPDES MS4 Permit.

## FY 2022 OVERVIEW AND BEYOND:

- Lake Creek Watershed Flood Mitigation Projects - coordinate construction of Dam 101 and establish plan for 620 Quarry detention.

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Street Sweeping (curb miles)	602	753	753	753	753
Stormwater Maintenance (miles)	151	152	152	153	153
Mowing of Stormwater Structures (acres)	530	540	540	565	565





# RECYCLING 5 YEAR SUMMARY OF EXPENDITURES

	<b>FY 2018 Actuals</b>	<b>FY 2019 Actuals</b>	<b>FY 2020 Original Budget</b>	<b>FY 2020 Revised Budget</b>	<b>FY 2021 Proposed Budget</b>
<b>Personnel Services</b>	111,010	101,332	198,239	205,546	211,674
<b>Operating Expenses</b>	41,059	30,892	54,613	49,813	55,113
<b>Capital Outlay</b>	-	-	-	-	-
<b>Total Expenditures:</b>	\$ 152,068	\$ 132,224	\$ 252,852	\$ 255,359	\$ 266,787
<b>Expenditure % Change:</b>	4.6%	-13.0%	91.2%	1.0%	4.5%
<b>Expenditures per Capita:</b>	\$ 1.36	\$ 1.14	\$ 2.12	\$ 2.14	\$ 2.18
<b>FTEs:</b>	2.475	2.475	3.475	3.475	3.475

## FY 2016/17

- Personnel Services costs reflect market and merit salary increases implemented in FY 2016/17
- \$3,000 Additional funding added for Contract Labor and R&M Plant & Equipment
- Parking Lot resurfaced from General Self Finance

## FY 2017/18

- No new programs or notable additions requested for FY 2017/18

## FY 2018/19

- \$10,000 for additional funding for hauling costs since the price for recycled material decreased

## FY 2019/20

- Transferred Solid Waste Coordinator from Environmental Services to Recycling \$90,000
- Identified \$13,000 in savings for FY 2021 to help adapt to the economic shifts created by COVID-19
  - Overtime = \$3,200
  - Vacancy Savings = \$5,000
  - Other Operating Savings = \$4,800

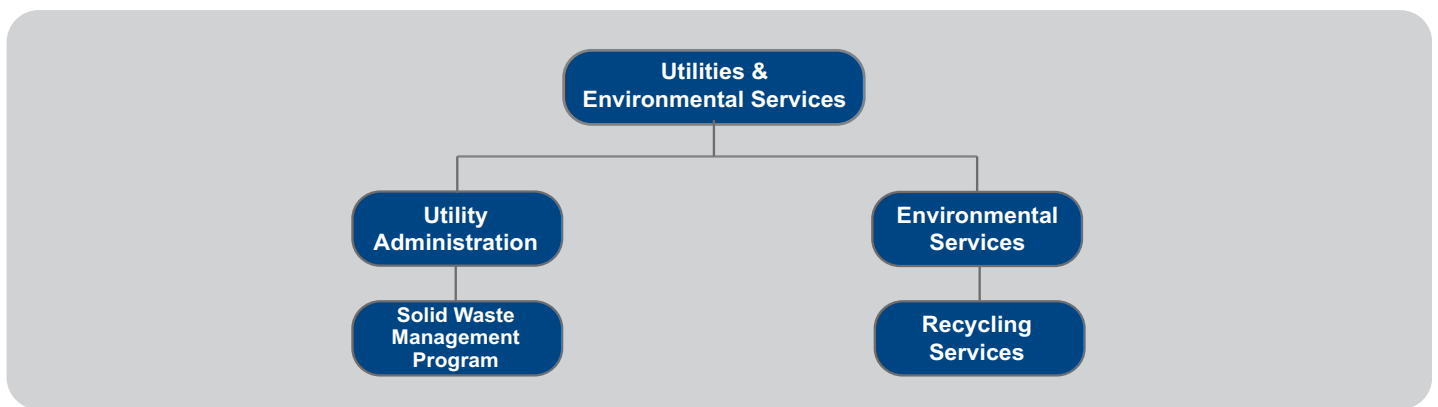
## PROPOSED FY 2020/21

- No major programs or notable additions were proposed for FY 2020/21



# RECYCLING

The Solid Waste Management Program provides our community with a variety of garbage collection and recycling programs and services. This program includes the management of the household hazardous waste collection events, Deepwood Recycling Center, used oil drop-off locations, and the City's in-house recycling program. Also included in this program is the oversight of the residential garbage collection and disposal as well as the curbside collection program by a third-party contractor. In addition, this program oversees the franchise agreements with the commercial haulers who collect garbage and recycling for all commercial properties in the City. The program promotes environmental awareness to increase recycling and reduce the amount of waste disposed of in the landfill.



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efficiencies.

- Operational Excellence - efficiently operate and maintain systems, embrace technology and creative strategies, and strive for continuous improvement in managing and reducing costs.

## GUIDING COUNCIL STRATEGIC GOALS:



# RECYCLING

## FY 2020 HIGHLIGHTS & COVID-19 RESPONSE:

- Continued success diverting waste from the landfill. Recycling volume continues to rise, and despite the growth in population, landfill volume is steadily declining.
- Collaborate with the Parks and Recreation Department to incorporate recycling containers in City parks and hike and bike trails.
- Extended Household Hazardous Waste (HHW) services to the City of Georgetown.
- Modified services to accommodate citizens while the monthly HHW events were canceled due to COVID.
- Rescheduled the Shred for a Paws Cause and Spring Clean Up events to accommodate residents amid the COVID-19 pandemic.
- Effectively modified operations at the recycling center to keep the facility open and services available to our citizens during COVID-19.

hazardous waste.

- Expand the Downtown Trash Modification Project to improve the management and overall aesthetic of the downtown area.
- Create the multi-family recycling program strategies and ordinance.
- Evaluate commercial/industrial franchise solid waste collection and disposal services.
- Increase focus on the reduce and reuse aspect of Reduce Reuse Recycle.
- Educate the public on best recycling practices to help alleviate contamination of recyclable material.
- Seek methods to help improve the commodity market for recyclable material. Explore rebate options for the purchase of items made from 100% recyclable material.

## FY 2021 OVERVIEW & SIGNIFICANT CHANGES:

- Explore opportunities for recycling electronics.
- Explore opportunities for accepting/recycling glass at our Deepwood Recycle Facility.
- Expand the HHW Voucher Program to additional MUDs located in the City's ETJ.
- Develop and provide Standard Operating Procedure documents to City departments for properly managing and handling universal/

## FY 2022 OVERVIEW AND BEYOND:

- Continue exploring opportunities to increase recycling volume and decrease landfill tonnage.
- Explore strategies for recycling and/or educating the public on yard waste.
- Consider partnership opportunities to create more recycling collection events.
- Implement the multi-family recycling ordinance.

## WORKLOAD INDICATORS:

Indicator	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Projected	FY 2021 Target
Deepwood Center Recyclables (tons)	303	301	372	400	420
Curbside Recycling (tons)	6,249	6,601	7,239	7,900	8,400
Automotive Fluids (gallons)	19,003	19,921	19,243	19,900	20,500
Landfill (tons)	45,855	41,896	41,483	40,500	40,000



## SUPPLEMENTAL

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# TAX RATE TERMINOLOGY

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**Assessed Valuation** – A valuation set upon real estate or other property by a government as a basis for levying taxes. (Note: Property values are established by WCAD & TCAD).

**Certified Tax Roll** – A list of all taxable properties, values, and exemptions in the City. This roll is established by WCAD & TCAD.

**Property Tax** – Taxes that are levied on both real and personal property according to the property's valuation and tax rate.

**Tax Base** – The total value of all real and personal property in the City as of January 1<sup>st</sup> of each year, as certified by the Appraisal Review Board.

**Tax Levy** – The total amount of taxes imposed by the City on taxable property, as determined by the Williamson Central Appraisal District.

**Tax Rate** – The amount of tax stated in terms of a unit of the tax base (e.g. cents per hundred dollars' valuation).

**Travis Central Appraisal District (TCAD)** – Established under state law and granted responsibility for discovering and listing all taxable property, appraising that property at market/production value, processing taxpayer applications for exemptions, and submitting the appraised values and exemptions to each taxing unit. This listing becomes the Certified Tax Roll for the taxing unit. (1)

**Williamson Central Appraisal District (WCAD)** – Established under state law and granted responsibility for discovering and listing all taxable property, appraising that property at market/production value, processing taxpayer applications for exemptions, and submitting the appraised values and exemptions to each taxing unit. This listing becomes the Certified Tax Roll for the taxing unit. (2)

(1) In 2019, TCAD was 3% of City's total taxable AV.

(2) In 2019, WCAD was 97% of City's total taxable AV.

# GLOSSARY

**Accrual Basis** - A method of accounting that recognizes the financial effect of events, and interfund activities when they occur, regardless of the timing of related cash flows.

**Ad Valorem Tax** - A tax levied on the assessed value of real property (also known as “property taxes”).

**Appropriation** - A specific amount of money authorized by City Council to make expenditures and incur obligations for specific purposes, frequently used interchangeably with “expenditures”.

**Assessed Valuation** - A valuation set upon real estate or other property by a government as a basis for levying taxes. (Note: Property values are established by the Williamson County Appraisal District).

**Benchmark** - A comparison of performances across many organizations in order to better understand one’s own performance.

**Bond** - A written promise to pay a specific sum of money, called the face value or principal amount, at a specific date or dates in the future, called the maturity date, together with periodic interest at a specified rate.

**Bonded Debt** - The portion of indebtedness represented by outstanding bonds.

**Budget** - A plan of financial operation specifying expenditures to be incurred for a given period to accomplish specific goals, and the proposed means of financing them.

**Budget Calendar** - The schedule of key dates or milestones which the City follows in preparation, adoption, and administration of the budget.

**Budget Year** - From October 1st through September 30th, this is the same as the fiscal year.

**Capital Improvement Program** - A plan for capital expenditures to provide long-lasting physical improvements to be incurred over a fixed period of several future years. Examples include land, improvements to land, easements, buildings, building improvements and infrastructure.

**Capitalized Lease Proceeds** - Financing obtained through a three to five year leasing program for durable equipment and rolling stock.

**Capital Outlay** - Expenditures which result in the acquisition of or addition to fixed assets.

**Capital Projects Fund** - A fund created to account for financial resources to be used for the acquisition or construction of major capital facilities or equipment.

**Capital Recovery Fee** - see Impact Fee

**Community Development Block Grant (CDBG)** - Federal HUD entitlement funds to meet a variety of needs of low income citizens including housing, infrastructure, equipment, facilities and public services.

**Certificate of Obligation (C.O.)** - A form of general obligation debt.

**Certified Tax Roll** - A list of all taxable properties, values, and exemptions in the City. These rolls are established by the Williamson Central Appraisal District and the Travis Central Appraisal District.

**Comprehensive Annual Financial Report (CAFR)** - The annual financial report prepared by the City of Round Rock covering all financial activities and audited by an independent certified public accountant.

**Convention and Visitor’s Bureau (CVB)** - The designated sales and marketing department for the City.

**Debt Service** - The payment of principal and interest on borrowed funds.

**Debt Service Fund** - A fund established to account for the accumulation of resources for, and the payment of, long-term debt principal and interest.

**Delinquent Taxes** - Taxes remaining unpaid on and after the date due.

# GLOSSARY

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**Department** - A logical division or classification of activities within the City (e.g. Police Department, Transportation Department, etc.).

**Depreciation** - The decrease in value of physical assets due to use and the passage of time.

**Division** - A logical subset of the City departments used to help manage expenditures by activity.

**Encumbrance** - Commitments related to unperformed (executory) contracts for goods or services.

**Enterprise Fund** - A fund used to account for operations financed and operated in a manner similar to private business enterprises in that they are self-supported by user fees. These funds use full accrual accounting.

**Executive Pay** - This is the pay structure established for the City Management and City Director level positions.

**Exempt Pay** - This is the City's pay structure for positions that are not paid by the hour. These positions also do not have overtime compensation.

**Expenditure** - The actual outflow of funds paid for an asset obtained or goods and services obtained.

**Expense** - A charge incurred in an accounting period, whether actually paid in that accounting period or not.

**Fiscal Year** - A twelve-month period to which the annual operating budget applies and at the end of which a government determines its financial position and the results of its operations. The City's fiscal year is from October 1 to September 30.

**Fixed Assets** - Assets of a long-term character which are intended to continue to be held or used, such as land, buildings, improvements other than buildings, machinery and equipment.

**Franchise** - A special privilege granted by a government permitting the continuing use of public property and rights-of-way, such as city streets.

**Full Faith and Credit** - A pledge of the general taxing power of the City to repay debt obligations. This term is typically used in reference to general obligation bonds.

**Full-time Equivalent (FTE)** - A quantifiable unit of measure utilized to convert hours worked by part-time, seasonal employees into hours worked by full-time employees. Full-time employees (except for shift Fire positions) work 2080 hours annually. A part-time employee working 1040 hours annually represents a .5 FTE.

**Fund** - A fiscal and accounting entity with a self-balancing set of accounts recording cash and other financial resources together with all related liabilities and residual equities or balances and changes therein. Funds are usually established to account for activities of a certain type.

**Fund Balance** - The excess of assets over liabilities in a governmental fund.

**General Fund** - The fund used to account for all financial resources except those required to be accounted for in another fund. This fund includes most of the basic operating services such as police and fire protection, parks and recreation, library services, street maintenance and general administration.

**General Obligation Bonds** - Bonds for the payment of which the full faith and credit of the City is pledged.

**Goals** - Generalized statements of where an organization desires to be at some future time with regard to certain operating elements (e.g. financial condition, service levels provided, etc.)

**Governmental Fund** - A fund accounting for core government services such as the General Fund, all Special Revenue Funds and Debt Service Funds.

**Grant** - Contributions or gifts of cash or other assets from another government to be used for a specific purpose, activity or facility.



# GLOSSARY

**Hotel Occupancy Tax (HOT)** - A 7% tax on City room rentals, limited by state law to specific tourism promotion purposes.

- Typically termed as “heads in beds” programs

**Impact Fee** - A fee assessed to new water and wastewater service connections. Fees are to be used for specific water and wastewater system improvements and are accounted for in the Water/Wastewater Utility Impact Fee account group.

**Infrastructure** - Substructure or underlying foundation of the City (e.g. streets, utility lines, water and wastewater treatment facilities, etc.)

**Inputs** - A program performance indicator that measures the amount of resources expended or consumed by the program in the delivery of service during the fiscal year.

**Levy** - To impose taxes, special assessments, or service charges for the support of City activities.

**Modified Accrual Basis** - The basis of accounting in which revenues are recognized in the accounting period in which they become measurable and available. Expenditures are recognized in the accounting period in which the fund liability is incurred, if measurable.

**Non-exempt Pay** - This is the City’s pay structure for positions that are paid by the hour. These positions are eligible for overtime pay after working eight hours a day which would reflect a week’s total hours above 40.

**Operating Budget** - Plans of current, day-to-day expenditures and the proposed means of financing them.

**Policy** - A plan, course of action, or guiding principle designed to set parameters for decisions and actions.

**Property Tax** - Taxes that are levied on both real and personal property according to the property’s valuation and tax rate.

**Reserve** - An account used to indicate that a portion of a fund’s balance is legally restricted for a specific

purpose and is, therefore, not available for general appropriation.

**Re-use Water** - Water that has been treated from the wastewater treatment plant which meets TCEQ requirements to be used in limited ways rather than potable water.

**Revenue** - Funds received as income. It includes such items as tax payments, fees from specific services, fines, interest income, franchise fees, etc.

**Revenue Bond** - Bonds whose principal and interest are payable exclusively from user fees (e.g. Water and Wastewater utility rates).

**Risk Management** - An organized effort to protect the City’s assets against loss, utilizing the most economical methods.

**Round Rock Transportation and Economic Development Corporation (RRTEDC)**

- The City’s Type B sales tax entity that funds transportation improvements and economic development projects. The main funding source is 0.5 cents of the City’s sales tax.

**Sales Tax** - Levied on applicable sales of goods and services at the rate of 8.25 percent in Round Rock. The City receives revenue from the sales tax at the rate of 1.5 percent. The Round Rock Transportation and Economic Development Corp. receives 0.5 percent. Revenue from the remaining portion of the rate is collected by the state.

- 1.0% - General uses – goes to General Fund
- 0.5% - Property tax reduction – goes to General Fund
- 0.5% - Economic Development / Transportation – goes to Type B fund

**Self-Financed Construction Fund** - A fund used to allocate cash funding for projects rather than issuing debt. The fund’s sources are year-end transfers from the respective fund.

- Cash balances to fund major CIP and Repair & Maintenance of facilities, parks and internal services
- These funds support the City’s pay-as-you-go



# GLOSSARY

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philosophy

- City has typically used conservative revenue projections to create excess sales tax revenues from prior years to cash fund projects and maintenance that might otherwise be debt financed or deferred
- Both the General Fund and Utilities strategically manage cash available to fund current and future projects
- GSFC – General Self Financed Construction, from excess General Fund Revenues or unspent General Fund budget
- UFSC – Utility Self Financed Construction, from excess water and wastewater revenues or unspent utility budget

**Senate Bill 2** - Senate Bill 2, also known as the Texas Property Tax Reform Act of 2019, was passed by the Texas Legislature in 2019. At its most fundamental level, S.B. 2 reforms the system of property taxation in three primary ways: (1) lowering the tax rate a taxing unit can adopt without voter approval and requiring a mandatory election to go above the lowered rate; (2) making numerous changes to the procedure by which a city adopts a tax rate; and (3) making several changes to the property tax appraisal process.

**Special Revenue Fund** - A fund used to account for the proceeds of specific revenue sources that are legally restricted to expenditure for specified purposes (e.g. Hotel/Motel Special Revenue Funds, Drainage Fund, Law Enforcement Fund, Library Fund, Municipal Court Fund, Parks Improvement and Acquisition Fund, PEG Fund, Tree Replacement Fund).

**Strategic Budget** - A budget that is closely linked to the City's Strategic Plan and Departmental Goals, Objectives, and Performance Measures. Strategic Budgeting is the process that the City of Round Rock utilizes to link the City's Strategic Plan and individual Departmental strategy and performance to the City's Budget.

**Strategic Plan** - A collectively supported vision of the City's future including observations regarding the potential effects of various operating decisions.

**Tax Base** - The total value of all real and personal property in the City as of January 1st of each year, as certified by the Appraisal Review Board.

**Tax Levy** - The total amount of taxes imposed by the City on taxable property, as determined by the Williamson Central Appraisal District.

**Tax Rate** - The amount of tax stated in terms of a unit of the tax base (e.g. cents per hundred dollars valuation).

**Travis Central Appraisal District (TCAD)**

- Established under state law and granted responsibility for discovering and listing all taxable property, appraising that property at market/production value, processing taxpayer applications for exemptions, and submitting the appraised values and exemptions to each taxing unit. This listing becomes the Certified Tax Roll for the taxing unit.

**Utility Fund** - A governmental accounting fund better known as an enterprise fund or proprietary fund in which the services provided are financed and operated similarly to those of a private business. The rates for these services are established to insure that revenues are adequate to meet all necessary expenditures.

**Venue Tax** - A 2% hotel occupancy tax on City room rentals dedicated to funding the Round Rock Sports Center.

**Williamson Central Appraisal District (WCAD)**

- Established under state law and granted responsibility for discovering and listing all taxable property, appraising that property at market/production value, processing taxpayer applications for exemptions, and submitting the appraised values and exemptions to each taxing unit. This listing becomes the Certified Tax Roll for the taxing unit.

**Working Capital** - The excess of current assets over current liabilities.

# HOME RULE CHARTER

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## ARTICLE 8 FINANCIAL ADMINISTRATION

### **Sec. 8.01. - Fiscal year.**

The fiscal year of the City shall begin on the first day of each October and end on the last day of September of the succeeding year. All funds collected by the City during any fiscal year, including both current and delinquent revenues, shall belong to such fiscal year and, except for funds derived to pay interest and create a sinking fund on the bonded indebtedness of the City, may be applied to the payment of expenses incurred during such fiscal year, except as provided in this Charter. Any revenues uncollected at the end of any fiscal year, and any unencumbered funds actually on hand, shall become resources of the next succeeding fiscal year.

**State Law reference—** City fiscal year, V.T.C.A., Local Government Code § 101.022, V.T.C.A., Tax Code § 1.05.

### **Sec. 8.02. - Public record.**

Copies of the budget adopted shall be public records and shall be made available to the public for inspection upon request.

**State Law reference—** Local Government Records Act, V.T.C.A., Local Government Code ch. 201.

### **Sec. 8.03. - Annual budget.**

(a) Content. The budget shall provide a complete financial plan of all City funds and activities and, except as required by law or this Charter, shall be in such form as the City Manager deems desirable or the City Council may require. A budget message explaining the budget both in fiscal terms and in terms of the work programs shall be submitted with the budget. It shall outline the proposed financial policies of the City for the ensuing fiscal year, describe the important features of the budget, indicate any major changes from the current year in financial policies, expenditures, and revenues, with reasons for such changes. It shall also summarize the City's debt position and include such other material as the City Manager deems desirable. The budget shall begin with a clear general summary of its contents and shall show in detail all estimated income, indicating the proposed property tax levy, and all proposed expenditures, including debt service, for the ensuing fiscal year. The proposed budget expenditures shall not exceed the total of estimated income. The budget shall be so arranged as to show comparative figures for actual and estimated income and expenditures of the current fiscal year and actual income and expenditures of the preceding fiscal year, compared to the estimate for the budgeted year. It shall include in separate sections:

- (1) an itemized estimate of the expense of conducting each department, division, and office;
- (2) reasons for proposed increases or decreases of such items of expenditure compared with the current fiscal year;

# HOME RULE CHARTER

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- (3) a separate schedule for each department, indicating tasks to be accomplished by the department during the year, and additional desirable tasks to be accomplished, if possible;
  - (4) a statement of the total probable income of the City from taxes for the period covered by the estimate;
  - (5) tax levies, rates, and collections for the preceding five years;
  - (6) an itemization of all anticipated revenue from sources other than the tax levy;
  - (7) the amount required for interest on the City's debts, for sinking fund and for maturing serial bonds;
  - (8) the total amount of outstanding City debts, with a schedule of maturities on bond issue;
  - (9) anticipated net surplus or deficit for the ensuing fiscal year of each utility owned or operated by the City and the proposed method of its disposition (subsidiary budgets for each such utility giving detailed income and expenditure information shall be attached as appendices to the budget);
  - (10) a Capital Improvement Program, which may be revised and extended each year to indicate capital improvements pending or in process of construction or acquisition, and shall include the following items:
    - i. a summary of proposed programs;
    - ii. a list of all capital improvements which are proposed to be undertaken during the five (5) fiscal years next ensuing, with appropriate supporting information as to the necessity for such improvements;
    - iii. cost estimates, method of financing and recommended time schedules for each such improvement; and
    - iv. the estimated annual cost of operating and maintaining the facilities to be constructed or acquired; and
  - (11) such other information as may be required by the City Council.
- (b) Submission. On or before the first day of August of each year, the City Manager shall submit to the City Council a proposed budget and an accompanying message. The City Council shall review the proposed budget and revise same as deemed appropriate prior to general circulation for public hearing.
- (c) Public Notice and Hearing. The City Council shall post in the City Hall a general summary of the proposed budget and a notice stating:
- (1) the times and places where copies of the message and budget are available for inspection by the public; and

# HOME RULE CHARTER

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(2) the time and place, not less than two (2) weeks after such publication, for a public hearing on the budget.

(d) Amendment Before Adoption. After the hearing, the City Council may adopt the budget with or without amendment. In amending the budget, it may add or increase programs or amounts and may delete or decrease any programs or amounts, except expenditures required by law or for debt service or for estimated cash deficit, provided that no amendment to the budget shall increase the authorized expenditures to an amount greater than the total of estimated income plus funds available from prior years.

(e) Adoption. The budget shall be finally adopted not later than the final day of the last month of the fiscal year. Adoption of the budget shall constitute a levy of the property tax therein proposed. Should the City Council take no final action on or prior to such day the budget, as submitted, together with its proposed tax levy, shall be deemed to have been finally adopted by the City Council. No budget shall be adopted, or appropriations made unless the total of estimated revenues, income and funds available shall be equal to or in excess of such budget or appropriations, except as otherwise provided in this Article.

(Charter amendment approved by voters January 20, 1996; May 10, 2008)

**State Law reference—** Municipal budget, V.T.C.A., Local Government Code ch. 102; when charter provisions control, V.T.C.A., Local Government Code § 102.011.

## **Sec. 8.04. - Administration of budget.**

(a) Payments and Obligations Prohibited. No payment shall be made, or obligation incurred against any allotment or appropriation except in accordance with appropriations duly made and unless the City Manager or designee first certifies that there is a sufficient unencumbered balance in such allotment or appropriations and that sufficient funds therefrom are or will be available to cover the claim or meet the obligation when it becomes due and payable. Any authorization of payment or incurring of obligation in violation of the provisions of this Charter shall be void and any payment so made illegal. Such action shall be the cause for removal of any employee who knowingly authorized or made such payment or incurred such obligations, and such employee shall also be liable to the City for any amount so paid. However, this prohibition shall not be construed to prevent the making or authorizing of payments or making of contracts for capital improvements to be financed wholly or partly by the issuance of bonds, time warrants, certificates of indebtedness, or certificates of obligation, or to prevent the making of any contract or lease providing for payments beyond the end of the fiscal year, provided that such action is made or approved by ordinance.

(b) Financial Reports. The City Manager shall submit to the City Council at least quarterly the financial condition of the City by budget item, and budget estimate versus accruals for the fiscal year to date. The financial records of the City will be maintained on an accrual basis to support this type of financial management.

(Charter amendment approved by voters January 20, 1996; May 6, 2000; May 6, 2017)

# HOME RULE CHARTER

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## **Sec. 8.05. - Emergency appropriations.**

At any time in any fiscal year, the City Council may, pursuant to this section, make emergency appropriations to meet a pressing need for public expenditure, for other than regular or recurring requirements, to protect the public health, safety or welfare. Such appropriation shall be by ordinance adopted by the favorable votes of five (5) or more of the City Council members qualified and serving and shall be made only upon recommendation of the City Manager. The total amount of all emergency appropriations made in any fiscal year shall not exceed the amount allowed by state law.

(Charter amendment approved by voters January 20, 1996)

## **Sec. 8.06. - Borrowing to meet emergency appropriations.**

In the absence of unappropriated available revenues or other funds to meet emergency appropriations provided for under the preceding [Section 8.05](#), the City Council may by resolution authorize the borrowing of money to meet such deficit as provided by law.

(Charter amendment approved by voters January 20, 1996)

## **Sec. 8.07. - Borrowing in anticipation of property taxes.**

In any fiscal year, in anticipation of the collection of the ad valorem property tax for such year, whether levied or to be levied in such year, the City Council may by resolution authorize the borrowing of money, not to exceed in any fiscal year an amount equal to ten percent (10%) of the budget for that fiscal year. Such borrowing shall be by the issuance of negotiable notes of the City, each of which shall be designated, "Tax Anticipation Note for the Year \_\_\_\_\_" (stating the tax year). Such notes shall mature and be payable not later than the end of the fiscal year in which issued.

(Charter amendment approved by voters January 20, 1996)

## **Sec. 8.08. - Depository.**

All monies received by any person, department or agency of the City for or in connection with affairs of the City shall be deposited promptly in the City depository or depositories, which shall be designated by the City Council in accordance with such regulations and subject to such requirements as to security for deposits and interest thereon as may be established by ordinance. All checks, vouchers, or warrants for the withdrawal of money from the City depositories shall be signed by the Mayor or City Manager and countersigned by an authorized designee, as approved by City Council ordinance. Provided, that the City Council, under such regulations and limitations as it may prescribe, may by ordinance authorize the use of machine-imprinted facsimile signatures of said Mayor and City Manager and authorized designee on such checks, vouchers and warrants.

(Charter amendment approved by voters January 20, 1996; May 15, 2004)

**State Law reference—** Depositories for municipal funds, V.T.C.A., Local Government Code ch. 105.

# HOME RULE CHARTER

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## **Sec. 8.09. - Purchase procedure.**

All purchases made and contracts executed by the City shall be pursuant to a requisition from the head of the office, department or agency whose appropriation will be charged and no contract order shall be binding upon the City unless the City Manager certifies that there is to the credit of such office, department or agency a sufficient unencumbered appropriation and allotment balance to pay for the supplies, materials, equipment or contractual services for which the contract or order is to be issued.

(Charter amendment approved by voters November 6, 1979, as amended by Charter amendment approved by voters April 5, 1986)

**State Law reference—** Competitive bidding, V.T.C.A., Local Government Code § 252.021 et seq.; exemptions, V.T.C.A., Local Government Code §§ 252.022, 252.023.

## **Sec. 8.10. - Independent audit.**

At the close of each fiscal year, and at such other times as it may be deemed necessary, the Council shall cause an independent audit to be made of all accounts of the City by a certified public accountant. The certified public accountant so selected shall have no personal interest, directly or indirectly, in the financial affairs of the City or any of its elected officials. Upon completion of the audit, a copy of the audited annual financial report shall be placed in the public library and placed on file in the City Clerk's office as public record.

(Charter amendment approved by voters January 20, 1996; May 15, 2004; May 10, 2008; November 8, 2011; May 6, 2017)

**State Law reference—** Audit of municipal finances, V.T.C.A., Local Government Code ch. 103.

# FINANCIAL POLICIES

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Adopted September 12, 2019

## **PURPOSE**

The City of Round Rock has an important responsibility to its citizens, taxpayers, ratepayers, and all customers to carefully account for public funds, to manage the City's finances wisely, and to plan for the adequate funding of services desired by the public. ***These policies implement and enhance the City Council's strategic goal which states "The City of Round Rock is a financially sound city providing high value services."*** To facilitate this responsibility, certain financial policies have been developed and implemented within the parameters established by provisions of the Texas Local Government Code and the City Charter. These policies, as itemized below, are adopted by the City Council annually and considered the basis for financial management, planning and budget preparation. These policies guide both the City of Round Rock and its component unit, the Round Rock Transportation and Economic Development Corporation (RTEDC).

## **FUND STRUCTURE & BASIS OF ACCOUNTING**

All fund structures and accounting standards of the City of Round Rock are in compliance with generally accepted accounting principles for local governments as prescribed by the Governmental Accounting Standards Board (GASB) and other recognized professional standards.

## **GOVERNMENTAL FUNDS**

Governmental funds revenues and expenditures are recognized on the modified accrual basis. Revenues are recognized in the accounting period in which they become available and measurable while expenditures are recognized in the accounting period in which the liability is incurred, if measurable. Because the appropriated budget is used as the basis for control and comparison of budgeted and actual amounts, the basis for preparing the budget is the same as the basis of accounting.

The governmental funds are used to account for general government operations and include the General Fund, Debt Service funds, Special Revenue funds, and Capital Projects funds. The City utilizes a full-cost approach to budgeting all of its services, which results in limited inter-fund transfers.

- **General Fund**  
The General Fund is the Primary fund for core government services and is used to account for all resources not required to be accounted for in another fund and not otherwise devoted to specific activities. Most of the financial transactions for the City are reported in this fund. The services provided by the City are classified according to activity and presented as operating departments in the budget.
- **Debt Service Funds**  
This fund type is used to account for resources used to service the principal and interest on long-term debt such as general obligation bonds, revenue bonds, certificates of obligation and tax-exempt leases classified as debt.



# FINANCIAL POLICIES

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- **Capital Project Funds**

Capital Projects funds are used to account for resources restricted for the acquisition or development of major capital equipment and structures. Financing sources are usually provided by transfers from other funds, bond issue proceeds, or grants-in-aid. Capital projects are generally tracked on a project-length basis. The required financing is not appropriated on an annual basis (or any other period-length basis) but is approved at the outset of the project. The expected expenditures under the Capital Improvement Programs (called CIP) are presented as part of the overall budget adoption to accurately reflect the City's total expected use of funds in any given budget year but these estimates are not considered binding appropriations.

- **Special Revenue Funds**

This fund type is used to account for the proceeds of specific revenue sources that are legally restricted to expenditures for specified purposes.

## PROPRIETARY FUNDS

Proprietary fund revenues and expenses are recognized on the accrual basis. Revenues are recognized in the accounting period in which they are earned and become measurable while expenses are recognized in the period incurred, if measurable. The basis for preparing the budget is the same as the basis of accounting except for principal payments on long-term debt and capital outlay which are treated as budgeted expenses. Depreciation and compensates absences are not recognized as budgeted expenses.

Proprietary funds are used to account for the City's activities that are similar to commercial enterprise accounting. These funds include the Utility Fund and the Drainage Fund.

- **Utility Fund**

The Utility Fund consists of utility administration, water and wastewater operations, billing and collections, environmental services, and utility fiscal support. It is the policy of the City that the water and wastewater operations be self-sufficient and not cross subsidize the other. Rates will be set to reflect cost of service by customer class where practical. The Utility Fund also accounts for the debt service and capital improvements of the utility system.

- **Water** - Water operations include water line maintenance, water systems support, and the water treatment plant.
- **Wastewater** - Wastewater operations include wastewater line maintenance, wastewater systems support, and the wastewater treatment plant.

- **Drainage Fund**

The Drainage Fund administers all aspects of the City's Storm Water Drainage program including planning, engineering, programs, operations and maintenance associated with storm water drainage, floodplain management, and water quality management. The Drainage fund collects fees based on a property's impact to the City's drainage system. The fund also accounts for the debt service and capital improvements of the drainage system.



# FINANCIAL POLICIES

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## **STRATEGIC PLANNING AND GOALS**

### **City Council Strategic Plan**

The Council's Strategic Plan consists of the long-term Vision (15 years), five-year Goals, and near-term Policy and Management priorities. The Vision, Goals, and Priorities are reviewed and updated by Council and staff annually and are amended and refined by the City Council at its annual Strategic Planning retreat.

### **Council Vision and Goals**

The Council has further defined the City's Strategic Plan around six (6) Goals. These Goals become the City's strategic direction for development and implementation of its master planning, capital improvement programs for infrastructure, long-term financial plans and annual budgets. The Goals may be reprioritized or refined from year to year, but generally stay consistent.

1. Financially Sound City Providing High Value Services
2. City Infrastructure: Today and for Tomorrow
3. Great Community to Live
4. "The Sports Capital of Texas" for Tourism and Residents
5. Authentic Downtown – Exciting Community Destination
6. Sustainable Neighborhoods – Old and New

## **LONG TERM FINANCIAL PLANNING**

A Five-Year Financial Forecast and Plan will be maintained and updated annually that will identify potential tax impacts, rate adjustments, and other factors that will enable or impede the implementation of the City's Strategic Goals. Five-year plans will be created and updated for each of the City's major operating funds, including:

- General Fund, including impacts to the M&O and Debt portions of the property tax rate
- Utility Fund
- Drainage Fund
- RRTEDC (also known as Type B) Fund
- Hotel Occupancy Tax Fund
- Sports Center Fund
- Multi-Purpose Field Complex Fund
- Golf Course Fund

The financial forecasts will assess long-term financial implications of current and proposed policies and programs and assist with the development of strategies to achieve the City's goals.

## **ANNUAL BUDGET**

### **Preparation**

The Charter (Section 8.03) requires that "the budget will provide a complete financial plan for all City funds and activities and, except as required by law or this Charter, shall be in such a form as the City Manager deems desirable or the City Council may require." The budget shall be

# FINANCIAL POLICIES

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submitted on or before the first day of August of each year to the City Council.

## Guiding Principles:

- The annual budget will be prepared to address Council Strategic Goals and direction.
- Long-term financial needs identified in the five-year plans will be considered and addressed when appropriate.
- Current expenditures (operating and recurring capital) are to be funded with current, on-going revenues.

## Proposed Budget

A proposed budget shall be prepared by the City Manager with participation from all of the City's Division Directors within the provision of the Charter and the City Council's strategic goals. A copy of the proposed budget will be available for citizen review at Round Rock City Hall and the public library.

## Balanced Budget

The goal of the City is to adopt and maintain a balanced operating budget using sustainable funding sources that are expected to continue to be available in subsequent fiscal years.

## Revenues and Expenditures

The annual budget is staff and Council's best estimate of the revenues, expenditures, and available fund balances at the time of preparation. Therefore, transfers and amendments may be needed from time to time due to changing conditions.

## Administrative allocations

Allocations for the general support services to the Utilities Fund, Drainage Fund, RRTEDC and any other operating funds will be reviewed, documented, and adjusted if necessary at least once every three years to assure reasonable cost of services is allocated to those funds.

## Personnel

The annual Budget will also include the approved number of full time equivalents (FTEs) for the City. Approval of budget is considered approval of the FTEs. City Manager may transfer and/or repurpose FTEs among departments and funds as needed to meet the needs of the community. If the transfer changes the total appropriations for any particular fund, then City Council approval is necessary.

In order to maintain effective staffing levels, the Police department may exceed total budgeted FTEs by 4.0 FTEs to accommodate planned departures and retirements in light of the long recruitment and training times required. The department must stay within appropriated budget for that fiscal year and receive advanced approval from the City Manager.

## Fund Balance

Balances in excess of authorized reserves will be used to fund the pay-as-you-go repair and maintenance programs, major capital projects and other one-time needs.

# FINANCIAL POLICIES

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## **Adoption**

Upon finalization of the budget proposal, the City Council will hold a public hearing, and subsequently adopt by Ordinance the final budget as amended. State law requires at least 60 percent of the members of the governing body to vote in favor of an ordinance setting a property tax rate that exceeds the effective tax rate. In practical terms, that means five of the seven members must vote in favor of the tax rate. The budget shall be finally adopted not later than the final day of the last month of the fiscal year. The budget will be effective for the fiscal year beginning October 1st.

The Annual Budget document will be submitted annually to the Government Finance Officers Association (GFOA) for evaluation and consideration for the Distinguished Budget Presentation Award.

## **Reporting**

Summary financial reports will be presented to the City Council quarterly. These reports will be in a format appropriate to enable the City Council to understand the overall budget and financial status.

## **Budget Amendments**

The City will amend the budget at year end, if needed, for revenue-based expenditures that exceeded budgeted amounts due to increased revenues. The City will also amend the budget if necessary as part of the Mid-Year Review process if any known adjustments are needed and approved at that time. The Chief Financial Officer must certify availability of revenues or funding sources prior to amendment.

## **Emergency Appropriations**

The Charter (Section 8.05) allows for emergency appropriations “to meet a pressing need for public expenditure, for other than regular or recurring requirements, to protect the public health, safety or welfare.” An ordinance must be adopted by favorable votes of five (5) or more of the City Council members.

## **CAPITAL IMPROVEMENT PROGRAM (CIP) BUDGET**

The City’s goal is to maintain City facilities and infrastructure to provide services to the citizens within the community, meet growth related needs, and comply with all state and federal regulations.

## **Preparation**

The City will maintain and periodically update master plans to maintain and expand its infrastructure including, but not limited to: City Facilities, Drainage, Parks and Recreation, Transportation, Water and Wastewater. The City annually updates a five-year CIP schedule. The capital budget will include all capital projects, capital resources, and estimated operational impacts. Capital projects are generally tracked on a project-length basis. The required financing is not appropriated on an annual basis but is approved at the outset of the project. The expected expenditures under the CIP schedule are presented as part of the overall budget adoption to accurately reflect the City’s total expected use of funds in any given budget year but these estimates are not considered binding appropriations in that year.

# FINANCIAL POLICIES

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## **Financing Programs**

When determining the financing options for CIP, the City will first evaluate the available funds in the Self Finance Construction funds. Where applicable, assessments, impact fees, pro rata charges, or other fees should be used when a specific developer can be identified as specifically benefitting from a particular project. Once other financing options have been considered, long-term debt financing will be explored to acquire major assets with expected lives equal to or exceeding the average life of the debt issue.

Short-term financing including Capital Leasing and other tax-supported obligations can be used to fund vehicles, computers, and other operating equipment provided the impact to the tax rate is minimal.

## **CAPITAL MAINTENANCE AND REPLACEMENT**

The City recognizes that deferred maintenance increases future capital costs. Assessments are made annually as part of the budget preparation process to ensure that major repairs, replacements, and maintenance necessary to preserve the City's capital investments are funded. To the extent that prior year excess fund balances are available, the City will annually fund allocations to the following:

- Fleet Maintenance and Replacement
- Information Technology
- Facilities Maintenance
- Parks and Recreation
- Public Safety Equipment

## **BUDGET CONTINGENCY PLAN**

The City will take immediate corrective action at any time during the fiscal year if expenditure and revenue estimates are such that an operating deficit is projected at year end. Corrective actions in order of the precedence they will be explored are:

1. Reduce transfers to Self Finance Construction Funds for pay-as-you-go CIP
2. Deferral of capital purchases
3. Expenditure reductions
4. Hiring freezes
5. Freeze merit increases
6. Use of fund balance, including repair and maintenance funds
7. Increase fees
8. Lay-off employees

The use of fund balance, which is a one-time revenue source, may be used to fund an annual operating deficit only with a subsequent approval of a plan to replenish the fund balance if it is brought down below policy level.

# FINANCIAL POLICIES

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## **FUNDS, RESERVES AND DESIGNATIONS**

The City of Round Rock will maintain budgeted minimum reserves in the ending working capital/fund balances of its operating and debt funds to provide a secure, healthy financial base for the City in the event of a natural disaster or other emergency and to maintain or enhance its credit worthiness. Funds will be used for purposes as designated by state law, charter and this policy.

### **General Fund**

- **Reserve**  
In recognition of fund balance reserve best practices, the fund balance reserve in the General Fund shall be ninety (90) days or 25% of annual budgeted General Fund operating expenditures. *{Staff comment: Beginning in 2018/19, the 25% target is achieved, therefore the transition wording is no long necessary}* Staff will evaluate the financial stability of the General Fund revenues annually to ensure the reserve requirement remains adequate.
- **Designation**  
Concentration Risk account: For any single sales tax payer that represents more than 5% of the City's net General Fund revenues, the city will designate a concentration risk fund at or above the City's annual net exposure to that revenue source. If at any time a single tax payer drops below that threshold or no tax payer meets this threshold, this account may be gradually reduced and used for one-time expenditures.

### **Self Finance Construction Funds**

These designated funds support the City's pay-as-you-go philosophy for repair and maintenance and major capital projects to reduce or eliminate the use of long-term debt where possible. The funding sources are year-end transfers from the respective fund using excess fund balance.

- **General Self Finance Construction (GSFC)**  
Transfers from the General Fund provides funding for the repairs and maintenance of fleet, facilities, parks, information technology, public safety equipment, one-time programs and general capital improvements.
- **Utility Self Finance Construction (USFC)**  
Transfers from the Utility fund provides funding for major capital improvements of the Utility System.

### **Utilities Fund**

- **Reserve**  
Working capital reserves should be 33% or one hundred twenty (120) days of operating expenses and annual payments for long-term water contract costs.
- **Coverage**  
The City will maintain 1.35 times average annual debt service, above the bond covenant minimum requirement of 1.25 times average annual debt service.

### **Drainage Fund**

- **Reserve**  
Working capital reserves should be 25% or ninety (90) days of operating expenses, net of debt service requirements.

# FINANCIAL POLICIES

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- **Coverage**  
The City will maintain 1.35 times average annual debt service, above the bond covenant minimum requirement of 1.25 times average annual debt service.

## **Hotel Occupancy Tax (HOT) Fund**

- **Reserve**  
Fund balance reserves should be 25% or ninety (90) days of operating expenditures, net of debt service requirements and other designations. Bond covenant also requires 1.40 times average annual debt service to be reserved.
- **Designations**
  - **Capital Infrastructure**  
The City Council may designate available fund balance for the maintenance of assets considered part of the City's "Sports Capital of Texas" strategic goal and to meet the statutory requirements for the use of these funds. These projects may include the city-owned Dell Diamond Triple A baseball stadium and conference center, the Round Rock Sports Center, and the Old Settlers Park Multi-Purpose Field Complex.
  - **Promotion of the Arts**  
A minimum of five percent (5%) of HOT revenues, net of any rebates, is designated to fund the promotion of the Arts. The City will ensure that these funds are used to promote tourism as required by statute through an annual review of the use of the funds.

## **Round Rock Transportation and Economic Development Corporation (RRTEDC) (Type B)**

- **Allocation of Funds for Projects**  
A five-year project spending plan will be presented and adopted at least annually to ensure adequate funds are available for transportation, economic development and other allowed uses of Type B funds. This five-year plan will be reviewed by staff at least quarterly and updates will be presented to the Type B board as needed for *transportation capital improvements programs (TCIP)*, *economic incentive programs (EIP)* and *other legally allowable projects* approved by the Type B board and ratified by Council.
- **Reserve**  
The RRTEDC shall maintain a minimum of \$1 million or 33% of recurring operating type expenditures.

## **Sports Center**

- **Reserve**  
Fund Balance reserves should be 25% or ninety (90) days of operating expenses, net debt service requirements and other designations.
- **Designation**  
It is the goal of the City Council to establish a capital replacement account of \$3 million to ensure the facility is maintained.
  - **Investment Reimbursement**  
At such time as revenues are sufficient to meet operations, reserve and replacement funds, this fund is expected to repay the GSFC and HOT for initial investment in the Sports Center Facility.

# FINANCIAL POLICIES

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## **Multi-Purpose Field Complex**

- **Reserve**  
Fund Balance reserves should be 25% or ninety (90) days of operating expenses.
- **Designation**  
It is the goal of the City Council to establish a capital replacement account once the complex is fully operational.
- **Funding Source**  
Operating and debt principal and interest costs not covered by tournament and field rentals will be funded by transfers from the General Fund and HOT Fund at 50% from each fund.

## **REVENUES**

The City will maintain a diverse and stable revenue system. In order to protect the City from revenue shortfalls and to maintain a stable level of service, revenues will be estimated realistically and conservatively taking into account the volatile nature of various revenue streams. The analysis will include probable economic changes and their impacts on revenues, historical collection rates, and trends. The benefits of a revenue source should exceed the cost of administration and collection of that revenue.

- **Property Tax**  
All real and business personal property located within the City will be valued at 100% of the fair market value for any given year based on the current appraisal supplied by Williamson and Travis Central Appraisal Districts.
- **Sales Tax**  
Sales tax revenue will be used to fund the recurring operations of the General Fund, the transportation improvements and economic development activities of the RRTEDC (Type B) fund, and one-time capital requirements in the General Self Finance Construction Fund. Sales tax revenue fluctuates due to changes in economic conditions and will be closely monitored to ensure the needs of the City are met.

The City's goal is to have balanced and reliable on-going revenues for its General Fund operations without over-reliance on any single sales tax provider. Therefore, Dell sales tax receipts, net of rebate, are not to exceed 20% of budgeted sales tax revenues in the General Fund. Any funds in excess of expected or realized sales tax in excess of 20% will be budgeted and deposited in the General Self Finance Construction Fund for pay-as-you-go one time capital expenditures or projects.

- **User Fees and Charges**  
For services associated with a user fee or charge, the direct and/or indirect costs of that service will be offset by a fee where possible. The City will review fees and charges no less than once every two years to ensure that fees provide adequate coverage for the cost of services. The City Council will determine how much of the cost of a service should be recovered by fees and charges.
- **Utility Rates**  
Rates will be reviewed periodically and adopted to generate sufficient revenues to fully cover operating expenses, meet debt coverage requirements and provide an adequate

# FINANCIAL POLICIES

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level of working capital. The utility revenues will be budgeted based on an average year's rainfall/consumption. The rate analysis will anticipate neither drought nor wet conditions. Adjustments to the utility rates will be made based on revenue requirements over the five-year forecast for the Utility Fund. When financially feasible, a transfer from the Utility Fund will be budgeted to fund pay-as-you-go one-time capital expenditures or projects. It is the City's goal to have growth pay for growth through impact fees and developer contributions where practical.

- **Franchise Fees**

Derived from major public utilities operating within the City, franchise fees are intended to reimburse the City for use of public streets and rights of way.

- **Hotel Occupancy & Venue Taxes**

Taxes imposed on hotel room nights allowed by statutory and charter authority. The use of these revenues are limited by state law to specific tourism promotion activities. The revenues will be estimated using actual properties and occupancy rates and prior year revenue collections.

- **Non-Recurring Revenues**

One-time or non-recurring revenues should not be used to finance current ongoing operations.

## **EXPENDITURES**

- **Appropriations & Transfers**

All expenses of the City will be made in accordance with the adopted annual budget or as legally amended. The legal level of control is at the fund level. The City Manager is authorized to transfer budgeted amounts among departments within any fund; however, any transfers or amendments that change the total expenditures of any fund must be approved by the City Council.

- **Procurement**

In accordance with state law and city ordinances, the City is to provide for the fair and equitable treatment of all persons involved in public purchasing with the City of Round Rock, to maximize the purchasing value of public funds in procurement, and to provide safeguards for maintaining a procurement system of quality and integrity while meeting all legally mandated federal, state, and local requirements. Competitive pricing will be sought in accordance with the following guidelines to ensure the best value is obtained through the procurement of products and services.

- **Formal Approvals**

The City Manager or City Council approval is required as detailed below.

- City Manager Approval

- Any outside agreement/contract that requires a signature under \$50,000.00;

- City Council Approval

- Any item the City Manager deems necessary to require City Council approval;



# FINANCIAL POLICIES

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- Any outside agreement/contract over \$50,000.00;
- All intergovernmental agreements
- **Authorized Purchases**

The adopted annual budget will include an Authorized Purchases list that considers certain planned purchases as approved in advance by Council. This policy allows the City Manager to approve items as listed without going back to Council under certain conditions.

  - Routine equipment and technology purchases as included in the budget and the budget list are considered approved by Council, unless:
    - Item is \$200,000 or greater, unless the Council makes an exception,
    - Item contains a contract requiring the Mayor's signature;
    - Purchase deviates from the original purchase as designated on the list;
    - Cost exceeds the greater of 10% or \$10,000; or
    - Council has designated that item (s) come back for approval
  - Capital projects and funding agreements will be presented to Council for consideration and approval.

## **CASH MANAGEMENT AND INVESTMENTS**

The City Council has formally approved a separate Investment Policy for the City of Round Rock that meets the requirements of the Public Funds Investment Act (PFIA), Section 2256 of the Texas Local Government Code. This policy is reviewed annually by the City Council and applies to all financial assets held by the City and applies to all entities (component units) included in the City's Comprehensive Annual Financial Report (CAFR) and/or managed by the City. The City will maintain cash management and investment policies and procedures will maintain the public trust through responsible actions as custodians of public funds.

### **Cash Management Philosophy**

The City shall maintain a comprehensive cash management program to include the effective collection of all accounts receivable, the prompt deposit of receipts to the City's depository, the payment of obligations, and the prudent investment of idle funds in accordance with this policy.

### **Investment Objectives**

The City's investment program will be conducted as to accomplish the following listed in priority order:

- Safety of the principal invested
- Liquidity and availability of cash to pay obligations when due
- Maximize earnings (yield) to the greatest extent possible consistent with the City's investment policy.

## **DEBT**

The City of Round Rock establishes the following policy concerning the issuance and management of debt. This policy is to improve the quality of decisions in relation to the City's financing activities, provide a comprehensive view of the City's long-term debt picture and make it easier for decision-makers to understand issues concerning debt issuance and management.

# FINANCIAL POLICIES

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It is the intent of this policy that the City's debt be managed and monitored so as to enhance or maintain its credit rating with major ratings agencies.

## CONDITIONS OF DEBT ISSUANCE

Debt should be issued for the purpose of meeting the needs of the community through funding of capital projects and equipment but without constituting an unreasonable burden to taxpayers.

Long-term debt is only issued to finance the acquisition and/or construction of capital improvements. Additionally, only capital needs identified in the capital improvement program will be considered. Refunding bonds will only be issued if the present value of debt service savings exceeds three percent of the par value of the refunded bonds.

## TYPES OF DEBT

- **General Obligation Bonds**  
General Obligation Bonds may only be issued with a majority approval of a popular vote. The use of the proceeds from GO Bonds is limited to the acquisition or improvement of real property and other uses allowed by law and applicable bond ordinances. Libraries, parks and public safety facilities are all types of facilities that could be financed with GO Bonds. To the extent that property tax revenues are used to fund debt service, an increase to the property tax will be proposed.
- **Certificate of Obligations**  
Certificate of Obligations may be used to fund capital improvements or equipment that are not otherwise funded by general obligation or revenue bonds. With CO bonds, the voters have an option to petition for an election on whether the certificates should be issued. There is a thirty (30) day notice period before a city can pass an ordinance to issue the certificates giving time for citizen input and time to gather signatures for a petition to call an election. To the extent that property tax revenues are used to fund debt service, an increase to the property tax will be proposed.
- **Enterprise Revenue Bonds**  
Enterprise Revenue Bonds finance facilities for a revenue producing enterprise and are payable from revenue sources within that enterprise. Municipal Water and Sewer and Solid Waste are examples of revenue producing enterprises within the City.
- **Refunding Obligations**  
Pursuant to the Government Code and various other financing statutes applicable in particular situations, the City Council is authorized to provide for the issuance of bonds for the purpose of refunding any long-term obligation of the City. Absent any significant non-economic factors, a refunding should produce minimum net debt service savings (net of reserve fund earnings and other offsets) of at least 3% of the par value of the refunded bonds on a net present value basis, using the refunding issue's True Interest Cost (TIC) as the discount rate, unless the Finance Department determines that a lower savings percentage is acceptable for issues or maturities with short maturity dates.
- **Tax Anticipation Notes**  
Proceeds from Tax Anticipation Notes are used to fund projects whose source of payment is future tax revenues. These instruments have a term of one to three years

# FINANCIAL POLICIES

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and are for a specific purpose such as temporary financing for capital improvements, cash flow needs and major equipment leasing.

- **Leases**

Leases may be used to finance major capital purchases, other than infrastructure, including fleet, major system upgrades and large equipment purchases.

- **Assessment Bonds**

Proceeds from Assessment Bonds may be used to finance local public improvements, provided that said improvements benefit the parcels of land to be assessed. Local streets, street lights, landscaping, sidewalks and sanitary sewers are some examples of local improvements commonly financed by assessment bonds.

- **Internal borrowing between City funds**

The City can authorize use of existing long-term reserves as “loans” between funds. The borrowing fund will repay the loan at a rate consistent with current market conditions. The loan will be repaid within ten (10) years. The loan will be considered an investment of working capital reserves by the lending fund.

- **Other Obligations**

There may be special circumstances when other forms of debt are appropriate and may be evaluated on a case-by-case basis. Such other forms include, but are not limited to limited tax notes, non-enterprise revenue bonds, bond anticipation notes, grant anticipation notes and judgment or settlement obligation bonds.

## RESTRICTION ON DEBT ISSUANCE

- The City of Round Rock **will not** use long-term debt to finance current operations or normal maintenance.
- Derivative products **will not** be used by the City.
- Swaps **will not** be entered into without establishment of a Swap Policy.
- Variable rate debt **will not** be entered into without establishment of a Variable Rate Debt Policy.

## LIMITATIONS ON OUTSTANDING DEBT

There is no direct debt limitation in the City Charter or under State law. The City operates under a Home Rule Charter (Article XI, Section 5, Texas Constitution) approved by voters in August 1977 that limits maximum tax rate, for all City purposes to \$2.50 per \$100 assessed valuation. Administratively, the Attorney General of the State of Texas will permit allocation of \$1.50 of the \$2.50 maximum tax rate for general obligation debt service.

## CHARACTERISTICS OF DEBT ISSUANCE

When the City finances capital projects by issuing bonds, it will pay back the bonds within standard terms that include the following:

- Term may be up to 30 years depending on cash flow assumptions, or useful life of asset being financed.

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- Call provisions will be shortest possible optional call consistent with optimal pricing.
- The City will seek level or declining debt repayment schedules and will avoid issuing debt that provides for balloon principal payments reserved at the end of the term of the issue.
- The City will avoid variable-rate debt due to the potential volatility of such instruments. Therefore, the City will avoid the use of variable-rate debt for its general obligation bond issues.
- The Debt service program will be managed in conformity with applicable bond covenants.

Commercial insurance or other credit enhancements to the bond rating shall be considered when cost-effective.

## DEBT ISSUANCE PROCESS

The City shall utilize the services of an independent, Municipal Securities Rulemaking Board-registered financial advisor on all debt financing. Although not required, the City may utilize an RFP-selected pool of underwriters to mitigate time constraints and reduce overhead costs to the City in procuring such services. Bond counsel will be used for each transaction.

The Finance Department shall review each debt issuance transaction on a case-by-case basis to determine the most appropriate method of sale.

- **Competitive Sale**

In a competitive sale, bids for the purchase of the bonds are opened at a specified place and time and are awarded to the underwriter (or syndicate) whose conforming bid represents the lowest true interest cost to the City (TIC). This method is most advantageous when the debt to be issued is less complex, the municipal bond market for high-grade credits is stable, and the sale of the City's bonds is assured.

- Bond sales shall be cancelable at any time prior to the time bids are to be received.
- Upon award to the bidder whose conforming bid represents the lowest true interest cost, the City may restructure the bonds in accordance with the Official Notice of Sale. The City shall reserve the unfettered right to reject all bids or waive bid irregularities.

- **Negotiated Sale**

In a negotiated sale, the City chooses the initial buyer of the bonds in advance of the sale date. The initial buyer is usually an investment banking firm, or a syndicate of investment banking firms interested in reoffering the bonds to investors through an underwriting process. This type of sale allows the City to discuss different financing techniques with the underwriter in advance of the sale date. This method is most advantageous when the debt issue is complex, debt structuring flexibility is required (as would be the case in a bond refunding) or the municipal bond market is unstable or uncertain.

- **Direct Purchase**

In a direct purchase, the City may select a private purchaser willing to bid a below market rate or other preferential financing terms. Such transactions often allow debt to be issued more efficiently by eliminating the need for bond ratings and other

# FINANCIAL POLICIES

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associated issuance costs. Such financing will be analyzed on a case-by-case basis, depending primarily on rates prevailing in the market from time to time.

## **RATING AGENCY COMMUNICATION & DISCLOSURE**

The City will seek to maintain and improve its current bond ratings so its borrowing costs are reduced to a minimum and its access to credit is preserved. In conjunction with the financial advisor, the City will open a line of communication with at least one of the rating agencies (Standard and Poor's, Moody's or Fitch) when issuing new bonds or refunding existing bonds to obtain an affirmed or upgraded rating.

Full disclosure of the City's operations will be made to the bond rating agencies. The City staff, with the assistance of the financial advisors and bond counsel, will prepare the necessary materials for presentation to the rating agencies.

The City will adhere to the recommended disclosure guidelines as endorsed by the Public Securities Association, the Government Finance Officers Association, the Municipal Securities Rulemaking Board, the Government Accounting Standards Board, and the nationally recognized municipal securities information repository (NRMSIR) and any state information depository (SID) that is designated by the State of Texas and approved by the staff of the United States Securities and Exchange Commission (the SEC). SEC Rule 15c2-12 defines disclosure required by the SEC.

## **BOND REIMBURSEMENT RESOLUTIONS**

The City may utilize bond reimbursements as a tool to manage its debt issues, due to arbitrage requirements and project timing. In so doing, the City uses its capital reserve "cash" to delay bond issues until such time when issuance is favorable and beneficial to the City.

The City Council may authorize a bond reimbursement resolution for General Capital projects that have a direct impact on the City's ad valorem tax rate when the bonds will be issued within the term of the existing City Council. In the event of unexpected circumstances that delay the timing of projects, or market conditions that prohibit financially sound debt issuance, the approved project can be postponed and considered by a future council until circumstantial issues can be resolved.

The City Council may also authorize revenue bond reimbursements for approved utility and other self-supporting capital projects within legislative limits.

The total outstanding bond reimbursements may not exceed the total amount of the City's reserve funds.

## **INVESTMENT OF BOND PROCEEDS**

The City maintains in its Investment Policy document approved by the City Council, the strategy and policies for investing bond proceeds. The City's Investment Policy complies, and will at all times comply, with the provisions of the Public Funds Investment Act, Chapter 2256, Texas Government Code, as amended. Interest on bond proceeds is restricted such that it may only be used to fund projects that have the same purpose as the purpose for which the bonds were

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originally issued. Construction proceeds are typically invested in short-term securities so that they are liquid. Interest & Sinking funds may be invested longer as they have to be maintained for the life of the issue.

## **FEDERAL REQUIREMENTS**

The City will maintain written procedures to follow post issuance compliance rules, arbitrage rebate and other Federal requirements.

- Post issuance tax compliance rules will include records retention, arbitrage rebate, use of proceeds, and
- Continuing disclosure requirements under SEC Rule 15c2-12, MSRB standards, or as may be required by bond covenants or related agreements.

## **ECONOMIC DEVELOPMENT**

The City will actively promote economic development and business retention with prescribed business focus areas to maintain and expand the long-term business, financial and employment base for the community. These efforts will be accomplished through a contract with the Chamber of Commerce and partnership with City staff, Council and local business leadership.

- An economic development plan or strategy will be developed and periodically reviewed and updated as conditions change.
- Financial incentive guidelines will be established and periodically reviewed.
- Both economic impact and direct financial impact to the City will be evaluated and considered for each incentive proposal.
- Risks will be assessed as part of each proposed agreement and mitigated by emphasizing performance-based programs and financial surety provisions where possible for any up front investments by the City or its partners.

Approved incentive agreements will be monitored for compliance with reporting of that compliance made to the City Manager at least annually.

## **ACCOUNTING, AUDITING AND FINANCIAL REPORTING**

- **Accounting**  
The City is solely responsible for the recording and reporting of its financial affairs, both internally and externally. The Chief Financial Officer (CFO) is responsible for establishing the structure for the City's Chart of Accounts and for assuring that procedures are in place to properly record financial transactions and report the City's financial position.
- **Audit of Accounts**  
In accordance with the City Charter, an independent audit of the City accounts will be performed every year. The auditor is retained by and is accountable to the City Council and will have no personal interest, directly or indirectly, in the financial affairs of the city or any of its officers. The auditing firm will serve for up to 5 years, at which time, the City will re-solicit proposals for these services if deemed necessary by the City Council. The

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actual need to rotate an auditing firm will be considered, but only at the discretion of the City Council in providing for the best financial oversight of the City.

- **External Reporting**

Upon completion and acceptance of the annual audit by the City's auditors, the City will prepare a written Comprehensive Annual Financial Report (CAFR) which will be presented to the City Council within 180 calendar days of the City's fiscal year end. The CAFR will be prepared in accordance with Generally Accepted Accounting Principles (GAAP) and will be presented annually to the Government Finance Officer Association (GFOA) for evaluation and consideration for the Certificate of Achievement in Financial Reporting.

- **Receivables Policy**

All receivables of the City are accounted for, aged and collected at the earliest opportunity. Delinquent receivables are processed expediently and collection agencies are utilized when appropriate.

- **Payables Policy**

All payables for incurred expenses are accounted for, aged and paid at the latest permissible time to maximize the City's investment earning capability. All applicable discounts are taken.

## **INTERNAL CONTROLS**

The Finance Department is responsible for designing appropriate controls for the departments and the departments are responsible for implementation. Inherent in these responsibilities is the recognition that the cost of the internal control should not exceed the benefits expected to be derived. The objective of internal controls is to provide management with reasonable, but not absolute, assurance that assets are safeguarded from fraud and are recorded properly.

- **Department Policies**

Where appropriate, this City will maintain appropriate policies to manage budgetary expenditures and control, procurements, personnel, and staffing management. These policies will be developed, updated, and approved by the City Manager as necessary.

- **Written Procedures**

Wherever possible, written procedures will be established and maintained by the CFO for all functions involving cash handling and/or accounting throughout the City.

- **Internal Audit Program**

An internal audit program will be maintained by the CFO to ensure compliance with City policies and procedures and to prevent the potential for fraud.

## **EMPLOYEES & COMPENSATION**

Realizing the importance and contribution of employees in achieving the Strategic Goals of the City and to maintaining its high standards, the City's policy as an employer is to attract and retain quality employees who provide excellent, friendly service to the Round Rock community

# FINANCIAL POLICIES

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in an effective and efficient manner.

To meet the goal of a quality workforce, the City will maintain competitive compensation and benefit programs.

- The proposed budget will include an amount adequate to cover changes in market salaries, as well as funds for performance-based merit increases as determined annually by the City Manager.
- The City will maintain a competitive health insurance program, including considering new options and adjustments to provide quality benefits in a cost effective manner.

## **SELF INSURANCE & RISK MANAGEMENT**

The City will maintain an internal service fund to account for the self-funded health insurance coverage for all City employees. In addition to the basic coverage provided to each employee, the employee may purchase dependent coverage through payroll deductions. Coverage will be financed by contributions from the City and employee payroll deductions.

The City will make a diligent effort to avoid or prevent loss of City assets and to reduce the City's exposure to liability. All reasonable options will be investigated to finance risk exposure. If risk is retained, reserves will be established based on actuarial determinations.

## **FEDERAL AND STATE GRANTS**

The City will seek State and Federal grants where applicable and practical. The benefits of the grant should exceed the cost of administration of the grant when possible. Council should approve the application of all grants that will have future funding requirements.



# SENIOR TAX EXEMPTION INFORMATION

## Benchmarking Over 65 Property Tax Exemptions

City	Over 65 Exemption Amount	Over 65 Property Tax Freeze
Round Rock	\$22,000	No
Cedar Park	30,000	Yes
Georgetown	12,000	Yes
Leander	10,000	Yes
Austin	82,500	No
Pflugerville	35,000	No
Sugar Land	70,000	No
McKinney	60,000	No
Carrollton	60,000	No
Pearland	40,000	No
Temple	10,000	No

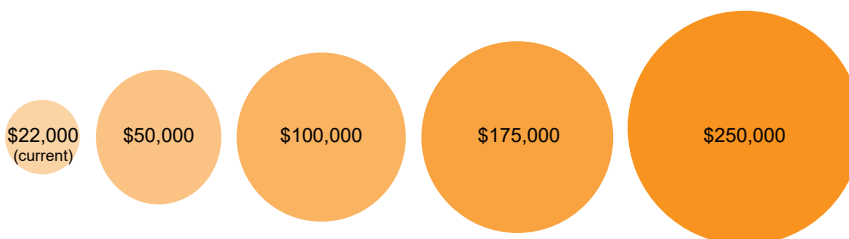
## Senior Tax Exemption Facts

- Total parcels in 2020 - 32,552
- Homesteads - 21,228 parcels (zero exemption)
- Over 65 exemptions - 4,813 parcels (\$22,000 exemption)
- \$97 million in taxable assessed value reduced for seniors due to exemption - results in \$407,000 of property tax burden redistributed
- \$10,000 increase in the Over 65 Exemption would redistribute \$185,000 of property tax burden to other tax payers

## History of City of Round Rock Senior Exemption

- 1974 - Senior exemption first adopted with an amount of \$3,000
- 1981 - Senior exemption increased from \$3,000 to \$15,000
- 1994 - Senior exemption increased from \$15,000 to current \$22,000

## Impact of Changes in OA Exemption



<b>Exemption Amount:</b>	<b>\$22,000</b>	<b>\$50,000</b>	<b>\$100,000</b>	<b>\$175,000</b>	<b>\$250,000</b>
Taxes Redistributed:	\$407K	\$925K	\$1.8M	\$3.2M	\$4.3M
Tax Rate Impact:	0.3 cents	0.6 cents	1.3 cents	2.3 cents	3.1 cents
Monthly Tax Savings*:	\$7.70	\$17.50	\$35.00	\$61.25	\$87.50

Every \$10,000 increase in the OA exemption will redistribute \$185K in City taxes with a tax rate impact of 0.1 cents and will save the median senior homeowner \$3.50 a month.

*\*Monthly City tax savings to the median senior homeowner.*



# PROPERTY TAX CALCULATION WORKSHEET

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- 2020 Tax Rate Calculation Worksheet.....213



# 2020 TAX RATE CALCULATION WORKSHEET

## 2020 Tax Rate Calculation Worksheet

Form 50-856

### Taxing Units Other Than School Districts or Water Districts

City of Round Rock

Taxing Unit Name

512 218-5400

Phone (area code and number)

221 East Main Street, Round Rock, TX 78664

Taxing Unit's Address, City, State, ZIP Code

www.roundrocktexas.gov

Taxing Unit's Website Address

**GENERAL INFORMATION:** Tax Code Section 26.04(c) requires an officer or employee designated by the governing body to calculate the no-new-revenue (NNR) tax rate and voter-approval tax rate for the taxing unit. These tax rates are expressed in dollars per \$100 of taxable value calculated. The calculation process starts after the chief appraiser delivers to the taxing unit the certified appraisal roll and the estimated values of properties under protest. The designated officer or employee shall certify that the officer or employee has accurately calculated the tax rates and used values shown for the certified appraisal roll or certified estimate. The officer or employee submits the rates to the governing body by Aug. 7 or as soon thereafter as practicable.

School districts do not use this form, but instead use Comptroller Form 50-859 *Tax Rate Calculation Worksheet for School Districts*.

Water districts as defined under Water Code Section 49.001(1) do not use this form, but instead use Comptroller Form 50-858 *Water District Voter-Approval Tax Rate Worksheet*.

The Comptroller's office provides this worksheet to assist taxing units in determining tax rates. The information provided in this worksheet is offered as technical assistance and not legal advice. Taxing units should consult legal counsel for interpretations of law regarding tax rate preparation and adoption.

#### SECTION 1: No-New-Revenue Tax Rate (No New Taxes)

The NNR tax rate enables the public to evaluate the relationship between taxes for the prior year and for the current year based on a tax rate that would produce the same amount of taxes (no new taxes) if applied to the same properties that are taxed in both years. When appraisal values increase, the NNR tax rate should decrease.

The NNR tax rate for a county is the sum of the NNR tax rates calculated for each type of tax the county levies.

While uncommon, it is possible for a taxing unit to provide an exemption for only maintenance and operations taxes. In this case, the taxing unit will need to calculate the NNR tax rate separately for the maintenance and operations tax and the debt tax, then add the two components together.

Line	No-New-Revenue Tax Rate Worksheet	Amount/Rate
1.	<b>2019 total taxable value.</b> Enter the amount of 2019 taxable value on the 2019 tax roll today. Include any adjustments since last year's certification; exclude Tax Code Section 25.25(d) one-fourth and one-third over-appraisal corrections from these adjustments. This total includes the taxable value of homesteads with tax ceilings (will deduct in Line 2) and the captured value for tax increment financing (will deduct taxes in Line 14). <sup>1</sup>	\$ 14,748,610,039.
2.	<b>2019 tax ceilings.</b> Counties, cities and junior college districts. Enter 2019 total taxable value of homesteads with tax ceilings. These include the homesteads of homeowners age 65 or older or disabled. Other taxing units enter 0. If your taxing unit adopted the tax ceiling provision in 2019 or a prior year for homeowners age 65 or older or disabled, use this step. <sup>2</sup>	\$ 0.00
3.	<b>Preliminary 2019 adjusted taxable value.</b> Subtract Line 2 from Line 1.	\$ 14,748,610,039.
4.	<b>2019 total adopted tax rate.</b>	\$ 0.43900 /\$100
5.	<b>2019 taxable value lost because court appeals of ARB decisions reduced 2019 appraised value.</b> <b>A. Original 2019 ARB values:</b> ..... \$ 0.00 <b>B. 2019 values resulting from final court decisions:</b> ..... \$ 0.00 <b>C. 2019 value loss.</b> Subtract B from A. <sup>3</sup>	\$ 4,370,000.00
6.	<b>2019 taxable value subject to an appeal under Chapter 42, as of July 25.</b> <b>A. 2019 ARB certified value:</b> ..... \$ ..... <b>B. 2019 disputed value:</b> ..... \$ ..... <b>C. 2019 undisputed value.</b> Subtract B from A. <sup>4</sup>	\$ .....
7.	<b>2019 Chapter 42 related adjusted values.</b> Add Line 5 and Line 6.	\$ .....
8.	<b>2019 taxable value, adjusted for court-ordered adjustments.</b> Add Line 3 and Line 7.	\$ 14,752,980,039.

<sup>1</sup> Tex. Tax Code § 26.012(14)

<sup>2</sup> Tex. Tax Code § 26.012(14)

<sup>3</sup> Tex. Tax Code § 26.012(13)

<sup>4</sup> Tex. Tax Code § 26.012(13)

# 2020 TAX RATE CALCULATION WORKSHEET

2020 Tax Rate Calculation Worksheet – Taxing Units Other Than School Districts or Water Districts

Form 50-856

Line	No-New-Revenue Tax Rate Worksheet	Amount/Rate
9.	<b>2019 taxable value of property in territory the taxing unit deannexed after Jan. 1, 2019.</b> Enter the 2019 value of property in deannexed territory. <sup>5</sup>	\$ 0.00
10.	<b>2019 taxable value lost because property first qualified for an exemption in 2020.</b> If the taxing unit increased an original exemption, use the difference between the original exempted amount and the increased exempted amount. Do not include value lost due to freeport, goods-in-transit, temporary disaster exemptions. Note that lowering the amount or percentage of an existing exemption in 2020 does not create a new exemption or reduce taxable value. <b>A. Absolute exemptions.</b> Use 2019 market value:..... \$ 2,454,503.00 <b>B. Partial exemptions.</b> 2020 exemption amount or 2020 percentage exemption times 2019 value:..... + \$ 6,201,380.00 <b>C. Value loss.</b> Add A and B. <sup>6</sup>	\$ 11,310,588.00
11.	<b>2019 taxable value lost because property first qualified for agricultural appraisal (1-d or 1-d-1), timber appraisal, recreational/scenic appraisal or public access airport special appraisal in 2020.</b> Use only properties that qualified in 2020 for the first time; do not use properties that qualified in 2019. <b>A. 2019 market value:</b> ..... \$ 0.00 <b>B. 2020 productivity or special appraised value:</b> ..... \$ 0.00 <b>C. Value loss.</b> Subtract B from A. <sup>7</sup>	\$ 0.00
12.	<b>Total adjustments for lost value.</b> Add Lines 9, 10C and 11C.	\$ 11,310,588.00
13.	<b>Adjusted 2019 taxable value.</b> Subtract Line 12 from Line 8.	\$ 14,741,669,451.
14.	<b>Adjusted 2019 total levy.</b> Multiply Line 4 by Line 13 and divide by \$100.	\$ 64,715,929.00
15.	<b>Taxes refunded for years preceding tax year 2019.</b> Enter the amount of taxes refunded by the taxing unit for tax years preceding tax year 2019. Types of refunds include court decisions, Tax Code Section 25.25(b) and (c) corrections and Tax Code Section 31.11 payment errors. Do not include refunds for tax year 2019. This line applies only to tax years preceding tax year 2019. <sup>8</sup>	\$ 0.00
16.	<b>Taxes in tax increment financing (TIF) for tax year 2019.</b> Enter the amount of taxes paid into the tax increment fund for a reinvestment zone as agreed by the taxing unit. If the taxing unit has no 2020 captured appraised value in Line 18D, enter 0. <sup>9</sup>	\$ 0.00
17.	<b>Adjusted 2019 levy with refunds and TIF adjustment.</b> Add Lines 14, and 15, subtract Line 16. <sup>10</sup>	\$ 64,715,929.00
18.	<b>Total 2020 taxable value on the 2020 certified appraisal roll today.</b> This value includes only certified values or certified estimate of values and includes the total taxable value of homesteads with tax ceilings (will deduct in Line 20). These homesteads include homeowners age 65 or older or disabled. <sup>11</sup> <b>A. Certified values:</b> ..... \$ 15,612,377,126. <b>B. Counties:</b> Include railroad rolling stock values certified by the Comptroller's office:..... + \$ 0.00 <b>C. Pollution control and energy storage system exemption:</b> Deduct the value of property exempted for the current tax year for the first time as pollution control or energy storage system property:..... \$ 0.00 <b>D. Tax increment financing:</b> Deduct the 2020 captured appraised value of property taxable by a taxing unit in a tax increment financing zone for which the 2020 taxes will be deposited into the tax increment fund. Do not include any new property value that will be included in Line 23 below. <sup>12</sup> ..... \$ 0.00 <b>E. Total 2020 value.</b> Add A and B, then subtract C and D.	\$ 15,612,377,126.

<sup>5</sup> Tex. Tax Code § 26.012(15)

<sup>6</sup> Tex. Tax Code § 26.012(15)

<sup>7</sup> Tex. Tax Code § 26.012(15)

<sup>8</sup> Tex. Tax Code § 26.012(13)

<sup>9</sup> Tex. Tax Code § 26.03(c)

<sup>10</sup> Tex. Tax Code § 26.012(13)

<sup>11</sup> Tex. Tax Code § 26.012, 26.04(c-2)

<sup>12</sup> Tex. Tax Code § 26.03(c)

# 2020 TAX RATE CALCULATION WORKSHEET

2020 Tax Rate Calculation Worksheet – Taxing Units Other Than School Districts or Water Districts

Form 50-856

Line	No-New-Revenue Tax Rate Worksheet	Amount/Rate
19.	<b>Total value of properties under protest or not included on certified appraisal roll.</b> <sup>13</sup>	
	<b>A. 2020 taxable value of properties under protest.</b> The chief appraiser certifies a list of properties still under ARB protest. The list shows the appraisal district's value and the taxpayer's claimed value, if any, or an estimate of the value if the taxpayer wins. For each of the properties under protest, use the lowest of these values. Enter the total value under protest. <sup>14</sup> ..... \$ 0.00	
	<b>B. 2020 value of properties not under protest or included on certified appraisal roll.</b> The chief appraiser gives taxing units a list of those taxable properties that the chief appraiser knows about but are not included in the appraisal roll certification. These properties also are not on the list of properties that are still under protest. On this list of properties, the chief appraiser includes the market value, appraised value and exemptions for the preceding year and a reasonable estimate of the market value, appraised value and exemptions for the current year. Use the lower market, appraised or taxable value (as appropriate). Enter the total value of property not on the certified roll. <sup>15</sup> ..... + \$ 0.00	
	<b>C. Total value under protest or not certified.</b> Add A and B.	\$ 0.00
20.	<b>2020 tax ceilings.</b> Counties, cities and junior colleges enter 2020 total taxable value of homesteads with tax ceilings. These include the homesteads of homeowners age 65 or older or disabled. Other taxing units enter 0. If your taxing unit adopted the tax ceiling provision in 2019 or a prior year for homeowners age 65 or older or disabled, use this step. <sup>16</sup>	\$ 0.00
21.	<b>2020 total taxable value.</b> Add Lines 18E and 19C. Subtract Line 20. <sup>17</sup>	\$ 15,612,377,126.
22.	<b>Total 2020 taxable value of properties in territory annexed after Jan. 1, 2019.</b> Include both real and personal property. Enter the 2020 value of property in territory annexed. <sup>18</sup>	\$ 13,883,468.00
23.	<b>Total 2020 taxable value of new improvements and new personal property located in new improvements.</b> New means the item was not on the appraisal roll in 2019. An improvement is a building, structure, fixture or fence erected on or affixed to land. New additions to existing improvements may be included if the appraised value can be determined. New personal property in a new improvement must have been brought into the taxing unit after Jan. 1, 2019 and be located in a new improvement. New improvements <b>do</b> include property on which a tax abatement agreement has expired for 2020. <sup>19</sup>	\$ 291,905,601.00
24.	<b>Total adjustments to the 2020 taxable value.</b> Add Lines 22 and 23.	\$ 305,789,069
25.	<b>Adjusted 2020 taxable value.</b> Subtract Line 24 from Line 21.	\$ 15,306,588,057
26.	<b>2020 NNR tax rate.</b> Divide Line 17 by Line 25 and multiply by \$100. <sup>20</sup>	\$ 0.422798/\$100
27.	<b>COUNTIES ONLY.</b> Add together the NNR tax rates for each type of tax the county levies. The total is the 2020 county NNR tax rate. <sup>21</sup>	\$ N/A/\$100

## SECTION 2: Voter-Approval Tax Rate

The voter-approval tax rate is the highest tax rate that a taxing unit may adopt without holding an election to seek voter approval of the rate. The voter-approval tax rate is split into two separate rates:

- Maintenance and Operations (M&O) Tax Rate:** The M&O portion is the tax rate that is needed to raise the same amount of taxes that the taxing unit levied in the prior year plus the applicable percentage allowed by law. This rate accounts for such things as salaries, utilities and day-to-day operations.
- Debt Rate:** The debt rate includes the debt service necessary to pay the taxing unit's debt payments in the coming year. This rate accounts for principal and interest on bonds and other debt secured by property tax revenue.

The voter-approval tax rate for a county is the sum of the voter-approval tax rates calculated for each type of tax the county levies. In most cases the voter-approval tax rate exceeds the no-new-revenue tax rate, but occasionally decreases in a taxing unit's debt service will cause the NNR tax rate to be higher than the voter-approval tax rate.

Line	Voter-Approval Tax Rate Worksheet	Amount/Rate
28.	<b>2019 M&amp;O tax rate.</b> Enter the 2019 M&O tax rate.	\$ 0.31
29.	<b>2019 taxable value, adjusted for court-ordered adjustments.</b> Enter the amount in Line 8 of the <i>No-New-Revenue Tax Rate Worksheet</i> .	\$ 14,741,669,451.
30.	<b>Total 2019 M&amp;O levy.</b> Multiply Line 28 by Line 29 and divide by \$100	\$ 46,216,460.00

<sup>13</sup> Tex. Tax Code § 26.01(c) and (d)

<sup>14</sup> Tex. Tax Code § 26.01(c)

<sup>15</sup> Tex. Tax Code § 26.01(d)

<sup>16</sup> Tex. Tax Code § 26.012(6)(B)

<sup>17</sup> Tex. Tax Code § 26.012(6)

<sup>18</sup> Tex. Tax Code § 26.012(17)

<sup>19</sup> Tex. Tax Code § 26.012(17)

<sup>20</sup> Tex. Tax Code § 26.04(c)

<sup>21</sup> Tex. Tax Code § 26.04(d)

# 2020 TAX RATE CALCULATION WORKSHEET

2020 Tax Rate Calculation Worksheet – Taxing Units Other Than School Districts or Water Districts

Form 50-856

Line	Voter-Approval Tax Rate Worksheet	Amount/Rate
31.	<b>Adjusted 2019 levy for calculating NNR M&amp;O rate.</b> Add Line 31F to Line 30. <b>A. 2019 sales tax specifically to reduce property taxes.</b> For cities, counties and hospital districts, enter the amount of additional sales tax collected and spent on M&O expenses in 2019, if any. Other taxing units, enter 0. Counties must exclude any amount that was spent for economic development grants from the amount of sales tax spent..... + \$ <u>21,363,679.00</u> <b>B. M&amp;O taxes refunded for years preceding tax year 2019.</b> Enter the amount of M&O taxes refunded in the preceding year for taxes before that year. Types of refunds include court decisions, Tax Code Section 25.25(b) and (c) corrections and Tax Code Section 31.11 payment errors. Do not include refunds for tax year 2019. This line applies only to tax years preceding tax year 2019. .... + \$ <u>0.00</u> <b>C. 2019 junior college levy.</b> Enter the amount of taxes the governing body dedicated to a junior college district in 2019. <sup>22</sup> ..... + \$ <u>0.00</u> <b>D. 2019 taxes in TIF.</b> Enter the amount of taxes paid into the tax increment fund for a reinvestment zone as agreed by the taxing unit. If the taxing unit has no 2020 captured appraised value in Line 18D, enter 0..... - \$ <u>0.00</u> <b>E. 2019 transferred function.</b> If discontinuing all of a department, function or activity and transferring it to another taxing unit by written contract, enter the amount spent by the taxing unit discontinuing the function in the 12 months preceding the month of this calculation. If the taxing unit did not operate this function for this 12-month period, use the amount spent in the last full fiscal year in which the taxing unit operated the function. The taxing unit discontinuing the function will subtract this amount in F below. The taxing unit receiving the function will add this amount in F below. Other taxing units enter 0. .... +/- \$ <u>0.00</u> <b>F. 2019 M&amp;O levy adjustments.</b> Add A, B, and C then subtract D. For taxing unit with E, subtract if discontinuing function and add if receiving function..... \$ <u>21,363,679.00</u>	\$ <u>67,580,139.00</u>
32.	<b>Adjusted 2020 taxable value.</b> Enter the amount in Line 25 of the <i>No-New-Revenue Tax Rate Worksheet</i> .	\$ <u>15,306,588,057.</u>
33.	<b>2020 NNR M&amp;O rate (unadjusted).</b> Divide Line 31 by Line 32 and multiply by \$100.	\$ <u>0.44151</u> /\$100
34.	<b>Rate adjustment for state criminal justice mandate.</b> <sup>23</sup> Enter the rate calculated in C. If not applicable, enter 0. <b>A. 2020 state criminal justice mandate.</b> Enter the amount paid by a county to the Texas Department of Criminal Justice in the previous 12 months providing for the maintenance and operation cost of keeping inmates in county-paid facilities after they have been sentenced. Do not include any state reimbursement received by the county for the same purpose. .... \$ _____ <b>B. 2019 state criminal justice mandate.</b> Enter the amount paid by a county to the Texas Department of Criminal Justice in the 12 months prior to the previous 12 months providing for the maintenance and operation cost of keeping inmates in county-paid facilities after they have been sentenced. Do not include any state reimbursement received by the county for the same purpose. Enter zero if this is the first time the mandate applies. .... \$ _____ <b>C. Subtract B from A and divide by Line 32 and multiply by \$100.</b> ..... \$ _____ /\$100	\$ _____ /\$100
35.	<b>Rate adjustment for indigent health care expenditures.</b> <sup>24</sup> Enter the rate calculated in C. If not applicable, enter 0. <b>A. 2020 indigent health care expenditures.</b> Enter the amount paid by a taxing unit providing for the maintenance and operation cost of providing indigent health care for the period beginning on July 1, 2019 and ending on June 30, 2020, less any state assistance received for the same purpose. .... \$ _____ <b>B. 2019 indigent health care expenditures.</b> Enter the amount paid by a taxing unit providing for the maintenance and operation cost of providing indigent health care for the period beginning on July 1, 2018 and ending on June 30, 2019, less any state assistance received for the same purpose..... \$ _____ <b>C. Subtract B from A and divide by Line 32 and multiply by \$100.</b> ..... + \$ _____ /\$100	\$ _____ /\$100

<sup>22</sup> Tex. Tax Code § 26.012(12)

<sup>23</sup> Tex. Tax Code § 26.044

<sup>24</sup> Tex. Tax Code § 26.0442

# 2020 TAX RATE CALCULATION WORKSHEET

2020 Tax Rate Calculation Worksheet – Taxing Units Other Than School Districts or Water Districts

Form 50-856

Line	Voter-Approval Tax Rate Worksheet	Amount/Rate
36.	<b>Rate adjustment for county indigent defense compensation.</b> <sup>25</sup> Enter the lesser of C and D. If not applicable, enter 0. <b>A. 2020 indigent defense compensation expenditures.</b> Enter the amount paid by a county to provide appointed counsel for indigent individuals for the period beginning on July 1, 2019 and ending on June 30, 2020, less any state grants received by the county for the same purpose..... \$ _____ <b>B. 2019 indigent defense compensation expenditures.</b> Enter the amount paid by a county to provide appointed counsel for indigent individuals for the period beginning on July 1, 2018 and ending on June 30, 2019, less any state grants received by the county for the same purpose. .... \$ _____ <b>C.</b> Subtract B from A and divide by Line 32 and multiply by \$100..... \$ _____/\$100 <b>D.</b> Multiply B by 0.05 and divide by Line 32 and multiply by \$100..... \$ _____/\$100	\$ _____/\$100
37.	<b>Rate adjustment for county hospital expenditures.</b> <sup>26</sup> Enter the lesser of C and D, if applicable. If not applicable, enter 0. <b>A. 2020 eligible county hospital expenditures.</b> Enter the amount paid by the county or municipality to maintain and operate an eligible county hospital for the period beginning on July 1, 2019 and ending on June 30, 2020. .... \$ _____ <b>B. 2019 eligible county hospital expenditures.</b> Enter the amount paid by the county or municipality to maintain and operate an eligible county hospital for the period beginning on July 1, 2018 and ending on June 30, 2019. .... \$ _____ <b>C.</b> Subtract B from A and divide by Line 32 and multiply by \$100..... \$ _____/\$100 <b>D.</b> Multiply B by 0.08 and divide by Line 32 and multiply by \$100..... \$ _____/\$100	\$ _____/\$100
38.	<b>Adjusted 2020 NNR M&amp;O rate.</b> Add Lines 33, 34, 35, 36, and 37.	\$ <u>0.44151</u> /\$100
39.	<b>2020 voter-approval M&amp;O rate.</b> Enter the rate as calculated by the appropriate scenario below. <b>Special Taxing Unit.</b> If the taxing unit qualifies as a special taxing unit, multiply Line 38 by 1.08. - or - <b>Other Taxing Unit.</b> If the taxing unit does not qualify as a special taxing unit, multiply Line 38 by 1.035. - or - <b>Taxing unit affected by disaster declaration.</b> If the taxing unit is located in an area declared as disaster area, the governing body may direct the person calculating the voter-approval rate to calculate in the manner provided for a special taxing unit. The taxing unit shall continue to calculate the voter-approval rate in this manner until the earlier of 1) the second year in which total taxable value on the certified appraisal roll exceeds the total taxable value of the tax year in which the disaster occurred, and 2) the third tax year after the tax year in which the disaster occurred. If the taxing unit qualifies under this scenario, multiply Line 38 by 1.08. <sup>27</sup>	\$ <u>0.45696</u> /\$100
40.	<b>Total 2020 debt to be paid with property taxes and additional sales tax revenue.</b> Debt means the interest and principal that will be paid on debts that: (1) are paid by property taxes, (2) are secured by property taxes, (3) are scheduled for payment over a period longer than one year, and (4) are not classified in the taxing unit's budget as M&O expenses.  <b>A. Debt</b> also includes contractual payments to other taxing units that have incurred debts on behalf of this taxing unit, if those debts meet the four conditions above. Include only amounts that will be paid from property tax revenue. Do not include appraisal district budget payments. Enter debt amount. .... \$ <u>24,677,216</u> <b>B.</b> Subtract <b>unencumbered fund amount</b> used to reduce total debt. .... \$ _____ <b>C.</b> Subtract <b>certified amount spent from sales tax to reduce debt</b> (enter zero if none) ..... \$ _____ <b>D.</b> Subtract <b>amount paid</b> from other resources ..... \$ <u>4,262,500</u> <b>E. Adjusted debt.</b> Subtract B, C and D from A.	\$ <u>20,414,716</u>
41.	<b>Certified 2019 excess debt collections.</b> Enter the amount certified by the collector. <sup>28</sup>	\$ <u>0.00</u>

<sup>25</sup> Tex. Tax Code § 26.0442

<sup>26</sup> Tex. Tax Code § 26.0443

<sup>27</sup> Tex. Tax Code § 26.04(c-1)

<sup>28</sup> Tex. Tax Code § 26.012(10) and 26.04(b)

# 2020 TAX RATE CALCULATION WORKSHEET

2020 Tax Rate Calculation Worksheet – Taxing Units Other Than School Districts or Water Districts

Form 50-856

Line	Voter-Approval Tax Rate Worksheet	Amount/Rate
42.	<b>Adjusted 2020 debt.</b> Subtract Line 41 from Line 40E.	\$ 20,414,716.00
43.	<b>2020 anticipated collection rate.</b> If the anticipated collection rate in A is lower than actual collection rates in B, C or D, enter the lowest collection rate from B, C or D. If the anticipated rate in A is higher than at least one of the rates in the prior three years, enter the rate from A. Note that the rate can be greater than 100%. <sup>29</sup> <b>A.</b> Enter the 2020 anticipated collection rate certified by the collector. <sup>30</sup> ..... % <b>B.</b> Enter the 2019 actual collection rate. .... % <b>C.</b> Enter the 2018 actual collection rate. .... % <b>D.</b> Enter the 2017 actual collection rate. .... %	100%
44.	<b>2020 junior college levy.</b> Enter the amount of taxes the governing body proposes to dedicate to a junior college district in 2020. <sup>31</sup>	
45.	<b>2020 debt adjusted for collections.</b> Divide Line 42 by Line 43, then add Line 44.	\$ 20,414,716
46.	<b>2020 total taxable value.</b> Enter the amount on Line 21 of the <i>No-New-Revenue Tax Rate Worksheet</i> .	
47.	<b>2020 debt rate.</b> Divide Line 45 by Line 46 and multiply by \$100.	\$ 0.130760 /\$100
48.	<b>2020 voter-approval tax rate.</b> Add Lines 39 and 47.	\$ 0.58772 /\$100
49.	<b>COUNTIES ONLY.</b> Add together the voter-approval tax rates for each type of tax the county levies. The total is the 2020 county voter-approval tax rate.	\$ N/A /\$100

## SECTION 3: NNR Tax Rate and Voter-Approval Tax Rate Adjustments for Additional Sales Tax to Reduce Property Taxes

Cities, counties and hospital districts may levy a sales tax specifically to reduce property taxes. Local voters by election must approve imposing or abolishing the additional sales tax. If approved, the taxing unit must reduce its NNR and voter-approval tax rates to offset the expected sales tax revenue.

This section should only be completed by a county, city or hospital district that is required to adjust its NNR tax rate and/or voter-approval tax rate because it adopted the additional sales tax.

Line	Additional Sales and Use Tax Worksheet	Amount/Rate
50.	<b>Taxable Sales.</b> For taxing units that adopted the sales tax in November 2019 or May 2020, enter the Comptroller's estimate of taxable sales for the previous four quarters. <sup>32</sup> Estimates of taxable sales may be obtained through the Comptroller's Allocation Historical Summary webpage. Taxing units that adopted the sales tax before November 2019, skip this line.	\$ 0.00
51.	<b>Estimated sales tax revenue.</b> Counties exclude any amount that is or will be spent for economic development grants from the amount of estimated sales tax revenue. <sup>33</sup> <b>Taxing units that adopted the sales tax in November 2019 or in May 2020.</b> Multiply the amount on Line 50 by the sales tax rate (.01, .005 or .0025, as applicable) and multiply the result by .95. <sup>34</sup> <b>- or -</b> <b>Taxing units that adopted the sales tax before November 2019.</b> Enter the sales tax revenue for the previous four quarters. Do not multiply by .95.	\$ 22,150,912.00
52.	<b>2020 total taxable value.</b> Enter the amount from Line 21 of the <i>No-New-Revenue Tax Rate Worksheet</i> .	\$ 15,612,377,126.
53.	<b>Sales tax adjustment rate.</b> Divide Line 51 by Line 52 and multiply by \$100.	\$ 0.14188 /\$100
54.	<b>2020 NNR tax rate, unadjusted for sales tax.</b> <sup>35</sup> Enter the rate from Line 26 or 27, as applicable, on the <i>No-New-Revenue Tax Rate Worksheet</i> .	\$ 0.42280 /\$100
55.	<b>2020 NNR tax rate, adjusted for sales tax.</b> <b>Taxing units that adopted the sales tax in November 2019 or in May 2020.</b> Subtract Line 53 from Line 54. Skip to Line 56 if you adopted the additional sales tax before November 2019.	\$ 0.42280 /\$100

<sup>29</sup> Tex. Tax Code § 26.04(h), (h-1) and (h-2)

<sup>30</sup> Tex. Tax Code § 26.04(b)

<sup>31</sup> Tex. Tax Code § 26.012(5)

<sup>32</sup> Tex. Tax Code § 26.041(d)

<sup>33</sup> Tex. Tax Code § 26.041(i)

<sup>34</sup> Tex. Tax Code § 26.041(d)

<sup>35</sup> Tex. Tax Code § 26.04(c)



# 2020 TAX RATE CALCULATION WORKSHEET

2020 Tax Rate Calculation Worksheet – Taxing Units Other Than School Districts or Water Districts

Form 50-856

Line	Additional Sales and Use Tax Worksheet	Amount/Rate
56.	<b>2020 voter-approval tax rate, unadjusted for sales tax.</b> <sup>36</sup> Enter the rate from Line 48 or 49, as applicable, of the <i>Voter-Approval Tax Rate Worksheet</i> .	\$ <u>0.58772</u> /\$100
57.	<b>2020 voter-approval tax rate, adjusted for sales tax.</b> Subtract Line 53 from Line 56.	\$ <u>0.445842</u> /\$100

## SECTION 4: Voter-Approval Rate Adjustment for Pollution Control

A taxing unit may raise its rate for M&O funds used to pay for a facility, device or method for the control of air, water or land pollution. This includes any land, structure, building, installation, excavation, machinery, equipment or device that is used, constructed, acquired or installed wholly or partly to meet or exceed pollution control requirements. The taxing unit's expenses are those necessary to meet the requirements of a permit issued by the Texas Commission on Environmental Quality (TCEQ). The taxing unit must provide the tax assessor with a copy of the TCEQ letter of determination that states the portion of the cost of the installation for pollution control.

This section should only be completed by a taxing unit that uses M&O funds to pay for a facility, device or method for the control of air, water or land pollution.

Line	Voter-Approval Rate Adjustment for Pollution Control Requirements Worksheet	Amount/Rate
58.	<b>Certified expenses from the Texas Commission on Environmental Quality (TCEQ).</b> Enter the amount certified in the determination letter from TCEQ. <sup>37</sup> The taxing unit shall provide its tax assessor-collector with a copy of the letter. <sup>38</sup>	\$ _____
59.	<b>2020 total taxable value.</b> Enter the amount from Line 21 of the <i>No-New-Revenue Tax Rate Worksheet</i> .	\$ _____
60.	<b>Additional rate for pollution control.</b> Divide Line 58 by Line 59 and multiply by \$100.	\$ _____/\$100
61.	<b>2020 voter-approval tax rate, adjusted for pollution control.</b> Add Line 60 to one of the following lines (as applicable): Line 48, Line 49 (counties) or Line 57 (taxing units with the additional sales tax).	\$ _____/\$100

## SECTION 5: Voter-Voter-Approval Tax Rate Adjustment for Unused Increment Rate

The unused increment rate is the rate equal to the difference between the adopted tax rate and voter-approval tax rate before the unused increment rate for the prior three years.<sup>39</sup> In a year where a special taxing unit adopts a rate above the voter-approval tax rate by applying any portion of the unused increment rate, the unused increment rate for that year would be zero. Consult with legal counsel to ensure appropriate calculation of the unused increment rate.

For each tax year before 2020, the difference between the adopted tax rate and voter-approval rate is considered zero, therefore the unused increment rate for 2020 is zero.<sup>40</sup>

This section should only be completed by a taxing unit that does not meet the definition of a special taxing unit.<sup>41</sup>

Line	Unused Increment Rate Worksheet	Amount/Rate
62.	<b>2019 unused increment rate.</b> Subtract the 2019 actual tax rate and the 2019 unused increment rate from the 2019 voter-approval tax rate. If the number is less than zero, enter zero. If the year is prior to 2020, enter zero.	\$ _____/\$100
63.	<b>2018 unused increment rate.</b> Subtract the 2018 actual tax rate and the 2018 unused increment rate from the 2018 voter-approval tax rate. If the number is less than zero, enter zero. If the year is prior to 2020, enter zero.	\$ _____/\$100
64.	<b>2017 unused increment rate.</b> Subtract the 2017 actual tax rate and the 2017 unused increment rate from the 2017 voter-approval tax rate. If the number is less than zero, enter zero. If the year is prior to 2020, enter zero.	\$ _____/\$100
65.	<b>2020 unused increment rate.</b> Add Lines 62, 63 and 64.	\$ _____/\$100
66.	<b>2020 voter-approval tax rate, adjusted for unused increment rate.</b> Add Line 65 to one of the following lines (as applicable): Line 48, Line 49 (counties), Line 57 (taxing units with the additional sales tax) or Line 61 (taxing units with pollution control).	\$ _____/\$100

## SECTION 6: De Minimis Rate

The de minimis rate is the rate equal to the sum of the no-new-revenue maintenance and operations rate, the rate that will raise \$500,000, and the current debt rate for a taxing unit.<sup>42</sup>

This section should only be completed by a taxing unit that is a municipality of less than 30,000 or a taxing unit that does not meet the definition of a special taxing unit.<sup>43</sup>

Line	De Minimis Rate Worksheet	Amount/Rate
67.	<b>Adjusted 2020 NNR M&amp;O tax rate.</b> Enter the rate from Line 38 of the <i>Voter-Approval Tax Rate Worksheet</i>	\$ _____/\$100

<sup>36</sup> Tex. Tax Code § 26.04(c)

<sup>37</sup> Tex. Tax Code § 26.045(d)

<sup>38</sup> Tex. Tax Code § 26.045(i)

<sup>39</sup> Tex. Tax Code § 26.013(a)

<sup>40</sup> Tex. Tax Code § 26.013(c)

<sup>41</sup> Tex. Tax Code § 26.063(a)(1)

<sup>42</sup> Tex. Tax Code § 26.012(8-a)

<sup>43</sup> Tex. Tax Code § 26.063(a)(1)

# 2020 TAX RATE CALCULATION WORKSHEET

2020 Tax Rate Calculation Worksheet – Taxing Units Other Than School Districts or Water Districts

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Line	De Minimis Rate Worksheet	Amount/Rate
68.	<b>2020 total taxable value.</b> Enter the amount on Line 21 of the <i>No-New-Revenue Tax Rate Worksheet</i> .	\$ _____
69.	<b>Rate necessary to impose \$500,000 in taxes.</b> Divide \$500,000 by Line 68 and multiply by \$100.	\$ _____/\$100
70.	<b>2020 debt rate.</b> Enter the rate from Line 47 of the <i>Voter-Approval Tax Rate Worksheet</i> .	\$ _____/\$100
71.	<b>De minimis rate.</b> Add Lines 67, 69 and 70.	\$ _____/\$100

## SECTION 7: Total Tax Rate

Indicate the applicable total tax rates as calculated above.

**No-new-revenue tax rate.** ..... \$ 0.422798/\$100  
 As applicable, enter the 2020 NNR tax rate from: Line 26, Line 27 (counties), or Line 55 (adjusted for sales tax).

**Voter-approval tax rate.** ..... \$ 0.445842/\$100  
 As applicable, enter the 2020 voter-approval tax rate from: Line 48, Line 49 (counties), Line 57 (adjusted for sales tax), Line 61 (adjusted for pollution control), or Line 66 (adjusted for unused increment).

**De minimis rate.** ..... \$ \_\_\_\_\_/\$100  
 If applicable, enter the de minimis rate from Line 71.

## SECTION 8: Taxing Unit Representative Name and Signature

Enter the name of the person preparing the tax rate as authorized by the governing body of the taxing unit. By signing below, you certify that you are the designated officer or employee of the taxing unit and have calculated the tax rates in accordance with requirements in Tax Code.<sup>44</sup>

**print  
here** ➡

Printed Name of Taxing Unit Representative \_\_\_\_\_

**sign  
here** ➡

Taxing Unit Representative \_\_\_\_\_

Date \_\_\_\_\_

<sup>44</sup> Tex. Tax Code § 26.04(c)